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TOPOLOGICAL GAMES AND ANALYTIC SETS, II

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This contribution is a continuation of the second author's note [3]. In contrast to [3], here the strategic situation of Player II in the game $G(X, Y)$ is considered (definitions below). Assuming Y is a separable metric space, the following is shown: (a) \Rightarrow (b) \Rightarrow (c), where

(a) $Y - X$ contains an analytic set which is not Borel separated from X ,

(b) Player II has a winning strategy in $G(X, Y)$, and

(c) $Y - X$ contains a copy of the Cantor discontinuum.

Finally, some corollaries are derived and some open questions stated.

We recall the definition of the game $G(X, Y)$ of [3]. Let X be a subset of a topological space Y . Player I chooses a sequence $\underline{E}_1 = \langle E(1, 1), E(1, 2), \dots \rangle$ of subsets of X so that $\bigcup \underline{E}_1 = X$. Then Player II chooses $k_1 \in \mathbb{N}$. Assume inductively that $\underline{E}_1, k_1, \dots, \underline{E}_n, k_n$ have been chosen. Then Player I chooses a sequence $\underline{E}_{n+1} = \langle E(n+1, 1), E(n+1, 2), \dots \rangle$ of subsets of X so that $\bigcup \underline{E}_{n+1} = E(n, k_n)$. After this Player II chooses $k_{n+1} \in \mathbb{N}$. Player I wins the play $\langle \underline{E}_1, k_1, \underline{E}_2, k_2, \dots \rangle$ of $G(X, Y)$ if $\bigcap \{ \overline{E(n, k_n)} : n \in \mathbb{N} \} \subset X$, otherwise Player II wins.

A subset X of a topological space Y is said to be a Souslin set in Y (more precisely: a Souslin-F set in Y) if there is an indexed family

$$\{F(k_1, \dots, k_n) : \langle k_1, \dots, k_n \rangle \in N^n, n \in N\}$$

of closed subsets of Y so that

$$X = \bigcup \{ \bigcap \{ F(k_1, \dots, k_n) : n \in N \} : \langle k_1, k_2, \dots \rangle \in N^N \}.$$

Theorem 1 [3]. Player I has a winning strategy in $G(X, Y)$ iff X is a Souslin set in Y .

A subset X of a separable metric space Y is said to be analytic if either $X = \emptyset$ or there is a continuous map from the space N^N onto X .

Since Souslin and analytic subsets of Polish spaces coincide ([1], p. 482), we have from Theorem 1

Corollary 1. Let X be a subset of a Polish space Y . Player I has a winning strategy in $G(X, Y)$ iff X is analytic.

Two subsets X and Z of a separable metric space Y are said to be Borel separated if there is a Borel set B in Y such that $X \subset B$ and $Z \subset Y - B$.

Theorem 2. If X is a subset of a separable metric space Y and $Y - X$ contains an analytic set Z which is not Borel separated from X , then Player II has a winning strategy in $G(X, Y)$. In particular, if $Y - X$ is analytic non-Borel, then Player II has a winning strategy in $G(X, Y)$.

By Corollary 1 and Theorem 2 we obtain

Corollary 2. If X is analytic or co-analytic in a Polish space Y , then the game $G(X, Y)$ is determined.

Question 1. Let X belong to the σ -algebra generated

generated by analytic subsets of an uncountable Polish space Y . Is then $G(X,Y)$ determined?

Theorem 3. *If X is a subset of a separable metric space Y and Player II has a winning strategy in $G(X,Y)$, then $Y - X$ contains a copy of the Cantor discontinuum.*

Question 2. Let X be a Lusin set on the real line R (i.e., X is uncountable and $X \cap F$ is at most countable whenever F is nowhere dense in R). Does Player II have a winning strategy in $G(X,R)$?

A subset X of an uncountable Polish space Y is said to be a Bernstein set if neither X nor $Y - X$ contains a copy of the Cantor discontinuum. Each uncountable Polish space contains a Bernstein set ([1], p. 514). Hence by Corollary 1 and Theorem 3 we obtain

Corollary 3. *If X is a Bernstein set in an uncountable Polish space Y , then the game $G(X,Y)$ is undetermined.*

Major question: Is the sufficient condition for the existence of a winning strategy for Player II given in Theorem 2 also necessary? Indeed, granted a winning strategy t for Player II, we are unable to determine the descriptive character of the set of points arising as outcomes of arbitrary plays in $G(X,Y)$ with II playing according to t .

Proofs. The proof of Theorem 1 given below differs from that of [3] and, moreover, is much simpler.

Proof of Theorem 1. Let s be a strategy of Player I in $G(X, Y)$. Then s determines a Souslin set

$$X_s = \bigcup \{ \overline{E_s(k_1, \dots, k_n)} : n \in \mathbb{N} \} : \langle k_1, k_2, \dots \rangle \in N^{\mathbb{N}} \}$$

in Y as follows: $E_s(k_1) = s(\emptyset)(k_1)$, $E_s(k_1, k_2) = s(k_1)(k_2)$, $E_s(k_1, k_2, k_3) = s(k_1, k_2)(k_3)$, and so on. It is easy to check that $X_s \supset X$. Clearly, s is a winning strategy iff $X_s = X$. Hence, in particular, if s is a winning strategy of Player I, then X is a Souslin set in Y . To prove the converse implication, assume that X is a Souslin set in Y , i.e.,

$$X = \bigcup \{ \overline{F(k_1, \dots, k_n)} : n \in \mathbb{N} \} : \langle k_1, k_2, \dots \rangle \in N^{\mathbb{N}} \},$$

where each $F(k_1, \dots, k_n)$ is closed in Y . Let us put

$$E(k_1, \dots, k_n) = \bigcup \{ \overline{F(j_1, \dots, j_m)} : m \in \mathbb{N} \} : \langle j_1, j_2, \dots \rangle \in B(k_1, \dots, k_n) \},$$

where

$$B(k_1, \dots, k_n) = \{ \langle i_1, i_2, \dots \rangle \in N^{\mathbb{N}} : \langle i_1, \dots, i_n \rangle = \langle k_1, \dots, k_n \rangle \}.$$

It is easy to verify that for any $\langle k_1, k_2, \dots \rangle \in N^{\mathbb{N}}$

$$E(k_1, \dots, k_n) \subset F(k_1, \dots, k_n),$$

$$\bigcap \{ F(k_1, \dots, k_n) : n \in \mathbb{N} \} = \bigcap \{ E(k_1, \dots, k_n) : n \in \mathbb{N} \},$$

$$\bigcup \{ E(k) : k \in N \} = X, \text{ and}$$

$$\bigcup \{ E(k_1, \dots, k_n, k) : k \in N \} = E(k_1, \dots, k_n).$$

Hence

$$X = \bigcup \{ \overline{E(k_1, \dots, k_n)} : n \in \mathbb{N} \} : \langle k_1, k_2, \dots \rangle \in N^{\mathbb{N}} \}$$

and a winning strategy for Player I can be defined as follows:

$s(\emptyset)(k_1) = E(k_1)$, $s(k_1)(k_2) = E(k_1, k_2)$, $s(k_1, k_2)(k_3) = E(k_1, k_2, k_3)$, and so on. The proof of Theorem 1 is complete.

Lemma. Let X and Z be subsets of a topological space Y , and let $X = \cup\{X_m : m \in \mathbb{N}\}$ and $Z = \cup\{Z_n : n \in \mathbb{N}\}$. If X and Z are not Borel separated, then there are $m \in \mathbb{N}$ and $n \in \mathbb{N}$ so that X_m and Z_n are not Borel separated.

The lemma is classical, see [1], p. 485 or [2], p. 228, for proof (which is easy).

Proof of Theorem 2. Let us assume that $Y - X$ contains an analytic set Z which is not Borel separated from X .

Let f be a continuous map from N^N onto Z and let

$$F(j_1, \dots, j_n) = f(B(j_1, \dots, j_n)),$$

where, as before,

$$B(j_1, \dots, j_n) = \{\langle i_1, i_2, \dots \rangle \in N^N : \langle i_1, \dots, i_n \rangle = \langle j_1, \dots, j_n \rangle\}.$$

Then

$$\cup\{F(j) : j \in N\} = Z,$$

$$\cup\{F(j_1, \dots, j_n, j) : j \in N\} = F(j_1, \dots, j_n), \text{ and}$$

$$\text{diam } F(j_1, \dots, j_n) \rightarrow 0 \text{ as } n \rightarrow \infty$$

for each $\langle j_1, j_2, \dots \rangle \in N^N$. We shall define a winning

strategy t for Player II in $G(X, Y)$ as follows. Let

$\underline{E}_1 = \langle E(1, 1), E(1, 2), \dots \rangle$, where $\cup \underline{E}_1 = X$. Since X and Z

are not Borel separated, it follows from the lemma that

there is $k_1 \in \mathbb{N}$ and $j_1 \in \mathbb{N}$ so that $E(1, k_1)$ and $F(j_1)$ are

not Borel separated. We set $t(\underline{E}_1) = k_1$. Let $\underline{E}_2 = \langle E(2, 1),$

$E(2, 2), \dots \rangle$, where $\cup \underline{E}_2 = E(1, k_1)$. Again by the lemma we

infer the existence of $k_2 \in \mathbb{N}$ and $j_2 \in \mathbb{N}$ such that $E(2, k_2)$

and $F(j_1, j_2)$ are not Borel separated. We set $t(\underline{E}_1, \underline{E}_2) = k_2$,

and so on. Since $E(n, k_n)$ and $F(j_1, \dots, j_n)$ are not Borel

separated, it follows that

$$\overline{E(n, k_n)} \cap \overline{F(j_1, \dots, j_n)} \neq \emptyset.$$

Since $\cap \{\overline{F(j_1, \dots, j_n)} : n \in \mathbb{N}\} = \{z\} \subset Z$, where $z = f(j_1, j_2, \dots)$, and $\text{diam } F(j_1, \dots, j_n) \rightarrow 0$ as $n \rightarrow \infty$, we also have $z \in \cap \{\overline{E(n, k_n)} : n \in \mathbb{N}\}$. Indeed, if U is an open neighbourhood of z in Y and $n \in \mathbb{N}$, then there is $m \geq n$ such that $\overline{F(j_1, \dots, j_m)} \subset U$. Since $\overline{F(j_1, \dots, j_m)} \cap \overline{E(m, k_m)} \neq \emptyset$, we have $\overline{E(m, k_m)} \cap U \neq \emptyset$ and so $\overline{E(n, k_n)} \cap U \neq \emptyset$, because $\overline{E(n, k_n)} \supset \overline{E(m, k_m)}$. Thus $z \in \overline{E(n, k_n)}$. Finally, $(Y - X) \cap \cap \{\overline{E(n, k_n)} : n \in \mathbb{N}\} \neq \emptyset$ and thus t is a winning strategy for Player II. The proof is complete.

Proof of Theorem 3. Let t be a fixed winning strategy for Player II in $G(X, Y)$, where Y is a separable metric space. If $\langle \underline{E}_1, k_1, \dots, \underline{E}_n, k_n \rangle$ is a partial t -play (i.e., a partial play of $G(X, Y)$ in which Player II follows the strategy t), let $T(\underline{E}_1, \dots, \underline{E}_n) = \underline{E}_n(k_n)$, the set determined by Player II's n th move; let $T(\underline{E}_1, \dots, \underline{E}_n) = X$ if $n = 0$. Let $M(\underline{E}_1, \dots, \underline{E}_n)$ be the set of all sequences $\underline{E} = \langle E(k) : k \in \mathbb{N} \rangle$ such that $\cup \underline{E} = T(\underline{E}_1, \dots, \underline{E}_n)$; i.e., $M(\underline{E}_1, \dots, \underline{E}_n)$ is the set of all legal moves by Player I following $\langle \underline{E}_1, k_1, \dots, \underline{E}_n, k_n \rangle$. Clearly, it will suffice to establish the following:

Claim 1. We can associate with every finite sequence $\langle b_1, \dots, b_n \rangle$ of 0's and 1's a sequence $\underline{E}_{b_1}, \dots, \underline{E}_{b_n}$ of subsets of X , so that the following conditions are satisfied:

- (1) $\underline{E}_{b_1}, \dots, \underline{E}_{b_n} \in M(\underline{E}_{b_1}, \underline{E}_{b_1}, b_2, \dots, \underline{E}_{b_1}, b_2, \dots, \underline{E}_{b_{n-1}})$;

- (2) $T(\underline{E}_{b_1}, \underline{E}_{b_1}, b_2, \dots, \underline{E}_{b_1}, \dots, b_n)$ has diameter $< 1/n$;
 (3) the sets $T(\underline{E}_{b_1}, \dots, \underline{E}_{b_1}, \dots, b_n, \underline{E}_{b_1}, \dots, b_n, 0)$ and $T(\underline{E}_{b_1}, \dots, \underline{E}_{b_1}, \dots, b_n, \underline{E}_{b_1}, \dots, b_n, 1)$ have disjoint closures.

In fact, it will suffice to prove:

Claim 2. Let $\langle \underline{E}_1, k_1, \dots, \underline{E}_n, k_n \rangle$ be a partial t -play, and let $\mathcal{J} = \{T(\underline{E}_1, \dots, \underline{E}_n, \underline{E}) : \underline{E} \in M(\underline{E}_1, \dots, \underline{E}_n)\}$. Then there are sets $F', F'' \in \mathcal{J}$ such that F' and F'' have diameter $< 1/(n+1)$ and $\overline{F'} \cap \overline{F''} = \emptyset$.

Proof of Claim 2. Let $W = \{y \in Y : \text{every neighbourhood of } y \text{ contains a member of } \mathcal{J}\}$. It will suffice to show that W contains at least two points. Let $\mathcal{U} = \{U \subset Y : U \text{ is open in } Y, \text{ and } U \text{ contains no member of } \mathcal{J}\}$. Then $\mathcal{U} \cup W = Y$. Since Y is a separable metric space, we can write $Y - W = \bigcup \{U_n : n \in \mathbb{N}\}$, $U_n \in \mathcal{U}$. Now let $E = T(\underline{E}_1, \dots, \underline{E}_n)$, and let $\underline{E}_{n+1} = \langle E \cap W, E \cap U_1, E \cap U_2, \dots \rangle \in M(\underline{E}_1, \dots, \underline{E}_n)$. Since $E \cap U_n \notin \mathcal{J}$, we must have $T(\underline{E}_1, \dots, \underline{E}_{n+1}) = E \cap W$. Now $E \cap W \subset X$, but $\overline{E \cap W} \not\subset X$ since t is a winning strategy for Player II in $G(X, Y)$; hence $E \cap W$ is infinite. The proof is complete.

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