

---

# TOPOLOGY PROCEEDINGS



Volume 4, 1979

Pages 437–452

---

<http://topology.auburn.edu/tp/>

## A COMPLEMENT THEOREM FOR CONTINUA IN A MANIFOLD

by

I. IVANŠIĆ AND R. B. SHER

---

### Topology Proceedings

**Web:** <http://topology.auburn.edu/tp/>

**Mail:** Topology Proceedings  
Department of Mathematics & Statistics  
Auburn University, Alabama 36849, USA

**E-mail:** [topolog@auburn.edu](mailto:topolog@auburn.edu)

**ISSN:** 0146-4124

COPYRIGHT © by Topology Proceedings. All rights reserved.

## A COMPLEMENT THEOREM FOR CONTINUA IN A MANIFOLD

I. Ivanišić and R. B. Sher<sup>1</sup>

### 1. Introduction

Since the appearance of the well known complement theorem of Chapman [C] for compacta in the Hilbert cube, a number of analogous results have been obtained for compacta in euclidean space  $E^n$ . In [I-S-V], connectivity conditions are used to obtain a complement theorem for ILC embedded continua of cofundamental dimension 3 in  $E^n$ ,  $n \geq 5$ ; this theorem subsumes most of the previously known results in this area (many of which are listed in the bibliography of [I-S-V]).

Here we use some of the techniques developed in [I-S-V] to establish a complement theorem (Theorem 3) in manifolds other than  $E^n$ . Our main tool is Theorem 1, in which we obtain nice defining sequences for certain continua in piecewise linear manifolds; this should be of further use in studying problems involving embedded continua. In Section 4 we use these results to establish a piecewise linear embedding-up-to-homotopy result (Theorem 4) and obtain as a consequence a result on the existence of deleted product neighborhoods.

We assume that the reader is familiar with the basic notions of shape theory, as found for example in [B] or [D-S], and some of the basic techniques of piecewise linear topology as found in [H] or [Z].

---

<sup>1</sup>This research was carried out while the first named author was visiting the Univ. of N.C. at Greensboro.

If  $X$  is a compactum in the piecewise linear manifold  $M$ , then  $X$  is said to satisfy the *inessential loops condition*, ILC, if for each neighborhood  $U$  of  $X$  in  $M$  there exists a neighborhood  $V$  of  $X$  in  $U$  such that each loop in  $V-X$  which is nullhomotopic in  $V$  is also nullhomotopic in  $U-X$ . The *fundamental dimension* of the compactum  $X$  is  $\min \{ \dim Y : \text{Sh}(X) = \text{Sh}(Y), Y \text{ a compactum} \}$ . In  $[V_2]$ , ILC was studied as it relates to the problem of finding a small polyhedral neighborhood of  $X$  having spine whose dimension does not exceed the fundamental dimension of  $X$ .

All continua considered in this paper will be pointed  $l$ -movable. It follows from Theorem 7.1.3 of [D-S] that shape morphisms between such continua may be regarded as *pointed* morphisms. We use this fact throughout, assuming that *all* shape morphisms are pointed; however, we shall suppress base points from our notation.

Finally, let us recall that a map  $f: X \rightarrow Y$  between ANR's is *r-connected* if  $f_{\#}: \pi_i(X) \rightarrow \pi_i(Y)$  is an isomorphism when  $0 \leq i \leq r-1$  and an epimorphism when  $i = r$ . With this in mind, we say that the shape morphism  $\underline{f}: X \rightarrow Y$  between pointed  $l$ -movable continua is *shape r-connected* if  $\underline{f}_{\#}: \text{pro-}\pi_i(X) \rightarrow \text{pro-}\pi_i(Y)$  is an isomorphism of pro-groups for  $0 \leq i \leq r-1$  and an epimorphism for  $i = r$ . We also recall that a pro-group  $\underline{G} = \{G_{\alpha}, g_{\alpha\beta}, A\}$  is *stable* if  $\underline{G}$  is isomorphic in the category pro-groups to a group, and that  $\underline{G}$  satisfies the *Mittag-Leffler condition* if for each  $\alpha \in A$  there exists  $\beta \geq \alpha$  such that for all  $\gamma \geq \beta$ ,  $g_{\alpha\gamma}(G_{\gamma}) = g_{\alpha\beta}(G_{\beta})$ .

**2. Defining Sequences for ILC Embedded Continua**

If  $X$  is a compactum lying in the interior of the piecewise linear  $n$ -manifold  $M$ , a *defining sequence* for  $X$  is a sequence  $\{U_i\}_{i=1}^\infty$  of compact piecewise linear  $n$ -manifolds in  $M$  such that  $X = \bigcap_{i=1}^\infty U_i$  and, if  $j = 1, 2, \dots$ ,  $U_{j+1} \subset \text{int } U_j$ . In Theorem 2 of [I-S-V] it was shown that under certain conditions an  $r$ -shape connected continuum lying in the interior of a piecewise linear manifold has a defining sequence whose members are  $r$ -connected. The following provides a generalization. We call a defining sequence  $\{U_i\}_{i=1}^\infty$  *r-connected* if for  $j = 1, 2, \dots$ , the inclusion of  $U_{j+1}$  into  $U_j$  is an  $r$ -connected mapping.

*Theorem 1.* Suppose  $X$  is a continuum of fundamental dimension at most  $k$  lying in the interior of the piecewise linear  $n$ -manifold  $M$  and satisfying ILC, where  $n \geq 5$  and  $k \leq n - 3$ . Suppose  $\text{pro-}\pi_i(X)$  is stable for  $0 \leq i \leq r - 1$  and satisfies the Mittag-Leffler condition for  $i = r < n - 3$ . Then there exists an  $r$ -connected defining sequence  $\{U_i\}_{i=1}^\infty$  for  $X$  such that if  $j = 1, 2, \dots$ , then  $U_j$  has a spine of dimension at most  $k' = \max(k, r+1)$ .

*Proof.* Fix  $s$ ,  $0 \leq s < r$ , and inductively assume that there exists an  $s$ -connected defining sequence  $\{V_i\}_{i=0}^\infty$  for  $X$  such that if  $j = 0, 1, 2, \dots$ , then  $V_j$  has a spine  $K_j \subset \text{int } V_j$  of dimension at most  $k'$ . The induction begins, when  $s = 0$ , by Theorem 4.1 of [V<sub>2</sub>]. Since  $\text{pro-}\pi_s(X)$  is stable, an easy argument using Theorems 6 and 7 of [M] shows that the inclusion of  $V_{j+1}$  into  $V_j$  induces an isomorphism of  $\pi_s(V_{j+1})$  onto  $\pi_s(V_j)$ . Let  $r_j: V_j \rightarrow K_j$  denote the retraction induced by a

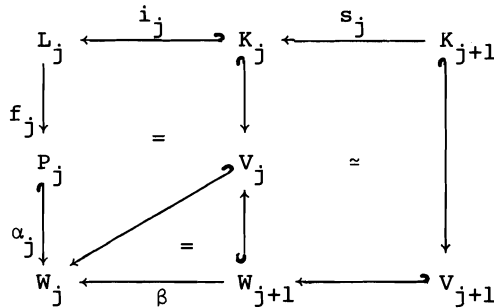
collapse of  $V_j$  onto  $K_j$ , and let  $s_j = r_j|_{K_{j+1}}$ . Since  $\text{pro-}\pi_{s+1}(X)$  satisfies the Mittag-Leffler condition we may assume, by taking a subsequence if necessary, that if  $j = 1, 2, \dots$ , then  $(s_j)_\#$  carries  $(s_{j+1})_\#(\pi_{s+1}(K_{j+2}))$  onto  $(s_j)_\#(\pi_{s+1}(K_{j+1}))$ . By the proof of Theorem 4 of [F] (cf. Theorem 3.1 of [K] and Theorem 5 of [H-I]) there exist compact polyhedra  $L_0, L_1, L_2, \dots$  and mappings  $g_j: L_{j+1} \rightarrow L_j$  such that if  $j = 0, 1, 2, \dots$ , then

- (1)  $K_j \subset L_j$ ,
- (2)  $g_j(L_{j+1}) \subset K_j$ ,
- (3)  $g_j i_{j+1} = i_j s_j$ , where  $i_m: K_m \rightarrow L_m$  denotes the inclusion,  $m = 0, 1, 2, \dots$ ,
- (4)  $(i_j s_j)_\#: \pi_{s+1}(K_{j+1}) \rightarrow \pi_{s+1}(L_j)$  is an epimorphism, and
- (5)  $L_j$  is obtained from  $K_j$  by attaching finitely many  $(s+2)$ -cells.

Now fix  $j \geq 1$ , and define  $h_{j-1}: L_j \rightarrow V_{j-1}$  by  $h_{j-1}(x) = g_{j-1}(x)$  for all  $x \in L_j$ . Then  $h_{j-1}(x) = s_{j-1}(x)$  for all  $x \in K_j$ . Noting that  $s_{j-1} \simeq \text{id}_{K_j}$  in  $V_{j-1}$ , it follows from the Borsuk Homotopy Extension Theorem, (5.13) on pg. 22 of [B], that there exists a map  $k_{j-1}: L_j \rightarrow \text{int } V_{j-1}$  such that  $k_{j-1} \simeq h_{j-1}$  and  $k_{j-1}(x) = x$  for all  $x \in K_j$ . We may further assume that  $k_{j-1}$  is piecewise linear and in general position. Note that  $k_{j-1}$  is  $s$ -connected and has singular set of dimension at most  $s - 1 < n - 5$ ; Theorem 4.3 of [St] thus applies, and yields an at most  $k'$ -dimensional polyhedron  $P_j \subset \text{int } V_{j-1}$  such that  $k_{j-1}(L_j) \subset P_j$  and the map  $f_j: L_j \rightarrow P_j$  defined by  $f_j(x) = k_{j-1}(x)$  for all  $x \in L_j$  is a simple homotopy

equivalence. Since  $K_j \subset P_j$ , there exists a regular neighborhood  $W_j$  of  $P_j$  such that  $\text{int } V_{j-1} \supset W_j \supset \text{int } W_j \supset V_j$ .

It is claimed that the defining sequence  $\{W_i\}_{i=1}^\infty$  is  $(s+1)$ -connected, thereby allowing us to continue our induction. If  $j = 1, 2, \dots$ , let  $\beta$  denote the inclusion of  $W_{j+1}$  into  $W_j$ . By our construction  $\beta$  induces an isomorphism of  $\pi_i(W_{j+1})$  onto  $\pi_i(W_j)$  for  $1 \leq i \leq s$ , and so it remains to be shown that  $\beta$  induces an epimorphism of  $\pi_{s+1}(W_{j+1})$  onto  $\pi_{s+1}(W_j)$ . To verify the latter, consider the following diagram.



By (4), the fact that  $f_j$  is a homotopy equivalence, and the fact that  $W_j$  is a regular neighborhood of  $P_j$ , it follows that  $(\alpha_j f_j i_j s_j)_\# : \pi_{s+1}(K_{j+1}) \rightarrow \pi_{s+1}(W_j)$  is an epimorphism. This, along with the homotopy commutativity of the outermost rectangle of the diagram, shows that  $\beta_\# : \pi_{s+1}(W_{j+1}) \rightarrow \pi_{s+1}(W_j)$  is an epimorphism.

In Theorem 1 we hypothesize that  $\text{pro-}\pi_i(X)$  is stable for  $0 \leq i \leq r - 1$  and satisfies the Mittag-Leffler condition for  $i = r$ . This is equivalent to assuming that  $\text{pro-}\pi_i(X)$  is stable for  $0 \leq i \leq r - 1$  and that  $X$  is pointed  $r$ -movable. These conditions hold when  $X$  has shape finite  $r$ -skeleton, and the converse holds provided  $r \geq 2$  (cf. Theorem 5 of [H-I]);

the converse does not hold when  $r = 1$ , as seen by letting  $X$  be the "Hawaiian earring" (pg. 100 in [D-S]).

We now make two brief and easy observations which shall be required in the next section.

*Observation 1.* If  $\{U_i\}_{i=1}^{\infty}$  is an  $r$ -connected defining sequence for the continuum  $X$  and  $i = 1, 2, \dots$ , then the inclusion of  $X$  into  $U_i$  is shape  $r$ -connected. Hence, under the hypothesis of Theorem 1,  $X$  has arbitrarily small neighborhoods  $U$  in  $M$  such that the inclusion of  $X$  into  $U$  is shape  $r$ -connected.

*Observation 2.* Suppose  $U$  and  $V$  are ANR's,  $X$  is a continuum,  $X \subset V \subset U$ , and the inclusion of  $X$  into each of  $V$  and  $U$  is shape  $r$ -connected. Then the inclusion of  $V$  into  $U$  is  $r$ -connected.

Finally, we note in the following that Theorem 1 may be improved in the case  $k = 1 = r$  by obtaining  $k' = 1$ .

*Theorem 2.* Suppose  $X$  is a pointed 1-movable continuum of fundamental dimension  $k \leq 1$  lying in the interior of the piecewise linear  $n$ -manifold  $M$  and satisfying ILC, where  $n \geq 5$ . Then there exists a 1-connected defining sequence  $\{U_i\}_{i=1}^{\infty}$  for  $X$  such that if  $j = 1, 2, \dots$ , then  $U_j$  has a spine of dimension  $k$ .

*Proof.* If  $k = 0$ , then  $X$  is cellular in  $M$ . If  $k = 1$ , let  $U$  be a compact piecewise linear manifold neighborhood of  $X$  having 1-dimensional spine. By uniqueness of regular neighborhoods,  $U$  is an  $n$ -cell with finitely many 1-handles.

Then  $U$  embeds in  $E^n$ , so we may simply assume  $X \subset E^n$ . By Theorem 7.3.3 of [D-S], there exists a bouquet of circles  $Y \subset E^2 \subset E^n$  such that  $ShX = ShY$ . It is easy to verify that  $Y$  has a 1-connected defining sequence in  $E^n$  each member of which has 1-dimensional spine. The proof of the complement theorem (e.g. Theorem 1 of [V<sub>1</sub>]) shows, as noted in Section 6 of [I-S-V], that  $X$  also has such a defining sequence.

### 3. A Complement Theorem for Continua in a Piecewise Linear Manifold

The main result of this section is the complement theorem, Theorem 3 below. It generalizes one part of Theorem A of [I-S-V], and its proof is essentially the same as the proof of that theorem, only using the following result in place of Lemma 1 of [I-S-V]. This result and those that follow use the notion of *relative shape*, treated in [C].

*Lemma 1. Let  $X_1$  and  $X_2$  be continua in the interior of the piecewise linear  $n$ -manifold  $M$  such that for  $j = 1$  or  $2$ ,  $X_j$  has fundamental dimension at most  $k$ ,  $X_j$  satisfies ILC, and  $\text{pro-}\pi_i(X_j)$  is stable for  $0 \leq i \leq r - 1$  and satisfies the Mittag-Leffler condition for  $i = r < n - 3$ , where  $n \geq \max(2k+2-r, k+3, 5)$ . Let  $\underline{f} = \{f_i, X_1, X_2, G\}$  and  $\underline{f}' = \{f'_i, X_2, X_1, H\}$  be relative fundamental sequences in  $M$  such that  $\underline{f}'\underline{f} \approx \underline{id}_{X_1}$  and  $\underline{f}\underline{f}' \approx \underline{id}_{X_2}$ . Let  $U_0$  be a compact piecewise linear manifold neighborhood of  $X_1$  for which the inclusion of  $X_1$  into  $U_0$  is shape  $r$ -connected, and let  $h: M \rightarrow M$  be a piecewise linear homeomorphism homotopic to the identity such that  $X_2 \subset \text{int } h(U_0)$  and such that  $h^{-1}|_{W_0} \approx f'_i|_{W_0}$  in  $U_0$  for some neighborhood  $W_0$  of  $X_2$  and for almost all  $i$ . Then for every*



neighborhood  $V_0$  of  $X_2$ , there exist a compact piecewise linear manifold neighborhood  $V$  of  $X_2$  lying in  $V_0 \cap h(U_0)$  for which the inclusion of  $X_2$  into  $V$  is shape  $r$ -connected, a piecewise linear homeomorphism  $q: M \rightarrow M$  homotopic to the identity, and a neighborhood  $U_1$  of  $X_1$  such that

- (1)  $q|M - U_0 = h|M - U_0$ ,
- (2)  $q(X_1) \subset V$ , and
- (3)  $q|U_1 \approx f_i|U_1$  in  $V$  for almost all  $i$ .

*Proof.* As in the proof of Lemma 3 of [I-S-V], we note that the proof of Lemma 1 of [I-S-V] will apply provided we can find an arbitrarily small compact piecewise linear manifold neighborhood  $V$  of  $X_2$  for which the inclusion of  $X_2$  into  $V$  is shape  $r$ -connected and the pair  $(h(U_0), V)$  is  $(2k+2-n)$ -connected.

By Observation 1 of the preceding section, there exists a small neighborhood  $V$  of  $X_2$  in  $\text{int } h(U_0)$  for which the inclusion of  $X_2$  into  $V$  is shape  $r$ -connected. Since  $r \geq 2k + 2 - n$  it follows from Observation 2 of the preceding section that to complete the argument we need only show that the inclusion of  $X_2$  into  $h(U_0)$  is shape  $r$ -connected. To this end, let  $\underline{h}^{-1}: h(U_0) \rightarrow U_0$ ,  $\underline{j}: X_1 \rightarrow U_0$ , and  $\underline{k}: X_2 \rightarrow h(U_0)$  be relative fundamental sequences generated by  $h^{-1}: h(U_0) \rightarrow U_0$  (it is here we require  $h \approx \text{id}_M$ ), and the inclusions  $j: X_1 \rightarrow U_0$  and  $k: X_2 \rightarrow h(U_0)$ . Since  $h^{-1}|_{W_0} \approx f_i'|_{W_0}$  in  $U_0$  for almost all  $i$ ,  $\underline{j}f' \approx \underline{h}^{-1}\underline{k}$ , and so  $\underline{h}\underline{j}f' \approx \underline{k}$ . This shows that  $\underline{k}$  is an  $r$ -connected shape morphism, and the proof is complete.

Lemma 1 is used to maintain an inductive argument

to prove Theorem 3, as Lemma 1 of [I-S-V] was used to prove Theorem 3 of [I-S-V]. Our hypotheses have been tailored so that the induction may be started by letting  $h = \text{id}_M$  and  $U_0 = M$ .

*Theorem 3. Let  $X_1$  and  $X_2$  be continua in the interior of the piecewise linear  $n$ -manifold  $M$  such that for  $j = 1$  or  $2$ ,  $X_j$  has fundamental dimension at most  $k$ ,  $X_j$  satisfies ILC, and  $\text{pro-}\pi_i(X_j)$  is stable for  $0 \leq i \leq r - 1$  and satisfies the Mittag-Leffler condition for  $i = r < n - 3$ , where  $n \geq \max(2k+2-r, k+3, 5)$ . Suppose the inclusion of  $X_1$  into  $M$  is shape  $r$ -connected and that  $X_1$  and  $X_2$  have the same shape relative to  $M$ . Then  $M - X_1 \cong M - X_2$ .*

We note that the hypothesis that the inclusion of  $X_1$  into  $M$  be shape  $r$ -connected is necessary in Theorem 3. In Counterexample 6, Chapter 8 of [Z] is shown the existence, for  $m \geq 2$ , of an  $m$ -sphere  $S_1^m$  inessentially (piecewise linearly) embedded in  $\text{int}(B^{2m} \times S^1)$  so that  $S_1^m$  does not bound an  $(m+1)$ -cell in  $B^{2m} \times S^1 = M$ . If  $S_2^m$  is a piecewise linear  $m$ -sphere lying in the interior of a  $(2m+1)$ -cell in  $M$ , then it is easily seen that  $M - S_1^m \not\cong M - S_2^m$ .

As in Section 6 of [I-S-V] we may obtain from the proof of Theorem 3 a result on Borsuk's notion of *position* (see Chapter XI of [B]) which we state here as follows.

*Addendum to Theorem 3. Under the hypotheses of Theorem 3,  $\text{pos}(M, X_1) = \text{pos}(M, X_2)$ .*

#### 4. A Piecewise Linear Embedding-up-to-Simple-Homotopy Theorem

Let  $X$  be a compactum lying in the interior of the

piecewise linear manifold  $M$ , and let  $K$  be a compact ANR. If  $\{U_i\}_{i=1}^{\infty}$  is a defining sequence for  $X$ , every shape morphism  $\underline{f}: K \rightarrow X$  may be represented by a system map  $\underline{f} = \{f_i\}_{i=1}^{\infty}$ ,  $f_i: K \rightarrow U_i$  (cf. Chapter IX of [B]). Suppose  $Y$  is a compactum in  $M$  and  $g: Y \rightarrow K$  is a map. Since  $K \in \text{ANR}$ ,  $g$  extends to  $g^*: G \rightarrow K$  for some neighborhood  $G$  of  $Y$  in  $M$ . Then the sequence  $\{f_i g^*\}_{i=1}^{\infty}$  satisfies condition (2) of the definition of relative fundamental sequence given on pg. 188 of [C]. If  $G$  may be so chosen that this sequence also satisfies condition (1) of that definition, then we say that  $\{f_i g^*\}_{i=1}^{\infty}$ , denoted by  $\underline{fg}$ , is a relative fundamental sequence induced by the map  $g$  and the shape morphism  $\underline{f}$ .

*Lemma 2. Suppose  $X$  is a continuum lying in the interior of the piecewise linear  $n$ -manifold  $M$  such that  $X$  satisfies ILC and has the shape of a  $k$ -dimensional polyhedron  $K$ , where  $n \geq 5$  and  $k \leq n - 3$ . Then there exist a defining sequence  $\{U_i\}_{i=1}^{\infty}$  for  $X$ ,  $k$ -dimensional polyhedra  $K_i \subset U_i$ , and simple homotopy equivalences  $\tilde{f}_i: K \rightarrow K_i$  such that*

- (1) if  $f_i: K \rightarrow U_i$  is the composition  $\tilde{f}_i: K \rightarrow K_i \hookrightarrow U_i$ , then  $f_i$  is  $(k-1)$ -connected and  $\underline{f} = \{f_i\}_{i=1}^{\infty}: K \rightarrow \{U_i\}$  is a system map which is a shape equivalence from  $K$  to  $X$ ,
- (2) if  $i \geq j > l$ , then  $K_i$  is a strong deformation retract of  $U_j$  in  $U_l$ , and
- (3) if  $i > l$  and  $\phi_i: K_i \rightarrow K$  is a homotopy inverse of  $\tilde{f}_i$ , then  $\phi_i$  and  $f$  induce a relative shape equivalence of  $K_i$  to  $X$  in  $U_l$ .

*Proof.* Theorem 1 yields a  $(k-1)$ -connected defining

sequence for  $X$  in  $M$ . Since  $\text{Sh}(X) = \text{Sh}(K)$ , there exists a shape equivalence  $\underline{f}' = \{f'_i\}_{i=1}^\infty$  of  $K$  to  $X$ , where  $f'_i: K \rightarrow U_i$ . It is easy to see that each of the maps  $f'_i$  is  $(k-1)$ -connected. Let  $f_i: K \rightarrow U_i$  be a piecewise linear general position map such that  $f_i(K) \subset \text{int } U_i$  and  $f_i \simeq f'_i$ . Then  $f_i$  is a  $(k-1)$ -connected map whose singular set has dimension at most  $2k - n \leq k - 3 \leq n - 5$ ; Theorem 4.3 of [St] then applies to yield a  $k$ -dimensional polyhedron  $K_i \subset \text{int } U_i$  such that  $f_i(K) \subset K_i$  and the composition  $\tilde{f}_i: K \xrightarrow{f_i} f_i(K) \hookrightarrow K_i$  is a simple homotopy equivalence. Let  $\beta_{ji}: U_i \rightarrow U_j$  denote the inclusion map, where  $i \geq j$ . Since  $\underline{f} = \{f_i\}_{i=1}^\infty$  is a shape equivalence, we may assume that there are maps  $g_i: U_i \rightarrow K$  such that if  $j < i$ , then  $f_j g_i \simeq B_{ji}$ , and if  $j \leq i$ ,  $g_j \beta_{ji} f_i \simeq \text{id}_K$  and  $\beta_{ji} f_i \simeq f_j$ .

We note that (1) holds. The proof of (2) is similar to the proof of Lemma 2.1 of [C-D-D]. Specifically, if  $\ell < j \leq i$ , then  $g_j \beta_{ji} f_i \simeq \text{id}_K$ , and so  $\tilde{f}_i g_j \beta_{ji} f_i \simeq \tilde{f}_i$ . Letting  $\phi_i$  denote a homotopy inverse of  $\tilde{f}_i$ , this yields  $\tilde{f}_i g_j \beta_{ji} f_i \phi_i \simeq \text{id}_{K_i}$ . But  $f_i \phi_i \simeq \text{id}_{K_i}$  in  $K_i$ , and so  $\tilde{f}_i g_j|_{K_i} \simeq \text{id}_{K_i}$ . This implies that the map  $\tilde{f}_i g_j: U_j \rightarrow K_i$  is a weak retraction of  $U_j$  to  $K_i$ . Since  $K_i \in \text{ANR}$ , it follows from the Borsuk Homotopy Extension Theorem that  $K_i$  is a retract of  $U_j$ . Since  $\beta_{\ell i} f_i g_j \simeq f_\ell g_j \simeq \beta_{\ell j}$  and  $\beta_{\ell i} f_i g_j(U_j) \subset K_i$ ,  $U_j$  deforms into  $K_i$  in  $U_\ell$ ; since  $U_\ell \in \text{ANR}$ , a modification of Theorem 11 on pg. 31 of [Sp] shows that  $K_i$  is a strong deformation retract of  $U_j$  in  $U_\ell$ .

It now remains to verify (3). Let  $i > \ell$  and  $\phi_i: K_i \rightarrow K$  be a homotopy inverse of  $\tilde{f}_i$ . Let  $G \subset \text{int } U_i$  be a regular

neighborhood of  $K_i$  and  $\rho: G \rightarrow K_i$  be the retraction induced by a collapse of  $G$  onto  $K_i$ . Now,  $\beta_{\ell i} f_i \phi_i \rho: G \rightarrow U_\ell$  is homotopic to the inclusion of  $G$  into  $U_\ell$ . Thus, if  $m \geq i$ ,  $\beta_{\ell m} f_m \phi_i \rho: G \rightarrow U_\ell$  is homotopic to the inclusion of  $G$  into  $U_\ell$ . This implies that

$$\underline{h} = \{\beta_{\ell m} f_m \phi_i \rho, K_i, X, G\}_{m=i}^\infty$$

is a relative fundamental sequence in  $U_\ell$  induced by the map  $\phi_i$  and the shape morphism  $\underline{f}$ . (We index  $\underline{h}$  by  $m \geq i$  rather than  $m \geq 1$ , but this is an unimportant technical matter.)

If  $m > i$ , then  $\beta_{im} \approx f_i g_m: U_m \rightarrow U_i$ . By the Borsuk Homotopy Extension Theorem, it follows that there exists an extension  $\psi_m: U_{i+1} \rightarrow U_i$  of  $f_i g_m$  so that  $\psi_m \approx \beta_{i, i+1}$ . By (2), there exists  $\tilde{r}: U_i \rightarrow K_i$  such that  $\tilde{r}$  is the final stage of a strong deformation retraction of  $U_i$  to  $K_i$  in  $U_\ell$ . Let  $r\psi_m: U_{i+1} \rightarrow U_\ell$  denote the map  $\tilde{r}\psi_m$  composed with the inclusion of  $K_i$  into  $U_\ell$ . Then  $r\psi_m \approx \beta_{\ell, i+1}$  and  $r\psi_m \approx r\psi_{i+1}$  in  $K_i$ . It follows that

$$\underline{k} = \{r\psi_m, X, K_i, U_{i+1}\}_{m \geq i+1}$$

is a relative fundamental sequence in  $U_\ell$ .

It now remains to be seen that  $\underline{h}$  and  $\underline{k}$  are mutually inverse. To see that  $\underline{kh} \approx \text{id}_{K_i}$ , let  $V$  be a neighborhood of  $K_i$ , let  $G'$  be a regular neighborhood of  $K_i$  in  $V \cap G$ , let  $\alpha: K_i \rightarrow U_i$  denote the inclusion, and note that if  $m \geq i+1$ , then  $(r\psi_m)(\beta_{\ell m} f_m \phi_i \rho)|_{G'} = r f_i g_m f_m \phi_i \rho|_{G'} \approx r f_i \phi_i \rho|_{G'} = r \alpha \tilde{f}_i \phi_i \rho|_{G'} \approx r \alpha \rho|_{G'} = \rho|_{G'} \approx \text{id}|_{G'}$  in  $G'$ . To see that  $\underline{hk} \approx \text{id}_X$ , let  $V$  be a neighborhood of  $X$  and choose  $s \geq i+1$  such that  $U_s \subset V$ . Then, if  $m > s+1$ ,  $(\beta_{\ell m} f_m \phi_i \rho)(r\psi_m)|_{U_{s+1}} \approx \beta_{sm} f_m \phi_i \rho r \beta_{i, s+1} \approx \beta_{sm} f_m \phi_i \rho r \psi_{s+1}|_{U_{s+1}} = \beta_{sm} f_m \phi_i \rho r f_i g_{s+1} =$

$\beta_{sm^f \phi_i \alpha \tilde{f}_i g_{s+1}} = \beta_{sm^f \phi_i \tilde{f}_i g_{s+1}} \approx \beta_{sm^f g_{s+1}} \approx f_s g_{s+1} \approx \beta_{s,s+1} = \text{id}|_{U_{s+1}}$ , all homotopies occurring in  $U_s \subset V$ . This completes the proof.

We are now prepared to state our embedding theorem. It generalizes the Corollary established in [V<sub>3</sub>].

*Theorem 4.* Let  $X$  be a continuum in the interior of the piecewise linear  $n$ -manifold  $M$ ,  $K$  be a  $k$ -dimensional polyhedron, and  $\underline{f}: K \rightarrow X$  be a shape equivalence, where  $n \geq 5$  and  $k \leq n - 3$ . Then for each neighborhood  $U$  of  $X$  in  $M$  there exists a  $k$ -dimensional polyhedron  $K' \subset U$  and a simple homotopy equivalence  $h: K \rightarrow K'$  so that the homotopy inverse of  $h$  and  $\underline{f}$  induce a relative shape equivalence of  $K'$  and  $X$  in  $U$ .

*Proof.* By the main result of [V<sub>3</sub>] there exists  $X' \subset \text{int } M$  such that  $X'$  satisfies ILC and such that  $X$  and  $X'$  have the same relative shape in  $U$  via relative fundamental sequences  $\underline{g}: X \rightarrow X'$  and  $\underline{k}: X' \rightarrow X$ . Part (3) of Lemma 2 (as applied to  $X'$ ) shows that there exist a neighborhood  $U_\ell$  of  $X'$  in  $U$ , a  $k$ -dimensional polyhedron  $K_{\ell+1}$ , and a simple homotopy equivalence  $\tilde{f}_{\ell+1}: K \rightarrow K_{\ell+1}$  so that a homotopy inverse  $\phi_{\ell+1}$  of  $\tilde{f}_{\ell+1}$  induces, along with  $\underline{gf}$ , a relative shape equivalence of  $K_{\ell+1}$  and  $X'$  in  $U_\ell$ . But a relative shape equivalence in  $U_\ell$  is clearly a relative shape equivalence in  $U$ , and so  $\phi_{\ell+1}$  and  $\underline{k g f}$  (which is homotopic to  $\underline{f}$ ) induce a relative shape equivalence of  $K_{\ell+1}$  and  $X$  in  $U$ .

If  $X$  is a compactum in the interior of the piecewise linear  $n$ -manifold  $M$ , a *deleted product neighborhood* of  $X$  is a compact piecewise linear manifold neighborhood  $N$  of  $X$  in

$M$  such that  $N - X \cong \partial N \times [0,1)$ . The following generalizes Theorem 2.4 of [C-D-D] and Theorem 3 of [L]. Since deleted product neighborhoods are *I-regular* neighborhoods [Si] we also obtain the fact, established in [S-G-H], that *I-regular* neighborhoods exist for ILC embedded continua in the interior of a piecewise linear  $n$ -manifold,  $n \geq 5$ , which have the shape of a codimension 3 polyhedron.

*Corollary 1.* *Let  $X$  be a continuum in the interior of the piecewise linear  $n$ -manifold  $M$  such that  $X$  satisfies ILC and has the shape of a  $k$ -dimensional polyhedron  $K$ , where  $n \geq 5$  and  $k \leq n - 3$ . Then  $X$  has a deleted product neighborhood in  $M$ .*

*Proof.* By Observation 1 of Section 2, there exists a neighborhood  $U$  of  $X$  in  $M$  such that the inclusion of  $X$  into  $U$  is shape  $(k-1)$ -connected. By Theorem 4, there exists a  $k$ -dimensional polyhedron  $K' \subset U$  such that  $X$  and  $K'$  have the same relative shape in  $U$ . By Theorem 3,  $U - X \cong U - K'$ . A careful examination of the proof of Theorem 3 shows that in the first step of the induction we may push  $X$  into a regular neighborhood  $N$  of  $K'$  by a homeomorphism  $q: U \rightarrow U$ , the remainder of the proof showing that  $N - K' \cong N - q(X)$ . Then  $q^{-1}(N)$  is a deleted product neighborhood of  $X$ .

## References

- [B] K. Borsuk, *Theory of shape*, Mathematical Monographs, vol. 59, Polish Scientific Publishers, Warsaw, 1975.
- [C] T. A. Chapman, *On some applications of infinite-dimensional manifolds to the theory of shape*, Fund. Math 76 (1972), 181-193. MR47#9530.

- [C-D-D] D. Coram, R. J. Daverman, and P. F. Duvall, Jr., *A loop condition for embedded compacta*, Proc. Amer. Math. Soc. 53 (1975), 205-212. MR51#14071.
- [D-S] J. Dydak and J. Segal, *Shape theory, an introduction*, Lecture Notes in Mathematics No. 688, Springer-Verlag, New York, 1978.
- [F] S. Ferry, *A stable converse to the Vietoris-Smale theorem with applications to shape theory* (preprint).
- [H] J. F. P. Hudson, *Piecewise linear topology*, W. A. Benjamin Inc., New York, 1969.
- [H-I] L. S. Husch and I. Ivanšić, *Shape domination and embedding up to shape*, Composito Math. (to appear).
- [I-S-V] I. Ivanšić, R. B. Sher, and G. A. Venema, *Complement theorems beyond the trivial range*, Illinois J. Math. (submitted).
- [K] J. Krasinkiewicz, *Continuous images of continua and 1-movability*, Fund. Math. 98 (1978), 141-164.
- [L] V.-T. Liem, *Certain continua in  $S^n$  of the same shape have homeomorphic complements*, Trans. Amer. Math. Soc. 218 (1976), 207-217. MR53#1595.
- [M] S. Mardešić, *On the Whitehead theorem in shape theory II*, Fund. Math. 91 (1976), 93-103. MR54#8562.
- [S-G-H] L. Siebenmann, L. Guillou, and H. Hähl, *Les voisinages ouverts réguliers: critères homotopiques d'existence*, Ann. Sci. École Norm. Sup. (4) 7 (1974), 431-462. MR50#14766.
- [Si] L. C. Siebenmann, *Regular (or canonical) open neighborhoods*, General Topology and Appl. 3 (1973), 51-61. MR51#6831.
- [Sp] E. H. Spanier, *Algebraic topology*, McGraw-Hill, New York, 1966.
- [St] J. R. Stallings, *The embedding of homotopy into manifolds*, Mimeographed Notes, Princeton University, 1965.
- [V<sub>1</sub>] G. A. Venema, *Embeddings of compacta with shape dimension in the trivial range*, Proc. Amer. Math. Soc. 55 (1976), 443-448. MR53#1596.



- [V<sub>2</sub>] \_\_\_\_\_, *Neighborhoods of compacta in Euclidean space*, *Fund. Math.* (to appear).
- [V<sub>3</sub>] \_\_\_\_\_, *An approximation theorem in the shape category* (preprint).
- [Z] E. C. Zeeman, *Seminar on combinatorial topology*, Mimeographed Notes, Institut Hautes Études Sci., Paris, 1963.

University of Zagreb

and

University of North Carolina at Greensboro

Greensboro, North Carolina 27412