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## A HYPERSPACE RETRACTION THEOREM FOR A CLASS OF HALF-LINE COMPACTIFICATIONS

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## A HYPERSPACE RETRACTION THEOREM FOR A CLASS OF HALF-LINE COMPACTIFICATIONS

D. W. Curtis

### 1. Hyperspace Retractions

For  $X$  a metric continuum, let  $2^X$  be the hyperspace of all nonempty subcompacta, with the Hausdorff metric topology, and let  $C(X) \subset 2^X$  be the hyperspace of subcontinua. If  $X$  is locally connected, both  $C(X)$  and  $2^X$  are absolute retracts [9], and in particular  $C(X)$  is a retract of  $2^X$ . In the non-locally connected case, neither hyperspace is an absolute retract, but we may still ask whether  $C(X)$  is a retract of  $2^X$ . Until now, this question has been answered in only two specific cases. In 1977, Goodykoontz [2] constructed a 1-dimensional continuum  $X$  in  $E^3$  such that  $C(X)$  is *not* a retract of  $2^X$ . And in 1983, Goodykoontz [3] showed that for  $X$  the cone over a convergent sequence,  $C(X)$  *is* a retract of  $2^X$ . Thus, for  $X$  non-locally connected,  $C(X)$  is not necessarily a retract of  $2^X$ , but it may be. (Nadler [6] had earlier shown the existence of surjections from  $2^X$  to  $C(X)$ , in all cases.)

At present, a completely general answer for the hyperspace retraction question seems out of reach. In this paper, we answer the question for a certain class of non-locally connected continua, large enough to be of interest, but sufficiently delimited so as to be manageable. This class will consist of those half-line compactifications with locally connected remainder which are "regular" in the

following sense. Let  $X = [0, \infty) \cup K$  denote an arbitrary half-line compactification with a nondegenerate locally connected remainder  $K$  (which is therefore a Peano continuum). In this situation, there always exists a retraction  $X \rightarrow K$ . We say that  $X$  is a *regular* compactification if there exists a retraction  $r: X \rightarrow K$  such that, for some homeomorphism  $\phi: [0, \infty) \rightarrow [0, \infty)$ , the map  $r \circ \phi: [0, \infty) \rightarrow K$  is a *periodic* surjection, i.e., there exists  $p > 0$  such that  $r(\phi(t)) = r(\phi(t + p))$  for all  $t$ . Our main result is that the only regular half-line compactifications for which there exist hyperspace retractions  $2^X \rightarrow C(X)$  are the following: the topologist's sine curve; the circle with a spiral; and a sequence of other regular compactifications with a circle as remainder, to be described below.

The case of the circle with a spiral (labelled below as  $X_1$ ) is of particular interest. It is known that  $\text{Cone } X_1$  does not have the fixed point property [5], and that  $C(X_1)$  is homeomorphic to  $\text{Cone } X_1$  [8]. Noting this, Nadler [7] conjectured that  $2^{X_1}$  does not have the fixed point property (which would make it the first such example to be known), and that the way to prove this is to construct a retraction from  $2^{X_1}$  to  $C(X_1)$ . Our result confirms his conjecture.

Every periodic surjection  $\pi: [0, \infty) \rightarrow K$  onto a Peano continuum induces a regular compactification  $X(\pi)$ , which may be defined as follows:

$$X(\pi) = \{(t, \pi(t)) : t \geq 0\} \cup \{(\infty, k) : k \in K\} \subset [0, \infty] \times K.$$

Alternatively, we may consider  $X(\pi)$  to be the disjoint union  $[0, \infty) \cup K$ , with the topology defined by the open base

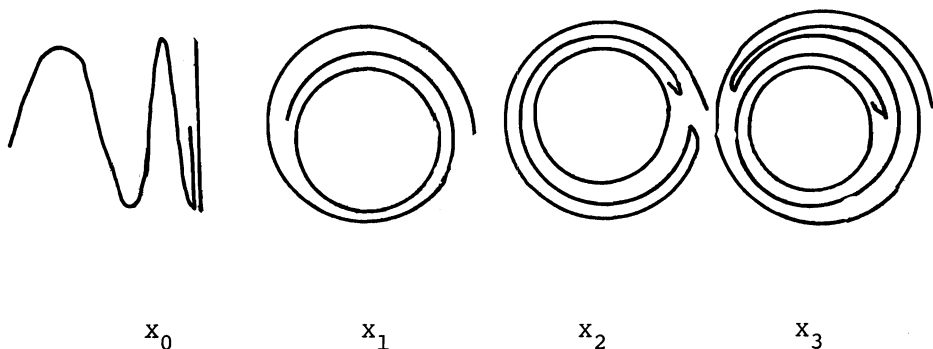
$$\{U: U \text{ open in } [0, \infty)\} \cup \{V \cup (\pi^{-1}(V) \cap (N, \infty)) : \\ V \text{ open in } K \text{ and } N < \infty\}.$$

Clearly, every regular half-line compactification is homeomorphic to some  $X(\pi)$ .

Let  $I = [-1, 1]$ , and  $S = \{z: |z| = 1\}$ , the unit circle in the complex plane. Define  $\pi_0: [0, \infty) \rightarrow I$  by  $\pi_0(t) = \sin \pi t$ ; define  $\pi_1: [0, \infty) \rightarrow S$  by  $\pi_1(t) = e^{i\pi t}$ ; and for  $n > 1$ , define  $\pi_n: [0, \infty) \rightarrow S$  by the formulas

$$\pi_n(t) = \begin{cases} e^{in\pi t}, & 0 \leq t \leq 1 \pmod{2}, \\ e^{-in\pi t}, & 1 \leq t \leq 2 \pmod{2}. \end{cases}$$

Then  $X_0 = X(\pi_0)$  is the topologist's sine curve;  $X_1 = X(\pi_1)$  is the circle with a spiral; and for  $n = 2, 3, \dots$ ,  $X_n = X(\pi_n)$  is the regular compactification obtained by alternately "wrapping" and "unwrapping" subintervals of  $[0, \infty)$  about  $S$ , with each subinterval covering  $S$   $n/2$  times. Note that the spaces  $X_0, X_1, X_2, \dots$  are topologically distinct.



*Theorem.* For  $X$  a regular half-line compactification, there exists a hyperspace retraction  $2^X \rightarrow C(X)$  if and only if  $X$  is homeomorphic to some  $X_n$ ,  $n = 0, 1, 2, \dots$ .

Of course, no hyperspace retraction  $2^X \rightarrow C(X)$  for non-locally connected  $X$  can be quite as nice as those which may be constructed in the locally connected case. For locally connected  $X$ , we may use a convex metric  $d$ , and define a retraction  $R: 2^X \rightarrow C(X)$  by taking  $R(A) = \bar{N}_d(A; t)$ , where  $t \geq 0$  is the smallest value for which  $\bar{N}_d(A; t) \in C(X)$ . Such a retraction has the property that  $R(A) \supset A$  for each  $A \in 2^X$ . Clearly, this is impossible for non-locally connected  $X$ . However, there may exist a retraction  $R: 2^X \rightarrow C(X)$  such that  $R(A) \cap A \neq \emptyset$  for each  $A$  (we say that  $R$  is *conservative*). In the course of proving the above theorem, it will be shown that only for  $X_0$  and  $X_1$  do there exist conservative hyperspace retractions.

In the final section of the paper, we note the connection between the existence of a hyperspace retraction  $2^X \rightarrow C(X)$  and the existence of a mean for  $C(X)$ , and we give examples of continua  $X$  (from the class of regular half-line compactifications) for which  $C(X)$  does not admit a mean, thereby answering a question of Nadler [7].

## 2. A Necessary Condition

Let  $X$  be any metric continuum, and let  $\rho$  denote the Hausdorff metric on  $2^X$ . We say that  $X$  has the *subcontinuum approximation property* if for each  $\epsilon > 0$  there exists  $\delta > 0$  such that, for all  $L, M \in C(X)$  with  $\rho(L, M) < \delta$ , and for

every subcontinuum  $P \subset M$ , there exist  $P', M' \in C(X)$  with  $\rho(P, P') < \varepsilon$ ,  $\rho(M, M') < \varepsilon$ , and  $L \cup P' \subset M'$ . (In the locally connected case we may of course choose  $M'$  such that  $L \cup M \subset M'$ , but in general  $M$  and  $M'$  will be disjoint.) We will show that this property is a necessary condition for the existence of a hyperspace retraction  $2^X \rightarrow C(X)$ , and that a regular half-line compactification has the property if and only if the remainder is either an arc or a simple closed curve.

In what follows, we shall have occasion to use order arcs and segments in the hyperspaces  $2^X$  and  $C(X)$ . An arc  $\alpha \subset 2^X$  is an *order arc* if for each  $E, F \in \alpha$ , either  $E \subset F$  or  $F \subset E$ . For elements  $A, B \in 2^X$ , there exists an order arc  $\alpha$  with  $\cap \alpha = A$  and  $\cup \alpha = B$  if and only if  $A \subset B$  and each component of  $B$  intersects  $A$ . Every order arc  $\alpha$  can be uniquely parametrized as a *segment*  $\alpha: [0, 1] \rightarrow 2^X$  with respect to a given Whitney map  $\omega: 2^X \rightarrow [0, \infty)$ , i.e.,  $\alpha = \{\alpha(t): 0 \leq t \leq 1\}$ , with  $\alpha(0) = \cap \alpha$ ,  $\alpha(1) = \cup \alpha$ , and  $\omega(\alpha(t)) = (1 - t)\omega(\alpha(0)) + t\omega(\alpha(1))$  for each  $t$ . (Order arcs were first used by Borsuk and Mazurkiewicz [1] to show that  $C(X)$  and  $2^X$  are arcwise connected. Segments were introduced by Kelley [4], who also formulated the necessary and sufficient conditions given above for the existence of an order arc, or segment, from  $A$  to  $B$ .) Let  $\Gamma(X) = \{\alpha \in C(2^X): \alpha \text{ is an order arc or } \alpha = \{A\} \text{ for } A \in 2^X\}$ , and let  $S(\omega)$  be the function space of all segments  $\alpha: [0, 1] \rightarrow 2^X$  (including the constant maps), with the topology of uniform convergence. Then the spaces  $\Gamma(X)$  and

$S(\omega)$  are compact, and the natural correspondence  $\alpha \rightarrow \{\alpha(t) : 0 \leq t \leq 1\}$  is a homeomorphism from  $S(\omega)$  to  $\Gamma(X)$  (for a complete discussion, see [7]). Henceforth, we implicitly use this correspondence wherever convenient. Without confusion, we let  $\rho$  denote both the Hausdorff metric on  $2^X$  and the sup metric on  $S(\omega)$ .

**2.1. Lemma.** *Let  $P, M \in C(X)$ , with  $P \subset M$ . Then for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that, for every  $L \in C(X)$  with  $\rho(L, M) < \delta$ , there exist order arcs  $\alpha \subset 2^X$  and  $\beta \subset C(X)$  with  $\alpha(1) = L$ ,  $\beta(0) = P$ ,  $\beta(1) = M$ , and  $\rho(\alpha, \beta) < \varepsilon$ .*

*Proof.* Suppose that for some  $\varepsilon > 0$  there exists a sequence  $\{L_i\}$  in  $C(X)$  converging to  $M$ , with no  $L_i$  satisfying the required condition. Choose a finite subset  $F \subset P$  such that  $\rho(F, P) < \varepsilon$ . For each  $x \in F$  and each  $i$ , choose  $x_i \in L_i$  and an order arc  $\alpha_{x_i} \subset C(X)$  such that  $x_i \rightarrow x$ ,  $\alpha_{x_i}(0) = \{x_i\}$ , and  $\alpha_{x_i}(1) = L_i$ . Then for each  $i$  let  $\alpha_i$  be the order arc in  $2^X$  defined by  $\alpha_i(t) = U\{\alpha_{x_i}(t) : x \in F\}$ . Thus  $\alpha_i(0) = \{x_i : x \in F\}$  and  $\alpha_i(1) = L_i$ . Since the space  $\Gamma(X)$  is compact, some subsequence of  $\{\alpha_i\}$  must converge to an order arc  $\lambda$  in  $2^X$  with  $\lambda(0) = F$  and  $\lambda(1) = M$ . Define an order arc  $\beta$  in  $C(X)$  by  $\beta(t) = P \cup \lambda(t)$ . Thus  $\beta(0) = P$  and  $\beta(1) = M$ . Since  $\rho(\lambda, \beta) < \varepsilon$ , we have  $\rho(\alpha_i, \beta) < \varepsilon$  for some large  $i$ , contradicting our supposition about the sequence  $\{L_i\}$ .

**2.2. Proposition.** *Let  $X$  be any continuum for which there exists a hyperspace retraction  $2^X \rightarrow C(X)$ . Then  $X$  has the subcontinuum approximation property.*

*Proof.* Suppose  $X$  does not have the property. Then by compactness of  $C(X)$ , there exist  $P, M \in C(X)$  with  $P \subset M$ , and a sequence  $\{L_i\}$  in  $C(X)$  converging to  $M$  such that, for some  $\varepsilon > 0$ , there do *not* exist  $P', M' \in C(X)$  with  $\rho(P, P') < \varepsilon$ ,  $\rho(M, M') < \varepsilon$ , and  $L_i \cup P' \subset M'$  for some  $i$ . Let  $R: 2^X \rightarrow C(X)$  be a retraction. Choose  $0 < \eta < \varepsilon$  such that, for every  $A \in 2^X$  with  $\rho(A, M_0) < \eta$  for some subcontinuum  $M_0 \subset M$ ,  $\rho(R(A), M_0) < \varepsilon$ . By (2.1), for sufficiently large  $i$  there exist order arcs  $\alpha \subset 2^X$  and  $\beta \subset C(X)$  with  $\alpha(1) = L_i$ ,  $\beta(0) = P$ ,  $\beta(1) = M$ , and  $\rho(\alpha, \beta) < \eta$ . Then the continua  $P' = R(\alpha(0))$  and  $M' = \bigcup \{R(\alpha(t)) : 0 \leq t \leq 1\}$  satisfy the conditions  $\rho(P, P') < \varepsilon$ ,  $\rho(M, M') < \varepsilon$ , and  $L_i \cup P' \subset M'$ , contradicting our supposition.

*Note.* The example constructed by Goodykoontz in [2] does not have the subcontinuum approximation property; our proof for (2.2) is a generalization of his argument for the non-existence of a hyperspace retraction.

**2.3. Lemma.** *Let  $\pi: I \rightarrow K$  be a map of an arc onto a Peano continuum which is neither an arc nor a simple closed curve. Then for some subarc  $J \subset I$ ,  $\pi(J)$  is a proper subcontinuum of  $K$  containing a simple triod.*

*Proof.* Let  $\mathcal{L}$  denote the collection of all proper subcontinua of  $K$  which are of the form  $\pi(J)$  for some subarc  $J$ . Since  $K$  is neither an arc nor a simple closed curve, there must be some  $L \in \mathcal{L}$  which is not an arc. Then the Peano continuum  $L$  either contains a simple triod or is a simple closed curve. In either case there exists  $\tilde{L} \in \mathcal{L}$  properly containing  $L$ , and therefore containing a simple triod.



2.4. *Lemma.* Let  $\pi: I \rightarrow T$  be a map of an arc onto a simple triod. Then there exists a subcontinuum  $P \subset T$  such that  $P \neq \pi(J)$  for any subarc  $J \subset I$ .

*Proof.* Choose a sequence  $\{T_n\}$  of triods in  $T$  such that  $T_n \subset \text{int } T_{n+1}$ . Suppose that for each  $n$  there exists a subarc  $J_n \subset I$  with  $\pi(J_n) = T_n$ . We may assume that each endpoint of  $J_n$  is mapped to an endpoint of  $T_n$ . Since for  $m < n$ ,  $T_m \subset \text{int } T_n$ , we must have either  $J_m \cap J_n = \emptyset$  or  $J_m \subset J_n$ . Choose  $\delta > 0$  such that for each  $A \subset I$  with  $\text{diam } A < \delta$  and each  $n$ ,  $\pi(A)$  contains at most one endpoint of  $T_n$ . Since one of the endpoints of  $T_n$  can be the image only of interior points of  $J_n$ , it follows that  $\text{diam } J_n \geq 2\delta$  for each  $n$ . Also, if  $m < n$  and  $J_m \subset J_n$ , then  $\text{diam } J_n \geq \text{diam } J_m + \delta$ . The sequence  $\{J_n\}$  in  $C(I)$  clusters at some nondegenerate  $J$ . But for any pair of distinct arcs  $J_m, J_n$  sufficiently close to  $J$ , it's impossible that either  $J_m \cap J_n = \emptyset$  or  $J_m \subset J_n$ . Thus some  $T_n$  must satisfy the conclusion of the lemma.

2.5. *Proposition.* A regular half-line compactification has the subcontinuum approximation property if and only if the remainder is either an arc or a simple closed curve.

*Proof.* Let  $X = [0, \infty) \cup K$  be the regular half-line compactification corresponding to a periodic surjection  $\pi: [0, \infty) \rightarrow K$ , and let  $I \subset [0, \infty)$  be a subarc such that  $\pi$  goes through at least two complete cycles over  $I$ .

Suppose first that  $K$  is neither an arc nor a simple closed curve. Applying (2.3) to the restriction  $\pi/I$ , we

obtain a proper subcontinuum  $M \subset K$  such that  $M$  contains a simple triod  $T$  and  $M = \pi(J)$  for some subarc  $J \subset I$ . Thus, there exists a sequence  $\{J_i\}$  of subarcs in  $[0, \infty)$  converging to  $M$ , and since  $M \neq K$ , every  $M' \in C(X)$  sufficiently close to  $M$  and containing some  $J_i$  must itself be a subarc of  $[0, \infty)$ . Let  $r: K \rightarrow T$  be any retraction, and apply (2.4) to the map  $r \circ \pi: I \rightarrow T$ . We obtain a subcontinuum  $P \subset T$  such that  $P \neq \pi(I_0)$  for any subarc  $I_0 \subset I$ . Thus, every  $P' \in C(X)$  sufficiently close to  $P$  must lie in  $K$ . It follows that  $X$  does not have the subcontinuum approximation property with respect to the pair  $(M, P)$ .

Now suppose that  $K$  is either an arc or a simple closed curve, and consider any  $P, M \in C(X)$  with  $P \subset M$ . It suffices to verify the subcontinuum approximation property with respect to this pair (see the proof of (2.2)). The property is obvious if either  $M \subset [0, \infty)$  or  $M \supset K$ , so we may suppose that  $M$  is a proper subcontinuum of  $K$  (and therefore an arc). Each  $L \in C(K)$  which is close to  $M$  intersects  $M$ , so in this case we may take  $M' = L \cup M$  and  $P' = P$ . And for any arc  $L \subset [0, \infty)$  close to  $M$ , there is a subarc  $L_0 \subset L$  close to  $P$ , so we may take  $M' = L$  and  $P' = L_0$ . This completes the argument that  $X$  has the subcontinuum approximation property.

It may be of interest to note that the subcontinuum approximation property is implied by property  $[K]$ , which was introduced by Kelley [4] in the study of hyperspace contractibility and which has been used extensively in recent years (see [7]). In the class of regular half-line

compactifications, the only spaces with property [K] are the spaces  $X_0$  and  $X_1$  which admit conservative hyperspace retractions. Thus, the spaces  $X_n$  for  $n > 1$  show that property [K] is *not* necessary for the existence of hyperspace retractions. Whether there is any general relationship between property [K] and the existence of conservative hyperspace retractions remains an open question.

### 3. A Monotonicity Requirement

Let  $X = [0, \infty) \cup K$  be the regular half-line compactification corresponding to a periodic surjection  $\pi: [0, \infty) \rightarrow K$ , and suppose there exists a hyperspace retraction  $2^X \rightarrow C(X)$ . By (2.2) and (2.5), the remainder  $K$  is either an arc or a simple closed curve. In the case that  $K$  is an arc, we say that  $\pi$  is *interior monotone* if, for each arc  $J \subset [0, \infty)$  such that  $\pi(J) \cap \partial K = \emptyset$ , the restriction  $\pi/J$  is monotone (perhaps nonstrictly). A similar definition is made in the case that  $K$  is a simple closed curve, using a covering projection  $(-\infty, \infty) \rightarrow K$ . Specifically, let  $\tilde{\pi}: [0, \infty) \rightarrow (-\infty, \infty)$  be a lift of  $\pi$ , and set  $\tilde{K} = \text{im } \tilde{\pi}$ . We say that  $\tilde{\pi}$  is *interior monotone* if  $\tilde{\pi}/J$  is monotone for each arc  $J \subset [0, \infty)$  such that  $\tilde{\pi}(J) \cap \tilde{K} = \emptyset$ . We will show that  $\pi$ , or  $\tilde{\pi}$ , must be interior monotone. It follows easily that either  $X \approx X_0$  (if  $K$  is an arc), or  $X \approx X_1$  (if  $K$  is a simple closed curve and  $\tilde{K}$  is unbounded), or  $X \approx X_n$  for some  $n > 1$  (if  $\tilde{K}$  is bounded).

We will need the following result concerning the composition semigroup  $\mathcal{S}$  of all self-maps of the interval  $[0, 1]$  which are fixed on the endpoints.

3.1. *Proposition.* For every  $f_1, f_2 \in \mathcal{S}$  and  $\epsilon > 0$ , there exist  $g_1, g_2 \in \mathcal{S}$  such that  $d(f_1 \circ g_1, f_2 \circ g_2) < \epsilon$ .

*Proof.* For each pair  $(m, n)$  of positive integers with  $m \geq n$ , let  $P(m, n)$  denote the finite set of piecewise-linear maps  $f$  in  $\mathcal{S}$  satisfying the following conditions:

- 1) for each  $0 \leq j \leq m$ ,  $f(j/m) = k/n$  for some  $0 \leq k \leq n$ ; and
- 2) for each  $0 \leq j < m$ ,  $|f((j+1)/m) - f(j/m)| \leq 1/n$ , and  $f$  is linear over the interval  $[j/m, (j+1)/m]$ .

Choose  $n$  such that  $1/n < \epsilon/4$ , and choose  $m_1, m_2$  such that  $|f_i(s) - f_i(t)| \leq 1/n$  whenever  $|s - t| \leq 1/m_i$ ,  $i = 1, 2$ . Then there exist maps  $\phi_i \in P(m_i, n)$  with  $d(f_i, \phi_i) \leq 1/n + 1/2n + 1/2n < \epsilon/2$ ,  $i = 1, 2$ . We show that, for some  $m \geq \max\{m_1, m_2\}$ , there exist  $g_1 \in P(m, m_1)$  and  $g_2 \in P(m, m_2)$  with  $\phi_1 \circ g_1 = \phi_2 \circ g_2$  (note that the compositions are members of  $P(m, n)$ ). It then follows that  $d(f_1 \circ g_1, f_2 \circ g_2) < \epsilon$ .

The proof is by induction on  $m_1 + m_2$ . If  $m_1 + m_2 = 2n$  (the least possible value), then  $m_1 = m_2 = n$  and  $\phi_1 = \phi_2 = \text{id}$ . In this case take  $m = n$  and  $g_1 = g_2 = \text{id}$ .

Now assume  $m_1 + m_2 > 2n$ . Suppose first that for some  $j < m_1$ ,  $\phi_1(j/m_1) = \phi_1((j+1)/m_1)$ . Then we may consider the corresponding  $\tilde{\phi}_1 \in P(m_1 - 1, n)$ , obtained topologically by collapsing to a point the arc  $[j/m_1, (j+1)/m_1] \times \phi_1(j/m_1)$  on the graph of  $\phi_1$ . Application of the inductive hypothesis to the pair  $\tilde{\phi}_1, \phi_2$  gives maps  $\gamma_1 \in P(m_0, m_1 - 1)$  and  $\gamma_2 \in P(m_0, m_2)$ , for some  $m_0 \geq \max\{m_1 - 1, m_2\}$ , such that  $\tilde{\phi}_1 \circ \gamma_1 = \phi_2 \circ \gamma_2$ . It's not difficult to see that this implies the corresponding result for the pair  $\phi_1, \phi_2$ . Of

course, the same argument works if  $\phi_2(j/m_2) = \phi_2((j+1)/m_2)$  for some  $j < m_2$ .

Thus, we may suppose that neither  $\phi_i$  is constant on any subinterval. Then there exists a least integer  $k$  for which  $\phi_i(j/m_i) = k/n$  and  $\phi_i((j-1)/m_i) = \phi_i((j+1)/m_i) = (k-1)/n$ , for some  $1 \leq j < m_i$  and  $i = 1, 2$ ; suppose this holds for  $i = 1$ . Consider the corresponding  $\tilde{\phi}_1 \in P(m_1 - 2, n)$ , obtained topologically by identifying the points  $((j-1)/m_1, (k-1)/n)$  and  $((j+1)/m_1, (k-1)/n)$  of the restriction  $\phi_1/[0, (j-1)/m_1] \cup [(j+1)/m_1, 1]$ . Applying the inductive hypothesis to the pair  $\tilde{\phi}_1, \phi_2$ , we obtain maps  $\gamma_1 \in P(m_0, m_1 - 2)$  and  $\gamma_2 \in P(m_0, m_2)$ , for some  $m_0 \geq \max\{m_1 - 2, m_2\}$ , such that  $\tilde{\phi}_1 \circ \gamma_1 = \phi_2 \circ \gamma_2$ . Note that by the choice of  $k$ , if  $\phi_2(i/m_2) = (k-1)/n$ , then either  $\phi_2((i-1)/m_2) = k/n$  or  $\phi_2((i+1)/m_2) = k/n$ . Clearly, the above implies the corresponding result for the pair  $\phi_1, \phi_2$ . This completes the proof of the proposition.

3.2. *Remark.* If  $\sup f_i^{-1}(0) < \inf f_i^{-1}(1)$  for each  $i = 1, 2$ , then there exists  $\delta > 0$  (independent of  $\varepsilon$ ) such that the maps  $g_1, g_2$  may be chosen so that  $\sup(f_i \circ g_i)^{-1}([0, \delta]) < \inf(f_i \circ g_i)^{-1}([1 - \delta, 1])$ ,  $i = 1, 2$ .

3.3. *Theorem.* Let  $X = [0, \infty) \cup K$  be a regular half-line compactification for which there exists a hyperspace retraction  $2^X \rightarrow C(X)$ . Then  $X \approx X_n$  for some  $n = 0, 1, 2, \dots$ .

*Proof.* As observed at the beginning of this section,  $K$  is either an arc or a simple closed curve. We consider first the case that  $K$  is an arc. Suppose  $\pi$  is not interior monotone. Then it's not difficult to see that there exists

a proper subarc  $\sigma$  of  $K$ , with endpoints  $v$  and  $w$ , and points  $t_0, \dots, t_n$  in  $(0, \infty)$ , with  $t_0 < t_1 < \dots < t_n$  and  $n \geq 3$ , such that:

$$1) \pi(t_0) = \pi(t_2) = \dots = v;$$

$$2) \pi(t_1) = \pi(t_3) = \dots = w;$$

3)  $\pi([t_0, t_n]) = \sigma$ , and  $[t_0, t_n]$  is a maximal subinterval in  $[0, \infty)$  with respect to this property; and

4) for each  $i = 1, \dots, n$ , the subsets  $\pi^{-1}(v) \cap [t_{i-1}, t_i]$  and  $\pi^{-1}(w) \cap [t_{i-1}, t_i]$  lie in disjoint subintervals.

An application of (3.1) to the maps  $\pi|_{[t_0, t_1]}$  and  $\pi|_{[t_1, t_2]}$ , suitably re-parametrized, shows that for every  $\epsilon > 0$  there exist maps  $g_1: [0, 1] \rightarrow [t_0, t_1]$  and  $g_2: [0, 1] \rightarrow [t_1, t_2]$  such that  $g_1(0) = t_1 = g_2(0)$ ,  $g_1(1) = t_0$ ,  $g_2(1) = t_2$ , and  $d(\pi g_1(t), \pi g_2(t)) < \epsilon$  for all  $0 \leq t \leq 1$ . Furthermore, we may assume by (3.2) and the above property 4) that, independently of  $\epsilon$ , there exist neighborhoods  $N(v)$  and  $N(w)$  in  $\sigma$  of  $v$  and  $w$  such that for each  $i = 1, 2$ ,  $\sup(\pi \circ g_i)^{-1}(N(w)) < \inf(\pi \circ g_i)^{-1}(N(v))$ .

For maps  $g_1$  and  $g_2$  as above, consider the path  $\alpha: [0, 1] \rightarrow 2^X$  between  $\{t_1\}$  and  $\{t_0, t_2\}$ , defined by  $\alpha(t) = \{g_1(t), g_2(t)\}$ . Let  $R: 2^X \rightarrow C(X)$  be a retraction. If  $\epsilon > 0$  is sufficiently small and  $t_0$  sufficiently large (use the periodicity of  $\pi$ ), then for each  $0 \leq t \leq 1$ ,  $\pi R(\alpha(t))$  is a small diameter continuum lying in some neighborhood of  $\sigma$  which is a proper subset of  $K$ . Since  $U\{R(\alpha(t)) : 0 \leq t \leq 1\}$  is a continuum containing  $R(\alpha(0)) = \{t_1\}$ , this implies that  $U\{R(\alpha(t))\} \subset [0, \infty)$ . Moreover, since  $\sup(\pi \circ g_i)^{-1}(N(w)) < \inf(\pi \circ g_i)^{-1}(N(v))$ , we may assume

$\epsilon$  sufficiently small and  $t_0$  sufficiently large so that  $U\{R(\alpha(t))\} \subset [0, t_3]$ . Thus  $R(\{t_0, t_2\}) = R(\alpha(1)) \subset [0, t_3]$ . In fact, we claim that  $R(\{t_0, t_2\}) \subset [0, t_1)$  for all sufficiently large  $t_0$ . Otherwise, the small diameter continuum  $R(\{t_0, t_2\})$  would lie in the interval  $(t_1, t_3)$ , hence  $R([t, t_0] \cup \{t_2\}) \subset (t_1, t_3)$  for some  $t < t_0$ . But by the maximal nature of  $[t_0, t_n]$ ,  $\pi([t, t_0]) \neq \sigma$ , and since  $R([t, t_0] \cup \{t_2\})$  is arbitrarily close to  $\pi([t, t_0])$  for sufficiently large  $t_0$ , this leads to a contradiction.

By another application of (3.1) we obtain maps  $h_1: [0, 1] \rightarrow [t_0, t_1]$  and  $h_2: [0, 1] \rightarrow [t_2, t_3]$  with  $h_1(0) = t_0$ ,  $h_1(1) = t_1$ ,  $h_2(0) = t_2$ ,  $h_2(1) = t_3$ , and such that the maps  $\pi \circ h_1$  and  $\pi \circ h_2$  are arbitrarily close. As before, we may also assume that  $\sup(\pi \circ h_1)^{-1}(N(v)) < \inf(\pi \circ h_1)^{-1}(N(w))$ . Consideration of the path  $\beta$  in  $2^X$  between  $\{t_0, t_2\}$  and  $\{t_1, t_3\}$ , defined by  $\beta(t) = \{h_1(t), h_2(t)\}$ , shows that  $R(\{t_1, t_3\}) \subset [0, t_2]$ . Continuing in this fashion we obtain  $R(\{t_{n-2}, t_n\}) \subset [0, t_{n-1}]$ . But an argument analogous to that given above for  $R(\{t_0, t_2\})$  shows that  $R(\{t_{n-2}, t_n\}) \subset (t_{n-1}, \infty)$ . This contradiction shows that  $\pi$  must be interior monotone. Clearly, this implies that  $X \approx X_0$ .

In the case that  $K$  is a simple closed curve, the same type of arguments show that the lift  $\tilde{\pi}: [0, \infty) \rightarrow \tilde{K}$ , defined at the beginning of this section, must be interior monotone. If  $\tilde{K} = \text{im } \tilde{\pi}$  is unbounded, then in fact  $\tilde{\pi}$  is monotone and  $X \approx X_1$ . And if  $\tilde{K}$  is bounded, then  $X \approx X_n$  for some  $n > 1$ . Specifically,  $X \approx X_{2n}$  if the interval  $\tilde{K}$  wraps around  $K$

exactly  $n$  times, while  $X \approx X_{2n+1}$  if  $\tilde{K}$  wraps around  $K$   $n$  times plus a fraction.

#### 4. Conservative Hyperspace Retractions

Recall that a retraction  $R: 2^X \rightarrow C(X)$  is *conservative* if  $R(A) \cap A \neq \emptyset$  for each  $A \in 2^X$ . We show that the topologist's sine curve and the circle with a spiral are the only regular half-line compactifications admitting conservative hyperspace retractions.

**4.1. Theorem.** *Let  $X$  be a regular half-line compactification for which there exists a conservative retraction  $R: 2^X \rightarrow C(X)$ . Then either  $X \approx X_0$  or  $X \approx X_1$ .*

*Proof.* We assume that  $X = X(\pi)$ , with  $\pi = \pi_n$  for some  $n > 1$ , and show that this leads to a contradiction; the result then follows from (3.3).

Suppose first that  $n$  is even. Then for every large integer  $k$ ,  $R(\{k, k+1\})$  is a small diameter continuum containing either  $k$  or  $k+1$ , and therefore contained in a small neighborhood in  $[0, \infty)$  of either  $k$  or  $k+1$ . If  $k$  is sufficiently large, then  $\pi R([k - \epsilon, k + \epsilon] \cup \{k+1\})$  must be arbitrarily close to  $\pi([k - \epsilon, k + \epsilon])$ , for each  $\epsilon > 0$ . Since for all sufficiently small  $\epsilon$ ,  $\pi([k - \epsilon, k + \epsilon]) \cap \pi([k+1 - \epsilon, k+1 + \epsilon]) = \{p\}$ , where  $p = (1, 0) \in S$ , consideration of an order arc in  $2^X$  between the elements  $\{k, k+1\}$  and  $[k - \epsilon, k + \epsilon] \cup \{k+1\}$  shows that  $R(\{k, k+1\})$  cannot lie in a small neighborhood of  $k+1$ . An analogous argument involving an order arc between  $\{k, k+1\}$  and  $\{k\} \cup [k+1 - \epsilon, k+1 + \epsilon]$  shows that



$R(\{k, k + 1\})$  cannot lie in a small neighborhood of  $k$ . Thus  $n$  cannot be even.

Now suppose  $n$  is odd. For any large integer  $k$ , set  $k_1 = \inf\{t: t > k \text{ and } \pi(t) = \pi(k)\}$  and  $k_2 = \sup\{t: t < k + 1 \text{ and } \pi(t) = \pi(k + 1)\}$ . Clearly,  $k < k_i < k + 1$  for each  $i = 1, 2$ . Since  $\pi$  is locally 1-1 at each  $k_i$ , but not at  $k$  or  $k + 1$ , arguments analogous to those above show that, for sufficiently large  $k$ ,  $R(\{k, k_1\})$  must lie in a small neighborhood of  $k_1$ , and  $R(\{k_2, k + 1\})$  must lie in a small neighborhood of  $k_2$ . Let  $\alpha: [0, 1] \rightarrow 2^X$  be the path between  $\{k, k_1\}$  and  $\{k_2, k + 1\}$  defined by  $\alpha(t) = \{(1 - t)k + tk_2, (1 - t)k_1 + t(k + 1)\}$ . Note that for each  $0 \leq t \leq 1$ ,  $\pi(\alpha(t))$  is a singleton, and therefore  $R(\alpha(t))$  must lie in a small neighborhood of one of the points of  $\alpha(t)$ . But since for each  $t$  the points of  $\alpha(t)$  remain a constant distance apart, this is inconsistent with the noted properties of  $R(\alpha(0))$  and  $R(\alpha(1))$ . Thus  $n$  cannot be odd, and this completes the proof that  $X$  is homeomorphic to either  $X_0$  or  $X_1$ .

## 5. Construction of Hyperspace Retractions

From this point through section 8,  $X = [0, \infty) \cup K$  will denote one of the regular compactifications  $X_n$ ,  $n \geq 0$ , described in section 1. Thus,  $K$  is either the interval  $I$  or the circle  $S$ . Let  $\pi: X \rightarrow K$  be the retraction defined by the periodic surjection  $\pi_n: [0, \infty) \rightarrow K$ . The construction of a retraction  $R: 2^X \rightarrow C(X)$  is based on the two propositions stated next, whose proofs will be given in sections 7 and 8.

5.1. *Proposition.* *There exists a map  $G: 2^X \rightarrow C(X)$  with the following properties:*

- i)  $G|C(K) = \text{id}$ ;
  - ii) either  $G(A) \supset \pi(A)$  or  $G(A) \subset [0, \infty)$ ;
  - iii)  $G(A) \subset K$  if  $A \cap K \neq \emptyset$ ;
  - iv)  $G(A) \supset K$  if  $A \subset [0, \infty)$  and  $G(A) \supset \pi([\inf A, \sup A])$ ;
- and
- v)  $G(A) \cap (K \cup A) \neq \emptyset$ .

*Remark.* In the cases  $n = 0, 1$ , the above property v) may be strengthened by requiring that  $G(A) \cap A \neq \emptyset$ .

For a given subset  $N$  of  $C(K)$ , let  $\bar{D}$  be the subset of  $C(X) \times C(X)$  defined by  $\bar{D} = \{(M, N) : (M \cup K) \cap N \neq \emptyset, \text{ and either } M \not\supseteq K \supset N \in N \text{ or } M \cap K = \emptyset\}$ .

5.2. *Proposition.* *For some neighborhood  $N \subset C(K)$  of  $K$ , there exists a map  $H: \bar{D} \times [0, 1] \rightarrow C(X)$  satisfying the following conditions, for every  $(M, N) \in \bar{D}$  and  $0 \leq t \leq 1$ :*

- i)  $H(M, N, 0) = M$  and  $H(M, N, 1) = N$ ;
- ii) either  $H(M, N, t) \supset M$  or  $H(M, N, t) \supset N$ ;
- iii)  $H(M, N, t) \subset [r, \infty) \cup K$  if  $M \cup N \subset [r, \infty) \cup K$ ; and
- iv)  $H(M, N, t) \subset [r, s]$  if  $M \cup N \subset [r, s]$  and  $\pi([r, s]) \neq K$ .

5.3. *Theorem.* *For  $X = [0, \infty) \cup K$  as above, there exists a hyperspace retraction  $2^X \rightarrow C(X)$ .*

*Proof.* Let  $F: 2^X \setminus 2^K \rightarrow C(X) \setminus C(K)$  denote the "smallest continuum" retraction, defined by

$$F(A) = \begin{cases} [\inf A, \sup A] & \text{if } A \subset [0, \infty), \\ [\inf(A \cap [0, \infty)), \infty) \cup K & \text{if } A \cap K \neq \emptyset. \end{cases}$$

Define a map  $\theta: 2^X \setminus 2^K \rightarrow [0,1]$  by the formula

$$\theta(A) = \min\{(2/\delta) \cdot \inf(A \cap [0,\infty)) \cdot \rho(\pi(A), \pi(F(A))), 1\},$$

where  $0 < \delta < 1$  is chosen such that  $\{N \in C(K) : \rho(N, K) < \delta\} \subset \eta$ , the neighborhood of  $K$  in  $C(K)$  given by (5.2).

Note that  $\theta(M) = 0$  for all  $M \in C(X) \setminus C(K)$ .

Let  $\mathcal{W} = \{A \in 2^X \setminus 2^K : \text{either } A \subset [0,\infty) \text{ or } \rho(\pi(A), K) < \delta\}$ .

Note that  $\mathcal{W}$  is an open subset of  $2^X$ , and  $C(X) \setminus C(K) \subset \mathcal{W}$ . Let

$G: 2^X \rightarrow C(X)$  and  $H: \bar{D} \times [0,1] \rightarrow C(X)$  be the maps given by

(5.1) and (5.2). The desired retraction  $R: 2^X \rightarrow C(X)$  is

defined by

$$R(A) = \begin{cases} H(F(A), G(A), \theta(A)) & \text{if } A \in \mathcal{W}, \\ G(A) & \text{if } A \in 2^X \setminus \mathcal{W}. \end{cases}$$

We first verify that for each  $A \in \mathcal{W}$ ,  $(F(A), G(A)) \in \bar{D}$ ,

so that  $R$  is well-defined. There are two cases to be considered:

1) Suppose  $A \in 2^X \setminus 2^K$  with  $A \cap K \neq \emptyset$  and  $\rho(\pi(A), K) < \delta$ .

Then  $F(A) \supsetneq K \supset G(A) \supset \pi(A)$ , therefore  $\rho(G(A), K) < \delta$  and  $G(A) \in \mathcal{W}$ . Thus  $(F(A), G(A)) \in \bar{D}$ .

2) Suppose  $A \subset [0,\infty)$ . Then  $F(A) \subset [0,\infty)$ , and

$(F(A) \cup K) \cap G(A) \supset (A \cup K) \cap G(A) \neq \emptyset$ , so again  $(F(A), G(A)) \in \bar{D}$ .

We next verify that  $R/C(X) = \text{id}$ . Since  $R/C(K) =$

$G/C(K) = \text{id}$ , we need only consider  $M \in C(X) \setminus C(K)$ . Then

$\theta(M) = 0$  and  $M \in \mathcal{W}$ , so  $R(M) = H(F(M), G(M), 0) = F(M) = M$ .

It remains to show that  $R$  is continuous. Since  $\mathcal{W}$  is

open in  $2^X$ , we have only to verify continuity of  $R$  at each

$A \in \text{bd } \mathcal{W}$ . Suppose to the contrary that  $R$  is *not* continuous

at some such  $A$ . Then there exists a sequence  $\{A_i\}$  in  $\mathcal{W}$

converging to  $A$ , with no subsequence of  $\{R(A_i)\}$  converging to  $R(A) = G(A)$ . In particular,  $\theta(A_i) \neq 1$  for almost all  $i$ . There are two cases to be considered.

1) Suppose  $A \in 2^K$ . Then  $\inf(A_i \cap [0, \infty)) \rightarrow \infty$ , which together with  $\theta(A_i) \neq 1$  implies that  $\rho(\pi(A_i), \pi(F(A_i))) \rightarrow 0$ . Thus  $F(A_i) \rightarrow A \in C(K)$ , and  $G(A_i) \rightarrow G(A) = A$ . If  $A = K$ , then  $R(A_i) = H(F(A_i), G(A_i), \theta(A_i)) \rightarrow K$  by the properties ii) and iii) of  $H$ , contrary to our choice of  $\{A_i\}$ . Thus  $A \in C(K) \setminus \{K\}$ , and  $A_i \subset [0, \infty)$  for almost all  $i$  since  $F(A_i) \rightarrow A$ .

If  $G(A_i) \cap K \neq \emptyset$  for infinitely many  $i$ , then  $G(A_i) \supset \pi(A_i)$  by the property ii) of  $G$ , and since  $F(A_i) \rightarrow A \neq K$  and  $G(A_i) \rightarrow A$ , it follows that  $G(A_i) \supset \pi(F(A_i))$  for infinitely many  $i$ . By the property iv) of  $G$ ,  $G(A_i) \supset K$ , contradicting the convergence of  $\{G(A_i)\}$  to  $A$ .

On the other hand, if  $G(A_i) \subset [0, \infty)$  for almost all  $i$ , then  $F(A_i) \cap G(A_i) \supset A_i \cap G(A_i) \neq \emptyset$  by the property v) of  $G$ , so for almost all  $i$ ,  $F(A_i) \cup G(A_i) = [r_i, s_i]$ , a subarc of  $[0, \infty)$ . Since both  $\{F(A_i)\}$  and  $\{G(A_i)\}$  converge to  $A \neq K$ ,  $\pi([r_i, s_i]) \neq K$  for almost all  $i$ . Then the properties ii) and iv) of  $H$  imply that  $R(A_i) \rightarrow A = R(A)$ , again contrary to our choice of  $\{A_i\}$ .

2) Suppose  $A \in 2^X \setminus 2^K$ , with  $A \cap K \neq \emptyset$  and  $\rho(\pi(A), K) \geq \delta$ . Then for almost all  $i$ ,  $\pi(F(A_i)) = K$  and  $\rho(\pi(A_i), K) \geq \delta/2$ , yielding  $\theta(A_i) = 1$ , which is impossible. This completes the verification of continuity for  $R$ .

Finally, we note that the retraction  $R$  is conservative if  $G$  is, since for each  $A \in 2^X$ , either  $R(A) \supset F(A) \supset A$  or  $R(A) \supset G(A)$ . Thus, in the cases  $n = 0, 1$  where a conservative

map  $G$  may be chosen, we obtain a conservative hyperspace retraction.

## 6. Admissible Expansions in $K$

As in the previous section,  $X = [0, \infty) \cup K = X_n$  for some  $n \geq 0$ , with  $\pi: X \rightarrow K$  the retraction defined by  $\pi_n$ . We call a map  $e: K \times [0, \infty) \rightarrow C(K)$  an *expansion* if it satisfies the following conditions (for  $A \in 2^K$ ,  $e(A, t) = \bigcup \{e(a, t) : a \in A\}$ ):

- 1)  $e(x, t) \supset e(x, 0) = \{x\}$  for all  $x$  and  $t$ ;
- 2) for every  $0 \leq s < t$ , there exists  $\delta > 0$  such that  $e(e(x, s), \delta) \subset e(x, t)$  for all  $x$ ;
- 3) for every  $A \in 2^K$  and  $\delta > 0$ ,  $e(B, \delta) \supset A$  for all  $B \in 2^K$  sufficiently close to  $A$ ; and
- 4) for every  $A \in 2^K$ ,  $e(A, t) \in C(K)$  for some  $t$ .

An expansion  $e$  is *admissible* if it permits an extension to a map  $\tilde{e}: X \times [0, \infty) \rightarrow C(X)$  satisfying the above condition 1) and such that, for all  $x \in [1, \infty)$  and all  $t$ ,  $\tilde{e}(x, t) \subset [x - 1, x + 1]$  and  $\pi(\tilde{e}(x, t)) = e(\pi(x), t)$ . We refer to  $\tilde{e}$  as a "lift" for  $e$ .

6.1. *Lemma.* *There exists an admissible expansion  $e: K \times [0, \infty) \rightarrow C(K)$ .*

*Proof.* With  $d$  the arc-length metric on  $K$ , we may obtain an expansion by simply setting  $e(x, t) = \{y \in K : d(x, y) \leq t\}$ . However, this "free" expansion is admissible only if  $\pi/(0, \infty)$  is an open map, i.e., only for  $n = 0, 1$ . Thus, for these cases the lemma is trivial, but for  $n > 1$ , some type of "partial" expansion is required.

Suppose then that  $K = S$  and  $n > 1$ . Let  $\omega: (-\infty, \infty) \rightarrow S$  be the covering projection defined by  $\omega(r) = e^{2\pi i r}$ , and let  $\tilde{\pi}: [0, \infty) \rightarrow (-\infty, \infty)$  be a lift of the periodic surjection  $\pi_n: [0, \infty) \rightarrow S$ . Then  $J = \text{im } \tilde{\pi}$  is a compact subinterval with length  $n/2 \geq 1$ . Let  $p, q \in J$  be the points for which  $J = [p - 1, q + 1]$ . For each  $z \in S$ , let  $z_p, z_q \in (0, 1]$  be the unique values for which  $\omega(p - z_p) = z = \omega(q + z_q)$ .

Define maps  $e_p, e_q: S \times [0, \infty) \rightarrow C(S)$  by the formulas

$$\begin{cases} e_p(z, t) = \omega([p - (1 + t)z_p, p - z_p] \cap J), \\ e_q(z, t) = \omega([q + z_q, q + (1 + t)z_q] \cap J). \end{cases}$$

Although the total image function  $z \rightarrow e_p(z \times [0, \infty))$  is discontinuous at  $z = \omega(p)$ , the function  $e_p$  is continuous; similarly for  $e_q$ . These maps may be viewed quite simply. For  $z \in S$ , the restriction  $e_p|_{z \times [0, \infty)}$  is clockwise expansion around  $S$  from  $z$  to  $\omega(p)$ , where  $\omega(p) = \pi(\{0, 2, 4, \dots\}) = (1, 0)$  is the  $\pi$ -projection of those "turning points" in  $[0, \infty)$  where the direction of travel (towards  $\infty$ ) changes from clockwise rotation about  $S$  to counterclockwise rotation. Similarly,  $e_q|_{z \times [0, \infty)}$  is counterclockwise expansion from  $z$  to  $\omega(q)$ , where  $\omega(q) = \pi(\{1, 3, 5, \dots\})$  is the  $\pi$ -projection of those turning points where the direction of travel changes from counterclockwise to clockwise. For even  $n$ ,  $\omega(q) = (1, 0)$ , while for odd  $n$ ,  $\omega(q) = (-1, 0)$ .

We show that the map  $e: S \times [0, \infty) \rightarrow C(S)$ , defined by  $e(z, t) = e_p(z, t) \cup e_q(z, t)$ , is an admissible expansion. The admissibility of  $e$  should already be evident from the above discussion of the maps  $e_p$  and  $e_q$ . It remains to verify the expansion conditions 1) through 4).

Condition 1) is obvious. Condition 2) is satisfied with  $\delta = t - s/(1 + s)$ , since then  $(1 + s)(1 + \delta) = (1 + t)$ . The verification of condition 3) is more involved. The basic observation is that, for all  $y, z \in S$  and  $\delta > 0$ ,

$$i) \begin{cases} z_p/(1 + \delta) \leq y_p \leq z_p \text{ implies } z \in e_p(y, \delta); \\ z_q/(1 + \delta) \leq y_q \leq z_q \text{ implies } z \in e_q(y, \delta). \end{cases}$$

Let  $d$  be the metric on  $S$  defined by  $d(y, z) = \min\{|u - v| : u, v \in (-\infty, \infty) \text{ with } \omega(u) = y \text{ and } \omega(v) = z\}$ .

The above observation i) implies that for all  $y, z$ ,

$$ii) \text{ if } d(y, z) \leq \min\{z_p, z_q\} \cdot \delta/(1 + \delta), \text{ then } z \in e(y, \delta).$$

Let  $m = \min\{(\omega(p))_q, (\omega(q))_p\}$ . Then i) also implies that for all  $y$ ,

$$iii) \begin{cases} \text{if } y_q \leq m\delta/(1 + \delta), \text{ then } e_p(y, \delta) \supset \omega([q, q + y_q]); \\ \text{if } y_p \leq m\delta/(1 + \delta), \text{ then } e_q(y, \delta) \supset \omega([p - y_p, p]). \end{cases}$$

Assuming  $\delta < 1$ , iii) implies that for all  $y, z$ ,

$$iv) \begin{cases} \text{if } d(y, z) \leq z_q/2 \leq m\delta/6, \text{ then } e_p(y, \delta) \supset \omega([q, q + z_q/2]); \\ \text{if } d(y, z) \leq z_p/2 \leq m\delta/6, \text{ then } e_q(y, \delta) \supset \omega([p - z_p/2, p]). \end{cases}$$

We can now verify condition 3). Given  $A \in 2^S$  and  $\delta > 0$ , set  $A_p = x_p/2$ , for some  $x \in A$  such that either  $x_p \leq m\delta/3$  or  $x_p = \min\{a_p : a \in A\}$ ; set  $A_q = y_q/2$ , for some  $y \in A$  such that either  $y_q \leq m\delta/3$  or  $y_q = \min\{a_q : a \in A\}$ . Let  $\eta = \min\{A_p, A_q\} \cdot \delta/(1 + \delta)$ . We claim that for every  $B \in 2^S$  with  $\rho(A, B) < \eta$ ,  $e(B, \delta) \supset A$ . There are three cases to be considered:

a) Consider  $z \in A$  with  $z_p \leq A_p$ . Then  $A_p = x_p/2 \leq m\delta/6$  for some  $x \in A$ . Choose  $y \in B$  with  $d(y, x) < \eta < A_p = x_p/2$ .

By iv),  $e_q(y, \delta) \supset \omega([p - x_p/2, p])$ . Since  $z_p \leq x_p/2$ , we have  $z = \omega(p - z_p) \in \omega([p - x_p/2, p])$ . Thus  $z \in e_q(y, \delta) \subset e(B, \delta)$ .

b) An analogous argument shows that for  $z \in A$  with  $z_q \leq A_q$ ,  $z \in e(B, \delta)$ .

c) Consider  $z \in A$  with  $z_p \geq A_p$  and  $z_q \geq A_q$ . Choose  $y \in B$  with  $d(y, z) < \eta \leq \min\{z_p, z_q\} \cdot \delta/(1 + \delta)$ . By (ii),  $z \in e(y, \delta) \subset e(B, \delta)$ .

We next verify condition 4). Note that for each  $z \in S$ , and sufficiently large  $t$ ,  $e_p(z, t) \supset \omega([p - 1, p - z_p])$ , the arc (possibly degenerate) traversed in the clockwise direction from  $z$  to  $\omega(p)$ . Similarly, for large  $t$ ,  $e_q(z, t) \supset \omega([q + z_q, q + 1])$ , the arc traversed in the counterclockwise direction from  $z$  to  $\omega(q)$ . If  $\omega(p) = \omega(q)$ , then for every  $A \in 2^S$  with  $A \neq \{\omega(p)\}$ ,  $e(A, t) = S$  for large  $t$ . If  $\omega(p) \neq \omega(q)$ , let  $\alpha \subset S$  be the subarc traversed in the clockwise direction from  $\omega(q)$  to  $\omega(p)$ . Then for each  $A \in 2^S$  with  $A \setminus \alpha \neq \emptyset$ ,  $e(A, t) = S$  for large  $t$ , and for  $A \subset \alpha$ ,  $e(A, t) = \alpha$  for large  $t$ . This completes the verification that  $e$  is an expansion. And as remarked earlier,  $e$  is by its construction admissible.

The above lemma will be used in section 8 for the construction of a map  $H$  with the properties specified in (5.2). At present, we apply (6.1) in the case  $n > 1$  to obtain a result which will be essential for the construction in the next section of a map  $G$  with the properties specified in (5.1).



6.2. *Lemma.* Let  $\pi = \pi_n: [0, \infty) \rightarrow S$ ,  $n > 1$ . Then there exists a retraction  $E: 2^S \rightarrow C(S)$  with the following properties:

- i)  $E(A) \supset A$  for each  $A \in 2^S$ ; and
- ii) for each  $A \in 2^S$  and subinterval  $L \subset [0, \infty)$  such that  $A \subset \pi(L) \subset E(A)$ , there exists a subinterval  $M \subset [0, \infty)$  with  $L \subset M$  and  $\pi(M) = E(A)$ .

*Proof.* Let  $e: S \times [0, \infty) \rightarrow C(S)$  be an admissible expansion given by (6.1). For each  $A \in 2^S$ , let  $\tau(A)$  denote the smallest value of  $t$  for which  $e(A, t) \in C(S)$ , and define  $E: 2^S \rightarrow C(S)$  by setting  $E(A) = e(A, \tau(A))$ . Then  $E|C(S) = \text{id}$ , and  $E(A) \supset A$ .

We establish continuity for  $E$  by verifying continuity for the function  $\tau: 2^S \rightarrow [0, \infty)$ . The lower semi-continuity of  $\tau$  is automatic, since  $C(S)$  is closed in  $2^S$  and  $e$  is continuous. Using the expansion properties 2) and 3) of  $e$ , we show that  $\tau$  is upper semi-continuous. Given  $A \in 2^S$  and  $\varepsilon > 0$ , there exists by property 2) a number  $\delta > 0$  such that  $e(e(B, \tau(A)), \delta) \subset e(B, \tau(A) + \varepsilon)$  for all  $B \in 2^S$ . By continuity of  $e$  and property 3), there exists a neighborhood  $\mathcal{U}$  of  $A$  in  $2^S$  such that  $e(e(B, \tau(A)), \delta) \supset e(A, \tau(A))$  for every  $B \in \mathcal{U}$ . Thus,  $e(B, \tau(A) + \varepsilon) \supset e(A, \tau(A))$ . Also, by application of property 3) to each  $\{a\}$ ,  $a \in A$ , we may assume the neighborhood  $\mathcal{U}$  is small enough that for each  $B \in \mathcal{U}$  and  $b \in B$ ,  $e(b, \tau(A) + \varepsilon)$  meets  $A$ . Thus, each component of  $e(B, \tau(A) + \varepsilon)$  meets  $A$ , and since  $A \subset e(A, \tau(A)) \subset e(B, \tau(A) + \varepsilon)$  and  $e(A, \tau(A)) \in C(S)$ , it follows that  $e(B, \tau(A) + \varepsilon) \in C(S)$ . Then  $\tau(B) \leq \tau(A) + \varepsilon$  for every  $B \in \mathcal{U}$ , and  $\tau$  is upper semi-continuous.

It remains to verify the property ii). Given  $A \in 2^S$  and a subinterval  $L \subset [0, \infty)$  such that  $A \subset \pi(L) \subset E(A)$ , we may assume that  $E(A) \neq S$ . Let  $M \supset L$  be a *maximal* subinterval of  $[0, \infty)$  for which  $\pi(M) \subset E(A)$ . We show that  $\pi(M) = E(A)$ . Let  $\tilde{e}: X \times [0, \infty) \rightarrow C(X)$  be a lift for  $e$ . Since  $A \subset \pi(L) \subset \pi(M)$ , we may choose for each  $a \in A$  an element  $\tilde{a} \in M$  with  $\pi(\tilde{a}) = a$ . Set  $N_a = \tilde{e}(\tilde{a}, \tau(A))$ . Then  $N_a$  is a subinterval of  $[0, \infty)$  containing  $\tilde{a}$ , and  $\pi(N_a) = \pi(\tilde{e}(\tilde{a}, \tau(A))) = e(a, \tau(A)) \subset e(A, \tau(A)) = E(A)$ . Since  $\tilde{a} \in M \cap N_a$ ,  $M \cup N_a$  is a subinterval, with  $\pi(M \cup N_a) \subset E(A)$ . By the maximal character of  $M$ , we must have  $N_a \subset M$ . Thus  $E(A) = \bigcup \{e(a, \tau(A)) : a \in A\} = \bigcup \{\pi(N_a) : a \in A\} \subset \pi(M)$ , and  $\pi(M) = E(A)$ .

## 7. Construction of the Map G

We consider first the case  $n > 1$ . Thus,  $K = S$  and  $\pi = \pi_n: [0, \infty) \rightarrow S$ . As in the proof of (6.1), let  $\omega: (-\infty, \infty) \rightarrow S$  be the covering projection defined by  $\omega(r) = e^{2\pi i r}$ , and let  $\tilde{\pi}: [0, \infty) \rightarrow (-\infty, \infty)$  be a lift of  $\pi$ . The desired map  $G: 2^X \rightarrow C(X)$  will be obtained as an extension of the retraction  $E: 2^S \rightarrow C(S)$  given by (6.2).

Let  $\mathcal{U} \subset 2^X$  be the collection of those  $A \in 2^X$  which satisfy the following conditions:

- a)  $A \subset [0, \infty)$ ;
- b)  $E(\pi(A)) \neq S$ ; and
- c)  $E(\pi(A)) \supset \omega([\inf \tilde{\pi}(A), \sup \tilde{\pi}(A)])$ .

Although condition c) by itself defines a closed subspace of  $2^X$ ,  $\mathcal{U}$  is an open subspace. This can be seen from the fact that, since  $E(\pi(A)) \supset \pi(A) = \omega(\tilde{\pi}(A)) \supset \{\omega(\inf \tilde{\pi}(A)), \omega(\sup \tilde{\pi}(A))\}$  for each  $A \in 2^X$ ,  $A$  satisfies conditions b) and

c) if and only if  $E(\pi(A)) \cup \omega([\inf \tilde{\pi}(A), \sup \tilde{\pi}(A)]) \neq S$ . Thus conditions b) and c) together define an open subspace of  $2^X$ , as does condition a), and therefore  $\mathcal{U}$  is open.

We claim that for each  $A \in \mathcal{U}$  and  $x \in A$ , the continuum  $E(\pi(A)) \subset S$  can be "lifted" through  $x$ , i.e., there exists a continuum  $M \subset [0, \infty)$  with  $x \in M$  and  $\pi(M) = E(\pi(A))$ . Suppose  $x \in [i, i+1]$ , for some integer  $i$ ; let  $L \subset [i, i+1]$  be the subinterval such that  $\tilde{\pi}(L) = [\inf \tilde{\pi}(A), \sup \tilde{\pi}(A)]$  (note that  $\tilde{\pi}|_{[i, i+1]}$  is a homeomorphism onto  $\text{im } \tilde{\pi}$ ). Then  $x \in L$ , and  $\pi(A) \subset \pi(L) = \omega(\tilde{\pi}(L)) \subset E(\pi(A))$  since  $A \in \mathcal{U}$ . The property ii) of the retraction  $E$  shows that  $L$  may be expanded to an interval  $M \subset [i, i+1]$  such that  $\pi(M) = E(\pi(A))$ .

In particular, if  $A \in \mathcal{U}$  and  $a = \sup A$  is the point of  $A$  nearest  $S$ , with  $a \in [i, i+1]$ , then there exists a unique interval  $M_i \subset [i, i+1]$  with  $a \in M_i$  and  $\pi(M_i) = E(\pi(A))$ . This permits the construction of a map  $L: \mathcal{U} \rightarrow C(X)$  such that for each  $A \in \mathcal{U}$ ,  $L(A)$  is an "approximate lift" of  $E(\pi(A))$  through the point  $a = \sup A$ . We may construct  $L$  according to the following rules:

- 1)  $L(A) = M_i$  if  $\min\{a - i, i + 1 - a\} \geq 1/a$ ;
- 2)  $L(A) = [i, \max M_i]$  if  $a - i = 1/2a$ , and  $L(A) = [\min M_i, i + 1]$  if  $i + 1 - a = 1/2a$ ;
- 3)  $L(A) = M_{i-1} \cup M_i$  if  $a = i > 0$ , and  $L(A) = M_i \cup M_{i+1}$  if  $a = i + 1$ .

For  $1/2a < a - i < 1/a$  or  $1/2a < i + 1 - a < 1/a$ ,  $L(A)$  is defined so that  $M_i \subset L(A) \subset [i, \max M_i]$  or  $M_i \subset L(A) \subset [\min M_i, i + 1]$ , respectively, and for  $0 < a - i < 1/2a$  or

$0 < i + 1 - a < 1/2a$ ,  $[i, \max M_i] \subset L(A) \subset [\min M_{i-1}, \max M_i]$  or  $[\min M_i, i + 1] \subset L(A) \subset [\min M_i, \max M_{i+1}]$ , respectively.

The key properties of the map  $L$  are that  $\sup A \in L(A) \subset [0, \infty)$  and  $\pi(L(A)) \supset E(\pi(A))$  for each  $A \in \mathcal{U}$ , with  $\inf L(A) \rightarrow \infty$  and  $\rho(\pi(L(A)), E(\pi(A))) \rightarrow 0$  as  $\sup A \rightarrow \infty$ .

The desired map  $G: 2^X \rightarrow C(X)$  is defined over  $\mathcal{U}$  by modifying  $L$  as follows:

- 4)  $G(A) = L(A)$  if  $\rho(E(\pi(A)), S) \geq 1/\sup A$ ;
- 5)  $G(A) = [\inf L(A), \infty) \cup S$  if  $\rho(E(\pi(A)), S) = 1/(2 \sup A)$ ;
- 6)  $G(A) = S$  if  $\rho(E(\pi(A)), S) \leq 1/(4 \sup A)$ .

For  $1/(2 \sup A) < \rho(E(\pi(A)), S) < 1/\sup A$ ,  $G(A)$  is defined so that  $L(A) \subset G(A) \subset [\inf L(A), \infty)$ , and for  $1/(4 \sup A) < \rho(E(\pi(A)), S) < 1/(2 \sup A)$ ,  $S \subset G(A) \subset [\inf L(A), \infty) \cup S$ .

Note that for  $A \in \mathcal{U}$ , either  $G(A) \cap S = \emptyset$  or  $G(A) \supset S$ , and  $G(A) \cap (A \cup S) \neq \emptyset$ .

Finally,  $G$  is defined over  $2^X \setminus \mathcal{U}$  by the formula  $G(A) = E(\pi(A))$ . Since  $\mathcal{U}$  is open, it suffices to verify continuity of  $G$  at each  $B \in \text{bd } \mathcal{U}$ . Note that, since the condition c) in the definition of  $\mathcal{U}$  is automatically satisfied by each  $B \in \text{bd } \mathcal{U}$ , we must have either  $E(\pi(B)) = S$  or  $B \cap S \neq \emptyset$ , otherwise  $B \in \mathcal{U}$ . If  $G(B) = E(\pi(B)) = S$ , then for any  $A \in \mathcal{U}$  near  $B$ , either  $G(A) = S$  by virtue of rule 6) above, or  $1/(4 \sup A) < \rho(E(\pi(A)), S)$ , in which case both  $L(A)$  and  $G(A)$  are near  $S$ . If  $E(\pi(B)) \neq S$  and  $B \cap S \neq \emptyset$ , then for any  $A \in \mathcal{U}$  near  $B$ ,  $L(A)$  is near  $E(\pi(B))$  and  $1/\sup A \leq \rho(E(\pi(A)), S)$ , hence  $G(A) = L(A)$  is near  $G(B) = E(\pi(B))$ . Thus  $G$  is a map.

We next verify that  $G$  has the required properties i) through v) of (5.1). Since  $G$  extends  $E$ , property i) is clear. Since either  $G(A) \cap S = \emptyset$ ,  $G(A) \supset S$ , or

$G(A) = E(\pi(A)) \supset \pi(A)$ , property ii) is satisfied. Property iii) is immediate from the definition of  $G$  over  $2^X \setminus \mathcal{U}$ . Property iv) is clear if  $A \in \mathcal{U}$ . On the other hand, if  $A \subset [0, \infty)$  with  $A \notin \mathcal{U}$  and  $G(A) = E(\pi(A)) \neq S$ , then  $E(\pi(A)) \not\supset \omega([\inf \tilde{\pi}(A), \sup \tilde{\pi}(A)])$ . However, this contradicts the hypothesis that  $G(A) \supset \pi([\inf A, \sup A]) = \omega(\tilde{\pi}([\inf A, \sup A]))$ , since  $\tilde{\pi}([\inf A, \sup A]) \supset [\inf \tilde{\pi}(A), \sup \tilde{\pi}(A)]$ . Finally, property v) has been previously noted for  $A \in \mathcal{U}$ , and is obvious for  $A \in 2^X \setminus \mathcal{U}$ . This completes the proof of (5.1) in the case  $n > 1$ .

In the cases  $n = 0, 1$ , a streamlined version of the above construction yields a conservative map  $G: 2^X \rightarrow C(X)$  with the required properties. For either  $K = I$  or  $K = S$ , let  $E: 2^K \rightarrow C(K)$  be any retraction such that  $E(A) \supset A$  for each  $A \in 2^K$ . Let  $\mathcal{V} = \{A \in 2^X: A \subset [0, \infty)\}$ . As above, an approximate lifting map  $L: \mathcal{V} \rightarrow C(X)$  may be constructed such that for each  $A \in \mathcal{V}$ ,  $\sup A \in L(A) \subset [0, \infty)$  and  $\pi(L(A)) \supset E(\pi(A))$ , with  $\inf L(A) \rightarrow \infty$  and  $\rho(\pi(L(A)), E(\pi(A))) \rightarrow 0$  as  $\sup A \rightarrow \infty$ . In fact, for  $n = 0$ ,  $L$  is constructed in the same manner as above for  $n > 1$ . For  $n = 1$ ,  $L$  is constructed such that  $L(A) \subset [0, \infty)$  is the unique lift of  $E(\pi(A))$  through  $a = \sup A$  if  $\rho(E(\pi(A)), S) \geq 1/a$ ;  $a \in L(A) \subset [a - 2, a + 2]$  with  $\pi(L(A)) \supset E(\pi(A))$  if  $0 < \rho(E(\pi(A)), S) < 1/a$ ; and  $L(A) = [a - 2, a + 2]$  if  $E(\pi(A)) = S$ .

In either case,  $L$  extends to a map  $G: 2^X \rightarrow C(X)$  by the formula  $G(A) = E(\pi(A))$  for  $A \in 2^X \setminus \mathcal{V}$ . Properties i) and iii) are immediate from the definition of  $G$ . Property ii) is a

consequence of the fact that  $E(\pi(A)) \supset \pi(A)$ , and that  $G(A) \subset [0, \infty)$  when  $A \subset [0, \infty)$ . Property iv) is satisfied vacuously. And finally,  $G(A) \cap A \neq \emptyset$  for all  $A \in 2^X$ , since  $G(A) = E(\pi(A)) \supset \pi(A)$  if  $A \cap K \neq \emptyset$ , and  $G(A) = L(A) \ni \sup A$  if  $A \cap K = \emptyset$ .

## 8. Construction of the Map H

Let  $e: K \times [0, \infty) \rightarrow C(K)$  be an admissible expansion given by (6.1). Set  $\mathcal{N} = \{N \in C(K) : e(N, t) = K \text{ for some } t\}$ . By the expansion property 3),  $\mathcal{N}$  is a neighborhood of  $K$ .

The domain  $\mathcal{D} \subset C(X) \times C(X)$  of  $H$  can be partitioned into four subdomains as follows:

$$\mathcal{D}_1 = \{(M, N) : M \not\supset K \supset N \in \mathcal{N}\};$$

$$\mathcal{D}_2 = \{(M, N) : M \cap K = \emptyset \text{ and } N \subset K\};$$

$$\mathcal{D}_3 = \{(M, N) : M \cap K = \emptyset \text{ and } N \not\supset K\}; \text{ and}$$

$$\mathcal{D}_4 = \{(M, N) : M \cap K = \emptyset = N \cap K \text{ and } M \cap N \neq \emptyset\}.$$

We will define  $H$  separately over each  $\mathcal{D}_i \times [0, 1]$ .

For  $(M, N) \in \mathcal{D}_1$ , set

$$\begin{cases} H(M, N, t) = M, & 0 \leq t \leq 1/4; \\ H(M, N, t) = K, & 1/2 \leq t \leq 3/4; \text{ and} \\ H(M, N, 1) = N. \end{cases}$$

Use the natural path in  $C(X)$  from  $M$  to  $K$  to define  $H(M, N, t)$  for  $1/4 \leq t \leq 1/2$ , and reverse the  $e$ -expansion  $\{e(N, t) : 0 \leq t < \infty\}$  of  $N$  to  $K$  to define  $H(M, N, t)$  for  $3/4 \leq t \leq 1$ .

For  $(M, N) \in \mathcal{D}_2$ , let  $N^* = e(N, \sup M)$ ; then  $N \subset N^* \in C(K)$ . Set

$$\begin{cases} H(M,N,0) = M; \\ H(M,N,1/4) = [\inf M, \infty) \cup K; \\ H(M,N,1/2) = K; \\ H(M,N,3/4) = N^*; \text{ and} \\ H(M,N,1) = N. \end{cases}$$

Use the natural paths in  $C(X)$  to define  $H(M,N,t)$  for  $0 \leq t \leq 1/4$  and  $1/4 \leq t \leq 1/2$ ; reverse the free expansion (via an arc-length metric) in  $C(K)$  from  $N^*$  to  $K$  to define  $H(M,N,t)$  for  $1/2 \leq t \leq 3/4$ ; and reverse the e-expansion from  $N$  to  $N^*$  to define  $H(M,N,t)$  for  $3/4 \leq t \leq 1$ .

For  $(M,N) \in \bar{D}_3$ , set

$$\begin{cases} H(M,N,0) = M; \\ H(M,N,1/4) = [\inf M, \infty) \cup K; \\ H(M,N,1/2) = [\max\{\inf M, \inf N\}, \infty) \cup K; \text{ and} \\ H(M,N,t) = N, \quad 5/8 \leq t \leq 1. \end{cases}$$

Use the natural paths in  $C(X)$  to define  $H(M,N,t)$  for all other  $t$ .

Define an index map  $\tau: \bar{D}_4 \rightarrow [0, \infty)$  by the formula  $\tau(M,N) = \max\{\inf N - \inf M - 2, 0\} \cdot \rho(\pi(N), K)$ . For  $(M,N) \in \bar{D}_4$ , let  $N^* = \tilde{e}(N, \tau(M,N))$ , where  $\tilde{e}$  is a lift for  $e$ . Then  $N^* \in C(X)$ , with  $N \subset N^* \subset [\inf N - 1, \sup N + 1]$ . Set

$$\begin{cases} H(M,N,0) = M; \\ H(M,N,1/4) = [\inf M, \max\{\sup M, \sup N^*\}]; \\ H(M,N,1/2) = [\max\{\inf M, \inf N^*\}, \max\{\sup M, \sup N^*\}]; \\ H(M,N,5/8) = [\inf N^*, \max\{\sup M, \sup N^*\}]; \\ H(M,N,3/4) = N^*; \text{ and} \\ H(M,N,1) = N. \end{cases}$$

Use the natural paths in  $C(X)$  to complete the definition of  $H(M,N,t)$  for  $0 \leq t \leq 3/4$ , and reverse the  $\tilde{e}$ -expansion from  $N$  to  $N^*$  to define  $H(M,N,t)$  for  $3/4 \leq t \leq 1$ .

We now verify that  $H$  is a map. For  $i \neq j$ ,  $\bar{\partial}_i \cap \bar{\partial}_j \neq \emptyset$  only if  $(i,j) = (1,2), (1,3), (1,4), (2,3)$ , or  $(3,4)$ . Since each restriction  $H/\bar{\partial}_i \times [0,1]$  is continuous, it suffices to check continuity of  $H$  at boundary points in the above cases. Considering first the case  $(i,j) = (1,2)$ , let  $(M_k, N_k)$  be a sequence in  $\bar{\partial}_2$  converging to  $(M,N) \in \bar{\partial}_1$ . Then  $\sup M_k \rightarrow \infty$ , and since  $N_k \rightarrow N \in \mathbb{N}$ , we have  $N_k^* = K$  for almost all  $k$  (use continuity of  $e$ , and the expansion properties 2) and 3)). It follows that  $H(M_k, N_k, t_k) \rightarrow H(M,N,t)$  whenever  $t_k \rightarrow t$ . The cases  $(i,j) = (1,3)$  or  $(2,3)$  are routine. Consider a sequence  $(M_k, N_k)$  in  $\bar{\partial}_4$  converging to  $(M,N) \in \bar{\partial}_1$ . Then if  $N \neq K$ ,  $\tau(M_k, N_k) \rightarrow \infty$  and  $N_k^* \rightarrow K$ ; if  $N = K$ , obviously  $N_k^* \rightarrow K$ . This implies that  $H(M_k, N_k, t_k) \rightarrow H(M,N,t)$  whenever  $t_k \rightarrow t$ . Finally, consider a sequence  $(M_k, N_k)$  in  $\bar{\partial}_4$  converging to  $(M,N) \in \bar{\partial}_3$ . Then  $\pi(N_k) = K$  for almost all  $k$ , hence  $\tau(M_k, N_k) = 0$  and  $N_k^* = N_k$ , implying that  $H(M_k, N_k, t_k) \rightarrow H(M,N,t)$  whenever  $t_k \rightarrow t$ . This completes the verification of continuity for  $H: \bar{\partial} \times [0,1] \rightarrow C(X)$ .

Clearly,  $H$  satisfies the required conditions i) and ii) of (5.2). Conditions iii) and iv) are also clear, except possibly for  $(M,N) \in \bar{\partial}_4$  with  $N^* \neq N$ . However,  $N^* \neq N$  implies  $\tau(M,N) > 0$ , which implies that  $\inf N \geq \inf M + 2$ . Then  $\inf N^* \geq \inf N - 1 \geq \inf M$ , and condition iii) is satisfied. And,  $\text{diam}(M \cup N) \geq 2$  implies that  $\pi(M \cup N) = K$ , so condition iv) is satisfied vacuously. This completes the proof of (5.2).



## 9. Means and Pseudo-Means

Let  $Y$  be a continuum. A map  $\lambda: Y \times Y \rightarrow Y$  is called a *mean* if  $\lambda(x,y) = \lambda(y,x)$  and  $\lambda(y,y) = y$  for all  $x,y \in Y$ . A map  $\lambda: Y \times Y \rightarrow C(Y)$  with the same properties is called a *pseudo-mean* for  $Y$  [7].

Every hyperspace  $2^X$  admits a mean: define  $\lambda(A,B) = A \cup B$ . If there exists a retraction  $2^X \rightarrow C(X)$ , then  $C(X)$  also admits a mean, and  $X$  admits a pseudo-mean. Thus we have yet another necessary condition for the existence of a hyperspace retraction. In this section we describe examples from the class of regular half-line compactifications which show that the existence of a pseudo-mean neither implies nor is implied by the subcontinuum approximation property of section 2, and that both conditions together are still not sufficient for the existence of a hyperspace retraction. Recall that a regular compactification  $X = [0,\infty) \cup K$  has the subcontinuum approximation property if and only if the remainder  $K$  is either an arc or a simple closed curve. We do not know in general which regular compactifications admit pseudo-means.

9.1. *Example.* Let  $\pi: [0,\infty) \rightarrow I$  be the periodic surjection defined as follows:

- i)  $\pi(k) = 0$  if  $k$  is an odd integer;
- ii)  $\pi(k) = 1$  if  $k \equiv 2, 4 \pmod{6}$ ;
- iii)  $\pi(k) = -1$  if  $k \equiv 6 \pmod{6}$ ; and
- iv)  $\pi$  is linear over each interval  $[k, k+1]$ .

Then for  $X = X(\pi)$ , no retraction  $2^X \rightarrow C(X)$  exists, since  $X \not\approx X_0$ ; nonetheless, a pseudo-mean may be constructed for  $X$ , and in fact  $C(X)$  admits a mean.

9.2. *Example.* Let  $\pi: [0, \infty) \rightarrow I$  be the periodic surjection defined by:

- i)  $\pi(k) = 0$  if  $k$  is odd;
- ii)  $\pi(k) = 1$  if  $k \equiv 2, 4 \pmod{8}$ ;
- iii)  $\pi(k) = -1$  if  $k \equiv 6, 8 \pmod{8}$ ; and
- iv)  $\pi$  is linear over each interval  $[k, k+1]$ .

Then  $X = X(\pi)$  does not admit a pseudo-mean.

*Proof.* Suppose there exists a pseudo-mean  $\lambda: X \times X \rightarrow C(X)$ . Let  $k$  denote an integer of the form  $8n + 2$ . Then consideration of  $\lambda(k - t, k + t)$ , for  $0 \leq t \leq 1$  and large  $n$ , shows that either  $\lambda(k - 1, k + 1) \approx$  (approximates)  $\{k - 1\}$  or  $\lambda(k - 1, k + 1) \approx \{k + 1\}$ . Similarly, either  $\lambda(k + 1, k + 3) \approx \{k + 1\}$  or  $\lambda(k + 1, k + 3) \approx \{k + 3\}$ . If  $\lambda(k - 1, k + 1) \approx \{k - 1\}$ , then  $\lambda(k, k + 2) \approx \{k\}$ ; if  $\lambda(k + 1, k + 3) \approx \{k + 3\}$ , then  $\lambda(k, k + 2) \approx \{k + 2\}$ . Thus, either  $\lambda(k - 1, k + 1) \approx \{k + 1\}$  or  $\lambda(k + 1, k + 3) \approx \{k + 1\}$ . Letting  $n \rightarrow \infty$ , we see by continuity of  $\lambda$  that, for every  $s \in I \subset X$  and the point  $0 \in I$ , either  $\lambda(0, s) \subset [0, 1]$  or  $1 \in \lambda(0, s')$  for some  $s'$  between 0 and  $s$ . (Suppose that  $\lambda(k - 1, k + 1) \approx \{k + 1\}$  for infinitely many  $k$  as above. Then for every  $r \in [k - 2, k]$ , either  $\lambda(r, k + 1) \subset [k, k + 2]$  or  $\lambda(r', k + 1) \cap \{k, k + 2\} \neq \emptyset$  for some  $r'$  between  $k - 1$  and  $r$ . Note that  $\pi(k - 2) = -1$ ,  $\pi(k - 1) = \pi(k + 1) = 0$ , and  $\pi(k) = \pi(k + 2) = 1$ . An analogous argument shows that either  $\lambda(k + 3, k + 5) \approx \{k + 5\}$  or

$\lambda(k + 5, k + 7) \approx \{k + 5\}$ , which implies that for every  $s \in I$ , either  $\lambda(0, s) \subset [-1, 0]$  or  $-1 \in \lambda(0, s')$  for some  $s'$  between 0 and  $s$ . Consequently,  $\lambda(0, s) = \{0\}$  for every  $s \in I$ . However, this implies that  $\lambda(k - 1, k) \approx \{k - 1\} \approx \lambda(k - 1, k + 1)$  and also that  $\lambda(k, k + 1) \approx \{k + 1\} \approx \lambda(k - 1, k + 1)$ , a contradiction. Thus  $X$  does not admit a pseudo-mean.

9.3. *Example.* Let  $T$  be a triod, with branch point  $v$  and endpoints  $e_1, e_2$ , and  $e_3$ , and let  $\pi: [0, \infty) \rightarrow T$  be the periodic surjection defined as follows:

- i)  $\pi(k) = v$  if  $k$  is odd;
- ii)  $\pi(k) = e_1$  if  $k \equiv 4 \pmod{8}$ ;
- iii)  $\pi(k) = e_2$  if  $k \equiv 2, 6 \pmod{8}$ ;
- iv)  $\pi(k) = e_3$  if  $k \equiv 8 \pmod{8}$ ; and
- v)  $\pi$  is linear over each interval  $[k, k + 1]$ .

Let  $X = X(\pi)$ . It can be shown that  $C(X)$  admits a mean.

9.4. *Example.* For  $T$  as above, let  $\pi: [0, \infty) \rightarrow T$  be the periodic surjection defined by:

- i)  $\pi(k) = v$  if  $k$  is odd;
- ii)  $\pi(k) = e_1$  if  $k \equiv 2 \pmod{6}$ ;
- iii)  $\pi(k) = e_2$  if  $k \equiv 4 \pmod{6}$ ;
- iv)  $\pi(k) = e_3$  if  $k \equiv 6 \pmod{6}$ ; and
- v)  $\pi$  is linear over each interval  $[k, k + 1]$ .

Then  $X = X(\pi)$  does not admit a pseudo-mean.

*Proof.* Suppose there exists a pseudo-mean  $\lambda$ . Let  $k$  denote an integer of the form  $6n + 1$ . Consideration of  $\lambda(k, k + t)$  and  $\lambda(k + 2, k + 2 - t)$ , for  $0 \leq t \leq 1$  and

large  $n$ , shows that  $\lambda$  must have the following property with respect to  $e_1$ : for each  $x \in [v, e_1]$ , either  $\lambda(v, x) \subset [v, e_1]$  or  $e_1 \in \lambda(v, x')$  for some  $x'$  between  $v$  and  $x$ . Of course,  $\lambda$  has the analogous properties with respect to  $e_2$  and  $e_3$ .

Now, consideration of  $\lambda(k + 1 - t, k + 1 + t)$ , for  $0 \leq t \leq 1$  and  $k = 6n + 1$  as above, shows that for large  $n$ , either  $\lambda(k, k + 2) \approx \{k\}$  or  $\lambda(k, k + 2) \approx \{k + 2\}$ . We may suppose the former (for infinitely many  $n$ ). Then consideration of  $\lambda(k, k + 2 + t)$ , for  $0 \leq t \leq 1$ , together with the above property of  $\lambda$  with respect to  $e_2$ , shows that  $\lambda(v, x) = \{v\}$  for each  $x \in [v, e_2]$ . But this implies that  $\lambda(k + 2, k + 3) \approx \{k + 2\} \approx \lambda(k + 2, k + 4)$  and also that  $\lambda(k + 4, k + 3) \approx \{k + 4\} \approx \lambda(k + 4, k + 2)$ , a contradiction. Thus  $X$  does not admit a pseudo-mean.

There also exist regular compactifications

$X = [0, \infty) \cup S$  similar to the above examples. Let

$\pi: [0, \infty) \rightarrow S$  be the periodic surjection defined by

$\pi(t) = e^{i\pi t}$ ,  $0 \leq t \leq 3 \pmod{4}$ , and  $\pi(t) = e^{-i\pi t}$ ,  $3 \leq t \leq 4 \pmod{4}$ . Then for  $X = X(\pi)$ ,  $C(X)$  admits a mean. On the

other hand, there exist periodic surjections  $[0, \infty) \rightarrow S$  for which the corresponding compactifications do not admit

pseudo-means. An example is the map  $\pi$  defined by

$\pi(t) = e^{i2\pi t}$ ,  $0 \leq t \leq 2 \pmod{3}$ , and  $\pi(t) = e^{-i2\pi t}$ ,  $2 \leq t \leq 3 \pmod{3}$ .

If there exists a *conservative* retraction  $2^X \rightarrow C(X)$ , then there exists a *conservative* pseudo-mean  $\lambda: X \times X \rightarrow C(X)$ , i.e.,  $\lambda(x, y) \cap \{x, y\} \neq \emptyset$  for all  $x, y$ . It can be shown that

a regular compactification  $X = [0, \infty) \cup K$  admits a conservative pseudo-mean only if  $X$  is homeomorphic to either  $X_0$  or  $X_1$ . Thus, in the class of regular half-line compactifications, the existence of a conservative pseudo-mean is equivalent to the existence of a conservative hyperspace retraction. It seems unlikely that this would hold in general, but we do not have a counterexample.

### References

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