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by

C. Bruce Hughes

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Web:	http://topology.auburn.edu/tp/
Mail:	Topology Proceedings
	Department of Mathematics & Statistics
	Auburn University, Alabama 36849, USA
E-mail:	topolog@auburn.edu
TOON	0140 4104

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## SOME PROPERTIES OF WHITNEY CONTINUA IN THE HYPERSPACE C (X)

#### C. Bruce Hughes

#### 1. Introduction

Let X denote a continuum (i.e., a compact, connected, nonvoid, metric space). The hyperspace of subcontinua of X, denoted C(X), is the space of all subcontinua of X endowed with the Hausdorff metric (e.g., [4]). A Whitney map on C(X) is a continuous function  $\mu:C(X) \rightarrow [0,1]$  satisfying the following properties:

- (i)  $\mu({x}) = 0$  for each  $x \in X$ ,
- (ii)  $\mu(X) = 1$ , and
- (iii) if  $A \subset B$  and  $A \neq B$ , then  $\mu(A) < \mu(B)$ .

Whitney [13] has shown that such functions always exist. Throughout this paper,  $\mu$  will stand for an arbitrary Whitney map on C(X). It is known [2] that  $\mu$  is monotone; that is,  $\mu^{-1}(t)$  is a subcontinuum of C(X) for each t. The continua  $\mu^{-1}(t)$  are called the Whitney continua of X.

In Section 2 we characterize the separating points of  $\mu^{-1}(t)$  in terms of their separating properties as subcontinua of X. The rest of the paper contains applications of this result. In Section 3 we obtain some information about the Whitney continua of arc-like and circle-like continua. Section 4 establishes classes of continua which have the property that  $\mu^{-1}(t)$  is an arc for t sufficiently close to 1.

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### 2. Separating points in $\mu^{-1}(t)$

If  $G_1, G_2, \ldots, G_n$  are open subsets of X, then  $N(G_1, \ldots, G_n)$ 

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denotes the set of all points A in C(X) such that  $A \subseteq U$  {G<sub>i</sub>: i = 1, 2, ..., n} and A  $\bigcap G_i \neq \phi$  for each  $i \leq n$ . Recall that the collection of all such subsets of C(X) forms a basis for the Vietoris finite topology on C(X). It is well known that the Hausdorff metric and the Vietoris finite topology agree on C(X) (e.g., [8]).

If  $t \in [0,1]$  and  $x \in X$ , then let  $C_x^t = \{A \in \mu^{-1}(t) : x \in A\}$ . Rogers [10, Theorem 4.2] has shown that  $C_x^t$  is an arcwise connected subcontinuum of C(X).

Theorem 2.1. Let A be an element of C(X) with  $\mu(A) = t$ . Then A separates  $\mu^{-1}(t)$  if and only if there exists a separation  $X-A = X_1 \cup X_2$  such that for any  $B \in \mu^{-1}(t)$  either  $B \subseteq X_1 \cup A$  or  $B \subseteq X_2 \cup A$ .

*Proof.* (only if) Let  $\mu^{-1}(t) - \{A\} = S_1 \cup S_2$  be a separation. Let

$$\begin{aligned} x_1 &= \mathbf{U} \{ B \in \mu^{-1}(t) : B \in S_1 \} - A \text{ and} \\ x_2 &= \mathbf{U} \{ B \in \mu^{-1}(t) : B \in S_2 \} - A. \end{aligned}$$

For each  $p \in X$  there exists  $P \in \mu^{-1}(t)$  with  $p \in P$ , thus  $X-A = X_1 \cup X_2$ . To show  $X_1 \cap X_2 = \phi$  suppose on the contrary that  $x \in X_1 \cap X_2$ . Because  $x \notin A$ , it follows that  $C_x^t \subseteq \delta_1 \cup \delta_2$ . Since  $x \in X_1 \cap X_2$ , there exists  $B_1 \in \delta_1$  and  $B_2 \in \delta_2$  such that  $x \in B_1$  and  $x \in B_2$ . The fact that  $B_1$  and  $B_2$  are in  $C_x^t$  implies  $C_x^t \cap \delta_1 \neq \phi \neq C_x^t \cap \delta_2$ . This contradicts the fact that  $\delta_1$  and  $\delta_2$  are separated because  $C_x^t$  is a continuum. To show that  $X_1$ and  $X_2$  are separated, by symmetry it suffices to show that no convergent sequence of points in  $X_1$  converges to a point in  $X_2$ . To this end suppose  $\{p_n\}$  is a sequence of points in  $X_1$  which converges to some  $p \in X$ . For each n, choose  $P_n \in \delta_1$  such that  $p_n \in P_n$ . If P denotes the limit of a convergent subsequence of  $\{P_n\}$ , then  $p \in P$ . Since  $\mu^{-1}(t)$  is a subcontinuum of C(X) and  $\delta_1$  and  $\delta_2$  are separated, it follows that  $P \in \delta_1 \cup \{A\}$ . Hence,  $p \in P \subseteq X_1 \cup A$  and  $X-A = X_1 \cup X_2$  is a separation. Finally, suppose  $B \in \mu^{-1}(t)$  and that  $B \in \mathfrak{S}_1$ . Then  $B \subseteq \bigcup \{M \in \mu^{-1}(t) : M \in \mathfrak{S}_1\} \subseteq X_1 \cup A$ . Hence, for any  $B \in \mu^{-1}(t)$  either  $B \subseteq X_1 \cup A$  or  $B \subseteq X_2 \cup A$ .

(if) Let 
$$\mathcal{J}_1 = \{B \in \mu^{-1}(t) : B \subseteq X_1 \cup A, B \neq A\}$$
 and  
 $\mathcal{J}_2 = \{B \in \mu^{-1}(t) : B \subseteq X_2 \cup A, B \neq A\}$ 

To see that  $\mu^{-1}(t) - \{A\} = \mathcal{T}_1 \cup \mathcal{T}_2$  is a separation, note that  $N(X_1, X)$  and  $N(X_2, X)$  are open subsets of C(X) such that  $\mathcal{T}_1 = N(X_1, X) \cap \mu^{-1}(t)$  and  $\mathcal{T}_2 = N(X_2, X) \cap \mu^{-1}(t)$ .

Using Theorem 2.1 we obtain a simple proof of the following well known result originally due to Krasinkiewicz [5] (see also [9], [10]).

Corollary 2.2. If X is an arc, then  $\mu^{-1}(t)$  is an arc for each t < 1.

*Proof.* Let p and q be the non-separating points of X. If t < 1, then it is easily seen that there exist exactly one subcontinuum P of X and one subcontinuum Q of X such that  $p \in P$ and  $q \in Q$  and  $P, Q \in \mu^{-1}(t)$ . If  $A \in \mu^{-1}(t)$  such that  $P \neq A \neq Q$ , then A separates X in the way required by Theorem 2.1. Thus, A separates  $\mu^{-1}(t)$  and  $\mu^{-1}(t)$  has exactly two non-separating points. It follows that  $\mu^{-1}(t)$  is an arc.

*Example* 2.3. Let X be a simple triod (i.e., a continuum homeomorphic to the capital letter T). Let Y be a proper subcontinuum of X which is also a simple triod and which separates X. Let  $\mu(Y) = t$ . Then Y does not separate X in the way required by Theorem 2.1 and thus Y does not separate  $\mu^{-1}(t)$ .

#### 3. Whitney continua of arc-like and circle-like continua

In this section we give sufficient conditions on  $\mu^{-1}(t)$  to insure that X be decomposable. Information about the Whitney continua of arc-like and circle-like continua is obtained in

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the corollaries. Corollary 3.2 answers a question of J. T. Rogers, Jr. [10]. The proofs of Corollaries 3.3 and 3.4 were pointed out to the author by G. R. Gordh, Jr.

Theorem 3.1. If  $\mu^{-1}(t)$  is irreducible and decomposable for some t <1, then X is decomposable.

*Proof.* Let A and B be points in  $\mu^{-1}(t)$  such that  $\mu^{-1}(t)$ is irreducible from A to B. Let S and  $\mathcal{T}$  be proper subcontinua of  $\mu^{-1}(t)$  with  $A \in S$  and  $B \in \mathcal{T}$  such that  $\mu^{-1}(t) = S \cup \mathcal{T}$ . From [4, Lemma 1.1] it follows that  $\bigcup S$  and  $\bigcup \mathcal{T}$  are subcontinua of X. It is clear that  $X = (\bigcup S) \cup (\bigcup \mathcal{T})$ , so if  $\bigcup S$  and  $\bigcup \mathcal{T}$ are proper subcontinua of X, then the theorem is proved. Assume for the purpose of this proof that  $X = \bigcup \mathcal{T}$ . Then  $A \subseteq \bigcup \mathcal{T}$  so there exists  $M \in \mathcal{T}$  such that  $A \cap M \neq \phi$ . This implies ([9] or [10]) that there is an arc  $\mathcal{J}$  in  $\mu^{-1}(t)$  with endpoints A and M. By the irreducibility of  $\mu^{-1}(t)$ , we have  $S - \mathcal{T} \subseteq \mathcal{J}$ . It follows that a point N in  $\mu^{-1}(t)$  can be choosen in  $S - \mathcal{T}$  such that N is different from A and N separates  $\mu^{-1}(t)$ . From Theorem 2.1, N is a subcontinuum of X which separates X and hence, X must be decomposable.

A continuum X is said to be arc-like if for each positive number  $\varepsilon$ , there is an  $\varepsilon$ -map (i.e., a map having point-inverses of diameter less than  $\varepsilon$ ) of X onto an arc. *Circle-like* continua are defined in the same manner.

Corollary 3.2. If X is indecomposable and arc-like, then  $\mu^{-1}(t)$  is indecomposable and arc-like for each t<1.

*Proof.* Krasinkiewicz [5] has shown that  $\mu^{-1}(t)$  must be arc-like for each t < 1. Since arc-like continua are unicoherent and are not triods, it follows from [11] that  $\mu^{-1}(t)$  is irreducible for each t < 1. If  $\mu^{-1}(t)$  were decomposable for some t < 1, then by Theorem 3.1 X would be decomposable also. Thus,  $\mu^{-1}(t)$ 

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is indecomposable and arc-like for each t <1.

Corollary 3.3. Let X be arc-like and circle-like. Then  $\mu^{-1}(t)$  is arc-like and circle-like for each t <1 if and only if X is indecomposable.

*Proof.* (only if) Suppose X is arc-like, circle-like and decomposable. Rogers [10, Theorem 5.1] has shown that there exists t < 1 such that  $\mu^{-1}(t)$  is not circle-like. This is a contradiction.

(if) Since X is indecomposable and arc-like, it follows from Corollary 3.2 that  $\mu^{-1}(t)$  is indecomposable and arc-like for each t < 1. Burgess [1] has shown that such continua must also be circle-like.

Corollary 3.4. Let X be circle-like. Then  $\mu^{-1}(t)$  is circle-like for each t < 1 if and only if X is indecomposable or X is not arc-like.

*Proof.* (only if) Suppose X is decomposable and arc-like. Since X is decomposable, arc-like, and circle-like, it follows from [10, Theorem 5.1] that  $\mu^{-1}(t)$  is not circle-like for some t < 1. This is a contradiction.

(if) If X is circle-like and not arc-like, then  $\mu^{-1}(t)$  is circle-like for each t < 1 by [10, Theorem 4.7]. If X is indecomposable and arc-like, then by Corollary 3.2  $\mu^{-1}(t)$  is indecomposable and arc-like for each t < 1. Burgess [1] proved that such continua are circle-like.

#### 4. Whitney continua of certain irreducible continua

In this section we establish two classes of irreducible continua which have the property that  $\mu^{-1}(t)$  is an arc for t sufficiently close to 1. It is also shown that when  $\mu^{-1}(t)$  is an arc,  $\mu^{-1}([t,1])$  is actually homeomorphic to the cone over an arc.

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Let X be irreducible between a pair of points a and b. A decomposition  $\mathfrak{D}$  of X is said to be *admissible* if each element of  $\mathfrak{D}$  is a nonvoid proper subcontinuum of X, and each element of  $\mathfrak{D}$  which does not contain a or b separates X. It is known [3] that X/ $\mathfrak{D}$  is an arc whenever  $\mathfrak{D}$  is an admissible decomposition of X.

X is of *type* A provided that X is irreducible and has an admissible decomposition; X is of *type* A' if X is of type A and has an admissible decomposition each of whose elements has void interior. X is said to be *hereditarily of type* A' if every nondegenerate subcontinuum of X is of type A'. The reader is referred to [3] and [12] for general results concerning continua of type A. For example, an irreducible continuum X is of type A' if and only if each subcontinuum of X with nonvoid interior is decomposable ([3, Theorem 2.7] or [12, Theorem 10, p. 15]). It is also known that X is hereditarily of type A' if and only if X is arc-like and hereditarily decomposable [12, Theorem 13, pg. 50].

Theorem 4.1. If X is hereditarily of type A', then there exists  $t_0 < 1$  such that  $\mu^{-1}(t)$  is an arc whenever  $t_0 \leq t < 1$ .

*Proof.* Let a and b be points in X such that X is irreducible between a and b, and let  $\mathfrak{D} = \{D(x)\}$  be an admissible decomposition of X each of whose elements has void interior. Let  $t_0 = lub\{\mu(D(x)):D(x) \in \mathfrak{D}\}$ . Clearly,  $t_0 < l$ . It follows from [3, Theorem 2.5] that  $D(a) = \{x \in X:X \text{ is irreducible between } x \text{ and } b\}$  and  $D(b) = \{x \in X:X \text{ is irreducible between } a \text{ and } x\}$ . If  $t_0 \leq t < l$ , it will be shown that there exists a unique  $A \in \mu^{-1}(t)$  such that  $D(a) \cap A \neq \phi$ . It is easy to see that there exists some  $A \in \mu^{-1}(t)$  such that  $D(a) \cap A \neq \phi$ . To prove uniqueness, suppose there exists  $P \in \mu^{-1}(t)$  with  $D(a) \cap P \neq \phi$  and  $A \neq P$ . Since  $D(a) = \{x \in X:X \text{ is irreducible between } x \text{ and } b\}$ ,

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it follows that  $D(a) \subseteq A$  and  $D(a) \subseteq P$ . Since  $A \neq P$ , pick  $x \in A-P$  and  $y \in P-A$ . It follows that  $x, y \notin D(a)$ . Thus, let A' be a proper subcontinuum of X containing both x and b, and let P' be a proper subcontinuum of X containing both y and b. Since  $A' \cup P'$  is a subcontinuum of X containing x and y but not a, A contains a and x but not y, and P contains a and y but not x, it follows that a, x, y are three points no one of which cuts between the other two. This is a contradiction to [3, Theorem 5.3]. Hence, A is unique and in a similar way there exists a unique  $B \in \mu^{-1}(t)$  such that  $D(b) \cap B \neq \phi$ .

It will now be shown that if  $M \in \mu^{-1}(t)$  with  $A \neq M \neq B$ , then M separates  $\mu^{-1}(t)$ . To apply Theorem 2.1 we must first show that M separates X. To this end it will be shown that there exists  $x_0 \in X$  such that  $D(x_0) \subseteq M$ , and it will then follow that M separates X since  $a,b \notin M$ . Suppose on the contrary that for each  $x \in X$ ,  $D(x) \not\subseteq M$ . Since  $\mu(M) \ge t_o$ , there exist  $x_1, x_2 \in M$  such that  $D(x_1)$  and  $D(x_2)$  are distinct elements of  $\mathfrak{D}$ . It now follows from [3, Theorem 2.3] that there exists  $x_{o} \in M$  such that  $D(x_{o}) \subseteq M$ . Since M separates X, let x-M =  $x_1 ~ U ~ x_2$  be a separation and suppose there exists N  $\in ~ \mu^{-1}(t)$ such that  $N \not \subset X_1 \cup M$  and  $N \not \subset X_2 \cup M$ . Pick  $x \in X_1 \cap N$ ,  $y \in X_2 \cap N$ , and  $z \in M-N$ . It can be seen that no one of x,y,z cuts between the other two which contradicts [3, Theorem 5.3]. Therefore, M separates X in the way required by Theorem 2.1 and thus M separates  $\mu^{-1}(t)$ . It has been shown that  $\mu^{-1}(t)$  contains at most two non-separating points A and B, and hence,  $\mu^{-1}(t)$  is an arc.

*Notation.* Let X be a continuum of type A and let  $\mathcal{D} = \{D(x)\}$  be an admissible decomposition of X. The following definitions of  $t_0$ ,  $t_1$ , and  $t_2$  will be used in Theorem 4.2:

 $t_{\mathbf{D}} = lub\{\mu(D(\mathbf{x})): D(\mathbf{x}) \in \mathfrak{D}\},\$ 

 $t_2 = \max\{t_0, t_1\}.$ 

Note that  $t_2$  might not be less than 1. The continuum pictured in Figure 1 is a continuum of type A' such that  $t_2$  is not less than 1. This continuum also has the property that for all t,  $\mu^{-1}(t)$  is not an arc. If this continuum is modified in the obvious way so that it contains only finitely many circles, then it would be a continuum of type A' such that  $t_2 < 1$ . Neither of these continua is hereditarily of type A'. Another example of a continuum of type A' such that  $t_2 < 1$  is a simple triod with a half ray spiraling down on it.



Figure 1

Theorem 4.2. If X is a continuum of type A and  $t_2 < t < l$ , then  $\mu^{-1}(t)$  is an arc.

*Proof.* Let a and b be points in X such that X is irreducible between a and b, let  $\mathfrak{D} = \{D(x)\}$  be an admissible decomposition of X, and let t be such that  $t_2 < t < 1$ . It will first be shown that there exists a unique  $A \in \mu^{-1}(t)$  such that  $a \in A$ . It is easy to see that there exists some  $A \in \mu^{-1}(t)$  such that  $a \in A$ . To prove uniqueness, suppose there exists  $P \in \mu^{-1}(t)$  with  $a \in P$  and  $A \neq P$ . Since  $A \not\subseteq P$  and  $P \not\subseteq A$ , there exist  $x \in A-P$  and  $y \in P-A$ . Since  $t_2 < t$ ,  $D(x) \subseteq A-P$ . Let  $X-D(x) = S \cup T$  be a separation and assume  $P \subset S$ . Since  $a \in P$ ,  $a \in S$  and  $b \in T$ . Because  $D(x) \cup T$ 

is a continuum, so is A U T. But  $a, b \in A \cup T$  and  $y \in X-(A \cup T)$ which contradicts the fact that X is irreducible between a and b. Thus A is unique, and similarly there exists a unique  $B \in \mu^{-1}(t)$  such that  $b \in B$ . It will now be shown that if  $M \in \mu^{-1}(t)$  such that  $A \neq M \neq B$ , then M separates  $\mu^{-1}(t)$ . Pick  $x \in M$ . Then since  $a, b \notin M$ ,  $D(x) \subset M$ , and D(x) separates X, it follows that M separates X. Let  $X-M = X_1 \cup X_2$  be a separation with  $a \in X_1$  and  $b \in X_2$ . To apply Theorem 2.1 we must show that if N  $\in \mu^{-1}(t)$ , then either N  $\subseteq X_1 \cup M$  or N  $\subseteq X_2 \cup M$ . Suppose on the contrary that there exists  $N \in \mu^{-1}(t)$  such that  $N \not\subseteq X_1 \cup M$  and  $N \not\subseteq X_2 \cup M$ . It follows that  $X_1 \cap N \neq \phi \neq X_2 \cap N$ and M-(X<sub>1</sub> U N U X<sub>2</sub>)  $\neq \phi$ . Pick x<sub>1</sub>  $\in$  X<sub>1</sub>  $\cap$  N and x<sub>2</sub>  $\in$  X<sub>2</sub>  $\cap$  N such that  $D(x_1)$  and  $D(x_2)$  separate X. Let  $X-D(x_1) = S_1 \cup T_1$  and X-D(x<sub>2</sub>) = S<sub>2</sub> U T<sub>2</sub> be separations with  $a \in S_1 \cap S_2$  and  $b \in T_1 \cap T_2$ . It follows that S, U D(x,) U N U D(x,) U T, is a proper subcontinuum of X containing a and b, which contradicts the fact that X is irreducible between a and b. It has been shown that  $\mu^{-1}(t)$ contains at most two non-separating points A and B, and hence,  $\mu^{-1}(t)$  is an arc.

In [4] Kelley defined the function  $\sigma:C(C(X)) \rightarrow C(X)$  by  $\sigma(\mathfrak{M}) = U(\mathfrak{M})$  for each subcontinuum  $\mathfrak{M}$  of C(X). He showed that  $\sigma$  is a continuous function. The restriction of  $\sigma$  to  $C(\mu^{-1}(t))$ , is denoted  $\sigma_t$ . Krasinkiewicz [6] showed that  $\sigma_t$  is a function from  $C(\mu^{-1}(t))$  onto  $\mu^{-1}([t,1])$ . In the next theorem it is shown that  $\sigma_t$  is also one-to-one whenever  $\mu^{-1}(t)$  is an arc; hence in this case  $\mu^{-1}([t,1])$  is a two cell.

Theorem 4.3. If  $\mu^{-1}(t)$  is an arc, then  $\sigma_t$  is one-to-one and hence,  $\mu^{-1}([t,1])$  is homeomorphic to the cone over an arc.

*Proof.* Let  $\mathcal{H}$  and  $\mathcal{K}$  be distinct subcontinua of  $\mu^{-1}(t)$ . Assume there exists  $A \in \mathcal{H} - \mathcal{K}$ . Then there exists a separating point M of  $\mu^{-1}(t)$  such that  $A \neq M$  and M separates A from  $\mathcal{K}$  in  $\mu^{-1}(t)$ . Let  $\mu^{-1}(t) - \{M\} = \delta_1 \cup \delta_2$  be a separation with  $A \in \delta_1$ and  $\mathcal{K} \subseteq \delta_2$ . Let

$$\begin{aligned} x_1 &= U \{ N \in \mu^{-1}(t) : N \in \delta_1 \} - M \text{ and} \\ x_2 &= U \{ N \in \mu^{-1}(t) : N \in \delta_2 \} - M. \end{aligned}$$

From the proof of Theorem 2.1, it follows that  $X_1 \cup X_2$  is a separation of X-M. Clearly,  $\bigcup (\mathcal{K}) \subseteq X_2 \cup M$  and  $A \cap X_1 \neq \phi$ , so  $\bigcup (\mathcal{K}) \neq \bigcup (\mathcal{K})$  and  $\sigma(\mathcal{K}) \neq \sigma(\mathcal{K})$ . Hence,  $\sigma_t$  is a homeomorphism of  $C(\mu^{-1}(t))$  onto  $\mu^{-1}([t,1])$ . Since  $\mu^{-1}(t)$  is an arc,  $C(\mu^{-1}(t))$  is homeomorphic to the cone over an arc and thus,  $\mu^{-1}([t,1])$  is homeomorphic to the cone over an arc.

Corollary 4.4. If X is arc-like and hereditarily decomposable, then for some t < 1,  $\mu^{-1}([t,1])$  is a two cell.

Remark. In a recent preprint [7] J. Krasinkiewicz and Sam B. Nadler, Jr. have proven Corollary 3.2 and have shown that if X is arc-like and decomposable, then there exists  $t_0 < 1$  such that  $\mu^{-1}(t)$  is an arc whenever  $t_0 \leq t < 1$ . Since continua hereditarily of type A' are arc-like and hereditarily decomposable, Theorem 4.1 follows immediately from their results.

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University of Kentucky

Lexington, Kentucky 40506.