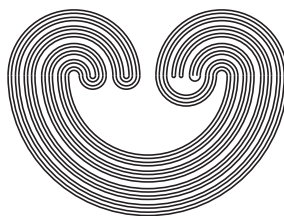


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by

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## A NOTE ON RIM-LINDELÖF LOCALLY CONNECTED NORMAL MOORE SPACES

Nobuyuki Kemoto

*Dedicated to Professor Yukihiro Kodama on his 60th birthday*

### 1. Introduction

It is known that locally connected, rim-compact, normal Moore spaces are metrizable (in fact it was proved that locally connected, rim-compact, normal submetacompact spaces are paracompact), see [B1]. In this paper, we shall prove that under  $2^\omega < 2^{\omega_1} < 2^{\omega_2}$  locally connected, rim-Lindelöf, normal, submetalindelöf spaces of character  $\leq 2^\omega$  are paracompact and that under  $2^\omega < 2^{\omega_1}$  locally connected, rim-Lindelöf, normal, submetalindelöf spaces of character  $\leq 2^\omega$  and tightness  $\leq \omega$  are paracompact (thus locally connected, rim-Lindelöf, normal Moore spaces are metrizable if  $2^\omega < 2^{\omega_1}$  is assumed).

First we review topological and set theoretical notations. All topological spaces are assumed to be regular  $T_1$ . A subset  $S$  of a topological space is said to be *normalized* if for every  $S' \subset S$ ,  $S'$  and  $S - S'$  can be separated by disjoint open sets. A subset  $S$  of a topological space is said to be *separated* if for every  $x$  of  $S$  there is a neighborhood  $U_x$  of  $x$  such that  $\{U_x : x \in S\}$  is disjoint. For a point  $x$  of a space  $X$ ,  $\chi(x, X)$  denotes the least infinite cardinality  $\kappa$  such that  $x$  has a neighborhood base of cardinality  $\leq \kappa$ .

For a cardinal  $\kappa$ , a space is  $\kappa$ -Lindelöf if every open cover has a subcover of cardinality  $\leq \kappa$ . Note that  $\omega$ -Lindelöf is Lindelöf in the usual sense.

A space is *submetaLindelöf* if for every open cover, there is a countable family  $\{\mathcal{U}_n : n \in \omega\}$  of open covers refining it such that for every  $x$  in  $X$  there is an  $n$  in  $\omega$  such that  $|(\mathcal{U}_n)_x| \leq \omega$ , where  $(\mathcal{U}_n)_x = \{U \in \mathcal{U}_n : x \in U\}$  and  $|A|$  denotes the cardinality of  $A$  for every set  $A$ .

A space is *rim- $\kappa$ -Lindelöf* if every point has a neighborhood base consisting of open sets with  $\kappa$ -Lindelöf boundaries.

A space is  $\kappa$ -compact if there is no closed discrete subspace of cardinality  $\kappa$ .

For an ordinal  $\alpha$  and a set  $X$ ,  ${}^\alpha X$  denotes the set of all functions from  $\alpha$  to  $X$  and  $X^\alpha$  denotes the cardinality of  ${}^\alpha X$ . Furthermore  ${}^{<\alpha} X$  denotes the set  $\bigcup_{\beta < \alpha} {}^\beta X$  and  $X^{<\alpha}$  denotes the cardinality of  ${}^{<\alpha} X$ . For a cardinal  $\kappa$ ,  $[X]^{\leq \kappa}$  ( $[X]^{< \kappa}$ ) denotes the set  $\{Y \subset X : |Y| \leq \kappa\}$  ( $\{Y \subset X : |Y| < \kappa\}$ , respectively). A subset of an ordinal is said to be *club* if it is closed in the ordinal with the order topology and unbounded in it. For a function  $f$ ,  $f|A$  denotes the restriction of  $f$  to  $A$ . For other set theoretical or topological notions or notations, see [E], [J] and [K].

## 2. Results

To prove our results, first we introduce  $\phi$  and  $N$ , and present basic facts without proof. Here  $\phi$  was introduced in [DS]. For further reference, see Ch. 14 of [Sh].

1. *Definitions.* Let  $\kappa$  be an uncountable regular cardinal,  $\lambda$  be a cardinal, and  $S$  be a subset of  $\kappa$ .

$\Phi(\kappa, \lambda, S)$  denotes the following assertion:

For every  $F: {}^{<\kappa}\lambda \rightarrow 2$ , there exists a  $g$  in  ${}^{\kappa}2$  such that for every  $f$  in  ${}^{\kappa}\lambda$ ,  $\{\alpha \in S: F(f|\alpha) = g(\alpha)\}$  is stationary in  $\kappa$ . Incidentally such  $S$  must be stationary in  $\kappa$ , if  $\Phi(\kappa, \lambda, S)$

Furthermore when  $\lambda$  is an infinite cardinal, we define  $N(\kappa, \lambda, S)$  as follows:

For every topological space  $X$  and every normalized sequence  $\{x_\alpha: \alpha \in S\}$  of distinct points, if for every  $\alpha$  in  $S$ ,  $\chi(x_\alpha, X) \leq \lambda$ , then there is a stationary subset  $S'$  of  $S$  such that  $\{x_\alpha: \alpha \in S'\}$  is separated.

The proofs 1) and 2) of the following lemma are easy by the definition of  $\Phi$ . The proofs of 3) and 4) are similar to [DS], and the proofs of 5) and 6) are also similar to [Ta].

From now on we always assume that  $\kappa$  is an infinite cardinal.

2. *Lemma.* The following results hold:

1) If  $S \subset S' \subset \kappa^+$  and  $\Phi(\kappa^+, 2, S)$  hold, then so does  $\Phi(\kappa^+, 2, S')$ .

2) If  $S$  is a stationary subset of  $\kappa^+$ , then  $\Phi(\kappa^+, 2, S)$  holds iff  $\Phi(\kappa^+, 2, S \cap C)$  holds for every club  $C$  of  $\kappa^+$  iff  $\Phi(\kappa^+, 2, S \cap C)$  holds for some club  $C$  of  $\kappa^+$ .

3) If  $2^\kappa < 2^{\kappa^+}$  holds, then so does  $\Phi(\kappa^+, 2, \kappa^+)$ .

4) Let  $\{S_\alpha: \alpha < \kappa\}$  be a family of subsets of  $\kappa^+$ . If  $\Phi(\kappa^+, 2, \bigcup_{\alpha < \kappa} S_\alpha)$  holds, then there is an  $\alpha < \kappa$  such that  $\Phi(\kappa^+, 2, S_\alpha)$  holds.

5) For every subset  $S$  of  $\kappa^+$ ,  $\Phi(\kappa^+, 2, S)$  holds iff so does  $\Phi(\kappa^+, 2^K, S)$ .

6) For every subset  $S$  of  $\kappa^+$ , if  $\Phi(\kappa^+, 2^K, S)$  holds, then so does  $N(\kappa^+, 2^K, S)$ .

Next, applying the techniques of [B1], [B2] and the previous lemma, we shall prove our theorems. The next lemma is proved in [A].

3. Lemma ([A]). Let  $X$  be a submetaLindelöf,  $\kappa^+$ -compact space. Then  $X$  is  $\kappa$ -Lindelöf.

4. Lemma. [ $2^K < 2^{\kappa^+}$ ] Let  $X$  be a locally connected normal space of character  $\leq 2^K$ , and let  $\mathcal{U}$  be a family of  $\leq \kappa$ -many open subsets with  $\kappa$ -Lindelöf boundaries. Then  $\partial(\cup \mathcal{U})$  is  $\kappa^+$ -compact.

*Proof.* Assume indirectly that there is a closed discrete subset  $\{x_\alpha : \alpha \in \kappa^+\}$  of  $\partial(\cup \mathcal{U})$ . By  $2^K < 2^{\kappa^+}$  and 3), 5) and 6) of 2, there is a stationary subset  $S$  of  $\kappa^+$  such that  $\{x_\alpha : \alpha \in S\}$  is separated. Since  $X$  is normal and locally connected, there is a discrete family  $\{B_\alpha : \alpha \in S\}$  of connected open sets such that  $x_\alpha \in B_\alpha$  for each  $\alpha \in S$ . Since the cardinality of  $\mathcal{U}$  does not exceed  $\kappa$ , there are a stationary subset  $S'$  of  $S$  and a  $U$  in  $\mathcal{U}$  such that  $B_\alpha \cap U \neq \emptyset$  for every  $\alpha$  in  $S'$ . Thus  $B_\alpha \cap \partial U \neq \emptyset$  for  $\alpha$  in  $S'$ , by the connectedness of  $B_\alpha$ 's. This contradicts to the  $\kappa$ -Lindelöfness of  $\partial U$ .

5. Lemma ([B2]). Let  $X$  be a submetaLindelöf space and  $E$  be a subset of  $X$  such that each  $x$  in  $X$  has a neighborhood  $U_x$  such that the cardinality of  $U_x \cap E$  is of  $\leq \kappa$ .

Then  $E$  is a union of at most  $\kappa$ -many closed discrete subsets of  $X$ .

6. Lemma.  $[2^\kappa < 2^{\kappa^+}]$  Let  $X$  be a locally connected, submetalindelöf, normal space of character  $\leq 2^\kappa$ , and  $K$  be a connected closed subspace of  $X$ . If  $\mathcal{U}$  is an open cover of  $K$  of cardinality  $\kappa^+$  such that the boundary of each member of  $\mathcal{U}$  is  $\kappa$ -Lindelöf, then there is a subfamily of  $\mathcal{U}$  which covers  $K$  and is of cardinality  $\leq \kappa$ .

*Proof.* Assume indirectly that  $\mathcal{U}$  has no subcover of  $K$  of cardinality  $\leq \kappa$ . Then by using induction on  $\kappa^+$ , we may assume that  $\mathcal{U}$  is  $\{U_\alpha : \alpha < \kappa^+\}$  such that  $K \cap (U_\alpha - \bigcup_{\beta < \alpha} U_\beta) \neq \emptyset$  for each  $\alpha < \kappa^+$ . Since  $K$  is connected, fix  $x_\alpha \in \text{cl}(K \cap U_{\beta < \alpha} U_\beta) - U_{\beta < \alpha} U_\beta$  for each  $\alpha \in \kappa^+$ . Let  $f(\alpha) = \min\{\beta < \kappa^+ : x_\alpha \in U_\beta\}$  for each  $\alpha < \kappa^+$ , then  $C = \{\alpha < \kappa^+ : \forall \beta < \alpha (f(\beta) < \alpha)\}$  is club in  $\kappa^+$ . Then points of  $E = \{x_\alpha : \alpha \in C\}$  are all distinct. Then  $\mathcal{U}' = \mathcal{U} \cup \{X - K\}$  is an open cover of  $X$  and each member of  $\mathcal{U}'$  meets  $E$  at most  $\leq \kappa$ -many points. Hence by 5,  $E$  is a union of at most  $\kappa$ -many closed discrete subsets, say  $E = \bigcup_{\beta < \kappa} E_\beta$ , where  $E_\beta$ 's are closed discrete. Let  $C_\beta = \{\alpha \in C : x_\alpha \in E_\beta\}$ . Since  $2^\kappa < 2^{\kappa^+}$  holds, so does  $\phi(\kappa^+, 2, \kappa^+)$  by 3) of 2. Then by 2) of 2,  $\phi(\kappa^+, 2, C)$  holds. Again by 4) of 2,  $\phi(\kappa^+, 2, C_\beta)$  holds for some  $\beta < \kappa$ . Finally by 5) and 6) of 2,  $N(\kappa^+, 2^\kappa, C_\beta)$  holds. Hence there is a stationary subset  $S$  of  $C_\beta$  such that  $\{x_\alpha : \alpha \in S\}$  is separated. Since  $X$  is normal and locally connected, take a discrete family  $\{B_\alpha : \alpha \in S\}$  of connected open sets such that  $x_\alpha \in B_\alpha$  for every  $\alpha \in S$ . Since for every  $\alpha \in S$ ,  $x_\alpha \in \text{cl}(U_{\beta < \alpha} U_\beta)$ , we can define a regressive function  $g$  on  $S$

(i.e.  $g(\alpha) < \alpha$  for each  $\alpha \in S$ ) such that  $U_{g(\alpha)} \cap B_\alpha \neq \emptyset$ . Hence by the pressing down lemma, there are a stationary subset  $S'$  and  $S$  and a  $\gamma < \kappa^+$  such that  $g(\alpha) = \gamma$  for every  $\alpha \in S'$ . By the connectedness of  $B_\alpha$ 's,  $B_\alpha \cap \partial U_\gamma \neq \emptyset$  for  $\alpha \in S$  and  $\alpha > \gamma$ . But this contradicts to the  $\kappa$ -Lindelöfness of  $\partial U_\gamma$ .

7. *Theorem.*  $[2^\kappa < 2^{\kappa^+} < 2^{\kappa^{++}}]$  Let  $X$  be a connected, locally connected, rim- $\kappa$ -Lindelöf, submetaLindelöf, normal space of character  $\leq 2^\kappa$ . Then  $X$  is  $\kappa$ -Lindelöf.

*Proof.* To prove this theorem, we shall show that such a space is  $\kappa^+$ -compact. Then by 3, it is  $\kappa$ -Lindelöf. Assume that such  $X$  is not  $\kappa^+$ -compact. Then there is a closed discrete subspace  $\{x_\alpha : \alpha < \kappa^+\}$ . By  $2^\kappa < 2^{\kappa^+}$  and the fact that  $X$  is normal and of character  $\leq 2^\kappa$ , there is a stationary subset  $S$  of  $\kappa^+$  such that  $E = \{x_\alpha : \alpha \in S\}$  is separated. Applying normality, local connectedness and rim- $\kappa$ -Lindelöfness, take a discrete family  $\mathcal{U} = \{U_\alpha : \alpha \in S\}$  of connected open sets such that  $\partial U_\alpha$  is  $\kappa$ -Lindelöf and  $x_\alpha \in U_\alpha$  for each  $\alpha \in S$ . Since  $X$  is locally connected and rim- $\kappa$ -Lindelöf, take a family  $\beta$  of connected open sets with  $\kappa$ -Lindelöf boundaries such that  $X - E = \cup \beta$ . By the connectedness of  $X$ , for  $\alpha$  and  $\alpha'$  of  $S$ , fix  $\beta(\alpha, \alpha') \in [\beta]^{<\omega}$ , say  $\{B_0, \dots, B_n\}$ , such that  $B_0 \cap U_\alpha \neq \emptyset$ ,  $B_n \cap U_{\alpha'} \neq \emptyset$  and  $B_i \cap B_{i+1} \neq \emptyset$  for  $i \in n$ . Let  $\mathcal{U}_0$  be the family  $\mathcal{U} \cup \{\beta(\alpha, \alpha') : \alpha, \alpha' \in S\}$  of  $\leq \kappa^+$ -many connected open sets with  $\kappa$ -Lindelöf boundaries. Then  $\cup \mathcal{U}_0$  is connected. Then applying 4 to  $2^{\kappa^+} < 2^{\kappa^{++}}$ ,  $\partial(\cup \mathcal{U}_0)$  is  $\kappa^{++}$ -compact. By submetaLindelöfness and 3,  $\partial(\cup \mathcal{U}_0)$  is  $\kappa^+$ -Lindelöf. Hence there is a family  $\mathcal{U}_1$  of

$\kappa^+$ -many connected open (in  $X$ ) sets with  $\kappa$ -Lindelöf boundaries such that  $\cup \mathcal{U}_1 \supset \partial(\cup \mathcal{U}_0)$  and  $\cup \mathcal{U}_1 \cap E = \emptyset$ . Define  $K = \text{cl}(\cup \mathcal{U}_0)$ , then  $K$  is connected closed. Then  $\mathcal{U}_2 = \mathcal{U}_0 \cup \mathcal{U}_1$  covers  $K$  and  $|\mathcal{U}_2| \leq \kappa^+$ , but  $\cup(\mathcal{U}_2 - \mathcal{U}) \cap E = \emptyset$ . Thus by 6, there is a subfamily of  $\mathcal{U}_2$  which covers  $K$  and is of cardinality  $\leq \kappa$ . Hence there is a subfamily of  $\mathcal{U}$  which covers  $E$  and is of cardinality  $\leq \kappa$ . But this contradicts to  $|E| = \kappa^+$ . The theorem is proved.

8. Corollary.  $[2^\omega < 2^{\omega_1} < 2^{\omega_2}]$  Let  $X$  be a connected, locally connected, rim-Lindelöf, submetaLindelöf, normal space of character  $\leq 2^\omega$ . Then  $X$  is Lindelöf.

9. Corollary.  $[2^\kappa < 2^{\kappa^+} < 2^{\kappa^{++}}]$  Let  $X$  be a locally connected, rim- $\kappa$ -Lindelöf, submetaLindelöf, normal space of character  $\leq 2^\kappa$ . Then  $X$  is a free union of  $\kappa$ -Lindelöf subspaces.

*Proof.* Apply 7 in each component.

10. Corollary.  $[2^\omega < 2^{\omega_1} < 2^{\omega_2}]$  Let  $X$  be a locally connected, rim-Lindelöf, submetaLindelöf, normal space of character  $\leq 2^\omega$ . Then  $X$  is a free union of Lindelöf subspaces. Hence  $X$  is strongly paracompact.

11. Theorem.  $[2^\kappa < 2^{\kappa^+}]$  Let  $X$  be a connected, locally connected, rim- $\kappa$ -Lindelöf, submetaLindelöf, normal space of character  $\leq 2^\kappa$  and tightness  $\leq \kappa$  (especially, of character  $\leq \kappa$ ). Then  $X$  is  $\kappa$ -Lindelöf.

*Proof.* Let  $\mathcal{U}$  be a cover of  $X$  by connected open sets with  $\kappa$ -Lindelöf boundaries. By induction on  $\alpha < \kappa^+$ , we



shall define  $\mathcal{U}_\alpha \in [\mathcal{U}]^{\leq \kappa}$  such that  $\cup \mathcal{U}_\alpha$  is connected and  $\text{cl}(\cup \mathcal{U}_\alpha) \subset \cup \mathcal{U}_{\alpha+1}$ . Assume that for every  $\beta < \alpha$ ,  $\mathcal{U}_\beta$  has been defined. If  $\alpha$  is limit, put  $\mathcal{U}_\alpha = \cup \{\mathcal{U}_\beta : \beta < \alpha\}$ . Then it is easy to show that  $\cup \mathcal{U}_\alpha$  is connected using the connectedness of  $\cup \mathcal{U}_\beta$  for every  $\beta < \alpha$ . Assume  $\alpha = \beta + 1$ . Since  $\mathcal{U}_\beta$ 's are of cardinality  $\leq \kappa$ ,  $\partial(\cup \mathcal{U}_\beta)$  is  $\kappa$ -Lindelöf by 3 and 4. Thus there is a  $\mathcal{U}'$  in  $[\mathcal{U}]^{\leq \kappa}$  such that  $\mathcal{U}'$  covers  $\partial(\cup \mathcal{U}_\beta)$  and for every  $U$  in  $\mathcal{U}'$ ,  $U \cap \partial(\cup \mathcal{U}_\beta) \neq \emptyset$  holds. Put  $\mathcal{U}_\alpha = \mathcal{U}_\beta \cup \mathcal{U}'$ . Then it is easy to show that  $\cup \mathcal{U}_\alpha$  is connected. Thus we have defined  $\mathcal{U}_\alpha$  for every  $\alpha < \kappa^+$ .

Since  $X$  is of tightness  $\leq \kappa$ ,  $\text{cl}(\cup \{\cup \mathcal{U}_\alpha : \alpha < \kappa^+\}) = \cup \{\cup \mathcal{U}_\alpha : \alpha < \kappa^+\}$ . Therefore it is clopen in  $X$ . Thus by the connectedness of  $X$ ,  $\cup \{\mathcal{U}_\alpha : \alpha < \kappa^+\}$  is a cover of  $X$  and of cardinality  $\leq \kappa^+$ . Then by 6, it has a subcover of cardinality  $\leq \kappa$ . Thus the theorem is proved.

Using 11, we can prove similar results of 8, 9, and 10 under the assumption  $2^\kappa < 2^{\kappa^+}$  (or  $2^\omega < 2^{\omega_1}$ ). In particular as a corollary, we can prove:

12. *Corollary.*  $[2^\omega < 2^{\omega_1}]$  *Locally connected, rim-Lindelöf, normal Moore spaces are strongly paracompact (thus metrizable).*

*Remark.* Assume  $\omega_1 < 2^\omega$  and the Martin's axiom. Then the bubble space derived from a  $Q$ -set of reals (see [T]) is locally connected, rim-Lindelöf, normal, non-metrizable Moore space. But  $2^\omega = 2^{\omega_1}$  holds.

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