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## COMPLETELY UNIFORMIZABLE PROXIMITY SPACES

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#### 0. Introduction

Throughout this paper uniformity will mean separated diagonal uniformity and proximity will mean separated Efremovič proximity. If  $(X, \delta)$  is a proximity space, then  $\Pi(\delta)$  will denote the set of all uniformities on X which induce  $\delta$ , and we shall call  $(X, \delta)$  completely uniformizable when  $\Pi(\delta)$  contains a complete uniformity. Also the Smirnov compactification of  $(X, \delta)$  will be denoted by  $\delta X$ .

The purpose of this paper is to study properties of completely uniformizable proximity spaces. One known result [5, Theorem 2.2, p. 226] asserts that a completely uniformizable proximity space is Q-closed, but the converse of this assertion does not hold. In seeking a satisfactory characterization of completely uniformizable proximity spaces, one may consider the realcompact rich proximity spaces of [1]. A proximity space  $(X, \delta)$  is rich if each realcompactification of X contained in  $\delta X$  can be realized as the uniform completion of a member of  $\Pi(\delta)$ . Thus, every realcompact rich proximity space is completely uniformizable. In section 1 we shall show that when a proximity space  $(X, \delta)$  is completely uniformizable, every realcompactification of X contained in  $\delta X$  of the form X U K, where K is compact, can be obtained as the uniform completion of a member of  $\Pi(\delta)$ . The question of whether every completely uniformizable proximity space is rich remains unanswered.

Results on the cardinality of certain subsets of the outgrowths of Smirnov compactifications have appeared in [4], [5], and [6] where the notion of embedding uniformly discrete subspaces has played an important role. In section 2 we shall introduce a "local" version of this notion: compactifications with *locally*  $\omega$ \*-embedded out-growth. We shall show that the Smirnov compactification of any completely uniformizable proximity space is of this type. Moreover, it will be shown that  $\delta X$  being a compactification of X with locally  $\omega$ \*-embedded outgrowth is not sufficient for (X, $\delta$ ) to be completely uniformizable.

The notion of locally  $\omega^*$ -embedded outgrowth will be applied in section 3 to show that the Smirnov compactification of a noncompact, completely uniformizable proximity space (X,  $\delta$ ) contains as many nonrealcompact extensions of X as it does arbitrary extensions of X. This in turn provides a new result on the number of nonrealcompact extensions of a realcompact space contained in its Stone-Čech compactification.

Given a uniform space (X, l) we shall let l/X denote the set of all minimal l-Cauchy filters on X. For U  $\in l$ , we set

$$U^* = \{ (\mathcal{F}, \mathcal{G}) \in \mathcal{U} \times \mathcal{U} : \text{ for some } F \in \mathcal{F} \cap \mathcal{G}, \\ F \times F \subset U \},$$

and we let l/\* denote the uniformity on l/X generated by the uniform base {U\*: U  $\in l/$ }. When we identify the points of X with their neighborhood filters in X, (l/X, l/\*) becomes the canonical uniform completion of (X, l/).

Given a proximity space  $(X, \delta)$  we shall let  $\delta X$  denote the set of all maximal  $\delta$ -round filters on X. For A  $\subset X$ , we set

 $O(A) = \{ \mathcal{F} \in \delta X : A \in \mathcal{F} \},\$ 

and we declare (for  $E_1, E_2 \subset \delta X$ )  $E_1 \overline{\delta^*} E_2$  if and only if there are  $A_1, A_2 \subset X$  with  $A_1 \overline{\delta} A_2$  and  $E_1 \subset O(A_1)$  (i = 1,2). When we identify the points of X with their neighborhood filters in X, ( $\delta X, \delta^*$ ) becomes the canonical proximity space underlying the Smirnov compactification of (X, $\delta$ ). Moreover, if  $U \in \Pi(\delta)$ , then the minimal U-Cauchy filters coincide with the  $\delta$ -round U-Cauchy filters and every minimal U-Cauchy filter is a maximal  $\delta$ -round filter. Thus, X  $\subset UX \subset \delta X$ ; also the proximities  $\delta(U^*)$  and  $\delta^*|_{UX}$  agree (as do the topologies  $\tau(U^*)$  and  $\tau(\delta^*)|_{UX}$ ). We use  $U_{\delta}$  to denote the totally bounded member of  $\Pi(\delta)$ .

If  $Z_1$  and  $Z_2$  are Hausdorff extensions of a Tychonoff space X, we write  $Z_1 = {}_X Z_2$  to mean that  $Z_1$  and  $Z_2$  are homeomorphic by a homeomorphism which fixes the points of X. We use  $\beta X$  to denote the Stone-Čech compactification of X.  $\omega$  will denote the countable cardinal (least infinite ordinal), and c will denote  $2^{\omega}$ .

Some of the notions discussed in this paper were initially developed in [2].

#### 1. $\hat{c}$ - completability

Rich proximity spaces were introduced in [1] as proximity spaces (X, $\delta$ ) for which each realcompactification of X contained in  $\delta$ X, the Smirnov compactification of X, can be realized as the uniform completion of a uniformity on X belonging to  $\Pi(\delta)$ . More precisely, we have the following definition.

Definition 1.1. [1] Let  $\delta$  be a compatible proximity on a Tychonoff space X.

(a) We say that X is  $\delta$ -completable to a Tychonoff extension T of X if there is a compatible complete uniformity V on T such that  $\delta(V|_{\mathbf{Y}}) = \delta$ .

(b)  $(X, \delta)$  is a *rich* proximity space if X is  $\delta$ -completable to every realcompactification of X contained in  $\delta X$ .

The proximity space induced on a Tychonoff space X by its Stone-Čech compactification  $\beta X$  is a rich proximity space. It is shown in [1] that there are realcompact, noncompact proximity spaces (X, $\delta$ ) which are rich where  $\delta$  is not induced by  $\beta X$ . However, the problem of finding an internal characterization of rich proximity spaces remains open.

It is clear that every realcompact rich proximity space must be completely uniformizable; so it is natural to ask if every completely uniformizable proximity space is rich. (Assuming the nonexistence of measurable cardinals, a completely uniformizable proximity space must be realcompact.) This is essentially a question about the realcompactifications to which a completely uniformizable proximity space  $(X, \delta)$  is  $\delta$ -completable.

Definition 1.2. Let T be a Tychonoff extension of a Tychonoff space X.

(a) T is a *finite-outgrowth* (*f.o.*) extension of X if T = X U F where F is finite. TOPOLOGY PROCEEDINGS Volume 10 1985

(b) T is a relatively-compact-outgrowth (r.c.o.) extension of X if  $T = X \cup K$  where K is compact.

Note that in part (b) of the above definition the outgrowth T-X need not be compact.

It is shown in [6, Corollary 2.1.1, p. 32] that for a given uniform space (X, l) any maximal  $\delta(l)$ -round filter may be added to the set of  $\delta(l)$ -round l-Cauchy filters to obtain the set of  $\delta(l)$ -round l-Cauchy filters for a uniformity l on X such that  $l \subset l$  and  $\delta(l) = \delta(l)$ . This result yields the following theorem.

Theorem 1.3. Let  $(X, \delta)$  be a completely uniformizable proximity space. Then X is  $\delta$ -completable to every f.o. extension of X contained in  $\delta X$ .

*Proof.* For a compatible uniformity // on X, the  $\delta(//)$ -round //-Cauchy filters agree with the minimal //-Cauchy filters. So the result follows from [1, Proposition 2.1, p. 322].

We now extend the above result to the r.c.o. extension case.

Theorem 1.4. Let  $(X,\delta)$  be a completely uniformizable proximity space. Then X is  $\delta$ -completable to every r.c.o. extension of X contained in  $\delta X$ .

*Proof.* Let U be a complete member of  $\Pi(\delta)$  and let K be a compact subset of  $\delta X$ . Recall that the points of  $\delta X$  are the maximal  $\delta$ -round filters and that we identify the points of X with the fixed maximal  $\delta$ -round filters. Thus, X is the set of minimal U-Cauchy filters. By [1, Proposition

2.1, p. 322] it suffices to find a uniformity V on X for which  $\delta(V) = \delta$  and X U K is the set of minimal V-Cauchy filters.

Now we may write K as K =  $\{\mathcal{F}_i: i \in I\}$  where  $\mathcal{F}_i$  is a maximal  $\delta$ -round filter for each  $i \in I$ . For each  $U \in l$  and  $F_i \in \mathcal{F}_i$  ( $i \in I$ ) set

$$B(U, \langle F_i \rangle_i) = U \cup (U_{i \in I} F_i \times F_i),$$

and let

 $\beta = \{ B(U, \langle F_i \rangle_i) : U \in \mathcal{U}, F_i \in \mathcal{F}_i \ (i \in I) \}.$ We claim that  $\beta$  is a uniform base on X. As in the proof of [6, Theorem 2.1, p. 31], the only difficult verification is that of the "square root" axiom. Let  $U \in U$  and  $F_i \in J_i$ (i  $\in$  I) and set B = B(U,  $\langle F_i \rangle_i$ ). We must find an entourage D  $\in \beta$  for which D  $\circ$  D  $\subset$  B. To this end let W<sub>1</sub>  $\in \ //$  such that  $W_1 \circ W_1 \subset U$ . Now each  $\mathcal{F}_i \in K$  is  $\delta$ -round so that for  $i \in I$ we may choose  $G_i \in \mathcal{F}_i$  and  $V_i \in \mathcal{U}_\delta$  with  $V_i = V_i^{-1}$  and  $V_{i}[G_{i}] \subset F_{i}$ . (Recall that  $l_{\delta}$  denotes the totally bounded member of  $\Pi(\delta)$ .) Thus,  $K \subset U_{i \in I} O(G_i)$ . Since K is compact, there are  $i_1, \dots, i_n \in I$  such that  $K \subset \bigcup_{j=1}^n O(G_{i_j})$ . I.e., if  $\mathcal{F} \in K$ , then for some  $j \in \{1, \dots, n\}, G_{i_j} \in \mathcal{F}$ . Now for each i  $\in$  I choose  $\sigma(i) \in \{i_1, \dots, i_n\}$  such that  $G_{\sigma(i)} \in \mathcal{F}_i$ . Set  $W_2 = \bigcap_{j=1}^n V_{i_j}$ . Then  $W_2 \in U_\delta$ . Now each  $\mathcal{F}_i \in K$  is  $\mathcal{U}_{\delta}$ -Cauchy. So for each i  $\in$  I there is  $\mathbf{H}_{i} \in \mathcal{F}_{i}$  such that  $H_i \times H_i \subset W_2$ . Setting

$$D = B(W_1 \cap W_2, \langle G_{\sigma(i)} \cap H_i \rangle_i)$$

yields the desired entourage, as may be easily checked.

Now let V be the uniformity on X generated by  $\beta$ . It is straightforward to verify that  $U_{\delta} \subset V \subset U$ , so that  $\delta(V) = \delta$ , and that each member of X U K is V-Cauchy. It remains to show that if  $\mathcal{G} \in \delta X$  is V-Cauchy, then  $\mathcal{G} \in X \cup K$ . Assume (by way of contradiction) that  $\mathcal{G} \notin X \cup K$ . Since K is compact, there is  $G \in \mathcal{G}$  with  $O(G) \cap K = \phi$ . I.e., for each  $i \in I, G \notin \mathcal{F}_i$ . Let  $H \in \mathcal{G}$  such that  $H \ \delta X$ -G. Since all members of K are maximal  $\delta$ -round filters, it follows that for all  $i \in I, X-H \in \mathcal{F}_i$ . Now since  $\mathcal{G} \notin X, \mathcal{G}$  is not U-Cauchy. Thus, there is  $U \in U$  such that whenever  $S \in \mathcal{G}$ ,  $S \times S \notin U$ .

Let  $V \in U$  be symmetric with  $V \circ V \subset U$ . Now  $B = B(V, (X-H)_i) \in V$  and, since  $\mathcal{G}$  is V-Cauchy, there is  $z \in X$  such that  $B[z] \in \mathcal{G}$ . If we set  $S = B[z] \cap H$ , then we may conclude that  $S \in \mathcal{G}$  and  $S \times S \subset U$ . This is the desired contradiction.

While the above result demonstrates that a completely uniformizable proximity space  $(X,\delta)$  is  $\delta$ -completable to many of its realcompactifications contained in its Smirnov compactification, the following question nevertheless remains unanswered: Do the completely uniformizable proximity spaces coincide with the realcompact rich proximity spaces?

#### 2. Locally $\omega^*$ - embedded Outgrowth

If  $(X, \delta)$  is a proximity space and U is a non-totally bounded member of  $\Pi(\delta)$ , then X must contain an infinite U-uniformly discrete set (which is also an infinite  $\sigma$ -discrete subset of positive gauge for some pseudometric

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σ compatible with δ). Thus, [6, proof of Theorem 3.2, p. 33] or [5, Theorem 3.1, p. 226] yields the following theorem which first appeared in [4, Theorem 3.3, p. 157].

Theorem 2.1. If  $(X,\delta)$  is a noncompact completely uniformizable proximity space, then  $|\delta X - X| > 2^{C}$ .

[4] provides the same lower bound for the cardinality of a nonempty closed  $G_{\delta}$ -subset of the Smirnov compactification  $\delta X$  of a completely uniformizable proximity space  $(X, \delta)$ when that subset is disjoint from X. Also, according to [5], even when  $(X, \delta)$  is not necessarily completely uniformizable,  $2^{C}$  serves as a lower bound for the cardinality of any nonempty zero-set of  $\delta X$  disjoint from the realcompletion of X. We shall now extend the result in Theorem 2.1 to a "local" version. Let  $D(\omega)$  denote the discrete topological space of cardinality  $\omega$ , and let  $\omega^* = \beta D(\omega) - D(\omega)$ .

Definition 2.2. (a) If Z is a Hausdorff compactification of a Tychonoff space X and X  $\subset$  Y  $\subset$  Z, then Z is said to have locally  $\omega^*$ -embedded outgrowth with respect to Y if for each p  $\in$  Z-Y and each neighborhood H of p in Z, there is a closed discrete subspace S of X such that  $|S| = \omega$ ,  $cl_{z}S = _{S} \beta S$ , and  $cl_{z}S \subset H$ .

(b) A Hausdorff compactification Z of a Tychonoff space X has *locally*  $\omega^*$ -embedded outgrowth if Z has locally  $\omega^*$ -embedded outgrowth with respect to X.

Note that if Z is a Hausdorff compactification of X with locally  $\omega^*$ -embedded outgrowth, then every nonempty open subset of Z-X (with the relative topology induced by Z)

contains a copy of  $\omega^*$  and, hence, has cardinality of at least 2<sup>C</sup>.

Theorem 2.3. If  $(X, \delta)$  is a proximity space and  $U \in \Pi(\delta)$ , then the Smirnov compactification  $\delta X$  of X has locally  $\omega^*$ -embedded outgrowth with respect to UX.

*Proof.* Let  $p \in \delta X - l/X$  and let H be an open subset of  $\delta X$  with  $p \in H$ . Let G be an open subset of  $\delta X$  with  $p \in G$  and  $cl_{\delta X}G \subset H$ , and set  $A = G \cap l/X$ . Then  $cl_{\delta X}A = cl_{\delta X}G \notin l/X$ , and so  $Y = cl_{l/X}A$  is not compact.

Now  $U^*$  is a complete uniformity on UX and  $\delta(U^*) = \delta^*|_{UX}$ . Also  $U^*|_Y$  is complete (since Y is closed in UX) and nontotally bounded (since Y is not compact). Observing that Y  $\cap$  X is dense in Y, we conclude that  $U|_{Y\cap X} = (U^*|_Y)|_X$ is non-totally bounded. As in [6, proof of Theorem 3.2, p. 33], there is an entourage U  $\in U$  and a countably infinite set S  $\subset$  Y  $\cap$  X such that

 $U \cap [(Y \cap X) \times (Y \cap X)] \cap (S \times S) =$ 

 $U \cap (S \times S) = \Delta_{S}$ .

 $||_{S}$  is the discrete uniformity on S, and  $\delta|_{S} = \delta(||_{S})$  is the discrete proximity on S. Moreover,  $cl_{\delta X}S$  is the Smirnov compactification of  $(S, \delta|_{S})$ , whence  $cl_{\delta X}S = {}_{S}\beta S$ , and certainly  $cl_{\delta X}S \subset H$ . Now if V is a symmetric entourage in || such that V  $\circ$  V  $\subset$  U and y  $\in ||X|$ , then  $|V^{*}[y] \cap S| \leq 1$ . Thus, S is closed and discrete in ||X| (and, hence, S is a closed subset of X as well).

Corollary 2.4. If  $(X,\delta)$  is a completely uniformizable proximity space, then  $\delta X$  is a Hausdorff compactification of X with locally  $\omega^*$ -embedded outgrowth.

The proof of Theorem 2.3 also yields the following corollary.

Corollary 2.5. If  $(X, \delta)$  is a proximity space and  $U \in \Pi(\delta)$ , then  $\delta X$  is a Hausdorff compactification of U X with locally  $\omega^*$ -embedded outgrowth.

Corollary 2.6. If Z is a rich compactification of a Tychonoff space X and  $X \subset Y \subset Z$  where Y is realcompact, then Z has locally w\*-embedded outgrowth with respect to Y.

Note that the Stone-Čech compactification  $\beta X$  of a Tychonoff space X has locally  $\omega^*$ -embedded outgrowth with respect to its Hewitt realcompactification  $\upsilon X$ . Thus, every  $\beta X$ -neighborhood of a point in  $\beta X$  -  $\upsilon X$  contains a copy of D( $\omega$ ) which is a subset of X and is C\*-embedded in  $\beta X$ . According to [3, 9D1, p. 136], such a copy of D( $\omega$ ) can be found which is actually C-embedded in X.

Also note that  $\delta X$  may fail to be a Hausdorff compactification of X with locally  $\omega^*$ -embedded outgrowth when  $(X, \delta)$ is not completely uniformizable. A trivial example is provided by the proximity induced on R, the real numbers with the usual topology, by its one-point compactification. A nontrivial example, where  $\Pi(\delta)$  contains a non-totally bounded member, is given next.

*Example* 2.7. Let d denote the usual metric on the set Q of rational numbers,  $\mathcal{U} = \tilde{\mathcal{U}}(d)$ , and  $\delta = \delta(d)$ . Then  $\mathcal{U}$  is non-totally bounded. By [7, Theorem 21.26, p. 202], since  $\mathcal{U}$  is metrizable,  $\mathcal{U}$  is the largest uniformity inducing  $\delta$ , and since  $\mathcal{U}$  is not complete, no complete uniformity induces  $\delta$ .

Now  $l/Q = {}_Q R$ , the real numbers with the usual topology, which is locally compact. So l/Q is an open subset of  $\delta Q$  and  $l/Q \cap (\delta Q - Q) \neq \phi$ . Since |l/Q| = |R| = c, l/Q contains no copy of  $\beta D(\omega)$ .

We shall conclude this section with an example which demonstrates that a (noncompact and realcompact) proximity space (X, $\delta$ ) need not be completely uniformizable when  $\delta$ X is a Hausdorff compactification of X with locally  $\omega$ \*-embedded outgrowth.

*Example* 2.8. Let P denote the space of irrational numbers with the usual topology. Then P is noncompact, and every subspace of P is realcompact. Since P is a  $G_{\delta}$ -set in R, by [8, Theorem 24.12, p. 179] there is a compatible complete metric d on P. Let  $\mathcal{U} = \mathcal{U}(d)$  and  $\gamma = \delta(d)$ . Then  $\mathcal{U}$  is a complete metrizable uniformity which induces  $\gamma$ , and so, by Corollary 2.4,  $\gamma$ P is a Hausdorff compactification of P with locally  $\omega^*$ -embedded outgrowth.

Now let  $X = P - \{\pi\}$  and  $\delta = \gamma|_X$ . Then X is a noncompact and realcompact space,  $\delta$  is a compatible proximity on X, and  $\delta X =_X \gamma P$ . Since  $\| \|_X$  is a metrizable uniformity inducing  $\delta$ ,  $\| \|_X$  is the largest uniformity inducing  $\delta$  by [7, Theorem 21.26, p. 202]. Since  $\| \|_X$  is not complete, no complete uniformity can induce  $\delta$ .

Let H be an open subset of  $\gamma P$  (which we identify with  $\delta X$ ) such that H  $\cap$  ( $\gamma P - X$ )  $\neq \phi$ . H is not a subset of P since  $int_{\gamma P}P = \phi$ . So H  $\cap$  ( $\gamma P - P$ )  $\neq \phi$ . Thus, there is a countably infinite, closed, discrete subspace S of P such that  $cl_{\gamma P}S =_{S} \beta S$  and  $cl_{\gamma P}S \subset$  H. So K = S - { $\pi$ } is a

countably infinite, closed, discrete subspace of X,  $cl_{\gamma P}K = (cl_{\gamma P}S) - \{\pi\} =_K \beta K$ , and  $cl_{\gamma P}K \subset H$ . So  $\gamma P$  is a Hausdorff compactification of X with locally  $\omega^*$ -embedded outgrowth.

#### 3. Nonrealcompact Extensions

In this section we shall determine the number of nonrealcompact extensions of a completely uniformizable proximity space contained in its Smirnov compactification.

Theorem 3.1. Let X be a noncompact Tychonoff space. If Z is a Hausdorff compactification of X with locally  $\omega^*$ -embedded outgrowth, then there are exactly  $2^{|Z-X|}$  nonrealcompact extensions of X contained in Z.

*Proof.* Let G be a nonempty open subset of Z - X (with the relative topology induced by Z) such that

|(Z - X) - G| = |Z - X|,

and let H be an open subset of Z such that  $G = H \cap (Z - X)$ . Since Z is a Hausdorff compactification of X with locally  $\omega^*$ -embedded outgrowth, there is a countably infinite, closed, discrete subspace S of X such that  $cl_2S =_S \beta S$  and  $cl_2S \subset H$ . Thus, there is a nonrealcompact space T such that S  $\subset T \subset cl_2S$ . For each A  $\subset (Z - X) - G$  set  $T_A = X \cup T \cup A$ .  $T_A$  is nonrealcompact since  $T = T_A \cap cl_2S$  is a nonrealcompact closed subset of  $T_A$ . Also, if  $A_i \subset (Z - X) - G$  (i = 1,2) and  $A_1 \neq A_2$ , then  $T_{A_1} \neq T_{A_2}$ . So there are at least  $|\mathcal{P}((Z - X) - G)| = 2^{|(Z-X)-G|} = 2^{|Z-X|}$ 

nonrealcompact extensions of X contained in Z. Since there

are exactly  $2^{|Z-X|}$  extensions of X contained in Z, the proof is complete.

The following corollary follows immediately from Corollary 2.4 and Theorem 3.1.

Corollary 3.2. If  $(X,\delta)$  is a noncompact completely uniformizable proximity space, then  $\delta X$  contains exactly  $2^{|\delta X-X|}$  nonreal compact extensions of X.

[3, 9D2, p. 136] yields a method for constructing nonrealcompact extensions of a noncompact, realcompact space X contained in its Stone-Čech compactification  $\beta$ X: in this case  $|\beta X - X| \ge 2^{C}$  and if  $\phi \ne S \subset \beta X - X$  with  $|S| < 2^{C}$ , then  $T = \beta X - S$  is such an extension. Assuming the generalized continuum hypothesis, this construction guarantees only  $2^{C}$  distinct such extensions when  $|\beta X - X| = 2^{C}$ . The following simple application of Corollary 3.2 guarantees that there are exactly  $2^{2^{C}}$  such extensions in this case.

Corollary 3.3. Let X be a noncompact, realcompact space. Then  $\beta X$  contains exactly  $2^{|\beta X-X|}$  nonrealcompact extensions of X.

*Proof.* The uniformity functionally determined on X by the real-valued continuous functions on X is a complete, compatible uniformity on X whose proximity is induced by  $\beta X$ .

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