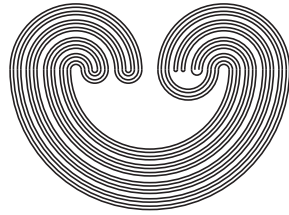


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## PERVIN NEARNESS SPACES

by

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## PERVIN NEARNESS SPACES

John W. Carlson

### Introduction

The collection of all finite open covers of a topological space generates the Pervin quasi-uniform structure for that space. All covers refined by some finite open cover forms a nearness structure for a symmetric topological space. This nearness structure will be called the Pervin nearness structure.

The Pervin nearness structure plays an interesting role in the family of all compatible nearness structures on a space. It is the smallest totally bounded structure, the smallest contigual structure, and the largest ultrafilter generated structure.

Herrlich, in [10], has shown that the completion of the contigual reflection of a  $T_1$  topological nearness space is the Wallman compactification. But the contigual reflection of a topological nearness structure is the Pervin nearness structure. Hence, for  $T_1$  spaces, the Pervin nearness structure is induced by the Wallman compactification.

A prime extension is one for which each trace filter is a prime open filter. Since the Pervin nearness structure is ultrafilter generated it follows that the Wallman compactification or the Stone-Čech compactification, if the space is normal, are prime extensions.

For a  $T_1$  space it is shown that the trace filters of the Wallman compactification are the minimal prime open

filters. Moreover, we can construct the Wallman compactification for a  $T_1$  space, or the Stone-Ćech compactification for a normal space, using the strict extension topology on the family of all minimal prime open filters.

For  $T_1$  spaces, concrete nearness structures  $\xi$  on  $X$  are induced by a strict extension  $Y$ . There is a one-to-one correspondence between the points of  $Y$  and the  $\xi$ -clusters. Balanced near collections are introduced and it is shown that there is a one-to-one correspondence between the nonempty closed subsets of  $Y$  and the balanced near collections on  $X$ . This correspondence applied to the Pervin nearness structure yields a correspondence between the nonempty closed subsets of the Wallman compactification and the balanced closed filters on  $X$ . Using this result, certain closed sets in  $\beta N$  are characterized in terms of certain filters on  $N$ .

### 1. Preliminaries

We will assume that the reader is basically familiar with the concept of a nearness space as defined by Herrlich in [9] and [10].

*Definition 1.1.* Let  $X$  be a set and  $\mu$  a collection of covers of  $X$ , called uniform covers. Then  $(X, \mu)$  is a nearness space provided:

- (N1)  $A \in \mu$  and  $A$  refines  $B$  implies  $B \in \mu$ .
- (N2)  $\{X\} \in \mu$  and  $\emptyset \notin \mu$ .
- (N3) If  $A \in \mu$  and  $B \in \mu$  then  $A \wedge B = \{A \cap B : A \in A \text{ and } B \in B\} \in \mu$ .

(N4)  $A \in \mu$  implies  $\{\text{int}(A) : A \in \mathcal{A}\} \in \mu$ . ( $\text{int}(A) = \{x : \{X - \{x\}, A\} \in \mu\}$ ).

For a given nearness space  $(X, \mu)$  the collection of sets that are "near" is given by  $\xi = \{A \subset \mathcal{P}(X) : \{X - A : A \in \mathcal{A}\} \notin \mu\}$ . The micromeric collections are given by  $A \in \gamma$  if and only if  $\{B \subset X : A \cap B \neq \emptyset \text{ for each } A \in \mathcal{A}\} \in \xi$ . The closure operator generated by a nearness space is given by  $\text{cl}_\xi A = \{x : \{\{x\}, A\} \in \xi\}$ . If we are primarily using these "near" collections we will denote the nearness space by  $(X, \xi)$ . The underlying topology of a nearness space is always symmetric; that is,  $x \in \overline{\{y\}}$  implies  $y \in \overline{\{x\}}$ .

*Definition 1.2.* Let  $(X, \xi)$  be a nearness space. The nearness space is called:

- (1) topological provided  $A \in \xi$  implies  $\overline{A} \neq \emptyset$ .
- (2) complete provided each  $\xi$ -cluster is fixed; that is,  $\overline{A} \neq \emptyset$  for each maximal element  $A$  in  $\xi$ .
- (3) concrete provided each near collection is contained in some  $\xi$ -cluster.
- (4) contigual provided  $A \notin \xi$  implies there exists a finite  $B \subset A$  such that  $B \notin \xi$ .
- (5) totally bounded provided  $A \notin \xi$  implies there exists a finite  $B \subset A$  such that  $\overline{B} = \emptyset$ .

**2. Closed Filters**

The following notation will be used in this paper.

*Definition 2.1.* Let  $\mathcal{F}$  be a closed filter in a topological space  $(X, t)$ .

- (1)  $\mathcal{G}(\mathcal{F}) = \{A \subset X : \overline{A} \in \mathcal{F}\}$ .

(2)  $O(\mathcal{F}) = \{0 \in t: \text{there exists } F \in \mathcal{F} \text{ with } F \subset 0\}$ .

(3)  $\text{sec}(\mathcal{F}) = \{A \subset X: A \text{ is closed and } A \cap F \neq \emptyset \text{ for each } F \in \mathcal{F}\}$ .

(4)  $\text{sec}^2(\mathcal{F}) = \text{sec}(\text{sec}(\mathcal{F}))$ .

(5) If  $\bar{A}$  has the finite intersection property then  $\mathcal{F}(\bar{A})$  will denote the closed filter generated by  $\bar{A}$ .

(6)  $\mathcal{F}$  is called balanced provided  $\mathcal{F} = \cap \{\mathcal{M}: \mathcal{M} \text{ is a closed ultrafilter containing } \mathcal{F}\}$ .

(7)  $\mathcal{F}$  is called rigid provided  $\mathcal{F} = \cap \{\mathcal{M}: \mathcal{M} \text{ is a fixed closed ultrafilter containing } \mathcal{F}\}$ .

(8)  $\mathcal{F}$  is called nontrivial provided  $\mathcal{F} \neq \{X\}$ .

(9)  $\mathcal{F}$  is called a sparse closed filter if for each nontrivial closed filter  $\mathcal{H}$  there exists a closed ultrafilter  $\mathcal{G}$  with  $\mathcal{H} \not\subset \mathcal{G}$  and  $\mathcal{F} \not\subset \mathcal{G}$ .

(10) A collection of closed filters  $\{\mathcal{F}_\alpha: \alpha \in I\}$  is called uniformly sparse if for each nontrivial closed filter  $\mathcal{H}$  there exists a closed ultrafilter  $\mathcal{G}$  such that  $\mathcal{H} \not\subset \mathcal{G}$  and  $\mathcal{F}_\alpha \not\subset \mathcal{G}$  for each  $\alpha \in I$ .

*Lemma 2.1.* Let  $\mathcal{F}_1$  and  $\mathcal{F}_2$  be closed filters on a topological space. Then  $\mathcal{F}_1 \subset \mathcal{F}_2$  if and only if  $\mathcal{G}(\mathcal{F}_1) \subset \mathcal{G}(\mathcal{F}_2)$ .

*Lemma 2.2.* Let  $\mathcal{F}$  be a closed filter on a topological space.

(1)  $\mathcal{F} = \cap \{\mathcal{M}: \mathcal{M} \text{ is a closed ultrafilter containing } \mathcal{F}\}$  if and only if  $\mathcal{G}(\mathcal{F}) = \cap \{\mathcal{G}(\mathcal{M}): \mathcal{M} \text{ is a closed ultrafilter containing } \mathcal{F}\}$ .

(2)  $\text{sec}(\mathcal{F}) = \cup \{\mathcal{M}: \mathcal{M} \text{ is a closed ultrafilter containing } \mathcal{F}\}$ .

(3)  $\text{sec}^2(\mathcal{F}) = \cap\{M: M \text{ is a closed ultrafilter containing } \mathcal{F}\}$ .

(4)  $\text{sec}^2(\mathcal{F})$  is a closed filter containing  $\mathcal{F}$ .

(5)  $\mathcal{F}$  is balanced if and only if  $\mathcal{F} = \text{sec}^2(\mathcal{F})$ .

(6)  $\mathcal{F}$  is rigid if and only if there exists a nonempty closed set  $A$  such that  $\mathcal{F} = \mathcal{F}(\{A\})$ .

*Lemma 2.3.* Let  $(X, \tau)$  be a topological space.

(1) If  $X$  is  $T_1$  and  $x \in X$  then  $O_x$ , the open neighborhood filter of  $x$ , is a minimal prime open filter.

(2) If  $\mathcal{F}$  is a closed ultrafilter then  $O(\mathcal{F}) = \{0 \in \tau: x-0 \notin \mathcal{F}\}$ .

(3)  $O$  is a minimal prime open filter if and only if there exists a closed ultrafilter  $\mathcal{F}$  such that  $O = O(\mathcal{F})$ .

*Proof.* To show (3), let  $O$  be a minimal prime open filter and set  $\mathcal{F} = \{F: F \text{ is closed in } X \text{ and } X-F \notin O\}$ . Then  $\mathcal{F}$  is a closed ultrafilter and  $O = O(\mathcal{F})$ . The remainder of the proof follows in a natural way.

*Lemma 2.4.* Let  $(X, \tau)$  be a  $T_1$  topological space and  $\mathcal{F}$  a closed filter on  $X$ . The following statements are equivalent.

(1)  $\mathcal{F}$  is a sparse closed filter.

(2) For each nonempty open set  $O$  there exists  $F \in \mathcal{F}$  such that  $O \not\subseteq F$ .

### 3. Pervin Nearness Structure

For a topological space  $(X, \tau)$  the collection  $\{S(0): 0 \in \tau\}$  where  $S(0) = (0 \times 0) \cup ((X-0) \times X)$ , is a subbase

for a compatible quasi-uniform structure on  $X$  called the Pervin quasi-uniform structure.

The Pervin quasi-uniform structure can also be generated as a covering quasi-uniform structure using the family of all finite open covers [7]. It is shown in [5] that the collection of all covers refined by a finite open cover is a compatible nearness structure. It is natural to call this the Pervin nearness structure.

*Definition 3.1.* Let  $(X, \tau)$  be a symmetric topological space. Let  $\mu_p$  be the family of all covers of  $X$  that are refined by a finite open cover.  $\mu_p$  is called the Pervin nearness structure on  $X$ .

For the purposes of this paper it is more convenient to work with  $\xi_p$ , the corresponding family of near collections.

*Theorem 3.1.* Let  $(X, \tau)$  be a symmetric topological space. Then:  $\xi_p = \{A \subset \mathcal{P}(X) : \bar{A} \text{ has the finite intersection property}\}$ .

From [3], we have the following result.

*Theorem 3.2.* Let  $(X, \tau)$  be a symmetric topological space. Then:

- (1)  $X$  is compact if and only if  $\xi_p$  is ultrafilter complete.
- (2)  $X$  is H-closed if and only if  $X$  is Hausdorff and  $\xi_p$  is open ultrafilter complete.

(3)  $X$  is countably compact if and only if  $\bar{F}$  has the countable intersection property for each filter  $F$  in  $\xi_p$ .

(4)  $X$  is Lindelöf if and only if every filter in  $\xi_p$  with the countable intersection property clusters.

Every contigual nearness structure is totally bounded; and it is easy to see that  $\xi_p$  is contigual. In fact, the smallest compatible totally bounded nearness structure on a symmetric topological space is  $\xi_p$  and thus equals the smallest compatible contigual nearness structure on the space.

*Theorem 3.3.* Let  $(X,t)$  be a symmetric topological space. Then:

(1)  $\xi_p$  is contigual.

(2)  $\xi_p$  is the smallest compatible contigual nearness structure on  $X$ .

(3)  $\xi_p = \cap \{ \xi : \xi \text{ is a compatible contigual nearness structure on } X \}$ .

(4)  $\xi_p = \cap \{ \xi : \xi \text{ is a compatible totally bounded nearness structure on } X \}$ .

(5)  $\xi_p$  is the smallest compatible totally bounded nearness structure on  $X$ .

(6) The Pervin nearness structure is contained in each compatible totally bounded nearness structure on  $X$ .

*Definition 3.2.* Let  $(X,t)$  be a symmetric topological space and  $\mathcal{S}$  a collection of free ultrafilters on  $X$ . Set  $\xi(\mathcal{S}) = \{ A \subset \mathcal{P}(X) : \cap \bar{A} \neq \emptyset \text{ or there exists } \mathcal{F} \in \mathcal{S} \text{ such that } \bar{A} \subset \mathcal{F} \}$ . A nearness structure  $\xi$  is called ultrafilter



generated provided there exists  $\mathcal{J}$  such that  $\xi = \xi(\mathcal{J})$ .

It is shown, in [8], that  $\xi(\mathcal{J})$  is a compatible nearness structure on  $(X, \tau)$ .

*Theorem 3.4.* *Let  $(X, \tau)$  be a symmetric topological space. Then the Pervin nearness structure is the largest compatible ultrafilter generated nearness structure on  $(X, \tau)$ .*

Thus, for a given symmetric topological space, the Pervin nearness structure is the largest ultrafilter generated nearness structure and the smallest totally bounded nearness structure compatible with  $(X, \tau)$ . Symbolically:

$$\xi_p = \text{Totally Bounded} \cap \text{Ultrafilter Generated}$$

*Corollary 3.5.* *Let  $(X, \tau)$  be a symmetric topological space. Let  $\xi_1$  be any compatible totally bounded nearness structure and  $\xi_2$  any compatible ultrafilter generated nearness structure. Then  $\xi_2 \subset \xi_1$ . That is, each compatible totally bounded nearness structure contains every compatible ultrafilter generated nearness structure.*

Since every contiguous nearness structure is concrete we have the following corollary.

*Corollary 3.6.* *Every Pervin nearness structure is concrete.*

*Theorem 3.7.* *Let  $(X, \tau)$  be a symmetric topological space. Then:*

(1)  $\xi_p = \{A \in \mathcal{P}(X) : A \in \mathcal{G}(\mathcal{F}) \text{ for some closed ultrafilter } \mathcal{F}\}$ .

(2)  $A$  is a  $\xi_p$ -cluster if and only if there exists a closed ultrafilter  $\mathcal{F}$  such that  $A = \mathcal{G}(\mathcal{F})$ .

(3)  $\gamma_p = \{A \in \mathcal{P}(X) : \text{there exists a minimal prime open filter } \mathcal{O} \text{ that corefines } A\}$ . (Note:  $\mathcal{O}$  is said to corefine  $A$  if for each  $O \in \mathcal{O}$  there exists an  $A \in \mathcal{A}$  such that  $A \subset O$ .)

**4. Extensions**

An extension  $Y$  of a space  $X$  is a space in which  $X$  is densely embedded. Unless otherwise noted, we will assume for notational convenience that  $X \subset Y$ . It is well known that for any extension  $Y$  of  $X$  there exists an equivalent extension  $Y'$  with  $X \subset Y'$ .

If  $Y$  is an extension of  $X$  then  $\xi = \{A \in \mathcal{P}(X) : \cap \text{cl}_Y A \neq \emptyset\}$  is called the nearness structure on  $X$  induced by  $Y$ .

Let  $(Y, \tau)$  be a topological space and  $\bar{X} = Y$ . For each  $y \in Y$ , set  $\mathcal{O}_y = \{O \cap X : y \in O \in \tau\}$ . Then  $\{\mathcal{O}_y : y \in Y\}$  is called the filter trace of  $Y$  on  $X$ .

$Y$  is called a prime extension of  $X$  if  $\mathcal{O}_y$  is a prime open filter for each  $y \in Y$ . Note:  $\mathcal{O}_x$  is always a prime open filter for  $x \in X$ .

Let  $\tau$ (strict) be the topology on  $Y$  generated by the base  $\{O^* : O \in \tau(X)\}$ , where  $O^* = \{y \in Y : O \in \mathcal{O}_y\}$ . Let  $\tau$ (simple) be the topology on  $Y$  generated by the base  $\{O \cup \{y\} : O \in \mathcal{O}_y, y \in Y\}$ . Then  $\tau$ (strict) and  $\tau$ (simple) are such that  $Y$  with either of these topologies is an extension of  $(X, \tau(x))$ , called a strict extension, or simple extension

of  $X$ , respectively. Note that

$$t(\text{strict}) \leq t \leq t(\text{simple}).$$

Moreover, a topology  $s$  on  $Y$  with the same filter trace as  $t$  forms an extension of  $(X, t(X))$  if and only if it satisfies the above inequality. (See Banaschewski[1].)

Herrlich's completion of a nearness space was presented in [9]. A brief description of it appears in [2] which we provide here for the convenience of the reader. Let  $(X, \xi)$  be a nearness space and let  $Y$  be the set of all  $\xi$ -clusters  $A$  with empty adherence. Set  $X^* = X \cup Y$ . For each  $A \subset X$ , define  $\text{cl}(A) = \{y \in Y : A \in y\} \cup \text{cl}_\xi A$ . A nearness structure  $\xi^*$  is defined on  $X^*$  as follows:  $\beta \in \xi^*$  provided  $\beta = \{A \subset X : \text{there exists } B \in \beta \text{ with } B \subset \text{cl}(A)\} \in \xi$ .  $(X^*, \xi^*)$  is a complete nearness space with  $\text{cl}_{\xi^*} X = X^*$ . Also, for  $A \subset X$ ,  $\text{cl}_{\xi^*} A = \text{cl}(A)$ .

The following important theorem is due to Herrlich and Bently [2].

*Theorem A. For any  $T_1$  nearness space  $(X, \xi)$  the following conditions are equivalent.*

(1)  $\xi$  is a nearness structure induced on  $X$  by a strict extension.

(2) The completion  $(X^*, \xi^*)$  of  $(X, \xi)$  is topological.

(3)  $(X, \xi)$  is concrete.

It is shown in [4] that a concrete nearness structure is ultrafilter generated if and only if it is induced by a prime strict extension. The following theorem also appears in [4].

*Theorem B.* For any  $T_1$  nearness space the following conditions are equivalent.

- (1)  $\xi$  is induced on  $X$  by a prime strict extension.
- (2) The completion  $(X^*, \xi^*)$  of  $(X, \xi)$  is topological and  $X^*$  is a prime extension of  $X$ .
- (3)  $\xi$  is concrete and ultrafilter generated.

Since the Pervin nearness structure is ultrafilter generated and concrete it follows that  $\xi_p$  is induced by a prime strict extension, provided the underlying topology is  $T_1$ . We now show that for  $T_1$  spaces the Pervin nearness structure is induced by the Wallman compactification.

Let  $(X, \xi)$  be a nearness space and set  $\xi_c = \{A \subset \mathcal{P}(X) : \text{each finite } B \subset A \text{ belongs to } \xi\}$ . The following theorem is due to Herrlich [10].

*Theorem C.* If  $(X, \xi)$  is  $T_1$  and topological then  $(X^*, \xi_c^*)$  is the Wallman compactification.

If  $\xi$  is topological then  $\xi_c = \{A \subset \mathcal{P}(X) : A \text{ has f.i.p.}\} = \xi_p$ . Thus the following theorem is an immediate consequence of Herrlich's result.

*Theorem D.* Let  $(X, t)$  be a  $T_1$  topological space. Let  $\xi_p$  be the Pervin nearness structure. Then  $(X^*, \xi_p^*)$  is the Wallman compactification of  $X$ .

Since the Wallman compactification of a normal space is the Stone-Ćech compactification we have the following corollary.

*Corollary 4.1.* Let  $(X, \tau)$  be a normal topological space and  $\xi_p$  the Pervin nearness structure. Then  $(X^*, \xi_p^*)$  is  $\beta X$ , the Stone-Ćech compactification of  $X$ .

*Corollary 4.2.* Let  $(X, \tau)$  be a  $T_1$  topological space. Then the Wallman compactification is a prime extension of  $X$ . If  $X$  is normal then  $\beta X$  is a prime extension of  $X$ .

*Corollary 4.3.* Let  $(X, \tau)$  be a  $T_1$  topological space. Then the Pervin nearness structure is the nearness structure on  $X$  induced by the Wallman compactification of  $X$ . Similarly, if  $X$  is normal then the Pervin nearness structure is the nearness structure induced by the Stone-Ćech compactification of  $X$ .

By corollary 4.3 and the results obtained for separated and regular nearness spaces obtained in [2], we have the following theorem.

*Theorem 4.4.* Let  $(X, \tau)$  be a  $T_1$  topological space. The following statements are equivalent.

- (1)  $X$  is normal.
- (2)  $\xi_p$  is separated.
- (3)  $\xi_p$  is regular.

*Theorem 4.5.* Let  $(X, \xi)$  be a  $T_1$  nearness space and  $(X^*, \xi^*)$  its completion. Then the trace filters on  $X$  are given by:

- (1)  $O_x = \{0 \in \tau(\xi) : x \in 0\}$  for  $x \in X$ , and
- (2)  $O_A = \{0 \in \tau(\xi) : x-0 \notin A\}$  for  $A \in X^*-X$ .

*Proof.* (1) follows immediately since  $X$  is a dense subspace of  $X^*$ . (2). Let  $A$  be a  $\xi$ -cluster and set  $S = \{0 \in t(\xi) : X-0 \notin A\}$ . Let  $0 \in S$ . Then  $X-0 \notin A$ . Now  $cl(X-0)$  is closed in  $X^*$  and  $A \not\subset cl(X-0)$ . Thus  $Q^* = X^* - cl(X-0)$  is open in  $X^*$  and  $0 = Q^* \cap X$  and  $A \in Q^*$ . Thus  $0 \in O_A$  and thus  $S \subset O_A$ .

Let  $0 \in O_A$ . Then there exists  $Q^*$ , open in  $X^*$ , with  $A \in Q^*$  such that  $0 = X \cap Q^*$ . Now  $cl(X-0)$  is closed in  $X^*$  but  $A \in Q^*$  and  $Q^* \cap (X-0) = \phi$ . Hence  $A \in cl(X-0)$  and thus  $X-0 \in A$ . Therefore,  $0 \in S$  and  $O_A \subset S$ .

*Theorem 4.6.* Let  $(X, t)$  be a  $T_1$  topological space and  $\xi_p$  the Pervin nearness structure. Then the trace filters generated by the completion  $(X^*, \xi_p^*)$  are precisely the minimal prime open filters on  $X$ .

*Proof.* By theorem 4.5, the trace filters for the completion are of the form:

- (1)  $O_x = \{0 \in t : x \in 0\}$ , for  $x \in X$ ; or
- (2)  $O_A = \{0 \in t : X-0 \notin A\}$  for  $A$  a  $\xi_p$ -cluster.

By lemma 2.3, each  $O_x$  is a minimal prime open filter. If  $A$  is a  $\xi_p$ -cluster then by theorem 3.7 there exists a closed ultrafilter  $\mathcal{J}$  such that  $A = \mathcal{G}(\mathcal{J})$ . Thus  $O_A = \{0 \in t : X-0 \notin \mathcal{G}(\mathcal{J})\} = \{0 \in t : X-0 \notin \mathcal{J}\}$ . Thus, by lemma 2.3,  $O_A$  is a minimal prime open filter.

Thus each  $O_x$  and  $O_A$  is a minimal prime open filter; all that remains to be shown is that if  $O$  is a minimal prime open filter then either  $O = O_x$  for some  $x \in X$  or  $O = O_A$  for some  $\xi_p$ -cluster  $A$ .

Let  $O$  be a minimal prime open filter. Then, by lemma 2.3, there exists a closed ultrafilter  $\mathcal{F}$  such that  $O = O(\mathcal{F})$ .

If  $\{x\} \in \mathcal{F}$  then  $O_x \subset O$  and, since  $O$  is a minimal prime open filter, it follows that  $O = O_x$ .

If  $\cap \mathcal{F} = \emptyset$  then  $\mathcal{G}(\mathcal{F}) \in X^* - X$ . Then  $O_{\mathcal{G}(\mathcal{F})} = \{0 \in \mathfrak{t} : x-0 \notin \mathcal{F}\} = O(\mathcal{F}) = O$ .

*Corollary 4.7. The family of minimal prime open filters is the filter trace of the Wallman compactification for a  $T_1$  space; and correspondingly, the filter trace of the Stone-Čech compactification for a normal space.*

Let  $(X, \mathfrak{t})$  be a topological space and  $Y'$  a collection of open filters on  $X$  containing the open neighborhood filters. For  $0 \in \mathfrak{t}$ , let  $0^* = \{0 \in Y' : 0 \in O\}$ . Let  $\mathfrak{t}'$  be the topology on  $Y'$  generated by the base  $\{0^* : 0 \in \mathfrak{t}\}$ . Then, by Banaschewski [1],  $(Y', \mathfrak{t}')$  is a strict extension of  $X$  where the embedding map  $e: X \rightarrow Y'$  is given by  $e(x) = O_x$ , the open neighborhood filter of  $x$ .

Many well known extensions can be constructed in this manner. The following examples, among others, are given in [1].

(1) If  $(X, \mathfrak{t})$  is completely regular and  $Y'$  is the family of all maximal completely regular open filters then  $(Y', \mathfrak{t}')$  is the Stone-Čech compactification.

(2) If  $(X, \mathfrak{t})$  is Hausdorff and  $Y'$  is the family of all open ultrafilters then  $(Y', \mathfrak{t}')$  is the Fomin H-closed extension of  $X$ .

(3) If  $(X, \mathfrak{t})$  is a locally compact, non-compact Hausdorff space and  $Y'$  is the collection of all open neighborhood

filters together with the filter of open sets with compact complements then  $(Y', t')$  is the 1-point Alexandroff compactification of  $X$ .

By theorems D and 4.6, we have that the Wallman compactification and the Stone-Čech compactification for normal spaces can be constructed in this manner, using the family of all minimal prime open filters.

*Theorem 4.8.* Let  $(X, t)$  be a  $T_1$  topological space and let  $Y'$  be the family of all minimal prime open filters on  $X$ . Then:

(1)  $(Y', t')$  is the Wallman compactification of  $X$ .

(2) If  $(X, t)$  is normal then  $(Y', t')$  is the Stone-Čech compactification of  $X$ .

In [6], nearness spaces whose completions were second category or Baire topological spaces were characterized. In order to relate these results to the Pervin nearness structure we state a definition and two theorems from [6].

*Definition 4.1.* Let  $(X, \xi)$  be a nearness space. Let  $S \subset \mathcal{P}(X)$ . Then  $S$  is called a sparse near collection if  $S \in \xi$  and for each  $\beta \in \xi$ , such that  $\beta$  is not contained in each  $\xi$ -cluster, then there exists a  $\xi$ -cluster  $A$  such that  $\beta \not\subset A$  and  $S \not\subset A$ .

Let  $\{S_\alpha : \alpha \in I\} \subset \xi$ . Then  $\{S_\alpha : \alpha \in I\}$  is called a uniformly sparse family if for each  $\beta \in \xi$ , such that  $\beta$  is not contained in each  $\xi$ -cluster, then there exists a  $\xi$ -cluster  $A$  such that  $\beta \not\subset A$  and  $S_\alpha \not\subset A$  for each  $\alpha \in I$ .



Easily each member of a uniformly sparse family is itself a sparse near collection.

*Theorem 4.9* (Carlson [6]). *Let  $(X, \xi)$  be a  $T_1$  nearness space. The following statements are equivalent.*

(1)  $\xi$  is a nearness structure induced on  $X$  by a second category  $T_1$  strict extension.

(2) The completion  $(X^*, \xi^*)$  of  $(X, \xi)$  is topological and second category.

(3)  $(X, \xi)$  is concrete and for each countable collection  $\{S_i : i \in \mathbb{N}\}$  of sparse near collections there exists a  $\xi$ -cluster  $A$  such that  $S_i \cup A \notin \xi$  for each  $i \in \mathbb{N}$ .

*Theorem 4.10* (Carlson [6]). *Let  $(X, \xi)$  be a  $T_1$  nearness space. The following statements are equivalent.*

(1)  $\xi$  is a nearness structure induced on  $X$  by a strict  $T_1$  Baire extension.

(2) The completion  $(X^*, \xi^*)$  of  $(X, \xi)$  is topological and a Baire space.

(3)  $(X, \xi)$  is concrete and each countable family of sparse near collections is uniformly sparse.

*Theorem 4.11.* *Let  $(X, \tau)$  be a  $T_1$  topological space and  $\xi_p$  the Pervin nearness structure on  $X$ . Let  $A \in \xi_p$ .*

(1)  $A$  is not contained in each  $\xi_p$ -cluster if and only if  $\mathcal{F} = \mathcal{F}(\overline{A})$  is not the trivial closed filter.

(2)  $A$  is a sparse near collection if and only if  $\mathcal{F} = \mathcal{F}(\overline{A})$  is a sparse closed filter.

*Proof.* (1) follows from the fact that  $A$  is contained in each  $\xi_p$ -cluster if and only if each  $A \in \mathcal{A}$  is dense in  $X$ .

(2) Suppose  $A$  is a sparce near collection. Then  $\mathcal{F} = \mathcal{F}(\overline{A})$  is a closed filter. Let  $H$  be a nontrivial closed filter. Then  $H \in \xi_p$  and  $H$  is not contained in each  $\xi_p$ -cluster. Since  $A$  is a sparce near collection there exists a  $\xi_p$ -cluster  $\beta$  such that  $A \not\subseteq \beta$  and  $H \not\subseteq \beta$ . Now there exists a closed ultrafilter  $\mathcal{G}$  such that  $\beta = \{B \subset X: \overline{B} \in \mathcal{G}\}$ . Easily  $\mathcal{F} \not\subseteq \mathcal{G}$  and  $H \not\subseteq \mathcal{G}$ . Therefore,  $\mathcal{F}$  is a sparce closed filter.

Now suppose  $\mathcal{F} = \mathcal{F}(A)$  is a sparce closed filter. Let  $H \in \xi_p$  and  $H$  not contained in each  $\xi_p$ -cluster. Then  $\mathcal{M} = \mathcal{F}(\overline{H})$  is a nontrivial closed filter. Since  $\mathcal{F}$  is a sparce closed filter there exists a closed ultrafilter  $\mathcal{G}$  such that  $\mathcal{F} \not\subseteq \mathcal{G}$  and  $\mathcal{M} \not\subseteq \mathcal{G}$ . Let  $\beta = \{B \subset X: \overline{B} \in \mathcal{G}\}$ . Then  $\beta$  is a  $\xi_p$ -cluster and  $\mathcal{M} \not\subseteq \beta$  and  $A \not\subseteq \beta$ . Hence  $A$  is a sparce near collection.

*Theorem 4.12. Let  $(X, \tau)$  be a  $T_1$  topological space. The following statements are equivalent.*

(1) *The Wallman compactification of  $X$  is second category.*

(2) *For each countable collection  $\{\mathcal{F}_i: i \in \mathbb{N}\}$  of sparce closed filters there exists a closed ultrafilter  $\mathcal{G}$  such that  $\mathcal{F}_i \not\subseteq \mathcal{G}$  for each  $i \in \mathbb{N}$ .*

*Proof.* Let  $\xi_p$  denote the Pervin nearness structure on  $X$ . Then  $(X^*, \xi_p^*)$  is the Wallman compactification of  $X$  and the result holds by theorems 4.9 and 4.11.

*Theorem 4.13. Let  $(X, \tau)$  be a  $T_1$  topological space. The following statements are equivalent.*

(1) *The Wallman compactification of  $X$  is a Baire space.*

(2) *Each countable family of sparse closed filters is uniformly sparse.*

*Proof.* Let  $\xi_p$  denote the Pervin nearness structure on  $X$ . Then  $(X^*, \xi_p^*)$  is the Wallman compactification of  $X$  and the result holds by theorems 4.10 and 4.11.

*Corollary 4.14.* *Let  $(X, \tau)$  be a normal topological space. Then each countable family of sparse closed filters is uniformly sparse.*

*Proof.* This follows immediately from the fact that each compact Hausdorff space is a Baire space.

## 5. Balanced Near Collections

*Definition 5.1.* Let  $(X, \xi)$  be a nearness space. Let  $A \subset \mathcal{P}(X)$  and let  $c(A)$  denote the set of all  $\xi$ -clusters that contain  $A$ . Let  $\rho(A)$  denote the set of all the fixed  $\xi$ -clusters that contain  $A$ . Set  $b(A) = \cap c(A)$ .  $A$  is said to be a balanced near collection provided  $A \in \xi$  and  $A = \cap c(A)$  and  $A$  is called a rigid near collection provided  $A \in \xi$  and  $A = \cap \rho(A)$ .

*Theorem 5.1.* *Let  $(X, \xi)$  be a concrete nearness space and  $A \in \xi$ .*

(1)  $A \subset \cap c(A)$ .

(2)  $b(A)$  is the smallest balanced near collection containing  $A$ .

(3) Each  $\xi$ -cluster is a balanced near collection.

(4) If  $\eta$  is a nonempty collection of  $\xi$ -clusters then  $\cap \eta$  is a balanced near collection.

(5) If  $A$  is a rigid near collection then  $A$  is a balanced near collection.

Let  $Y$  be a strict  $T_1$  extension of  $X$  and  $\xi$  the nearness structure on  $X$  induced by  $Y$ . For  $y \in Y$ , let  $A_y = \{A \subset X: y \in \text{cl}_Y A\}$ . Then  $\{y\} = \cap \text{cl}_Y A_y$  and each  $\xi$ -cluster is of the form  $A_y$  for some  $y \in Y$ .

For  $\phi \neq F \subset Y$ , let  $\mathcal{J}(F) = \{A \subset X: F \subset \text{cl}_Y A\}$ . We shall see that the balanced near collections are precisely of this form. Since the extension is strict it follows that  $\text{cl}_Y F = \cap \text{cl}_Y \mathcal{J}(F)$ .

*Theorem 5.2.* Let  $Y$  be a  $T_1$  strict extension of  $X$ . Set  $\xi = \{A \subset \mathcal{P}(X): \cap \text{cl}_Y A \neq \phi\}$ .

(1) If  $\phi \neq F \subset Y$  then  $c(\mathcal{J}(F)) = \{A_y: y \in \text{cl}_Y F\}$  and  $\mathcal{J}(F)$  is a balanced near collection.

(2) If  $A$  is a balanced near collection then there exists a closed set  $F$  in  $Y$  such that  $A = \mathcal{J}(F)$ .

(3) If  $\phi \neq F \subset Y$  then  $\mathcal{J}(F) = \mathcal{J}(\text{cl}_Y F)$ .

(4) If  $F$  and  $E$  are nonempty subsets of  $Y$  then  $\mathcal{J}(F \cup E) = \mathcal{J}(F) \cap \mathcal{J}(E)$ .

(5) There exists a one-to-one correspondence between the nonempty closed subsets of  $Y$  and the balanced near collections.

*Theorem 5.3.* Let  $Y$  be a strict  $T_1$  extension of  $X$  and  $\xi$  the nearness structure on  $X$  induced by  $Y$ . Let  $A \subset \mathcal{P}(X)$ .

- (1) If  $T = \{x: A_x \in \rho(A)\}$  then  $T = \text{ncl}_X A$ .
- (2)  $A$  is a rigid near collection if and only if there exists a nonempty closed set  $T$  in  $X$  such that  $A = \{A \subset X: T \subset \text{cl}_X A\}$ .
- (3)  $A$  is a rigid near collection if and only if
- (A)  $A$  is a balanced near collection, and
  - (B)  $\text{cl}_Y(\text{ncl}_X A) = \text{ncl}_Y A$ .
- (4) Let  $F$  be a nonempty closed subset of  $Y$ . The following statements are equivalent.
- (A)  $F = \text{cl}_Y(F \cap X)$
  - (B) There exists a unique closed subset of  $X$ , say  $G$ , such that  $F = \text{cl}_Y G$ .
  - (C)  $\mathcal{J}(F)$  is a rigid near collection.

We now characterize the balanced near collections in a Pervin nearness space.

*Theorem 5.4.* Let  $(X, \tau)$  be a  $T_1$  topological space and  $\xi_p$  the Pervin nearness structure on  $X$ . Let  $A \subset \mathcal{P}(X)$ .

(1)  $A$  is a balanced near collection in  $\xi_p$  if and only if there exists a balanced closed filter  $\mathcal{F}$  such that  $A = \mathcal{G}(\mathcal{F})$ .

(2) There exists a one-to-one correspondence between the nonempty closed sets in  $(X^*, \xi_p^*)$  and the balanced closed filters in  $X$ . Moreover; each nonempty closed set  $F^* \subset X^*$  is of the form  $F^* = \text{ncl}_{X^*} \mathcal{F} = \{\mathcal{G}(\mathcal{M}): \mathcal{M} \text{ is a closed ultra-filter containing } \mathcal{F}\}$ , where  $\mathcal{F}$  is a balanced closed filter on  $X$ .

(3)  $A$  is a rigid near collection in  $\xi_p$  if and only if there exists a rigid closed filter  $\mathcal{F}$  such that  $A = \mathcal{G}(\mathcal{F})$ .

*Corollary 5.5.* Let  $(X, \tau)$  be a  $T_1$  (Normal) topological space and  $\omega X$  its Wallman compactification ( $\beta X$  its Stone-Ćech compactification). Then there exists a one-to-one correspondence between the nonempty closed sets in  $\omega X$  ( $\beta X$ ) and the balanced closed filters in  $X$ . Specifically, if  $F$  is a nonempty closed set in  $\omega X$  ( $\beta X$ ) then there exists a unique balanced closed filter  $\mathcal{F}$  such that  $F = \bigcap \text{cl}_{\omega X} \mathcal{F}$  ( $F = \bigcap \text{cl}_{\beta X} \mathcal{F}$ ).

## 6. $\beta N$

Let  $N$  denote the natural numbers with the discrete topology and let  $\xi_p$  denote the Pervin nearness structure on  $N$ . Since  $N$  is normal,  $(N^*, \xi_p^*) \cong \beta N$ . Now the points of  $\beta N$  are the  $\xi_p$ -clusters; that is, they are of the form  $\mathcal{G}(\mathcal{M})$ , where  $\mathcal{M}$  is an ultrafilter. Since the topology is discrete it follows that  $\mathcal{G}(\mathcal{M}) = \mathcal{M}$ . (Throughout this section,  $\mathcal{M}$  will denote an ultrafilter on  $N$ .)

Thus, the points of  $\beta N$  are simply the ultrafilters on  $N$ . Since  $N^*$  is a strict extension of  $N$  it follows that the sets of the form  $\text{cl}_{N^*} E$ , for  $E \subset N$ , form a base for the closed sets of  $\beta N$ .

Now,  $\text{cl}_{N^*} E = \{\mathcal{M} : E \in \mathcal{M}\}$ , which we will call  $V(E)$ . This agrees with a standard construction of  $\beta N$ ; see for example, M. E. Rudin [11]. It is also known that the open-closed subsets of  $\beta N$  are of the form  $V(E)$  for some  $E \subset N$ . Moreover;  $\{V(E) : E \subset N\}$  is a base for the open sets and a base for the closed sets in  $\beta N$ .

*Lemma 6.1.* Every filter on  $N$  is a balanced closed filter.

By corollary 5.6, there exists a one-to-one correspondence between the nonempty closed subsets of  $(N^*, \xi_p^*)$  and the balanced closed filters on  $(N, \xi_p)$ . In light of lemma 6.1, we have that there exists a one-to-one correspondence between the nonempty closed subsets of  $\beta N$  and the filters on  $N$ . The following theorem provides a characterization of the nonempty closed subsets of  $\beta N$ .

*Theorem 6.2.* Let  $\emptyset \neq F \subset \beta N$ .  $F$  is closed if and only if there exists a filter  $\mathcal{F}$  on  $N$  such that  $F = \{M \in \beta N: \mathcal{F} \subset M\}$ .

*Proof.* If  $F$  is closed and nonempty in  $\beta N = N^*$  then  $F = \text{nccl}_{N^*} S(F)$ . By theorem 5.2,  $S(F)$  is a balanced near collection and by theorem 5.4 and lemma 6.1 there exists a filter  $\mathcal{F}$  on  $N$  such that  $S(F) = \mathcal{G}(\mathcal{F}) = \mathcal{F}$ . Now, for  $F \subset N$ ,  $\text{cl}_{N^*} F = \{M: F \in M\}$ . Hence  $F = \text{nccl}_{N^*} S(F) = \text{nccl}_{N^*} \mathcal{F} = \{M: \mathcal{F} \subset M\}$ .

On the other hand, if  $\mathcal{F}$  is a filter on  $N$ , then  $F = \text{nccl}_{N^*} \mathcal{F}$  is a closed set in  $N^* = \beta N$ .

*Claim.*  $F = \{M: \mathcal{F} \subset M\}$ . Suppose  $M \in F = \text{nccl}_{N^*} \mathcal{F}$ . Then  $A \in M$  for each  $A \in \mathcal{F}$ . That is,  $\mathcal{F} \subset M$ . Conversely, if  $\mathcal{F} \subset M$  then  $M \in \text{cl}_{N^*} A$  for each  $A \in \mathcal{F}$ . Thus  $M \in \text{nccl}_{N^*} \mathcal{F} = F$ .

*Corollary 6.3.* If  $O$  is an open subset of  $\beta N$  and  $O \neq \beta N$ , then there exists a filter  $\mathcal{F}$  on  $N$  such that  $O = \{M: \mathcal{F} \not\subset M\}$ .

*Corollary 6.4.* If  $A$  is a nontrivial open-closed subset of  $\beta N$  then there exists filters  $\mathcal{F}$  and  $\mathcal{H}$  on  $\beta N$  such that  $A = \{M: \mathcal{F} \subset M\} = \{M: \mathcal{H} \not\subset M\}$ .

Thus, if  $A$  is a nontrivial open-closed subset of  $\beta N$ , there must exist a pair of filters  $\mathcal{F}$  and  $\mathcal{H}$  on  $N$  such that each ultrafilter on  $N$  contains one and only one of these filters. It is easy to show that the only possibility is for  $\mathcal{F} = \{E \subset N: S \subset E\}$  and  $\mathcal{H} = \{E \subset N: N-S \subset E\}$  for some  $\emptyset \neq S \neq N$ . Thus, the nontrivial open-closed subsets of  $\beta N$  are simply the  $V(S) = \{\mathcal{M}: S \in \mathcal{M}\}$  as stated in [11].

*Theorem 6.5. There exists a natural one-to-one correspondence between the following classes of filters on  $N$  and the respective special nonempty closed subsets of  $\beta N$ .*

$N$	$\beta N$
(1) ultrafilters	points
(2) filters	closed sets
(3) $\mathcal{F}(A)$ filters	open-closed sets
(4) filters with countable base	closed $G_\delta$ sets
(5) filters with countable base	zero sets

*Proof.* The correspondence is as discussed; namely,  $F = \{\mathcal{M}: \mathcal{F} \subset \mathcal{M}\}$  where  $F$  is a closed set in  $\beta N$  and  $\mathcal{F}$  is a filter on  $N$ . The correspondences for (1), (2) and (3) have already been noted.

*Proof of (4) and (5).* In a normal space, a subset  $F$  is a closed  $G_\delta$  set if and only if it is a zero set.

Let  $F$  be a nonempty zero set in  $\beta N$ . From (6E) in [8], we have that every zero set in  $\beta X$  is a countable intersection of sets of the form  $cl_{\beta X} Z$  where  $Z$  is a zero set in  $X$ . Since every subset of  $N$  is a zero set in  $N$  it follows that  $F = \bigcap \{cl_{\beta N} F_i: F_i \subset N \text{ and } i \in N\}$ . Since  $F$  is nonempty, it follows that there exists an ultrafilter containing



$\{F_i: i \in \mathbb{N}\}$ . Hence the  $F_i$  have the f.i.p. and we let  $\mathcal{F}$  denote the filter generated by these sets. Then  $\mathcal{F}$  has a countable base and moreover  $F = \{M: \mathcal{F} \subset M\}$ .

Now let  $\mathcal{J}$  be a filter with a countable base, say  $\{G_i: i \in \mathbb{N}\}$ , and  $F = \{M: \mathcal{J} \subset M\}$ . Then  $F = \bigcap \{cl_{\beta\mathbb{N}} G_i: i \in \mathbb{N}\}$  and hence  $F$  is a zero set in  $\beta\mathbb{N}$ .

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