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## WHEN ALMOST CONTINUITY IMPLIES CONNECTIVITY

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## WHEN ALMOST CONTINUITY IMPLIES CONNECTIVITY

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### I. Introduction

In the paper wherein the almost continuous functions were first defined [9], Stallings showed that a connectivity function defined on an  $n$ -cell,  $n > 1$ , must be almost continuous but left open the question of whether the same implication holds for  $n = 1$ . It is now known that the implication reverses, for functions defined on the unit interval. For functions defined on the unit interval,

(\*) *almost continuity implies connectivity*, while the converse fails [3,4,5,8]. Herein, the concern is such dependency in a more general setting. When is it true that, if  $X$  is a continuum, then each almost continuous function defined on  $X$  is also a connectivity function, i.e., for which continua is condition (\*) true?

We are concerned with only three types of continua: (1) dendrites, (2) continua in which each nondegenerate connected set is arcwise connected, and (3) hereditarily locally connected continua. It is known that (1) implies (2) and (2) implies (3) (see [6, p. 249, p. 301]). It was shown in [3] that (\*) is not true for locally connected continua that are not hereditarily locally connected. The same techniques can be used to show that (\*) implies (3). We show below, in Theorem 2, that (2)

implies (\*). An example of a continuum of type (3) but not of type (2) is given, leaving the question of whether it satisfies (\*). In Theorem 3, it is shown that a connectivity function on a subarc of a dendrite can be extended to a connectivity function on the dendrite; that fact is used to show that, like the unit interval, the converse of (\*) fails for dendrites. Whether or not the converse of (\*) fails for a continuum of type (2) is left as an open question.

## II. Main Results

Notation and terminology are generally standard. Where it is not or is not clear from context, it will be specifically defined. Thus,  $I = [0,1]$  is the closed unit interval of the real line,  $R$ , and  $K|M$  is the restriction of the subset  $K$  of a product space  $X \times Y$  to the subset (or point)  $M$  of  $X$ . It is important to note that the function  $f: X \rightarrow Y$  is a subspace of the product space  $X \times Y$ . All spaces considered are separable and metric. For definitions and properties of arcs, dendrites, etc., the reader is directed to a standard reference such as [6] or [10].

*Definition 1.* The function  $f: X \rightarrow Y$  is said to be a connectivity function provided that  $f|C$  is connected whenever  $C$  is a connected subset of  $X$ .

*Definition 2.* The function  $f: X \rightarrow Y$  is said to be almost continuous provided that, whenever  $U$  is an open set of  $X \times Y$  containing  $f$ , then there is a continuous  $g: X \rightarrow Y$  in  $U$ .

*Proposition 1.* If  $S \subset R$  is connected, then the function  $f: S \rightarrow R$  is a connectivity function if and only if  $f$  is connected.

*Proposition 2.* There is a connected function  $k: I \rightarrow R$  that is dense in  $I \times R$  [5].

*Theorem 1.* Suppose each nondegenerate connected subset of the space  $X$  is arcwise connected and  $f: X \rightarrow Y$  is a function such that, if  $A \subset X$  is an arc, then  $f|A$  is connected. Then  $f$  is a connectivity function.

*Proof.* Assume  $f$  is not a connectivity function. There is a nondegenerate subset  $C \subset X$ , the function  $f|C$  is not connected, and there are points  $p$  and  $q$  of  $C$  such that  $(p, f(p))$  and  $(q, f(q))$  do not belong to the same component of  $f|C$ . Because  $C$  is arcwise connected, there is an arc  $A \subset C$ , with end points  $p$  and  $q$ , and  $f|A$  intersects two components of  $f|C$ . This is contrary to hypothesis.

*Theorem 2.* If each nondegenerate connected subset of the space  $X$  is arcwise connected and  $f: X \rightarrow R$  is almost continuous, then  $f$  is a connectivity function.

*Proof.* By the Corollary to Propositions 2 and 3 of [9], if  $A$  is an arc in  $X$ , then  $f|A$  is connected. By Theorem 1, above,  $f$  is a connectivity function.

*Theorem 3.* If  $X$  is a dendrite,  $A \subset X$  is an arc, and  $f: X \rightarrow R$  is a connectivity function, then there is a connectivity function  $F: X \rightarrow R$  such that  $F|A = f$ .

*Proof.* Suppose  $A = [p, q]$  and  $e_0$  and  $e_1$  are two end points of  $X$  such that, if  $a$  is a point of  $(p, q)$ , then  $e_0$  is separated from  $q$  and  $e_1$  is separated from  $p$  in  $X - a$ . Only one of the following cases is true: (a)  $e_0 = p$  and  $q = e_1$ , (b)  $e_0 = p$  and  $q \neq e_1$ , (c)  $e_0 \neq p$  and  $q = e_1$ , (d)  $e_0 \neq p$  and  $q \neq e_1$ .

In case (a),  $F_0 = f$ .

In case (b), there is a homeomorphism  $h: I \rightarrow [q, e_1]$  such that  $h(0) = q$  and  $h(1) = e_1$ . Then  $F_0: [e_0, e_1] \rightarrow R$  is defined so that  $F_0(x) = f(x)$ , for  $x$  in  $A$  and  $F_0(x) = k(h^{-1}(x))$ , for  $x$  in  $(q, e_1]$  and  $k$  from Proposition 2.

In case (c), there is a homeomorphism  $h: I \rightarrow [p, e_0]$  such that,  $h(0) = p$  and  $h(1) = e_0$ . Then  $F_0: [e_0, e_1] \rightarrow R$  is defined so that  $F_0(x) = f(x)$ , for  $x$  in  $A$ , and  $F_0(x) = k(h^{-1}(x))$ , for  $x$  in  $[e_0, p]$  and  $k$  from Proposition 2.

In case (d), define  $F_0| [p, e_1]$  as in case (b) and  $F_0| [e_0, q]$  as in case (c).

In any of these cases, since its domain is an arc,  $F_0$  is connected and must be a connectivity function. Denote by  $e_2, e_3, e_4, \dots$  the points of a countable dense

subset of the end points of  $X$ , no one of which is  $e_0$  or  $e_1$ . For each positive integer  $i$ , define  $F_i[e_0, e_{i+1}] \rightarrow R$ , by induction, as follows. The arc  $[e_0, e_{i+1}]$  has a last point  $b_i$  of  $\bigcup_{n=1}^{n=i} [e_0, e_n]$ , along  $[e_0, e_{i+1}]$ , in the order from  $e_0$  to  $e_{i+1}$ . There is a homeomorphism  $h_i: I \rightarrow [b_i, e_{i+1}]$ , where  $h_i(0) = b_i$  and  $h_i(1) = e_{i+1}$ . Then  $F_i(x) = F_{i-1}(x)$ , for  $x$  in  $\bigcup_{n=1}^{n=i} [e_0, e_n]$  and  $F_i(x) = k(h_i^{-1}(x))$ , for  $x$  in  $(b_i, e_{i+1}]$  and  $k$  from Proposition 2.

With  $G = F_1 \cup F_2 \cup F_3 \cup \dots$ , it is easy to see that  $G$  is connected. Suppose the domain,  $D$ , of  $G$  does not contain every non-end point of  $X$ . There is a point  $a$  of  $X$ , belonging to an arc  $[e_0, e]$  in  $X$ , where  $e$  is an end point of  $X$  that is not in  $D$ . Since  $D \cup a$  is a connected subset of the dendrite  $X$ , it is arcwise connected and contains an arc from  $e_0$  to  $e$ . Then the dendrite  $X$  contains two arcs from  $e_0$  to  $e$ , which is impossible. Therefore, if  $x$  is a point of  $X$  and is not in  $D$ , then  $x$  is an end point of  $X$  and is in  $cl(D)$ .

Knowing that  $G$  is connected is not enough to conclude that  $G$  is a connectivity function (see Note 2). Assume  $G$  is not a connectivity function. By Theorem 1, there is an arc  $\alpha = [x, y]$  in the domain,  $D$ , of  $G$  such that  $G|_{\alpha}$  is not connected. There are two positive integers,  $i$  and  $j$ , with  $[e_0, e_i]$  containing  $x$  and  $[e_0, e_j]$  containing  $y$ . Then we have  $\alpha = \beta \cup \gamma$ , where  $\beta$  is a subarc of  $[e_0, e_i]$  and has exactly one point in common with the subarc  $\gamma$  of  $[e_0, e_j]$ . For each positive integer  $n$ ,

the function  $G|_{[e_0, e_n]}$  is a connectivity function, so both  $G|\beta$  and  $G|\gamma$  are connected. However,  $G|\alpha$  and  $G|\gamma$  have a common point, so  $G|\alpha$  is connected, contradicting our assumption.

Now take a function  $F: X \rightarrow R$  such that  $F|_D = G$  and assume it is not a connectivity function. By Theorem 1, there is an arc  $\alpha$  of  $X$  for which  $F|\alpha$  is not connected. We may choose  $\alpha$  so that one of its end points,  $x$ , is in  $D$  and the other,  $e$ , is an end point of  $X$  that is not in  $D$ . Since  $G$  is a connectivity function,  $G|[x, e)$  is connected. Because  $e$  is an end point of the dendrite  $X$ , for every subarc  $[z, e]$  of  $\alpha$  there is a positive integer  $n$  and a subarc of  $[b_n, e_n]$  in  $[z, e]$ . Thus,  $F|e \subset (e \times R) \subset \text{cl}\{[x, e]\}$ , so that  $G|\alpha$  is connected. With this contradiction, the proof is complete.

*Theorem 4. If  $X$  is a dendrite, there is a connectivity function  $f: X \rightarrow R$  that is not almost continuous.*

*Proof.* Suppose  $A$  is an arc in  $X$ . From either [4] or [8], it follows that there is a connectivity function  $f: A \rightarrow R$  that is not almost continuous. Using Theorem 3, extend  $f$  to a connectivity function  $F: X \rightarrow R$ . There is an open set  $U$  of  $A \times R$ , containing  $f$ , but no continuous function from  $A$  into  $R$ . Since  $(A \times R) - U$  is closed in  $A \times R$ , then  $V = (X \times R) - [(A \times R) - U]$  is open in  $X \times R$ . If  $F$  were almost continuous, then there would be a continuous function  $g: X \rightarrow R$  in  $V$  and the function  $g|_A: A \rightarrow R$  would be in  $V$ .

*Note 1. There is a hereditarily locally connected continuum with a connected nondegenerate subset that is not arcwise connected.*

To construct such a continuum, begin by denoting the unit interval of the  $x$ -axis, of  $\mathbb{R}^3$ , by  $X_0$ . For each positive integer  $i$ , the continuous function  $f_i: X_0 \rightarrow \mathbb{R}$  is defined so that  $f_i(x,0,0) = (x,0,0)$  if and only if either  $x = 0$ ,  $x = 1$ , or there is a positive integer  $j$  such that  $x = j/p_i$ , where  $p_i$  is the  $i^{\text{th}}$  positive prime number; also, for  $x$  in  $X_0$ ,  $0 \leq f_i(x) \leq 1/2^i$ . Rotate  $f_i$  about the  $x$ -axis through an angle of  $\pi/(i+1)$  and call the resulting set  $X_i$ . Denote by  $p$  the point  $(1/4,0,0)$ . Add  $X_0$  to the set  $M = X_1 \cup X_2 \cup X_3 \cup \dots$  to get the hereditarily locally connected continuum  $X$ . Clearly,  $C = M + p$  is a connected subset of  $X$  and it contains no arc from  $p$  to a point  $q \neq p$ .

*Note 2. There is a dendrite  $X$  and a function  $f: X \rightarrow \mathbb{R}$  that is connected but is not a connectivity function.*

First set  $X_0 = I \times 0$ . For each positive integer  $i$ , the continuum  $X_i = (1/2^{(i-1)}) \times [0, (1/2^{(i-1)})]$  and the dendrite  $X$  is  $\bigcup_{i=0}^{\infty} X_i$ . Define the function  $f: X \rightarrow \mathbb{R}$  so that  $f(0,0) = 1$ ; if  $x > 0$ , then  $f(x,0) = 0$ ; and, if  $y > 0$ , then  $f(x,y) = \sin(1/y)$ . Clearly,  $f$  is connected but  $f|_{X_0}$  is not.

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