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## SUBREGULAR REFINABILITY AND SUBPARACOMPACTNESS

by

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## SUBREGULAR REFINABILITY AND SUBPARACOMPACTNESS

H. H. Wicke and J. M. Worrell, Jr.

### 1. Introduction

We introduce and study the concepts of subregular refinability<sup>1</sup> and sub- $\kappa$ -regular refinability. These generalize regular refinability [A] and  $\kappa$ -regular refinability [W]. The first of these is involved in the classical Alexandroff-Urysohn metrization theorem [AU] of which the following theorem is a slight variation.

*1.1 Theorem. A space is metrizable if and only if it is a Moore space, i.e., a  $T_3$  developable [B] space, which is regularly refinable.*

Worrell [W] proved the following theorem.

*1.2 Theorem. A space is regular and paracompact if and only if it is essentially  $T_1$ <sup>2</sup> and  $\omega$ -regularly refinable.*

In this paper we replace the open refinements entering into the definitions of regular and  $\kappa$ -regular refinability by sequences of open refinements in a natural way illustrated by the relation between full normality and one of the equivalent forms of subparacompactness ([B], Theorem 3.1(v)) or

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<sup>1</sup>Definitions are given in Section 2.

<sup>2</sup>A space  $X$  is essentially  $T_1$  [WW] if and only if for all  $x, y \in X$ , if  $x \in \{\bar{y}\}$  then  $y \in \{\bar{x}\}$ . Regular spaces have the property and normal spaces with the property are regular.

that between metacompactness and  $\theta$ -refinability [WW] (= submetacompactness). These concepts permit us to obtain Theorem 1.3, a natural analogue of Theorem 1.2, and Theorem 1.4 which is related to Theorem 1.1.

*1.3 Theorem. A regular space is subparacompact if and only if it is sub- $\omega$ -regularly refinable.*

*1.4 Theorem. A regular space is a Moore space if and only if it is sub- $\omega$ -regularly refinable and has a base of countable order.<sup>3</sup>*

The next two theorems are variations of Theorems 1.4 and 1.2, respectively.

*1.5 Theorem. A regular space is a Moore space if and only if it is subregularly refinable, submetaLindelöf, and has a base of countable order.*

*1.6 Theorem. A space is regular paracompact if and only if it is essentially  $T_1$ , collectionwise normal and sub- $\omega$ -regularly refinable.*

As a corollary to this we have a metrization theorem.

*1.7 Theorem. A space is metrizable if and only if it is  $T_1$  collectionwise normal, subregularly refinable, submetaLindelöf and has a base of countable order.*

These theorems provide some evidence that the "sub" concepts introduced here have a natural place in the theory

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<sup>3</sup>For a definition see [WW].

of previously defined concepts such as subparacompactness, submetacompactness ( $\theta$ -refinability) and submetaLindelöfness ( $\delta\theta$ -refinability).

The characterization of subparacompactness given in Theorem 1.3 is deduced from Theorem 3.1 below which states that in a sub- $\kappa$ -regularly refinable space every open cover has a  $\kappa$ -discrete refinement (where  $\kappa \geq \omega$ ).

Section 2 of the paper contains definitions and a lemma; section 3 presents the proof of Theorem 3.1. Section 4 contains a general theorem on subparacompactness which includes the Theorem 1.3. We also give the proofs of the other new results of the introduction in section 4. In section 5 we list some relevant examples. Definitions of other covering properties not in section 2 can be found in [Bu]. We use ZFC set theory in the notation of [Mo]. Topological terminology used is standard; we are not assuming that the concepts of regularity or collectionwise normality imply  $T_1$ , however.

## 2. Subregular Refinability and Related Concepts

In this section we give the definitions of the two basic concepts involved in the results and prove a lemma. If  $\mathcal{U}$  and  $\mathcal{V}$  are covers of a set  $X$ , the collection  $\mathcal{V}$  is called a *refinement* of  $\mathcal{U}$  if for every  $V \in \mathcal{V}$  there is some  $U \in \mathcal{U}$  such that  $V \subseteq U$ . If  $\mathcal{V}$  is not required to cover  $X$ , then we say that  $\mathcal{V}$  *partially refines*  $\mathcal{U}$ . We use  $\kappa$  to denote a cardinal number such that  $\kappa \geq 1$ . The reference [Bu] can be used for definitions not found here.

**2.1 Definitions [W].** Let  $\mathcal{H}$  and  $\mathcal{K}$  be collections of sets. The collection  $\mathcal{K}$  is said to be  $(\kappa, 1)$ -regularly  $((\kappa, 2)$ -regularly) inscribed in  $\mathcal{H}$  at  $p$  if and only if  $p \in \cup \mathcal{K}$  and there exists  $\mathcal{W} \subseteq \mathcal{H}$  such that  $|\mathcal{W}| \leq \kappa$  and if  $U, V \in \mathcal{K}$  and  $p \in U \cap V$  ( $U, V \in \mathcal{K}$ ,  $U \cap V \neq \emptyset$ , and  $p \in U$ ), then there is  $W \in \mathcal{W}$  such that  $U \cup V \subseteq W$ .

The collection  $\mathcal{K}$  is said to be *regularly inscribed in  $\mathcal{H}$  at  $p$*  if and only if  $\mathcal{K}$  is  $(|\mathcal{H}|, 1)$ -regularly inscribed in  $\mathcal{H}$  at  $p$ . The collection  $\mathcal{K}$  is said to be *regularly inscribed in  $\mathcal{H}$  [P]* if and only if  $\mathcal{K}$  is regularly inscribed in  $\mathcal{H}$  at all  $p \in \cup \mathcal{K}$ . If, in addition,  $\cup \mathcal{H} = \cup \mathcal{K}$ , then  $\mathcal{K}$  is called a *regular refinement [A] of  $\mathcal{H}$* .

**2.2 Definitions.** A space  $X$  is called *regularly refinable [A]* if and only if every open cover of  $X$  has an open regular refinement. A space  $X$  is called  $\kappa$ -regularly refinable [W] if and only if every open cover  $\mathcal{U}$  of  $X$  has an open refinement  $\mathcal{V}$  that is  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{U}$  at all  $p \in X$ .

The concept of subregular refinability (sub- $\kappa$ -regular refinability) is related to regular refinability ( $\kappa$ -regular refinability) in a fashion analogous to the way in which subparacompactness is related to paracompactness or  $\theta$ -refinability (= submetacompactness) is related to metacompactness.

**2.3 Definitions.** A space  $X$  is called *subregularly refinable (sub- $\kappa$ -regularly refinable)* if and only if for every open cover  $\mathcal{U}$  of  $X$  there is a sequence  $\langle \mathcal{V}_n : n \in \omega \rangle$  of

open refinements of  $\mathcal{U}$  such that for all  $p \in X$  there is  $n \in \omega$  such that  $\mathcal{V}_n$  is regularly ( $(\kappa, 1)$ -regularly) inscribed in  $\mathcal{U}$  at  $p$ . We call such a sequence  $\langle \mathcal{V}_n : n \in \omega \rangle$  a *subregularly* (*sub- $\kappa$ -regularly*) *refining sequence* of  $\mathcal{U}$ .

**2.4 Lemma.** *Let  $X$  be a sub- $\kappa$ -regularly refinable space. Let  $\mathcal{U}$  be an open cover of  $X$ . Then there exists a sequence  $\langle \mathcal{U}_n : n \in \omega \rangle$  of open covers of  $X$  such that for all  $n \in \omega$ :*

$$(1) \mathcal{U}_0 = \mathcal{U}$$

$$(2) \mathcal{U}_{n+1} \text{ refines } \mathcal{U}_n$$

(3) *For each  $p \in X$ , there is  $j \in \omega$  such that  $j \geq n$  and  $\mathcal{U}_j$  is  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{U}_n$  at  $p$ .*

*Proof.* For collections  $\mathcal{H}$  and  $\mathcal{K}$  let  $\mathcal{H} \wedge \mathcal{K} = \{H \cap K : H \in \mathcal{H} \text{ and } K \in \mathcal{K}\}$ . Define  $\mathcal{W}(0, 0) = \mathcal{U}$ . Assume  $\mathcal{W}(k, m)$  is defined for  $m \leq k$  and  $0 \leq k < n + 1$  and that  $\langle \mathcal{V}(j, k) : j \geq k \rangle$  is a sub- $\kappa$ -regularly refining sequence of  $\mathcal{W}(k, k)$  for  $0 \leq k < n + 1$ . Then we define

$\mathcal{W}(n+1, 0) = \mathcal{W}(n, n) \wedge \mathcal{V}(n, 0)$  and, if  $\mathcal{W}(n+1, j)$  is defined

for  $0 \leq j < m \leq n$  let

$\mathcal{W}(n+1, m) = \mathcal{W}(n+1, m-1) \wedge \mathcal{V}(n, m)$  and, finally, let

$\mathcal{W}(n+1, n+1) = \mathcal{W}(n+1, n)$ .

Let  $\langle \mathcal{V}(j, n+1) : j \geq n + 1 \rangle$  be a sub- $\kappa$ -regularly refining sequence of  $\mathcal{W}(n+1, n+1)$ . Thus  $\mathcal{W}(n, m)$  is defined for all  $\langle n, m \rangle \in \omega \times \omega$  such that  $m \leq n$ . Let  $\mathcal{U}_n = \mathcal{W}(n, n)$  for all  $n \in \omega$ . Then  $\mathcal{U}_0 = \mathcal{U}$  and  $\mathcal{U}_{n+1}$  refines  $\mathcal{U}_n$ . Suppose  $p \in X$  and  $n \in \omega$ . Then  $p \in \mathcal{U}_n$  and there is  $j \geq n$  such that  $\mathcal{V}(j, n)$  is  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{U}_n$  at  $p$ . Thus  $\mathcal{W}(j+1, n)$  is also  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{U}_n$  at  $p$  and so is  $\mathcal{U}_{j+1} = \mathcal{W}(j+1, j+1)$ .

### 3. Sub- $\kappa$ -Regular Refinability and $\kappa$ -Discrete Refinements

In this section we prove a principal theorem on the existence of  $\kappa$ -discrete refinements which, together with regularity, implies the characterization of subparacompactness of Theorem 1.3. We also prove Theorem 3.2 which gives a simple necessary and sufficient condition that a subregularly refinable space be sub- $\kappa$ -regularly refinable.

**3.1 Theorem.** *Suppose  $\kappa \geq \omega$  and  $X$  is a sub- $\kappa$ -regularly refinable space. Then every open cover of  $X$  has a  $\kappa$ -discrete refinement.*

*Proof.* Suppose  $X$  is such a space and  $\mathcal{U}$  is an open cover of  $X$ . There is a sequence  $\langle \mathcal{U}_n : n \in \omega \rangle$  of open covers of  $X$ , with  $\mathcal{U}_0 = \mathcal{U}$ , which satisfies the conclusion of Lemma 2.4. We use this sequence to construct a sequence  $\langle \mathcal{V}_n : n \in \omega \rangle$ , with a special relationship to  $\langle \mathcal{U}_n : n \in \omega \rangle$ , to which Theorem 1 of [W] may be applied. The construction proceeds by making several auxiliary definitions and proving four statements concerning these concepts. We use the notation  ${}^n\omega$  for the set of all functions from the integer  $n \in \omega$  into  $\omega$ . We also use  $R(\mathcal{V}, \mathcal{U}, \kappa, p)$  to stand for the statement that  $\mathcal{V}$  is  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{U}$  at  $p$ . We employ the following notation: If  $\mathcal{W}$  is a collection of sets and  $E$  is a set, then  $\mathcal{W}|E = \{W \cap E : W \in \mathcal{W}\}$  and  $(\mathcal{W})_p = \{W \in \mathcal{W} : p \in W\}$ . Also  $\phi|n$  denotes the restriction of  $\phi$  to the set  $n$ .

We define the concept of  $n$ -admissible for  $n \in \omega \setminus 1$  by induction.

$\phi$  is 1-admissible if and only if  $\phi \in {}^1\omega$  and there is  $p \in X$  such that  $\phi(0) = \min\{j \in \omega : R(\mathcal{U}_j, \mathcal{U}_0, \kappa, p)\}$ . Assume

$m$ -admissibility is defined for all  $m \in n$  and suppose  $n > 1$ :

Then  $\phi$  is  $n$ -admissible if and only if  $\phi \in {}^n\omega$  and for all  $m \in n \setminus 1$ ,  $\phi|_m$  is  $m$ -admissible and there is  $p \in X$  such that

$$\phi(n-1) = \min\{j \in \omega: j \geq \phi(n-2) \text{ and}$$

$$R(\mathcal{U}_j, \mathcal{U}_{\phi(n-2)}, \kappa, p)\}.$$

For each 1-admissible  $\phi$  define

$$E_\phi = \{p \in X: \phi(0) = \min\{j \in \omega: R(\mathcal{U}_j, \mathcal{U}_0, \kappa, p)\}\}.$$

If  $E_\phi$  is defined for all  $m$ -admissible  $\phi$  such that  $m < n$ ,

then for  $n > 1$  and  $n$ -admissible  $\phi$ , define

$$E_\phi = \{p \in E_{\phi|(n-1)}: \phi(n-1) = \min\{j \in \omega: j \geq \phi(n-2) \text{ and } R(\mathcal{U}_j, \mathcal{U}_{\phi(n-2)}, \kappa, p)\}\}.$$

Then the following four statements hold:

(1) For all  $n \in \omega \setminus 1$ ,  $\{E_\phi: \phi \text{ is } n\text{-admissible}\}$  is a partition of  $X$ .

For if  $n = 1$  and  $p \in X$ , then by (3) of Lemma 2.4 there is a 1-admissible  $\phi$  such that  $R(\mathcal{U}_{\phi(0)}, \mathcal{U}_0, \kappa, p)$  and  $p \in E_\phi$ .

If  $\phi' \neq \phi$  and  $\phi'$  is 1-admissible, then  $\phi'(0) \neq \phi(0)$ , thus  $p \notin E_{\phi'}$ . Suppose  $n \in \omega \setminus 2$  and that (1) is true for all

$m \in n \setminus 1$ . Let  $p \in X$ . Then  $p \in E_\psi$  for some unique  $(n-1)$ -admissible  $\psi$ . Define  $\phi \in {}^n\omega$  by letting  $\phi|(n-1) = \psi$  and defining  $\phi(n-1)$  as follows: By (3) of Lemma 2.4,

$$k = \min\{j \in \omega: j \geq \psi(n-2) \text{ and}$$

$$R(\mathcal{U}_j, \mathcal{U}_{\psi(n-2)}, \kappa, p)\} \text{ exists.}$$

Let  $\phi(n-1) = k$ . Then  $\phi$  is  $n$ -admissible and  $p \in E_\phi$ . If

$\phi' \in {}^n\omega$  and  $p \in E_{\phi'}$ , then  $\phi'|(n-1) = \phi|(n-1)$  so that

$\phi'(n-1) = \phi(n-1)$  by the definition of  $E_\phi$  and  $E_{\phi'}$ . Hence

$\phi = \phi'$ .



(2) If  $n > 0$ ,  $\phi$  is  $(n+1)$ -admissible, and  $p \in E_\phi$  then  $\mathcal{U}_{\phi(n)}|_{E_\phi}$  is  $(\kappa, 1)$ -regularly inscribed (and, hence, regularly inscribed) in  $\mathcal{U}_{\phi(n-1)}|_{E_\phi|_n}$  at  $p$ .

By the definition of  $E_\phi$ ,  $p \in E_\phi|_n$  and  $\mathcal{U}_{\phi(n)}$  is  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{U}_{\phi(n-1)}$  at  $p$ . Hence there is  $\mathcal{W} \subseteq \mathcal{U}_{\phi(n-1)}$  with  $|\mathcal{W}| \leq \kappa$  such that  $U, V \in \mathcal{U}_{\phi(n)}$  and  $p \in U \cap V$  implies that there is  $W \in \mathcal{W}$  such that  $U \cup V \subseteq W$ . Since  $E_\phi \subseteq E_\phi|_n$  it follows that  $U \cap V \cap E_\phi \subseteq W \cap E_\phi|_n$ . Since  $|\{W \cap E_\phi|_n : W \in \mathcal{W}\}| \leq \kappa$  the result follows.

(3) For any 2-admissible  $\phi$  and  $p \in E_\phi$ ,  $\mathcal{U}_{\phi(1)}|_{E_\phi}$  is  $(\kappa, 2)$ -regularly inscribed in  $\mathcal{U}_0$  at  $p$ .

Suppose  $U, V \in \mathcal{U}_{\phi(1)}$ ,  $q \in U \cap V \cap E_\phi$  and  $p \in U$ . By (2) there is  $W \in \mathcal{U}_{\phi(0)}|_{E_\phi|_1}$  such that  $U \cup V \cap E_\phi \subseteq W$ . Since  $\phi|_1$  is 1-admissible, there is  $\mathcal{M} \subseteq \mathcal{U}_0$  with  $|\mathcal{M}| \leq \kappa$  such that  $(\mathcal{U}_{\phi(0)}|_{E_\phi|_1})_p^4$  partially refines  $\mathcal{M}$ . Hence  $U \cup V \cap E_\phi$  is a subset of some member of  $\mathcal{M}$ .

Now define  $\mathcal{V}_0 = \mathcal{U}_0$  and, for  $n \in \omega$ ,

$$\mathcal{V}_{n+1} = \mathcal{U}\{\mathcal{U}_{\phi(n)}|_{E_\phi} : \phi \text{ is } (n+1)\text{-admissible}\}.$$

(4) For all  $n \in \omega$ ,  $\mathcal{V}_n$  covers  $X$ ,  $\mathcal{V}_{n+1}$  regularly refines  $\mathcal{V}_n$ , and  $\mathcal{V}_1$  is  $(\kappa, 2)$ -regularly inscribed in  $\mathcal{V}_0$  at all  $p \in X$ .

$\mathcal{V}_0$  is a cover of  $X$ . If  $n \in \omega$  and  $p \in X$ , there is an  $(n+1)$ -admissible  $\phi$  such that  $p \in E_\phi$ . Since  $\mathcal{U}_{\phi(n)}$  covers  $X$ , there is an element of  $\mathcal{U}_{\phi(n)}|_{E_\phi}$  that contains  $p$ . By (2),  $\mathcal{U}_{\phi(n)}|_{E_\phi}$  is  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{U}_{\phi(n-1)}|_{E_\phi|_n}$  at  $p$ . Since the only elements of  $\mathcal{V}_{n+1}$  that contain  $p$  belong to  $\mathcal{U}_{\phi(n)}|_{E_\phi}$  and  $\mathcal{U}_{\phi(n-1)}|_{E_\phi|_n} \subseteq \mathcal{V}_n$ , it follows that  $\mathcal{V}_{n+1}$  is  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{V}_n$  at  $p$ . The last statement

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<sup>4</sup> $(\mathcal{W})_p = \{W \in \mathcal{W} : p \in W\}.$

of (4) follows from (3).

Theorem 1 of [W] implies that for such a sequence  $\langle \mathcal{V}_n : n \in \omega \rangle$  there exist  $\mu \subseteq \omega \setminus 1$  and a function  $f: \mu \rightarrow \mathcal{P}(\mathcal{P}(X))$  such that: (a)  $\cup f(\mu)$  covers  $X$ , (b) if  $n \in \mu$  and  $\mathcal{C}$  is a coherent subcollection of  $\mathcal{V}_n$  (i.e.,  $\mathcal{C}$  is not the union of two nonempty collections  $\mathcal{A}$  and  $\mathcal{B}$  such that  $\cup \mathcal{A} \cap \cup \mathcal{B} = \emptyset$ ) such that  $|\mathcal{C}| \leq 3$ , then  $\cup \mathcal{C}$  does not intersect two elements of  $f(n)$ , and (c) if  $n \in \mu$  and  $A \in f(n)$ , then  $\{V \in \mathcal{V}_n : V \cap A \neq \emptyset\}$  is regularly inscribed in some subcollection of  $\mathcal{V}_0$  of cardinal number  $\leq \kappa$ .

Let  $n + 1 \in \mu$  and let  $\phi$  be  $(n+1)$ -admissible. Let  $k = \phi(n)$ . Then no element of  $\mathcal{U}_k$  meets two elements of  $\{A \cap E_\phi : A \in f(n + 1)\}$ . For if  $U \in \mathcal{U}_k$  then  $U \cap E_\phi \in \mathcal{U}_k | E_\phi \subseteq \mathcal{V}_{n+1}$ . The set  $\{U \cap E_\phi\}$  is a coherent subcollection of  $\mathcal{V}_{n+1}$  and thus does not intersect two elements of  $f(n + 1)$ . Hence for all  $n \in \omega$ , if  $n + 1 \in \mu$  and  $\phi$  is  $(n+1)$ -admissible,  $\{A \cap E_\phi : A \in f(n + 1)\}$  is a discrete collection since  $\mathcal{U}_{\phi(n)}$  is an open cover of  $X$ . If  $n + 1 \in \mu$ , the collection of all elements of  $\mathcal{V}_{n+1}$  intersecting  $A \in f(n + 1)$  is regularly inscribed in a subcollection  $\mathcal{V}'_0(A)$  of  $\mathcal{V}_0$  of cardinality  $\leq \kappa$ , by (c) above. Let  $\langle V_{\alpha,A} : \alpha < \kappa \rangle$  be an enumeration of  $\mathcal{V}'_0(A)$  (with repetitions possible). Then each set  $\mathcal{D}(\alpha, n, \phi) = \{A \cap E_\phi \cap V_{\alpha,A} : A \in f(n + 1)\}$ , where  $\phi$  is  $(n+1)$ -admissible, is discrete and partially refines  $\mathcal{V}_0 = \mathcal{U}_0$ . Since there are  $\leq \kappa$  such collections for each  $n \in \omega$  and  $(n+1)$ -admissible  $\phi$ , there are at most  $\kappa$  such sets. If  $p \in X$ , then by (a) there exist  $n \in \omega$  with  $n + 1 \in \mu$ ,  $A \in f(n + 1)$ , and an  $(n+1)$ -admissible  $\phi$  such that  $p \in A \cap E_\phi$ . There is also some

$W \in \mathcal{V}_{n+1}$  with  $p \in W$ , so there exists  $\alpha < \kappa$  such that  $W \subseteq V_{\alpha, A}$ . Hence  $p \in U\mathcal{D}(\alpha, n, \phi)$ . Thus the collection of all  $\mathcal{D}(\alpha, n, \phi)$  is a  $\kappa$ -discrete refinement of  $\mathcal{U}$ .

**3.2 Theorem.** *Let  $X$  be a subregularly refinable space. Then  $X$  is sub- $\kappa$ -regularly refinable if and only if for every open cover  $\mathcal{U}$  of  $X$  there is a sequence  $\langle \mathcal{V}_n : n \in \omega \rangle$  of open refinements of  $\mathcal{U}$  such that for all  $p \in X$  there exist  $n \in \omega$  and  $\mathcal{M}_p \subseteq \mathcal{U}$  such that  $|\mathcal{M}_p| \leq \kappa$  and  $(\mathcal{V}_n)_p$ <sup>5</sup> partially refines  $\mathcal{M}_p$ .*

*Proof.* The necessity is clear. Let  $\mathcal{U}$  be an open cover of  $X$  and let  $\langle \mathcal{V}_n : n \in \omega \rangle$  be a sequence of refinements of  $\mathcal{U}$  with the property indicated above. For each  $n \in \omega$ , there is a subregular refining sequence  $\langle \mathcal{W}_{nm} : m \in \omega \rangle$  of  $\mathcal{V}_n$ . Suppose  $p \in X$ . Then for some  $n \in \omega$  and  $\mathcal{M}_p \subseteq \mathcal{U}$ ,  $|\mathcal{M}_p| \leq \kappa$  and  $(\mathcal{V}_n)_p$  partially refines  $\mathcal{M}_p$ . For some  $m \in \omega$ ,  $\mathcal{W}_{nm}$  is regularly inscribed in  $\mathcal{V}_n$  at  $p$ . Suppose  $W_1, W_2 \in \mathcal{W}_{nm}$  and  $p \in W_1 \cap W_2$ . There is  $V \in (\mathcal{V}_n)_p$  such that  $W_1 \cup W_2 \subseteq V$ . There is also  $G \in \mathcal{M}_p$  such that  $V \subseteq G$ . Thus  $\langle \mathcal{W}_{nm} : \langle n, m \rangle \in \omega \times \omega \rangle$  is a sequence of open refinements of  $\mathcal{U}$  such that for all  $p \in X$  there is some  $\mathcal{W}_{nm}$  which is  $(\kappa, 1)$ -regularly inscribed in  $\mathcal{U}$  at  $p$ .

#### 4. Subparacompactness and Related Topics

**4.1 Theorem.** *The following are equivalent for a regular space  $X$ :*

- (a)  $X$  is subparacompact.
- (b) For every open cover  $\mathcal{U}$  of  $X$  there exists a sequence

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<sup>5</sup>  $(\mathcal{W})_p = \{W \in \mathcal{W} : p \in W\}$ .

$\langle V_n : n \in \omega \rangle$  of open refinements of  $\mathcal{U}$  such that for all  $p \in X$  there exist  $m, n \in \omega$  such that  $V_n$  is  $(m, 1)$ -regularly inscribed in  $\mathcal{U}$  at  $p$ .

(c)  $X$  is sub- $\omega$ -regularly refinable.

(d)  $X$  is subregularly refinable and for every open cover  $\mathcal{U}$  of  $X$  there is a sequence  $\langle V_n : n \in \omega \rangle$  of open refinements such that for all  $p \in X$  there is  $n \in \omega$  and  $\mathcal{M} \subseteq \mathcal{U}$  such that  $|\mathcal{M}| \leq \omega$  and  $(V_n)_p$  partially refines  $\mathcal{M}$ .

(e)  $X$  is subregularly refinable and submetalindelöf.

*Proof.* (a)  $\Rightarrow$  (b). Let  $\mathcal{U}$  be an open cover of  $X$ . By Theorem 3.1(v) of [Bu] there is a sequence  $\langle \mathcal{U}_n : n \in \omega \rangle$  of open refinements of  $\mathcal{U}$  such that for every  $p \in X$  there is  $n \in \omega$  such that  $\text{st}(p, \mathcal{U}_n) \subseteq U$  for some  $U \in \mathcal{U}$ . Hence (b) holds with  $m \equiv 1$ .

(b)  $\Rightarrow$  (c) and (c)  $\Rightarrow$  (d) are both clear from Definition 2.3.

(c)  $\Rightarrow$  (a). Let  $\mathcal{U}$  be an open cover of  $X$ . By regularity there is an open refinement  $\mathcal{W}$  of  $\mathcal{U}$  such that  $\{\bar{W} : W \in \mathcal{W}\}$  refines  $\mathcal{U}$ . By Theorem 3.1,  $\mathcal{W}$  has a  $\sigma$ -discrete refinement  $\bigcup_{n \in \omega} \mathcal{D}_n$ , where each  $\mathcal{D}_n$  is discrete. Then  $\mathcal{U}\{\bar{D} : D \in \mathcal{D}_n \wedge n \in \omega\}$  is a  $\sigma$ -discrete closed refinement of  $\mathcal{U}$ .

(d)  $\Rightarrow$  (c) by Theorem 3.2 for the case  $\kappa = \omega$ , and

(e)  $\Rightarrow$  (d) by definition.

(a)  $\Rightarrow$  submetacompactness by Theorem 3.1 (vi) of [Bu]. Also (a)  $\Rightarrow$  subregularly refinable because (a)  $\Leftrightarrow$  (c). Hence (a)  $\Rightarrow$  (e).

It is clear from (a)  $\Rightarrow$  (c) that Theorem 1.3 holds.

*Proof of Theorems 1.4 and 1.5.* Theorem 1.4 follows from 4.1 part (e) and the fact that a regular subparacompact space having a base of countable order is a Moore space. This is a direct consequence of Theorem 3 of [WW] and the fact that subparacompactness implies  $\theta$ -refinability. Theorem 1.5 follows from 1.4 by applying Theorem 3.2 and the definition of submetaLindelöf.

*Proof of Theorem 1.6.* A regular paracompact space is essentially  $T_1$  and collectionwise normal. Since such a space is  $\omega$ -regularly refinable [W], it is sub- $\omega$ -regularly refinable. On the other hand if a space satisfies the conditions then it is regular and by Theorem 4.1 (c), it is subparacompact. But a collectionwise normal subparacompact space is paracompact [M].

*Proof of Theorem 1.7.* This follows from 1.4 and the fact that a collectionwise normal Moore space is metrizable [B].

## 5. Examples

We cite several examples showing the independence of some of the concepts involved above.

**5.1 Example.** A  $T_2$  collectionwise normal regularly refinable but not sub- $\omega$ -regularly refinable space.

The space  $\omega_1$  with the order topology is  $T_2$  collectionwise normal. It is also regularly refinable [W]. The space cannot be subparacompact since it is countably compact but not compact, hence it cannot be sub- $\omega$ -regularly refinable by Theorem 1.3.

5.2 *Example.* A sub- $\omega$ -regularly refinable space which is not regularly refinable.

Any non metrizable Moore space has this property since such a space is subparacompact but cannot be regularly refinable by Theorem 1.1.

5.3 *Example.* A  $T_2$  normal metacompact space which is not subregularly refinable.

Example 4.9 (ii) of [Bu] is  $T_2$  normal and metacompact. Since it is not subparacompact it cannot be sub- $\omega$ -regularly refinable. If it were subregularly refinable, it would be sub- $\omega$ -regularly refinable by Theorem 3.2.

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