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## ON THE TRANSFINITE DIMENSION $\text{DIM}$ AND ESSENTIAL MAPPINGS

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0. Throughout this note we shall consider only metrizable spaces with a countable base. By  $C$  we denote the Cantor set and by  $I^n$  we denote the  $n$ -dimensional cube. The terminology and notations follow [1].

Let  $X$  be a space and  $\text{dim}$  be the Lebesgue covering dimension.

It is well-known that

- a)  $\text{dim}X = \text{dim}X \times C$ ;
- b)  $\text{dim}X \geq n$  iff  $X$  admits an essential map onto  $I^n$ .

From a) and b) one can easily note that

- c)  $\text{dim}X \geq n$  iff  $X \times C$  admits an essential map onto  $I^n$ .

In [2,3] P.Borst considered a transfinite extension of the covering dimension  $\text{dim}$ , namely the transfinite dimension  $\text{dim}$  and extended statements a) and c) as follows (here we shall denote Borst's dimension by  $\text{trdim}$ ).

Let  $X$  be a locally compact space and  $\alpha$  be a countable ordinal number, then

- a)<sub>tr</sub>  $\text{trdim}X = \text{trdim}X \times C$ ;
- c)<sub>tr</sub>  $\text{trdim}X \geq \alpha$  iff  $X \times C$  admits an essential map onto  $H^\alpha$

( where  $H^\alpha, \alpha < \omega_1$ , are Henderson's cubes ).

Remind that for every metrizable space  $X$  we have either  $trdim X = \infty$  or  $trdim X < \omega_1$  ( see [4] or [5]). By definition we have  $\infty > \alpha$  for every ordinal number  $\alpha$ .

Besides, P. Borst [3] constructed a compact space  $X$  with  $trdim X = \omega + 1$ , which admitted no essential map onto  $H^{\omega+1}$ . Hence the extension of statement b) to infinite ordinal numbers is impossible.

In [3, 6] P.Borst asked

Can the condition "locally compact" for  $a)_{tr}$  and  $c)_{tr}$  be weakened?

We shall prove the following statements

**Theorem 1.** *Let  $X$  be a space and  $trdim X \times C \geq \omega^2$ . Then  $trdim X = trdim X \times C$ .*

**Theorem 2.** *Let  $X$  be a space and  $\alpha$  be a countable ordinal number  $\geq \omega^2$ . Then*

*$trdim X \geq \alpha$  iff  $X \times C$  admits an essential map onto  $H^\alpha$ .*

1. Recall some definitions and propositions.

A finite sequence  $\{(A_i, B_i)_{i=1}^m\}$  of pairs of disjoint closed sets in space  $X$  is called inessential if we can find open sets  $O_i, i = 1, \dots, m$  such that

$$A_i \subset O_i \subset \bar{O}_i \subset X \setminus B_i \quad \text{and} \quad \bigcap_{i=1}^m Fr O_i = \emptyset.$$

Otherwise it is called essential.

We have the following characterization of the dimension  $dim$  [1]:

$dim X \leq n$  iff every sequence  $\{(A_i, B_i)_{i=1}^{n+1}\}$  of pairs of disjoint closed sets in  $X$  is inessential.

Let  $L$  be an arbitrary set. By  $Fin L$  we shall denote the collection of all finite, non-empty subsets of  $L$ . Let  $M$  be a subset of  $Fin L$ . For  $\sigma \in \{\emptyset\} \cup Fin L$  we put

$$M^\sigma = \{\tau \in Fin L \mid \sigma \cup \tau \in M \text{ and } \sigma \cap \tau = \emptyset\}.$$

Let  $M^a = M^{\{a\}}$ .

Define [2] the ordinal number  $OrdM$  inductively as follows

$$OrdM = 0 \quad \text{iff} \quad M = \emptyset,$$

$$OrdM \leq \alpha \quad \text{iff} \quad \text{for every } a \in L \quad OrdM^a < \alpha,$$

$OrdM = \alpha$  iff  $OrdM \leq \alpha$  and  $OrdM < \alpha$  is not true, and

$OrdM = \infty$  iff  $OrdM > \alpha$  for every ordinal number  $\alpha$ .

Let  $X$  be a space. Put

$$L(X) = \{(A, B) \mid A, B \subset X, \text{ closed, disjoint} \}$$

and

$$M_{L(X)} = \{\sigma \in FinL(X) \mid \sigma \text{ is essential in } X \}.$$

Define [2]

$$trdimX = OrdM_{L(X)}.$$

A space  $X$  is called S-weakly infinite-dimensional [1] if for every sequence  $\{(A_i, B_i)_{i=1}^\infty\}$  of pairs of disjoint closed sets in space  $X$  we can find open sets  $O_i, i = 1, \dots,$  such that

$$A_i \subset O_i \subset \bar{O}_i \subset X \setminus B_i \quad \text{and} \quad \bigcap_{i=1}^m FrO_i = \emptyset \text{ for some } m.$$

Otherwise,  $X$  is S-strongly infinite-dimensional. If  $X$  is compact and S-weakly (strongly) infinite-dimensional then  $X$  is said to be weakly (strongly) infinite-dimensional.

Let us recall [2] that

$$trdimX \neq \infty \quad \text{iff} \quad X \text{ is S-weakly infinite-dimensional.}$$

Henderson's cubes and the essential maps are defined [7] as follows.

Let  $H^1 = I^1, \delta H^1 = \delta I = \{0, 1\}, p_1 = \{0\}$ , and assume that for every  $\beta < \alpha$  the compacta  $H^\beta$ , their "boundaries"  $\delta H^\beta$ , and the points  $p_\beta \in \delta H^\beta$  have already been defined. If  $\alpha = \beta + 1$ , then we set

$$H^{\beta+1} = H^\beta \times I, \quad \delta H^{\beta+1} = (\delta H^\beta \times I) \cup (H^\beta \times \delta I)$$

and  $p_{\beta+1} = (p_\beta, p_1)$ . If  $\alpha$  is a limit ordinal number, then  $K_\beta$  is the union of  $H^\beta$  and a half-open arc  $A_\beta$  such that  $A_\beta \cap H^\beta = p_\beta = \{ \text{endpoint of the arc } A_\beta \}$ ,  $\beta < \alpha$ . Let us define  $H^\alpha$  as the one-point compactification of the free sum  $\bigoplus_{\beta < \alpha} K_\beta$ ,

$$\delta H^\alpha = H^\alpha \setminus \bigcup_{\beta < \alpha} (H^\beta \setminus \delta H^\beta),$$

and let  $p_\alpha$  be the compactifying point.

A map  $f : X \rightarrow H^\alpha$  is called essential if every continuous extension to  $X$  of the restriction  $f|_{f^{-1}\delta H^\alpha}$  maps  $X$  onto  $H^\alpha$ ,  $\alpha < \omega_1$ .

2. We need some lemmas in order to prove theorems 1, 2.

**Lemma 1.** [2, 3]. *Let  $X$  be a space.*

1)  $\text{trdim} X = \infty$  iff  $\text{trdim} X \times C = \infty$ .

2) let  $A$  be a closed subset of  $X$ , then

$$\text{trdim} A \leq \text{trdim} X.$$

**Lemma 2.** [8]. *Let  $X$  be a  $S$ -weakly infinite-dimensional space. Then there exists a weakly infinite-dimensional compact space  $Y$  such that  $Y \supset X$  and*

$$\text{trdim} Y \leq \text{trdim} X.$$

**Lemma 3.** *Let  $X, Y$  be  $S$ -weakly infinite-dimensional spaces such that  $X \subset Y$  and  $\text{trdim} X \geq \omega^2$ . Then*

$$\text{trdim} X \leq \text{trdim} Y.$$

*Proof:* In [4] Y.Hattori (see also [5]) proved the following statement

(\*) Let  $X, Y$  be  $S$ -weakly infinite-dimensional spaces such that  $X \subset Y$  then  $\text{trdim} X \leq \omega + \text{trdim} Y$ . Moreover, as D.Malyhin remarked in [5], if  $\text{trdim} Y \geq \omega^2$ , then  $\text{trdim} X \leq \text{trdim} Y$ .

Note that since  $trdim X \geq \omega^2$ , then by first inequality of (\*) we have  $trdim Y \geq \omega^2$ . The lemma follows the second inequality of (\*).

Proof of theorem 1.

Due to lemma 1.1) we need to consider only case when  $trdim X \neq \infty$ . In this case the spaces  $X$  and  $X \times C$  are  $S$ -weakly infinite-dimensional. By lemma 2 there exists weakly infinite-dimensional compact space  $Y$  such that  $Y \supset X$  and  $trdim Y \leq trdim X$ . Since  $Y \times C \supset X \times C$  and  $trdim X \times C \geq \omega^2$ , then by lemma 3 we have  $trdim Y \times C \geq trdim X \times C \geq \omega^2$ . It remains to put together the following chain of inequalities

$$trdim X \times C \geq trdim X \text{ (lemma 1)} \geq trdim Y = trdim Y \times C \\ \text{(statement a)}_{tr} \geq trdim X \times C.$$

The theorem is proved.

**Lemma 4.** [3]. *Let  $X$  be a space and  $\alpha$  be a countable ordinal number.*

1) *If  $trdim X \geq \alpha$ , then  $X \times C$  admits an essential map onto  $H^\alpha$ .*

2) *If  $f : X \rightarrow H^\alpha$  is an essential map of  $X$  onto  $H^\alpha$ , then  $trdim X \geq \alpha$ .*

*Proof of theorem 2:*

Lemma 4.1) contains the necessity. Let us prove the sufficiency. If there exists an essential map  $f : X \times C \rightarrow H^\alpha$ , then by lemma 4.2) we have  $trdim X \times C \geq \alpha \geq \omega^2$ . By theorem 1 we get

$$trdim X \times C = trdim X.$$

Hence  $trdim X \geq \alpha$ . The theorem is proved.

**Remark 1.** (see [6]). There exists a compactum  $Y$  with  $trdim Y = \omega_0$  containing a subspace  $X$  with  $trdim X = \omega_0 + 1$ .

**Question 1.** Can one drop the condition  $trdim X \times C \geq \omega^2$  from theorem 1 ?

Note (see [3]) that if  $X$  is a space and  $\alpha$  is a limit ordinal number  $< \omega_1$  then

$trdim X \geq \alpha$  iff  $X$  admits an essential map onto  $H^\alpha$ .

**Question 2.** Is it true that if  $X$  is a space and  $\alpha$  is an ordinal number  $\geq \omega^2$  then

$trdim X \geq \alpha$  iff  $X$  admits an essential map onto  $H^\alpha$ .

**Remark 2.** In lemma 2 in the case  $trdim X \geq \omega^2$  we have  $trdim X = trdim Y$ .

**Remark 3.** In theorems 1, 2 the condition “with a countable base” can be omitted.

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