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# ON THE SUBGROUPS OF THE FUNDAMENTAL GROUP AND THE REPRESENTATIONS

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## 1. Introduction

Let  $X$  be a compact, connected ANR. Let  $E(X)$  and  $H(X)$  be the space of self-homotopy equivalences and the group of homeomorphisms of  $X$ , respectively. Let  $\gamma: E(X) \rightarrow X$  and  $\gamma': H(X) \rightarrow X$  be the evaluation maps at  $x \in X$ . Then  $\gamma$  and  $\gamma'$  induce  $\gamma_{\#}: \pi_1(E(X), id) \rightarrow \pi_1(X, x)$  and  $\gamma'_{\#}: \pi_1(H(X), id) \rightarrow \pi_1(X, x)$  such that if  $i: H(X) \rightarrow E(X)$  denotes the inclusion map, then we have the following commutative diagram

$$\begin{array}{ccc} \pi_1(H(X), id) & \xrightarrow{i_{\#}} & \pi_1(E(X), id) \\ \gamma'_{\#} \searrow & & \swarrow \gamma_{\#} \\ & \pi_1(X, x) & \end{array}$$

McCarty [6] has shown that  $\gamma'_{\#}(\pi_1(H(X), id))$  lies in the center  $Z(\pi_1(X, x))$  and each element  $\alpha \in \gamma'_{\#}(\pi_1(H(X), id))$  acts trivially on  $\pi_k(X, x)$  for all  $k \geq 1$  if  $X$  is an admissible space, i.e.,  $X$  is at least locally compact, locally connected and Hausdorff. Therefore, the natural question is whether  $\alpha \in \gamma'_{\#}(\pi_1(H(X), id))$  if any  $\alpha \in \pi_1(X, x)$  acts trivially on  $\pi_k(X, x)$ , for all  $k \geq 1$ . This question arose while I was studying the Nielsen fixed point theorems, which heavily depend on the structure of the fundamental group [1].

All those elements  $\alpha \in \pi_1(X, x)$  which act trivially on  $\pi_k(X, x)$  are called  $k$ -simple elements of  $\pi_1(X, x)$  [4]. Then our question can be rephrased as: if  $\alpha \in \pi_1(X, x)$   $k$ -simple for all  $k \geq 1$ , then is  $\alpha \in \gamma'_{\#}(\pi_1(H(X), id))$ ?

Let  $P(X, x) = \{\alpha \in \pi_1(X, x) \mid \alpha \text{ is } k\text{-simple for all } k \geq 1\}$ . Then  $P(X, x) \subset Z(\pi_1(X, x))$ , the center of  $\pi_1(X, x)$ . In 1965, Gottlieb [3] showed that if  $X$  is aspherical, then  $\gamma_{\#}(\pi_1(E(X), id)) \approx P(X, x) \approx Z(\pi_1(X, x))$ . Now our question can be rephrased as follows: if  $X$  is aspherical then under what conditions  $\gamma'_{\#}(\pi_1(H(X), id)) \approx P(X, x) \approx Z(\pi_1(X, x))$ , that is, whether  $\gamma'_{\#}$  hits the center.

Let  $E(X, x)$  and  $H(X, x)$  be the based self-homotopy equivalences and the based homeomorphisms at  $x \in X$ . For any homeomorphism  $g \in H(X, x)$ , we have an induced automorphism  $g_{\#}: \pi_k(X, x) \rightarrow \pi_k(X, x)$ . If  $g$  is based homotopic to  $g'$  then the induced automorphisms agree, i.e.,  $g_{\#} = g'_{\#}$ , and yield a representation

$$\psi: \pi_0(H(X, x), id) \rightarrow \text{Aut}(\pi_k(X, x)).$$

We answer the above question in the following form. Let  $X = M$  be a closed, connected aspherical manifold. Then  $\gamma'_{\#}$  hits the center if and only if the representation  $\psi: \pi_0(H(M, x), id) \rightarrow \text{Aut}(\pi_1(M, x))$  is faithful. This implies that if  $\pi_1(M, x)$  is centerless then the representation  $\psi$  is faithful. At the end we will give some examples satisfying our hypothesis.

Finally I would like to thank Gottlieb for his comments made on the original version of this paper.

## 2. On the Homeomorphism Groups and Representations

Let  $X = M$  be a closed, connected aspherical manifold. A manifold  $M$  is called aspherical if its universal covering space  $\tilde{M}$  is contractible, i.e.,  $M$  is a  $K(\pi, 1)$ -space. As before, let  $E(M)$  and  $H(M)$  be the self-homotopy equivalences

and the based homeomorphisms at  $x \in M$  respectively. The evaluation maps  $\gamma: E(M) \rightarrow M, \gamma': H(M) \rightarrow M$  defined by  $\gamma(h) = h(x)$  and  $\gamma'(g) = g(x)$  at  $x \in M$  are fiberings [2], [6], and we have the following fiber-homotopy commutative diagram:

$$\begin{array}{ccccccc} \cdots \rightarrow \pi_1(H(M), id) & \xrightarrow{\gamma'_\#} & \pi_1(M, x) & \xrightarrow{d_\#^1} & \pi_0(H(M, x), id) & \rightarrow & \pi_0(H(M), id) \rightarrow 0 \\ & \downarrow & \downarrow & & \downarrow & & \downarrow \\ \cdots \rightarrow \pi_1(E(M), id) & \xrightarrow{\gamma_\#} & \pi_1(M, x) & \xrightarrow{d_\#^0} & \pi_0(E(M, x), id) & \rightarrow & \pi_0(E(M), id) \rightarrow 0 \end{array}$$

*Lemma 1.* Let  $M$  be a closed, connected aspherical manifold. If the induced homomorphism  $0i_\#^1: \pi_0(H(M, x), id) \rightarrow \pi_0(E(M, x), id)$  is a monomorphism then  $1i_\#^1: \pi_1(H(M), id) \rightarrow \pi_1(E(M), id)$  is an epimorphism.

*Proof.* From the Gottlieb theorem [3], we know  $\pi_1(H(M, x), id) = 0$  and  $\pi_1(E(M, x), id) = 0$ , and we have the following commutative diagram:

$$\begin{array}{ccccccc} 0 \rightarrow \pi_1(H(M), id) & \rightarrow & \pi_1(M, x) & \rightarrow & \pi_0(H(M, x), id) & \rightarrow & \pi_1(H(M), id) \rightarrow 0 \\ & 1i_\#^1 \downarrow & & \downarrow \parallel & 0i_\#^1 \downarrow & & \downarrow \\ 0 \rightarrow \pi_1(E(M), id) & \rightarrow & \pi_1(M, x) & \rightarrow & \pi_0(E(M, x), id) & \rightarrow & \pi_0(E(M), id) \rightarrow 0 \end{array}$$

We can see that  $1i_\#^1$  is a monomorphism, since it factors through

$$\begin{array}{ccc} 0 \rightarrow \pi_1(H(M), id) & \xrightarrow{r'_\#} & \pi_1(M, x) \\ & 1i_\#^1 \downarrow & \uparrow r_\# \\ & 0 \rightarrow \pi_1(E(M), id) & \end{array}$$

Now by diagram chasing, i.e., by the Weak Four Lemma [7], we know that  $1i_\#^1$  onto if  $0i_\#^1$  is a monomorphism.

*Corollary 2.*  $\gamma'_\#(\pi_1(H(M), id)) \cong Z(\pi_1(M, x))$  if  $0i_\#^1$  is a monomorphism.

*Proof.* Since  $\gamma_{\#}(E(M), id) \simeq Z(\pi_1(M, x))$  [3] and  $1i_{\#}$  is onto from the lemma 1, we have the result.

*Lemma 3.* Let  $M$  be a closed, connected aspherical manifold such that  $\pi_0(H(M), id) \rightarrow \pi_0(E(M), id)$  is a monomorphism. If  $1i_{\#}$  is an epimorphism then  $0i_{\#}: \pi_0(H(M, x), id) \rightarrow \pi_0(E(M, x), id)$  is a monomorphism.

*Proof.* This lemma again follows from diagram chasing. This time we apply The Five Lemma [7]. Note that  $0i_{\#}$  is an epimorphism if  $\pi_0(H(M), id) \rightarrow \pi_0(E(M), id)$  is onto.

*Corollary 4.* With the hypothesis of lemma 3, we have a representation  $\psi: \pi_0(H(M, x), id) \rightarrow \text{Aut}(\pi_1(M, x))$ , which is faithful.

*Proof.* Let  $\psi = 0i_{\#} \phi$ , where  $\phi: \pi_0(E(M, x), id) \rightarrow \text{Aut}(\pi_1(M, x))$  is an isomorphic representation [2]. Since  $0i_{\#}$  becomes monic, the result follows.

Combining these two lemmas, we have

*Theorem 5.* Let  $M$  be a closed, connected aspherical manifold such that  $\pi_0(H(M), id) \simeq \pi_0(E(M), id)$ . Then  $1i_{\#}$  is an isomorphism if and only if  $0i_{\#}$  is an isomorphism i.e., there is an isomorphic representation  $\psi: \pi_0(H(M, x), id) \rightarrow \text{Aut}(\pi_1(M, x))$ .

*Corollary 6.* Let  $M$  be a closed, connected aspherical manifold. If  $\pi_1(M, x)$  has no non-trivial center, then there is a faithful representation.  $\psi: \pi_0(H(M, x), id) \rightarrow \text{Aut}(\pi_1(M, x))$ .

*Remark.* Let  $M$  be an arbitrary manifold. If  $[\alpha] \in \pi_1(M, x)$  then we can lift the loop  $\alpha$  to  $\alpha^*$  in  $H(M)$  such that  $\alpha^*$  is a path from the identity homeomorphism to  $g \in H(M, x)$ . McCarty [6] has shown that the induced automorphism  $g_{\#}: \pi_k(M, x) \rightarrow \pi_k(M, x)$  is the same as the standard action of  $[\alpha]$  on higher homotopy groups for all  $k$  [4]. Thus if  $[\alpha] \in P(M, x)$ , then  $g_{\#}$  becomes the identity automorphism for all  $k \geq 1$ . If this implies that  $g$  is isotopic to the identity homeomorphism relative to  $x$  on  $M$  then  $g$  belongs to the identity path component in  $\pi_0(H(M, x))$ . Let  $\beta$  be a path from  $g$  to identity map in  $H(M, x)$ . Then  $\beta \circ \alpha^*$  is a loop in  $H(X)$  such that  $\gamma'(\beta \circ \alpha^*) = \alpha$ . This implies  $\gamma'(\pi_1(H(M), id) = P(M, x)$ . We ask the following question. If  $g_{\#}: \pi_k(M, x) \rightarrow \pi_k(M, x)$  is the identity automorphism for all  $k \geq 1$ , what conditions are necessary to ensure that  $g$  belongs to the path component of the identity homeomorphism in  $H(M, x)$ .

*Example 1.* Let  $M$  be a closed, connected 3-manifold which is irreducible and sufficiently large [8]. These manifolds are aspherical and Waldhausen has shown  $\pi_0(E(M)) = \pi_0(H(M))$ . On the other hand Laudenbach [5], pushing further Waldhausen's result, has shown  $\pi_1(E(M), id) = \pi_1(H(M), id)$  if in addition  $M$  is  $P^2$ -irreducible. Thus these manifolds satisfy our hypothesis, and there is an isomorphic representation

$$\psi: \pi_0(H(M, x), id) \rightarrow \text{Aut } \pi_1(M, x).$$

*Example 2.* For the higher dimensional examples, we show "The model aspherical manifolds" from [2]. Let  $(W, N)$  be a properly discontinuous action of a discrete group  $N$  on a contractible manifold  $W$  so that  $W/N$  is compact. Then for each torsion free extension  $1 \rightarrow \mathbb{Z}^k \rightarrow \pi \rightarrow N \rightarrow 1$  the space  $M = (T^k \times W)/N$  is an aspherical manifold and the map  $M \rightarrow W/N$  is a Seifert fibering. These manifolds  $M$  satisfy our hypothesis;  $\pi_0(E(M)) \cong \pi_0(H(M))$  and  $\pi_1(E(M), id) \cong \pi_1(H(M), id)$ . Thus we have an isomorphic representation  $\psi: \pi_0(H(M, x), id) \rightarrow \text{Aut}(\pi_1(M, x))$ .

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