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COMPRESSED COMPACTA AND SARI MAPS

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1. Introduction

The notion of a compressed compactum was introduced in [Bx-S]. Here we observe that the compressed compacta coincide with the AWNR's [Bg]. We give a generalization of compression modelled on Čerin's generalizations of triviality and movability (see [C-S]). This generalization is a hereditary shape property, but in some respects does not behave as do Čerin's analogs.

In the spirit of Čerin's equicontinuous shape theory [C3] we give a "controlled" version of compression that yields a characterization of ANR's and helps us obtain a condition necessary and sufficient for ARI maps to preserve ANR's.

2. Preliminaries

Recall the following definitions:

(2.1) [Bg, p. 97] A compactum X is an *absolute weak neighborhood retract* (AWNR) if for some (indeed, for any) compact ANR Y containing X there is a neighborhood U of X in Y such that for every neighborhood V of X in Y there is a map $r: U \rightarrow V$ such that $r(x) = x$ for all $x \in X$.

(2.2) [Bx-S, p. 851] Let U be a neighborhood of a compactum X in a space Y . Then U *compresses toward* X in

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Y if for each neighborhood V of X in Y there exist a map $r: U \rightarrow V$ and a neighborhood W of X , $W \subset V$, such that $r(x) = x$ for all $x \in W$.

Let X be a compactum, C a family of metric spaces, and M an ANR-space containing X . We say X is C -compressed in M if there is a neighborhood U of X in M satisfying

C -comp(U, X): for every neighborhood V of X in U there is a neighborhood W of X in V such that for every C -map $f: K \rightarrow U$ (by C -map we mean a map whose domain K belongs to C) there is a map $g: K \rightarrow V$ such that $g|_{f^{-1}(W)} = f|_{f^{-1}(W)}$.

Note if U' is a neighborhood of X in U and C -comp(U, X), then C -comp(U', X).

The following shows the choice of M above is insignificant. The notation $f \stackrel{\cong}{\underset{V}{\sim}} g$ will mean maps f and g are homotopic in V .

(2.3) *Theorem.* Let M and N be ANR-spaces containing homeomorphic compacta X and X' , respectively. If X is C -compressed in M then X' is C -compressed in N .

Proof. Let $h: X \rightarrow X'$ be a homeomorphism. There exist neighborhoods U of X in M , U' of X' in N , and extensions $f: U \rightarrow N$ of h and $f': U' \rightarrow M$ of h^{-1} such that C -comp(U, X) and $f^{-1}(U') \cup f'(U') \subset U$.

Let V' be a neighborhood of X' in U' . Let $V = f^{-1}(V')$. Then V is a neighborhood of X in U . Since C -comp(U, X), there is a neighborhood W of X in V such that for every C -map $F: K \rightarrow U$ there is a map $G: K \rightarrow V$ with $G|_{F^{-1}(W)} = F|_{F^{-1}(W)}$. Recalling our choices of f and f' and using

[H, IV 1.1] and the fact that V' is an ANR-space, there is a closed neighborhood W' of X' in V' such that $f'(W') \subset W$ and $ff'|W' \cong i_{W',V'}$ (the inclusion of W' into V').

Suppose $p: K \rightarrow U'$ is a C-map. Then $f'p: K \rightarrow U$. Our choice of W implies that there is a map $G: K \rightarrow V$ such that $G|(f'p)^{-1}(W) = f'p|(f'p)^{-1}(W)$. Our choice of W' implies $G|p^{-1}(W') = f'p|p^{-1}(W')$. Then $fG(K) \subset V'$ with $fG|p^{-1}(W') = ff'p|p^{-1}(W') \cong_{V'} p|p^{-1}(W')$ by our choice of W' . Since $p^{-1}(W')$ is closed in K and $fG|p^{-1}(W')$ extends to $fG: K \rightarrow V'$, Borsuk's Homotopy Extension Theorem [Bk, IV(8.1), p. 94] implies $p|p^{-1}(W')$ extends to $P: K \rightarrow V'$. It follows that $C\text{-comp}(U', X')$.

In light of (2.3), we drop "in M " and say X is C-compressed if for some (hence every) ANR-space M containing X , X is C-compressed in M .

We will use the following well-known property several times:

(2.4) *Theorem [Bk, V(3.1)]. Let Y be a compact ANR, $\epsilon > 0$. There is a $\delta > 0$ such that if $f, g: X_0 \rightarrow Y$ are δ -close maps of a closed subset X_0 of a metric space X into Y , and f extends to $F: X \rightarrow Y$, then there is an extension $G: X \rightarrow Y$ of g such that F and G are ϵ -close.*

3. Some Properties of C-Compressed Compacta

If X is a C-compressed compactum with C the class of all compact ANR's, we will say X is compressed. We have:

(3.1) *Theorem.* Let X be a compactum in the Hilbert cube Q . The following are equivalent:

a) X is compressed.

b) There is a neighborhood U of X in Q such that U compresses toward X in Q .

c) $X \in \text{AWNR}$.

Proof. a) implies b): If X is compressed, there is a compact ANR neighborhood U of X in Q such that $\text{ANR-comp}(U, X)$. Let V be any neighborhood of X in U . Then there is a neighborhood W of X in V such that for any ANR-map $f: K \rightarrow U$ there is a map $g: K \rightarrow V$ with $g|_{f^{-1}(W)} = f|_{f^{-1}(W)}$. In particular, for $l_U: U \rightarrow U$ there is a map $g: U \rightarrow V$ such that for $x \in l_U^{-1}(W) = W$, $g(x) = l_U(x) = x$. Hence U compresses toward X in Q .

b) implies c): This follows from (2.1) and (2.2).

c) implies a): If $X \in \text{AWNR}$, there is a neighborhood U of X in Q such that for every neighborhood V of X in U there is a map $r: U \rightarrow V$ such that $r|_X = i_{X, V}$. We may assume V is a compact ANR. By (2.4), there is a $\delta > 0$ such that if $f, g: Y_0 \rightarrow V$ are δ -close maps and Y_0 is a closed subset of a metric space Y , then if f extends to $F: Y \rightarrow V$ then g also extends to a map $G: Y \rightarrow V$. There is a closed neighborhood W of X in V such that $r|_W$ and $i_{W, V}$ are δ -close.

Let $f: K \rightarrow U$ be an ANR-map. Then $rf: K \rightarrow V$ and (letting d^S denote the sup-metric for maps from K into Q) $d^S(rf|_{f^{-1}(W)}, f|_{f^{-1}(W)}) < \delta$. Our choices of δ and W imply $f|_{f^{-1}(W)}$ extends to $F: K \rightarrow V$. It follows that $\text{ANR-comp}(U, X)$, and from (2.3) that X is compressed.

From (3.1) it follows that the following is a generalization of Tsuda's result [T, Thm. 1.2] that AWNR is a hereditary shape property.

(3.2) *Theorem.* *Let X and Y be compacta, C a class of metric spaces. If X is C -compressed and $\text{Sh } X \geq \text{Sh } Y$, then Y is C -compressed.*

Proof. There is no loss of generality in assuming $X \cup Y \subset Q$. Let U be a neighborhood of X in Q such that $C\text{-comp}(U, X)$. Let $\underline{f} = \{f_k, X, Y\}_{Q, Q}$ and $\underline{g} = \{g_k, Y, X\}_{Q, Q}$ be fundamental sequences such that $\underline{fg} \cong \underline{1}_Y$. There exist a positive integer k_1 and a neighborhood U' of Y in Q such that $k > k_1$ implies $g_k(U') \subset U$.

Let V' be any neighborhood of Y in U' . There exist a positive integer k_2 and a neighborhood V of X in U such that $k > k_2$ implies $f_k(V) \subset V'$. Our choice of U implies there is a closed neighborhood W of X in V such that for each C -map $f: K \rightarrow U$ there is a map $g: K \rightarrow V$ such that $g|f^{-1}(W) = f|f^{-1}(W)$. There exist a positive integer k_3 and a closed neighborhood W' of Y in Q with $W' \subset V'$ such that $k > k_3$ implies $g_k(W') \subset W$ and $f_k g_k|W' \cong i_{W', V'}$.

Fix $k > \max\{k_1, k_2, k_3\}$. Let $h: K \rightarrow U'$ be a C -map. Then $g_k h: K \rightarrow U$, so there is a map $H: K \rightarrow V$ such that $H|(g_k h)^{-1}(W) = g_k h|(g_k h)^{-1}(W)$. Our choices of k_3 and W' imply $H|h^{-1}(W') = g_k h|h^{-1}(W')$. Then $f_k H: K \rightarrow V'$ with $f_k H|h^{-1}(W') = f_k g_k h|h^{-1}(W') \cong_V h|h^{-1}(W')$. Since V' is an ANR-space and $h^{-1}(W)$ is closed in K , we may apply Borsuk's Homotopy Extension Theorem to conclude that since $f_k H|h^{-1}(W')$ extends to

$f_k H: K \rightarrow V$, therefore $h|_{h^{-1}(W)}$ extends to $F: K \rightarrow V$. It follows that $C\text{-comp}(U', Y)$.

Recall a compactum X is *C-calm* [Cl] if for some (any) ANR-space M containing X there is a neighborhood U of X in M such that for every neighborhood V of X in U there is a neighborhood W of X in V such that if $f, g: K \rightarrow W$ are C -maps with $f \stackrel{\cong}{\sim}_U g$, then $f \stackrel{\cong}{\sim}_V g$.

Let us say a class C is *I-closed* (I is the interval $[0, 1]$) if $K \in C$ implies $K \times I \in C$.

(3.3) *Theorem.* Let C be an *I-closed* class of metric spaces and let X be a *C-compressed* compactum. Then X is *C-calm*.

Proof. Let M be an ANR-space containing X and let U be a neighborhood of X in M such that $C\text{-comp}(U, X)$. Let V be a neighborhood of X in U . Let W be a neighborhood of X in V such that for every C -map $f: K \rightarrow U$ there is a map $g: K \rightarrow V$ with $g|_{f^{-1}(W)} = f|_{f^{-1}(W)}$.

Suppose $f_0, f_1: K \rightarrow W$ are C -maps with $f_0 \stackrel{\cong}{\sim}_U f_1$. There is a map $F: K \times I \rightarrow U$ such that $F(x, 0) = f_0(x)$,

$$F(x, 1) = f_1(x)$$

for all $x \in K$. Since C is *I-closed*, F is a C -map. Our choice of W implies there is a map $G: K \times I \rightarrow V$ such that $G|_{F^{-1}(W)} = F|_{F^{-1}(W)}$. Thus $G(x, t) = F(x, t) = f_t(x)$ for $t \in \{0, 1\}$, so $f_0 \stackrel{\cong}{\sim}_V f_1$, via G . It follows that X is *C-calm*.

(3.4) *Corollary.* If C is an *I-closed* class of connected metric spaces, the compactum X is *C-compressed* if and only if X has finitely many components, each of which is *C-compressed*.

Proof. "If" is elementary. "Only if": that X C -compressed implies X has finitely many components follows from (3.3) and the fact that C -calm compacta have finitely many components [Cl, (4.6)]. Since C consists of connected spaces, it follows easily that each component of X is C -compressed.

(3.5) *Remark.* The importance of the assumption that C be I -closed in (3.3) and (3.4) is illustrated by the following: It is easily seen that every compactum is S -compressed, where S is the class consisting of one member, a space with one point; but compacta with infinitely many components are not S -calm.

(3.6) *Remark.* Let C and D be classes of compacta. We say C shape-dominates D if for each $Y \in D$ there is an $X \in C$ such that $Sh X \geq Sh Y$. Čerin has studied several shape properties such that if a compactum X has the property for the class C , and C shape-dominates D , then X has the property for D . This is not the case for compression: let T be the class of compacta with trivial shape. Then S shape dominates T . If X is a compactum with infinitely many components, then X is S -compressed, but not T -compressed, by (3.4).

(3.7) *Remark.* One sees easily from (3.1) that every member of T is compressed. However, in general not every C -trivial [C - S] compactum is C -compressed. If X is a compactum with infinitely many components, then X is T -trivial [Bx1, (4.3)], but not T -compressed (as seen in (3.6)).

Since solenoids are calm [C1], the following shows that the compressed compacta form a proper subclass of the class of calm compacta.

(3.8) *Example. No solenoid is compressed.*

Proof. Let X be a solenoid. If X is compressed then the suspension SX of X has the shape of a finite CW complex [T, Thm. 2.6]. Therefore $H_2(SX)$ would be movable in the category of groups, a contradiction.

The author is indebted to an anonymous colleague for suggesting the proof above in place of the author's original, longer proof.

A continuous surjection of compacta $f: X \rightarrow Y$ is called *approximately right invertible* (ARI) [G, p. 293] if there is a null sequence of positive numbers ϵ_n such that for each n there is a map $g_n: Y \rightarrow X$ with $d^S(fg_n, 1_Y) < \epsilon_n$.

If there are AR-spaces M and N containing X and Y , respectively, such that the maps g_n above can be extended to $G_n: N \rightarrow M$ such that for every neighborhood U of X in M there is a neighborhood V of Y in N with $G_n(V) \subset U$ for almost all n , then f is called *strongly approximately right invertible* (SARI) [C2]. The choices of M and N are not significant.

It is known that ARI and SARI maps preserve a number of shape and e-shape invariants ([Bx2], [Bx3], [C2]).

We have:

(3.9) *Theorem.* *Let X and Y be compacta, $f: X \rightarrow Y$ an SARI map. If X is C -compressed then Y is C -compressed.*

Proof. We may assume $X \cup Y \subset Q$. Let U be a neighborhood of X in Q such that $C\text{-comp}(U, X)$. Since $f \in \text{SARI}$, there is a sequence $\{G_n: (Q, Y) \rightarrow (Q, X)\}$ of maps of pairs as described above. There exist a positive integer n_1 and a neighborhood U' of Y in Q such that $n > n_1$ implies $G_n(U') \subset U$. Let $F: Q \rightarrow Q$ extend f .

Let V' be any compact ANR neighborhood of Y in U' . There is a neighborhood V of X in U such that $F(V) \subset V'$.

By (2.4), there is an $\epsilon > 0$ such that if $h_1, h_2: Z_0 \rightarrow V'$ are ϵ -close maps of a closed subset Z_0 of a metric space Z into V' and h_1 extends to $H_1: Z \rightarrow V'$, then h_2 extends to $H_2: Z \rightarrow V'$. Let W be a closed neighborhood of X in V such that for each C -map $u: K \rightarrow U$ there is a map $u_1: K \rightarrow V$ with $u_1|u^{-1}(W) = u|u^{-1}(W)$. There exist a positive integer n_2 and a closed neighborhood W' of Y in V' such that $n > n_2$ implies $G_n(W') \subset W$ and $FG_n|W'$ is ϵ -close to $i_{W', V'}$.

Fix $n > \max\{n_1, n_2\}$. Let $r: K \rightarrow U'$ be a C -map. Then $G_n r: K \rightarrow U$. Our choice of W implies there is a map $s: K \rightarrow V$ such that $s|(G_n r)^{-1}(W) = G_n r|(G_n r)^{-1}(W)$. By choice of W' , $s|r^{-1}(W') = G_n r|r^{-1}(W')$. By choice of V , $Fs: K \rightarrow V'$ and $Fs|r^{-1}(W') = FG_n r|r^{-1}(W')$. Since (by choices of W' and n_2) $FG_n r|r^{-1}(W')$ is ϵ -close to $r|r^{-1}(W')$, and since $Fs|r^{-1}(W')$ extends to $Fs: K \rightarrow V'$, it follows from our choice of ϵ that $r|r^{-1}(W')$ extends to $R: K \rightarrow V'$. It follows that $C\text{-comp}(U', Y)$.

If $f: X \rightarrow Y$ is an SARI map of compacta such that (using notation as above) there is a sequence of maps of pairs $\{h_n: (M, X) \rightarrow (N, Y)\}$ such that $d^S(g_n h_n | X, l_X) < \epsilon_n$, each $h_n(X) = Y$ for all n , and for every neighborhood U of Y in N there is a neighborhood V of X in M such that $h_n(V) \subset U$ for almost all n , then f is called *strongly approximately invertible* (SAI) [C2]. We have:

(3.10) *Theorem.* Let $f: X \rightarrow Y$ be an SAI map of compacta. Then X is C -compressed if and only if Y is C -compressed.

Proof. If X is C -compressed then so is Y , by (3.9), since f is SARI.

Suppose Y is C -compressed. Assume that $M = N = Q$ and let $F: Q \rightarrow Q$ extend f . Let $\{G_n: (Q, Y) \rightarrow (Q, X)\}$, $\{h_n: (Q, X) \rightarrow (Q, Y)\}$ be as above. Let U' be a neighborhood of Y in Q such that $C\text{-comp}(U', Y)$. There exist a positive integer n_1 and a neighborhood U of X in Q such that $n > n_1$ implies $h_n(U) \subset U'$.

Let V be a compact ANR neighborhood of X in U . There exist a positive integer n_2 and a neighborhood V' of Y in U' such that $n > n_2$ implies $G_n(V') \subset V$. By choice of U' , there is a neighborhood W' of Y in V' such that for each C -map $b: K \rightarrow U'$ there is a map $c: K \rightarrow V'$ with $c|b^{-1}(W') = b|b^{-1}(W')$. By (2.4), there is an $\epsilon > 0$ such that if $u_1, u_2: Z_0 \rightarrow V$ are ϵ -close maps of a closed subset Z_0 of a metric space Z into V and u_1 extends to $U_1: Z \rightarrow V$, then u_2 extends to $U_2: Z \rightarrow V$.

There exist a neighborhood W_0 of X in V and a positive integer n_3 such that $n > n_3$ implies $G_n h_n|X$ is ϵ -close to $i_{X,V}$ and $h_n(W_0) \subset W'$.

Fix $n > \max\{n_1, n_2, n_3\}$. There is a closed neighborhood W of X in W_0 such that $G_n h_n|W$ and $i_{W,V}$ are ϵ -close.

Let $s: K \rightarrow U$ be a C-map. Then $h_n s: K \rightarrow U'$. Hence there is a map $c: K \rightarrow V'$ such that $c|(h_n s)^{-1}(W') = h_n s|(h_n s)^{-1}(W')$. It follows that $c|s^{-1}(W) = h_n s|s^{-1}(W)$. Therefore $G_n c: K \rightarrow V$ with $G_n c|s^{-1}(W) = G_n h_n s|s^{-1}(W)$. But $G_n h_n s|s^{-1}(W)$ is ϵ -close to $s|s^{-1}(W)$, and since the former extends to $G_n c: K \rightarrow V$ and $s^{-1}(W)$ is closed in K , therefore $s|s^{-1}(W)$ extends (by choice of ϵ) to $t: K \rightarrow V$. It follows that C-comp(U, X).

4. C-e-Compressed Compacta

As Ćerin has observed, various open questions suggest the need for further characterizations of ANR's. For example, we find in [G, p. 294]:

(4.0) Do ARI maps or refinable maps [F-R] preserve ANR's?

(Partial answers are in [F-K] and [C2].) In this section we give a characterization of ANR's in terms of an e-shape version of compression and show how we may better understand (4.0). For the sake of convenience, we assume in this section that X and Y are compacta in Q .

For a family C of metric spaces, we say X is C-e-compressed if for every $\epsilon > 0$ there is a neighborhood U of X in Q such that C^ϵ -comp(U, X): for every neighborhood V of X

in U there is a neighborhood W of X in V such that for every C -map $f: K \rightarrow U$ there is a map $g: K \rightarrow V$ with $g|_{f^{-1}(W)} = f|_{f^{-1}(W)}$ and $d^S(f,g) < \epsilon$.

It is easily seen that if U_1 is a neighborhood of X in U and C^ϵ -comp(U, X), then C^ϵ -comp(U_1, X). Also, every compactum is S - e -compressed.

If X is C - e -compressed when C is the family of compact ANR's, we say X is e -compressed.

We give a series of results relating C - e -compression to the e -shape properties C - e -movability [C3] and C - e -calmness [C2].

(4.1) *Theorem.* A C - e -compressed compactum is C - e -movable.

Proof. Let U be a neighborhood of the C - e -compressed compactum X in Q and let $\epsilon > 0$. We may assume U is a compact ANR. There is a $\delta > 0$ such that δ -close maps into U are ϵ -homotopic in U . There is a neighborhood V of X in U such that C^δ -comp(V, X). Thus, for every neighborhood W of X in V and every C -map $f: K \rightarrow V$ there is a map $g: K \rightarrow W$ with $d^S(f,g) < \delta$. By choice of δ , f and g are ϵ -homotopic in U . It follows that X is C - e -movable.

(4.2) *Theorem.* Let C be an I -closed class of metric spaces and let X be C - e -compressed. Then X is C - e -calm.

Proof. Let $\epsilon > 0$. There is a compact ANR neighborhood U of X in Q such that $C^{\epsilon/3}$ -comp(U, X). Let $\delta > 0$ be such that δ -close maps into U are $(\epsilon/3)$ -homotopic.

Let V be a neighborhood of X in U . By choice of U , there is a neighborhood W of X in V such that for every

C-map $f: K \rightarrow U$ there is a map $g: K \rightarrow V$ such that $g|f^{-1}(W) = f|f^{-1}(W)$ and $d^S(f,g) < \epsilon/3$.

Suppose $h_0, h_1: K \rightarrow W$ are δ -close. By choice of δ , there is a map $F: K \times I \rightarrow U$ such that for all $x \in K$,

$$F(x,0) = h_0(x),$$

$$F(x,1) = h_1(x) \text{ and}$$

$$\text{diam } F(\{x\} \times I) < \epsilon/3.$$

Since $K \times I \in C$, there is a map $G: K \times I \rightarrow V$ such that $G|F^{-1}(W) = F|F^{-1}(W)$ and $d^S(F,G) < \epsilon/3$.

For $x \in K$, it follows that $G(x,0) = h_0(x)$, $G(x,1) = h_1(x)$, and for all $s, t \in I$, $d(G(x,s), G(x,t)) \leq d(G(x,s), F(x,s)) + d(F(x,s), F(x,t)) + d(F(x,t), G(x,t)) < \epsilon/3 + \epsilon/3 + \epsilon/3 = \epsilon$. Thus h_0 and h_1 are ϵ -homotopic in V . It follows from [C2, (4.2)] that X is C-e-calm.

(4.3) *Theorem.* $X \in \text{ANR}$ if and only if X is e-compressed.

Proof. Suppose $X \in \text{ANR}$. Let $\epsilon > 0$. There is a compact ANR neighborhood U of X in Q and a retraction $r: U \rightarrow X$ such that $d^S(r, l_U) < \epsilon/2$. Let V be a neighborhood of X in U . We may assume V is a compact ANR. Then there is, by (2.4), a $\delta > 0$ such that if Z_0 is a closed subset of a metric space Z , h_0 and h_1 are δ -close maps of Z_0 into V , and h_0 extends to $H_0: Z \rightarrow V$, then there is an extension $H_1: Z \rightarrow V$ of h_1 , such that $d^S(H_0, H_1) < \epsilon/2$. There is a closed neighborhood W of X in V such that $d^S(r|_W, i_{W,V}) < \delta$.

Let $f: K \rightarrow U$ be an ANR-map. We have $d^S(rf|f^{-1}(W), f|f^{-1}(W)) < \delta$. Since $f^{-1}(W)$ is closed in K and $rf|f^{-1}(W)$ extends to $rf: K \rightarrow X \subset V$, our choice of δ implies $f|f^{-1}(W)$

extends to a map $g: K \rightarrow V$ with $d^S(rf, g) < \varepsilon/2$. Hence $d^S(f, g) \leq d^S(f, rf) + d^S(rf, g) < \varepsilon/2 + \varepsilon/2 = \varepsilon$. It follows that $\text{ANR}^\varepsilon\text{-comp}(U, X)$, and thus X is ε -compressed.

Conversely, if X is ε -compressed then by (4.1) and (4.2), X is ε -movable and ε -calm. Hence $X \in \text{ANR}$, by [C2, (4.9a)].

Let $f: X \rightarrow Y$ be an SARI map and let $F: Q \rightarrow Q$ extend f . Let $\{G_n: (Q, Y) \rightarrow (Q, X)\}_{n=1}^\infty$ be a sequence of maps of pairs as in the previous section. We will say f is *extra strongly approximately right invertible* (ESARI) if for every $\varepsilon > 0$ there is a neighborhood U of Y in Q such that $d^S(FG_n|_{U, i_{U, Q}}) < \varepsilon$ for almost all n . One sees easily that the choice of extension F of f is not important.

The following is suggested by [C2, (5.1)].

(4.4) *Theorem.* Let $Y \in \text{ANR}$ and suppose $f: X \rightarrow Y$ is an ARI map. Then f is an ESARI map.

Proof. We assume $F: Q \rightarrow Q$ extends f .

Since f is an ARI map, there is a sequence of maps $g_n: Y \rightarrow X$ such that $fg_n \xrightarrow{n \rightarrow \infty} 1_Y$. Let Z be a neighborhood of Y in Q such that there is a retraction $r: Z \rightarrow Y$. Let $G_n: Q \rightarrow Q$ be an extension of $g_n r: Z \rightarrow X$.

Then for each n , given a neighborhood U of X in Q , Z is a neighborhood of Y in Q such that $G_n(Z) \subset U$. It follows easily that f is an SARI map. Further, let $\varepsilon > 0$ be given. There is a neighborhood V of Y in Z such that $d^S(r|_{V, i_{V, Z}}) < \varepsilon/2$ and there is a positive integer z such that $n > z$ implies $d^S(fg_n, 1_Y) < \varepsilon/2$. Hence for $n > z$,

$d^S(FG_n|V, i_{V,Q}) \leq d^S(FG_n|V, r|V) + d^S(r|V, i_{V,Q}) < d^S(fg_n r|V, r|V) + \epsilon/2 < \epsilon$. It follows that f is ESARI.

The following, suggested by [C2, (5.2) and (5.3)], gives a converse to (4.4) when it is assumed $X \in \text{ANR}$.

(4.5) *Theorem.* Let $X \in \text{ANR}$ and suppose $f: X \rightarrow Y$ is ESARI. Then $Y \in \text{ANR}$.

Proof. By (4.3), it suffices to show Y is e -compressed. Let $F: Q \rightarrow Q$ extend f and let $\{G_n: (Q, Y) \rightarrow (Q, X)\}_{n=1}^\infty$ be a sequence of maps of pairs satisfying the definition of ESARI.

Let $\epsilon > 0$. Since $X \in \text{ANR}$, there is a neighborhood U' of X in Q and a retraction $r: U' \rightarrow X$ such that $d^S(F|U', Fr) < \epsilon/3$. Since f is ESARI, there exist a neighborhood U of Y in Q and a positive integer n_1 such that $n > n_1$ implies

$$G_n(U) \subset U' \text{ and } d^S(FG_n|U, i_{U,Q}) < \epsilon/3.$$

Let V be a neighborhood of Y in U . We may assume V is a compact ANR. By (2.4), there is a $\delta > 0$ such that if $h_0, h_1: Z_0 \rightarrow V$ are δ -close maps of a closed subset Z_0 of a metric space Z into V and h_0 extends to $H_0: Z \rightarrow V$, then h_1 extends to $H_1: Z \rightarrow V$ with $d^S(H_0, H_1) < \epsilon/3$. There is a neighborhood W' of X in U' such that $d^S(F|W', fr|W') < \delta/2$. There exist a closed neighborhood W of Y in V and a positive integer n_2 such that $n > n_2$ implies

$$G_n(W) \subset W', \\ FG_n(W) \subset V, \text{ and } d^S(FG_n|W, i_{W,V}) < \delta/2.$$

Fix $n > \max\{n_1, n_2\}$. Let $q: K \rightarrow U$ be an ANR-map. Then $G_n q: K \rightarrow U'$, $rG_n q: K \rightarrow X$, and we define $b = \text{fr}G_n q: K \rightarrow Y \subset V$. Note $d^S(b|q^{-1}(W), q|q^{-1}(W)) \leq d^S(\text{fr}G_n q|q^{-1}(W), \text{FG}_n q|q^{-1}(W)) + d^S(\text{FG}_n q|q^{-1}(W), q|q^{-1}(W)) < \delta/2 + \delta/2 = \delta$. Since $q^{-1}(W)$ is closed in K and $b|q^{-1}(W)$ extends to $b: K \rightarrow V$, our choice of δ implies $q|q^{-1}(W)$ extends to $g: K \rightarrow V$ with $d^S(b, g) < \varepsilon/3$. Thus $d^S(q, g) \leq d^S(q, b) + d^S(b, g) < d^S(q, \text{FG}_n q) + d^S(\text{FG}_n q, \text{fr}G_n q) + \varepsilon/3 < (\text{by our choices of } U \text{ and } U') \varepsilon/3 + \varepsilon/3 + \varepsilon/3 = \varepsilon$. Thus $\text{ANR}^\varepsilon\text{-comp}(U, Y)$, and the proof is complete.

It follows from [F-R, (3.3)] that a refinable ANR-map is ARI. In light of (4.4) and (4.5), it follows that the questions (4.0) are equivalent to the following:

(4.7) *Questions.* If $f: X \rightarrow Y$ is ARI (refinable) and $X \in \text{ANR}$, must f be ESARI?

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