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by

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## NON-EXISTENCE OF UNIVERSAL SPACES FOR SOME STRATIFIABLE SPACES

Kôichi Tsuda

### 1. Introduction

One of the remarkable properties of metric spaces is that there are universal spaces with a given weight (for example, the Baire's 0-dimensional spaces and generalized Hilbert spaces). The purpose of this paper is to show that there are none for some stratifiable spaces.

*Theorem 1. There are no universal spaces for the following subclasses of stratifiable spaces with a given network weight  $\alpha \geq \omega$ ;*

- (1)  $\sigma$ -discrete stratifiable spaces,
- (2)  $M_0$ -spaces in the sense of [6],
- (3) stratifiable  $\mu$ -spaces [11],
- (4) stratifiable spaces with encircling nets [15],
- (5) (strongly zero-dimensional) stratifiable spaces,
- (6) (strongly zero-dimensional) L-spaces in the sense of [13],
- (7) (strongly zero-dimensional) free L-spaces [14].

When we use a given weight instead of a given network weight, we have a similar result:

*Corollary 1. For any given infinite cardinal number  $\alpha$  there exists a cardinal number  $\beta > \alpha$  such that there exist no universal spaces for the above classes (1) ~ (7) with a given weight  $\beta$ .*

When we consider more restricted subclasses, contained in Lasnev spaces or Nagata spaces, we also have a similar result:

*Theorem 2. There are no universal spaces for either countable Lasnev spaces or first-countable, separable, strongly zero-dimensional stratifiable spaces.*

*Remark 1.* We have universal spaces for several subclasses of *metric* spaces. In particular, we have:

*Theorem 3 [12]. There exists a universal space for  $\sigma$ -discrete metric spaces with a given weight.*

In this paper all spaces are assumed to be regular  $T_1$ .  $w(X)$  and  $nw(X)$  denote the weight of  $X$  and the network weight of  $X$ , respectively. A cardinal number is an initial ordinal number, and an ordinal number is the set of its predecessors.

## 2. Proofs of Theorems

We start with the following proposition.

*Proposition 1. For every infinite cardinal number  $\alpha$  there exists a family  $S$  of cardinality  $2^{2^\alpha}$ , none of whose members are homeomorphic to each other, and which consists of  $\sigma$ -discrete stratifiable spaces  $S$  with  $|S| = nw(S) = \alpha$  and  $w(S) \leq 2^\alpha$ .*

*Proof.* Let  $\{\alpha_i\}$  be countable disjoint copies of  $\alpha$ . Then, for each ultrafilter  $p$  on  $\alpha$ , let  $S_p$  be the space  $\alpha_0 \cup \{p\}$ , where  $\alpha_0 = \bigcup \{\alpha_i\}$ , with the following topology  $\tau_p$ .

(i)  $U \in \tau_p$  if and only if  $p \notin U$ , or  $p \in U$  and there exist an  $n \in \omega$  and an  $F \in p$  such that  $U \cap \alpha_i \supset F$  for every  $i \geq n$ .

Then, since each  $\alpha_i$  is a clopen discrete subspace of  $S_p$ , it is readily seen that  $S_p$  is a  $\sigma$ -discrete stratifiable space and that  $|S_p| = nw(S_p) = \alpha$  and  $w(S_p) \leq 2^\alpha$ . Next, we shall show that

(ii) the family of all  $S_q$ 's which are homeomorphic to a fixed  $S_p$  is of the cardinality at most  $2^\alpha$ .

Let  $S_q$  and  $S_r$  be homeomorphic to a fixed  $S_p$ . Then, take any homeomorphisms  $h_q: S_p \rightarrow S_q$  and  $h_r: S_p \rightarrow S_r$ . To show (ii) it suffices to show that

(iii)  $h_q|_{\alpha_0} \neq h_r|_{\alpha_0}$  if  $q \neq r$ .

On the contrary, assume that there exists a permutation  $h: \alpha_0 \rightarrow \alpha_0$  such that  $h = h_q|_{\alpha_0} = h_r|_{\alpha_0}$ . Since  $q \neq r$ , we can assume without loss of generality that there exists an  $F \in q \setminus r$ . Put

$$F_0 = \bigcup_{i=1}^{\infty} F_i, \text{ where each } F_i \text{ is a copy of } F \text{ in } \alpha_i,$$

and put

$$U_0 = \{q\} \cup F_0.$$

Then,  $U_0$  is a neighborhood of  $q$  by the definition (i) of  $\tau_q$ . Hence,  $h_r h_q^{-1}(U_0)$  is a neighborhood of  $r$ , and by the definition (i) there exist an  $n \in \omega$  and a  $G \in r$  such that  $G \subset \alpha_i \cap h_r h_q^{-1}(U_0)$  for  $i \geq n$ . Hence,  $F_n \supset G$ , since  $\alpha_n \cap h_r h_q^{-1}(U_0) = \alpha_n \cap h h^{-1}(U_0) = F_n$ . Since  $F_n$  is a copy of  $F$  and  $r$  is an ultrafilter, we have  $F \in r$ . That contradiction shows that (iii) holds. Since (ii) holds and there exist  $2^{2^\alpha}$  many ultrafilters on  $\alpha$  [3, Theorem 3.6.11], there

exists a family  $\mathcal{S} = \{S_p : p \in \Lambda\}$ , with  $|\Lambda| = 2^{2^\alpha}$ , none of whose members are homeomorphic to each other. Thus, our family  $\mathcal{S}$  is the required one.

*Remark 2.* For the case  $\alpha = \omega$  we can show the above proposition much easier, using subspaces  $\omega \cup \{p\}$  of the Stone-Čech compactification  $\beta\omega$  (cf. [13 and 16]).

*Proofs of Theorem 1 and Corollary 1.* The proofs for all the cases of Theorem 1 and Corollary 1 are derived simultaneously from the following observation, since every class (i+1) contains the preceding class (i) except  $i = 5$ , the class (3) contains the class (7), and the collection  $\mathcal{S}$  in Proposition 1 consists of  $\sigma$ -discrete L-spaces (cf. [4, 5, 7, 8, 9, 11, 13, 14, and 15]).

Let us denote by  $[A]^\kappa$  the family consisting of all subsets of a set  $A$  having cardinality  $\kappa$ . If  $\kappa$  and  $\lambda$  are infinite and  $\kappa \leq \lambda$ , then  $|[\lambda]^\kappa| = \lambda^\kappa$ . It follows that if  $X$  is a stratifiable space (more generally, a paracompact  $\sigma$ -space) with  $\text{nw}(X) \leq 2^\kappa$ , where  $\kappa$  is infinite, then  $|X| \leq |[2^\kappa]^\omega| = |(2^\kappa)^\omega| = 2^\kappa$ ; consequently,  $|[X]^\kappa| \leq 2^\kappa$ , and we see that  $X$  cannot contain copies of all the spaces in Proposition 1, with  $\alpha = \kappa$ .

*Proof of Theorem 2.* It suffices to show that there exists a family  $\mathcal{J}$  (respectively,  $\mathcal{U}$ ) of cardinality  $2^c$ , where  $c = 2^\omega$ , none of whose members are homeomorphic to each other, and which consists of countable Lasnev spaces (respectively,  $\sigma$ -compact, first-countable, separable, strongly zero-dimensional stratifiable spaces).

Let  $C$  be the Cantor set in the real line with usual Euclidean topology, and let  $0 \in C$ . Take a countable dense subset  $D$  of  $C$ , and let  $\{x_n\} \subset C$  be a sequence converging to  $0$ . At first, we show the existence of  $\mathcal{J}$ . Put

$$F = \{0\} \times C, \text{ and } A = \{(x_n, d_k) : k \leq n, \text{ and } n \in \omega\},$$

where  $D = \{d_k : k \in \omega\}$ . Then,  $A$  is a countable discrete subset in  $C^2$  with  $\text{cl}(A) \supset F$ . For every subset  $T \subset F$  let

$$Y_T = T \cup A.$$

Take a point  $p_T \notin A$ , and let  $X_T = \{p_T\} \cup A$ . Define a function  $\phi_T : Y_T \rightarrow X_T$  as  $\phi_T(T) = p_T$  and  $\phi_T(a) = a$  for every  $a \in A$ . We topologize the set  $X_T$  as

$$U \text{ is open in } X_T \text{ if } \phi_T^{-1}(U) \text{ is open in } Y_T.$$

By the definition of  $\phi_T$ ,  $\phi_T$  is a closed map between  $Y_T$  and  $X_T$ . Thus, each  $X_T$  is a countable Lasnev space. By the proof of Proposition 1, one can show that there exists a family  $\mathcal{J} = \{X_T : T \in \mathcal{J}\}$ , with  $|\mathcal{J}| = 2^C$ , none of whose members are homeomorphic to each other. Next, we show the existence of  $\mathcal{U}$ . We shall modify the examples constructed in [2]. Let  $\mathcal{J}$  be a free ultrafilter on  $\omega$ . Then, enumerate the family of all elements of  $\mathcal{J}$  as  $\{F_s : s \in C\}$ , and for each  $s \in C$  and  $m \in \omega$  choose a  $q_s(m) \in D$  such that  $0 < |s - q_s(m)| < 1/m$ , and put

$$D_s = \{q_s(m) : m \in \omega\}, \text{ and } E_s = \{x_n : n \in F_s\}.$$

We topologize the set

$$\Delta_{\mathcal{J}} = (C \times \{0\}) \cup (D \times \{x_n : n \in \omega\})$$

as follows. Points of  $D \times \{x_n : n \in \omega\}$  are isolated, and basic neighborhoods of a point  $(s, 0) \in C \times \{0\}$  have the form

$$B_m(s) = \{(x, y) \in \Delta_{\mathcal{J}} : |s - x| < 1/m\} \setminus (D_s \times E_s \cup \{s\} \times \{x_n : n \in \omega\})$$

for  $m \in \omega$ . Then, it is known [2] that each  $\Delta_J$  is first-countable  $\sigma$ -compact, strongly zero-dimensional, cosmic. We can show without difficulty that each  $\Delta_J$  is stratifiable. Here, we show moreover that it admits a free L-structure.

Let  $\mathcal{V} = \{V_i : i \in \omega\}$  be a countable clopen base of  $C$ . Put

$$L_0 = C \times \{0\}, L_{2i} = V_i \times C, \text{ and } L_{2i+1} = \{u_i\},$$

where  $\Delta_J \setminus L_0 = \{u_i : i \in \omega\}$ . Put

$$\mathcal{U}_{L_0} = \{\{u\} : u \notin L_0\}, \text{ and } \mathcal{U}_{L_i} = \{\Delta_J \setminus L_i\}$$

for each  $L_i \neq L_0$ . Then, one can easily check that

$(\mathcal{L} = \{L_i : i \in \omega\}, \mathcal{U}_L : L \in \mathcal{L})$  is a free L-structure of  $\Delta_J$ .

Again, by the proof of Proposition 1, we can show that there exists a family  $\mathcal{U} = \{\Delta_J : J \in \Lambda\}$ , with  $|\Lambda| = 2^c$ , none of whose members are homeomorphic to each other. That completes the proof of Theorem 2.

Professor Junnila [10] has kindly communicated to the author the following problem as well as the reference [12] of Theorem 3.

*Problem.* Does there exist a universal space for closed images of countable metrizable spaces?

*Added in Proof.* By the proof of Theorem 2 it follows that there are no universal spaces for separable Lasnev spaces.

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