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## A SUBSPACE OF THE UPPER STONE-CECH COMPACTIFICATION

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ABSTRACT. We construct a space  $\rho X$  which contains an unique copy of every strict H-closed extension of e[X] (and no others).  $\rho X$  is compact, homeomorphic to the set of all open filters on X with the Alexandroff topology, and an atomic complete upper semi-lattice.

#### 1. BACKGROUND AND INTRODUCTION

We explain some of the terms required for this sequel in this section. A detailed treatment can be found in [6].

A Hausdorff space X is **H-closed** if it is closed in every Hausdorff space containing X as a subspace.

 $\mathcal{H}(X) = \{Y \in \mathcal{E}(X) : Y \text{ is H-closed}\}\$  is a set of H-closed extensions of X such that no two are equivalent and each H-closed extension of X is equivalent to some  $Y \in \mathcal{H}(X)$ .

 $\kappa X = X \cup \{\mathcal{U} : \mathcal{U} \text{ is a free open ultrafilter on } X\}$  and  $\{U : U$  is open in  $X\} \cup \{U \cup \{\mathcal{U}\} : U \in \mathcal{U}, \mathcal{U} \in \kappa X \setminus X\}$  is an open base for the open sets in  $\kappa X$ , called the **Katetov extension** of X. A characterization of  $\kappa X$  is the following:

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**Theorem 1.1.** Let X be Hausdorff. Then

- (a)  $\kappa X$  is an H-closed extension of X and X is open in  $\kappa X$
- (b) If  $Y \in \mathcal{H}(X)$ , there is a unique continuous function f:  $\kappa X \to Y$  such that  $f|_X = id_X$ , i.e.,  $\kappa X \ge Y$  and
- (c) If  $Z \in \mathcal{H}(X)$  and  $Z \geq Y$  for all  $Y \in \mathcal{H}(\overline{X})$ , then  $\kappa X \equiv_X Z$ , in particular  $\kappa X = \vee \mathcal{H}(X)$ .

Let Y be a Hausdorff extension of X. For  $U \in \tau(X)$ ,  $oU = \bigcup \{W : W \in \tau(Y) \text{ and } W \cap X \subseteq U\}$ .  $\{oU : U \in \tau(Y)\}$ is an open base for a Hausdorff topology  $\tau^{\#}$  on Y that is contained in the original topology of Y, called the **strict extension topology** on Y. The Hausdorff extension Y with the strict extension topology  $\tau^{\#}$  is denoted by  $Y^{\#}$ . The strict extension  $(\kappa X)^{\#}$  of X is denoted as  $\sigma X$  and called the Fomin extension of X. The Fomin extension  $\sigma X$  of X has the strict topology, and as a set  $\sigma X = \kappa X$ .

A Hausdorff space is **minimal Hausdorff** if X has no strictly coarser Hausdorff topology.

### **Proposition 1.2.** Let X be Hausdorff. T.F.A.E.:

- (a) X is minimal Hausdorff
- (b) X is semiregular and H-closed
- (c) Every open filter with an unique adherent point converges.

The semiregularization  $\kappa X(s)$  of the Katetov extension  $\kappa X$ is the set  $\kappa X$  with the topology generated by  $\{oU : U \in \mathcal{R}O(X)\}$  ( $\mathcal{R}O(X)$ ) is the set of regular open sets of X). The semiregular H-closed extension  $\kappa X(s)$  of X is denoted as  $\mu X$ and called the **Banaschewski-Fomin-Sanin minimal Hausdorff extension** for the semiregular Hausdorff space X.

In this article, we will work with the unit interval with a special topology. Let  $I^+$  be the unit interval with  $\tau(I^+) = \{\emptyset, I^+\} \cup \{[0, a) : 0 < a < 1\}$ . The class of all  $T_0$  spaces can be embedded in a product of copies of  $I^+$ . For a  $T_0$  space X, the  $T_0$  compactification  $\beta_{I^+}X$  of X is denoted as  $\beta^+X$  and called the upper Stone-Cêch compactification of X [3].  $I^+$  is called the generating space for the class of  $T_0$  spaces. Let  $C^+(X) =$ 

 $C(X, I^+)$  and  $\prod_{C^+(X)} I^+$  denote the product of  $C^+(X)$  copies of  $I^+$ .

There is no  $T_1$  space that works as a generating space for the class of Hausdorff or H-closed spaces [2]. But, there are  $T_0$ spaces, namely  $I^+$  and  $\mathbf{S}$  ( $\mathbf{S} = \{0, 1\}$  with  $\tau(\mathbf{S}) = \{\emptyset, \{0\}, \mathbf{S}\}$ ) that are generating spaces for all H-closed spaces. The usual embedding function embeds a Tychonoff space X in  $\prod_{C^*(X)} I$ in such a way that its closure is the Stone-Cêch compactification  $\beta X$  of X. A natural question is whether there is a parallel analogue of embedding a Hausdorff space X in  $\prod_{C^+(X)} I^+$ . In 1976, Porter [4] asked if it possible to construct in terms of  $\prod_{C^+(X)} I^+$ , the Fomin H-closed extension  $\sigma X$  for a Hausdorff space X or the Banaschewski-Fomin-Sanin minimal Hausdorff extension  $\mu X$  for a semiregular space X. In 1993, we [7] showed that it is possible to embed  $\sigma X$  in  $\prod_{C^+} I^+$  in such a way that  $\sigma X \subseteq \beta^+ X$ . We showed that  $\sigma X$ ,  $\mu X$ , and in fact a very large class of extensions of X are embedded in  $\beta^+ X$ .

2.  $\beta^+ X$ 

The results in this section are proved in [8], and are stated here to demonstrate the need for finding the space described in section 3.

**Definition 2.1.** Let X be a Hausdorff space and  $f \in C^+(X)$ . For  $y \in \sigma X \setminus X$  (recall that y is a free open ultrafilter on X), let  $\tilde{f}(y)$  be the unique point in I to which y converges in the usual topology on I, and for  $y \in X$  let  $\tilde{f}(y) = f(y)$ .

**Proposition 2.2.** [8] Let X be a Hausdorff space and  $f \in C^+(X)$ . Then  $\tilde{f} \in C^+(\sigma X)$ . In particular, X is  $C^+$ -embedded in  $\sigma X$ .

**Notation 2.3.** For  $A \subseteq X$ , the reverse characteristic function,  $\chi_A : X \to \{0, 1\}$  is defined by

$$\chi_{\scriptscriptstyle A}(x) = \left\{ \begin{array}{ll} 0 & \text{if } x \in \mathbf{A} \\ 1 & \text{if } x \notin \mathbf{A} \end{array} \right.$$

**Theorem 2.4.** [8][9] For a Hausdorff space X, the function  $\tilde{e}: \sigma X \hookrightarrow \prod_{C^+(X)} I^+$  defined by  $\tilde{e}(y)(f) = \tilde{f}(y)$  is an embedding.

**Corollary 2.5.** [1]A Hausdorff space X is H-closed iff e[X] is a maximal Hausdorff subspace of  $\beta^+ X$ .

The following notation is helpful in showing that  $\mu x$  can be embedded in  $\beta^+ X$  when X is a Hausdorff space.

**Notation 2.6.** Let Y be an extension of X and  $f: X \to I^+$  be a function. For each 0 < r < 1, let  $U_r = Y \setminus cl_Y f^{\leftarrow}[[r, 1]]$ . Then  $U_r$  is open in Y. Define  $\chi_{f,r}: Y \to I^+$  by

$$\chi_{f,r}(y) = \begin{cases} r & \text{if } y \in U_r \\ 1 & \text{if } y \in Y \setminus U_r \end{cases}$$

This is a modification of the characteristic functions of  $U_r$  in X.

**Proposition 2.7.** [8] Let Y be an extension of X,  $f \in C^+(X)$ , then,  $\hat{f} : Y^{\#} \to I^+$ ,  $\hat{f} = \wedge \{\chi_{f,r} : 0 < r < 1\}$  is continuous. (Note that in this conclusion the domain of  $\hat{f}$  is changed to  $Y^{\#}$ and is not Y.)

**Theorem 2.8.** [8] Let Y be a strict  $T_0$  extension of X. The function  $\hat{e}_Y : Y \to \prod_{C^+(X)} I^+$  defined by  $\hat{e}_Y(y)(f) = \hat{f}(y)$  is an embedding and  $e[X] \subseteq \hat{e}_Y[Y] \subseteq \beta^+ X$ .

As a semiregular extension is a strict extension (7.1(e)(4) of [6]), the next result is a consequence of 2.8.

**Proposition 2.9.** [8] Let H be an H-closed extension of X. Then  $H_0$  is semiregular iff  $H_0 = H(s)$ .

**Theorem 2.10.** [13] Every minimal Hausdorff extension of a semiregular space can be embedded in  $\prod_{C^+(X)} I^+$ . In particular,  $\mu X$  can be embedded in  $\prod_{C^+(X)} I^+$ .

Another application is that Tikoo, in 1984, extended the definition of the Banaschewski-Fomin-Sanin minimal Hausdorff

extension  $\mu X$  for semiregular Hausdorff spaces X to arbitrary Hausdorff spaces. The extended definition of the extension  $\mu X$ is a strict extension. Thus,  $\mu X$  can be embedded in  $\beta^+ X$ . This answers a 1976 question posed by Porter [4].

Thus,  $\beta^+ X$  contains all the strict *H*-closed extensions of *X* and may in fact contain other non-strict *H*-closed extensions. As noted in [7], many copies of the same strict *H*-closed extension of *X* are contained in  $\beta^+ X$ .

In the next section we construct an extension  $\rho X$  of Xwhich contains all the strict H-closed extensions of e[X] (and no others) corresponding to the open filters on X, inside of  $\prod_{C^+(X)} I^+$ , and in fact exactly one copy of each strict H-closed extension. The reverse characteristic functions of open sets on X still play an important role as the basic open sets of the new structure  $\rho X$  formed inside of cle[X] can be expressed in terms of these functions. We show that  $\rho X$  is homeomorphic to the set of all open filters on X with the Alexandroff topology.

### 3. Construction of $\rho X$

We describe here some facts that are useful for later results.

**Remarks 3.1.** Let X be a space,  $f \in C^+(X)$  and  $\mathcal{F}$  an open filterbase on X.

- (a) Then  $f(\mathcal{F})$  always converges to 1. The filterbase  $f(\mathcal{F})$  may converge to other points but 1 is always in  $c(f(\mathcal{F}))$  (= set of convergent points of  $f(\mathcal{F})$  in  $I^+$ ).
- (b) If  $f(\mathcal{F})$  converges to  $b \in I^+$  and d is such that  $b \leq d \leq 1$ , then  $f(\mathcal{F})$  converges to d.
- (c) There is some  $a \in I^+$ , such that  $c(f(\mathcal{F})) = [a, 1]$ . This can be easily justified using the above two facts. Let  $a = \inf(c(f(\mathcal{F})))$ . Then  $(a, 1] \subseteq c(f(\mathcal{F}))$ . It suffices to show that  $f(\mathcal{F})$  converges to a. We are done if a = 1, so assume that a < 1. An open neighborhood of a is of the form [0, b), where a < b. Let a < d < b,  $f(\mathcal{F})$  converges to d, thus there is a  $F \in \mathcal{F}$  such that  $f[F] \subseteq [0, b)$ . But, [0, b)

is an arbitrary neighborhood of a; so,  $f(\mathcal{F})$  converges to a.

(d) If 
$$\alpha \in \prod_{C^+(X)} I^+$$
, then  $cl\{\alpha\}$  is denoted as  $cl\alpha$ . Thus,

$$cl\alpha = cl\prod_{C^+(X)} \{\alpha(f)\} = \prod_{C^+(X)} cl\{\alpha(f)\}$$
$$= \prod_{C^+(X)} [\alpha(f), 1].$$

For  $\alpha, \beta \in \prod_{C^+(X)} I^+$ , define  $\alpha \leq \beta$  iff  $\alpha(f) \leq \beta(f)$  for every  $f \in C^+(X)$ . The binary relation  $\leq$  is a partial order on  $\prod_{C^+(X)} I^+$  with  $\vec{\mathbf{0}}$  as its smallest element and  $\vec{\mathbf{1}}$  as its largest element. For  $\alpha, \beta \in \prod_{C^+(X)} I^+$ , it follows that  $\alpha \vee \beta$  exists and is defined by  $(\alpha \vee \beta)(f) = \alpha(f) \vee \beta(f)$ . The product  $\prod_{C^+(X)} I^+$ with this partial order is a complete lattice. Note that  $\alpha \leq \beta$ iff  $\beta \in cl\alpha$ . This is a partial order which can be defined on a  $T_0$  space but which becomes trivial for  $T_1$  spaces.

Let X be a  $T_0$  space and e be the map that embeds X in  $\prod_{C^+(X)} I^+$ . It follows that the partially ordered set  $(\beta^+ X, \leq)$  is a complete upper semilattice. The maximum element of  $\beta^+ X$  is denoted as  $\vec{\mathbf{1}}$ , i.e.,  $\vec{\mathbf{1}}(f) = 1$  for all  $f \in C^+(X)$ . For  $\alpha \in \prod_{C^+(X)} I^+$ , define

$$\mathcal{G}(\alpha) = \{\pi_f^{\leftarrow}[[0, \alpha(f) + 1/n)] \cap e[X] : f \in C^+(X), n \in \mathbf{N}\}.$$

**Proposition 3.2.** Let  $\alpha \in \prod_{C^+(X)} I^+$ . Then  $\alpha \in \beta^+ X$  iff  $\mathcal{G}(\alpha)$  is an open filter subbase on e[X].

Proof: Let F be a finite subset of  $C^+(X)$ ,  $\{n_f : f \in F\} \subseteq \mathbf{N}$ , and  $T = \bigcap \{\pi_f^{\leftarrow}[[0, \alpha(f) + 1/n_f)] : f \in F\}$ . Now, T is a basic open set of  $\alpha \in \prod_{C^+(X)} I^+$ . Thus,  $\alpha \in \beta^+ X$  iff for each T,  $T \cap e[X] \neq \emptyset$ . This shows that  $\alpha \in \beta^+ X$  iff  $\mathcal{G}(\alpha)$  is an open filter subbase on e[X].

For  $\alpha \in \beta^+ X$ ,  $\mathcal{G}(\alpha)$  need not be a base as is shown in the following example.

**Example 3.3.** Let  $X = \mathbf{R}$  and  $\chi_{(-1,2)}$  and  $\chi_{(-2,1)}$  be the reverse characteristic functions of the sets (-1,2) and (-2,1).

Define  $\alpha \in \prod_{C^+(X)} I^+$  as follows:

$$\alpha(f) = \begin{cases} 0 & \text{if } f = \chi_{(-1,2)} \text{ or } \chi_{(-2,1)} \\ 1 & \text{otherwise} \end{cases}$$

Now,  $e(0) \in e[\mathbf{R}]$  and  $e(0) \leq \alpha$ , so  $\alpha \in cle(0) \subseteq cle[\mathbf{R}]$ . If  $f = \chi_{(-1,2)}$  (resp.  $\chi_{(-2,1)}$ ), then  $\pi_f^{\leftarrow}[[0, \alpha(f) + 1/n)] \cap e[\mathbf{R}] = e[(-1,2)]$  (resp.  $\pi_f^{\leftarrow}[[0, \alpha(f) + 1/n)] \cap e[\mathbf{R}] = e[(-2,1)]$ ) for all  $n \in \mathbf{N}$ . If  $f \neq \chi_{(-1,2)}$  or  $\chi_{(-2,1)}$ , then  $\pi_f^{\leftarrow}[[0, \alpha(f) + 1/n)] \cap e[\mathbf{R}] = e[\mathbf{R}]$  for all  $n \in \mathbf{N}$ . So,  $\mathcal{G}(\alpha) = \{e[(-1,2)], e[(-2,1)], e[\mathbf{R}]\}$  is an open filter subbase but is not an open filterbase.

Let  $f \in C^+(X)$ ,  $\alpha \in \beta^+ X$ , and  $\langle \mathcal{G}(\alpha) \rangle$  be the open filter generated by  $\mathcal{G}(\alpha)$  on e[X]. By 3.1(a),  $\pi_f[\langle \mathcal{G}(\alpha) \rangle]$  converges to 1. Moreover, since  $\pi_f[e[X] \cap \pi_f^+[[0, \alpha(f) + 1/n)]] \subseteq [0, \alpha(f) + 1/n)$  for all  $n \in \mathbb{N}$  and  $e[X] \cap \pi_f^+[[0, \alpha(f) + 1/n)] \in \mathcal{G}(\alpha)$ , then  $\pi_f[\langle \mathcal{G}(\alpha) \rangle]$  converges to  $\alpha(f)$ .

We now introduce two notational definitions.

**Definition 3.4.** For  $f \in C^+(X)$  and  $\alpha \in \beta^+ X$ , let  $\alpha^*(\mathbf{f}) = \inf(c(\pi_f[\langle \mathcal{G}(\alpha) \rangle]))$ , i.e., the infimum of the set of convergent points of the filterbase  $\pi_f[\langle \mathcal{G}(\alpha) \rangle]$  on  $I^+$ , and let  $\rho \mathbf{X} = \{\alpha^* : \alpha \in \beta^+ X\}$ .

**Proposition 3.5.** Let  $\alpha \in \beta^+ X$ . Then  $\alpha^* \in \beta^+ X$ ,  $\alpha^* \leq \alpha$ and for  $f \in C^+(X)$ ,  $\pi_f[\langle \mathcal{G}(\alpha) \rangle]$  converges to  $\alpha^*(f)$ .

Proof: Let F be a finite set. Suppose  $\alpha^* \in \bigcap \{\pi_{f_i}^{\leftarrow}[[0, b_i)] : i \in F\}$ . Then  $\alpha^*(f_i) < b_i$  and  $\pi_{f_i}^{\leftarrow}[[0, b_i)] \cap e[X] \in \langle \mathcal{G}(\alpha) \rangle$ . As  $\langle \mathcal{G}(\alpha) \rangle$  has the finite intersection property,  $e[X] \cap \bigcap \{\pi_{f_i}^{\leftarrow}[[0, b_i)] : i \in F\} \neq \emptyset$ . Thus,  $\alpha^* \in \beta^+ X$ . By the note preceding Definition 3.4, for  $f \in C^+(X)$  and  $\alpha \in \beta^+ X$ ,  $\pi_f[\langle \mathcal{G}(\alpha) \rangle]$  converges to  $\alpha(f)$ . Also,  $\alpha^*(\mathbf{f}) = \inf(c(\pi_f[\langle \mathcal{G}(\alpha) \rangle]))$ . Hence,  $\alpha^*(f) \leq \alpha(f)$  and so,  $\alpha^* \leq \alpha$ .

The partial order on  $\rho X$  is the one induced by the natural partial order on  $\prod_{C^+(X)} I^+$ . The maximum element  $\mathbf{\vec{1}} \in \beta^+ X$  and  $\mathcal{G}(\mathbf{\vec{1}}) = \{e[X]\}$  is an open filter on e[X]. Also,  $\pi_f[e[X]] = f[X] \subseteq [0,1], c(\pi_f \langle \mathcal{G}(\mathbf{\vec{1}}) \rangle) = [supf[X], 1]$  and  $\inf(c(\pi_f \langle \mathcal{G}(\mathbf{\vec{1}}) \rangle)) = [supf[X], 1]$ 

supf[X]. Thus,  $\vec{\mathbf{1}}^{\star}(f) = supf[X]$ . When  $f = \chi_{\chi}$  (the reverse characteristic function of X),  $\vec{\mathbf{1}}^{\star}(f) = 0$ . This means  $\vec{\mathbf{1}}^{\star} \neq \vec{\mathbf{1}}$  and  $\vec{\mathbf{1}} \notin \rho X$ . By 3.1(c),  $\pi_f[\langle \mathcal{G}(\alpha) \rangle]$  converges to  $\alpha(f)$ .

- **Remark 3.6.** (a) First, we show that  $e[X] \subseteq \rho X$ , i.e., for  $x \in X$ ,  $e(x)^* = e(x)$ . By Proposition 3.5, we have that  $e(x)^* \leq e(x)$ . To show that  $e(x) \leq e(x)^*$ , let  $f \in C^+(X)$  and  $n \in \mathbb{N}$ , and note that each element of  $\mathcal{G}(e(x))$ , e.g.,  $\pi_f^{\leftarrow}[[0, f(x) + 1/n)] \cap e[X]$ , always contains e(x). If  $g \in C^+(X)$  and  $\pi_g[\langle \mathcal{G}(e(x)) \rangle]$  converges to  $a \in I^+$ , then for  $\varepsilon > 0$ , there are  $U_1, \cdots, U_n \in \mathcal{G}(e(x))$  such that  $\pi_g[U_1 \cap \cdots \cap U_n] \subseteq [0, a' + \varepsilon)$ . As,  $e(x) \in U_1 \cap \cdots \cap U_n, \pi_g(e(x)) < a + \varepsilon$ , i.e.,  $e(x)(g) < a + \varepsilon$  for all  $\varepsilon > 0$ . Hence,  $e(x)(g) \leq a$ . Since  $\pi_g[\langle \mathcal{G}(e(x)) \rangle]$  converges to  $e(x)^*(g)$  by Proposition 3.5, it follows that  $e(x)(g) \leq e(x)^*(g)$ . This shows that  $e(x) \leq e(x)^*$ .
  - (b) By (a), we have that  $e[X] \subseteq \rho X \subseteq \beta^+ X$  and  $cl\rho X = \beta^+ X$ .
  - (c) Note that the minimal element  $\vec{\mathbf{0}}$  in  $\prod_{C^+(X)} I^+$  need not be in  $cl\rho X$ . To see this let U, V be nonempty disjoint open sets in X. Then  $\pi_{\chi_U}^{\leftarrow}[[0, 1/2)] \cap \pi_{\chi_V}^{\leftarrow}[[0, 1/2)] \cap e[X] = e[U] \cap$  $e[V] = e[\emptyset] = \emptyset$ . But,  $\vec{\mathbf{0}} \in \pi_{\chi_U}^{\leftarrow}[[0, 1/2)] \cap \pi_{\chi_V}^{\leftarrow}[[0, 1/2)]$ ; hence  $\vec{\mathbf{0}} \notin \beta^+ X$ . If  $\alpha \in \beta^+ X$ , then  $\alpha^* \leq \alpha$ . We have that  $\alpha \in cl\alpha^* \subseteq cl\rho X$ . Hence  $cl\rho X = \beta^+ X$ .

The next four propositions describe the structure of  $\rho X$  and establish some of its properties. Earlier, when trying to embed  $\sigma X$  in  $\prod_{C^+(X)} I^+$ , in order to define a function from  $\sigma X \rightarrow \prod_{C^+(X)} I^+$  we used the fact that I with the usual topology is Hausdorff. Here, in a  $T_0$  setting we are able to determine a unique point in I that corresponds to an open filter on X and so can extend functions and have a correspondence between points of  $\rho X$  and the set of open filters on X.

**Proposition 3.7.** Let  $\alpha \in \beta^+ X$ . Then  $\mathcal{G}(\alpha^*) = \langle \mathcal{G}(\alpha) \rangle$ ; in particular  $(\alpha^*)^* = \alpha^*$ .

*Proof:* As  $\alpha^* \leq \alpha$ , it follows that for all  $f \in C^+(X)$ , and  $n \in \mathbf{N}, \ \pi_f^{\leftarrow}[[0, \alpha^{\star}(f) + 1/n)] \cap e[X] \subseteq \pi_f^{\leftarrow}[[0, \alpha(f) + 1/n)] \cap e[X].$  Thus,  $\langle \mathcal{G}(\alpha) \rangle \subseteq \langle \mathcal{G}(\alpha^{\star}) \rangle$ . Also, for  $f \in C^+(X)$ , as  $\pi_f[\langle \mathcal{G}(\alpha) \rangle]$  converges to  $\alpha^{\star}(f)$ , for each  $n \in \mathbf{N}$ ,  $\pi_f^{\leftarrow}[[0, \alpha^{\star}(f) +$ 1/n]  $\cap e[X] \in \langle \mathcal{G}(\alpha) \rangle$ . Thus,  $\mathcal{G}(\alpha^*) \subseteq \langle \mathcal{G}(\alpha) \rangle$  and, hence,  $\langle \mathcal{G}(\alpha^*) \rangle \subseteq \langle \mathcal{G}(\alpha) \rangle$ . So, we have established that  $\langle \mathcal{G}(\alpha^*) \rangle =$  $\langle \mathcal{G}(\alpha) \rangle$ . Next, we show that  $\mathcal{G}(\alpha^*) = \langle \mathcal{G}(\alpha^*) \rangle$ . The first step is to show that  $\mathcal{G}(\alpha^*)$  is closed under finite intersections. Suppose  $f, g \in C^+(X)$  and  $n, m \in \mathbb{N}$ . There is some  $U \in \tau(X)$  such that  $e[U] = \pi_f^{\leftarrow}[[0, \alpha^*(f) + 1/n)] \cap \pi_g^{\leftarrow}[[0, \alpha^*(g) + 1/m)] \cap e[X].$ Now, the reverse characteristic function of  $U, \chi_U \in C^+(X)$ . As  $e[U] \in \langle \mathcal{G}(\alpha) \rangle$  and  $\pi_{\chi_{II}}[e[U]] = \{0\}$ , it follows that  $\pi_{\chi_{II}}[\langle \mathcal{G}(\alpha) \rangle]$ converges to 0. So,  $\alpha^{\star}(\chi_{U}) = 0$  and  $e[U] \in \mathcal{G}(\alpha^{\star})$ . The final step in showing that  $\mathcal{G}(\alpha^{\star})$  is an open filter, is to show that if W is an open set in e[X] and  $W \supseteq V$  for some  $V \in \mathcal{G}(\alpha^*)$ , then  $W \in \mathcal{G}(\alpha^*)$ . As W is open in e[X], there is an open set R in X such that e[R] = W. As  $\pi_{\chi_R}[V] \subseteq \pi_{\chi_R}[e[R]] \subseteq$ {0}, it follows that  $\pi_{\chi_R}[\langle \mathcal{G}(\alpha) \rangle]$  converges to 0. So,  $\alpha^*(\chi_R) = 0$  and  $W = e[R] = \pi_{\chi_R}^{\leftarrow}[[0, \alpha^*(\chi_R) + 1/2)] \cap e[X] \in \mathcal{G}(\alpha^*)$ . Finally, to show that  $(\alpha^*)^* = \alpha^*$ . For  $f \in C^+(X)$ ,  $(\alpha^*)^*(f) = 0$  $\inf(c(\pi_f(\langle \mathcal{G}(\alpha^*) \rangle))) = \inf(c(\pi_f(\langle \mathcal{G}(\alpha) \rangle))) = \alpha^*(f).$  So,  $(\alpha^*)^* =$  $\alpha^{\star}$ Π

The next result is a corollary to the proof of Proposition 3.7 but is stated separately as it is used frequently in the sequel. This result characterizes those open filters on e[X] that converge to points in  $\rho X$ .

**Corollary 3.8.** For an open set U in X and  $\alpha \in \beta^+ X$ ,  $e[U] \in \mathcal{G}(\alpha^*)$  iff  $\pi_{\chi_U}(\alpha^*) = 0$ , i.e.,  $\mathcal{G}(\alpha^*) = \{e[U] : U \in \tau(X), \alpha^*(\chi_U) = 0\}$ .

**Proposition 3.9.** Let  $\alpha, \gamma \in \beta^+ X$ . Then  $\langle \mathcal{G}(\gamma) \rangle = \langle \mathcal{G}(\alpha) \rangle$ iff  $\alpha^* = \gamma^* \leq \gamma$ .

*Proof:* Suppose  $\alpha^* = \gamma^*$ . Then by Proposition 3.7,  $\langle \mathcal{G}(\gamma) \rangle = \mathcal{G}(\gamma^*) = \mathcal{G}(\alpha^*) = \langle \mathcal{G}(\alpha) \rangle$ . Conversely, suppose  $\alpha, \gamma \in \beta^+ X$  and  $\langle \mathcal{G}(\gamma) \rangle = \langle \mathcal{G}(\alpha) \rangle$ . For  $f \in C^+(X), \gamma^*(f) = \inf(c(\pi_f(\langle \mathcal{G}(\gamma) \rangle))) =$ 

 $\inf(c(\pi_f(\langle \mathcal{G}(\alpha) \rangle))) = \alpha^*(f)$ . So,  $\alpha^* = \gamma^*$ . As,  $\gamma^* \leq \gamma$  by Proposition 3.5, the conclusion follows.

**Proposition 3.10.** Let  $\alpha \in \beta^+ X$ ,  $\beta \in \prod_{C^+(X)} I^+$  and  $\alpha \leq \beta$ . Then  $\beta \in \beta^+ X$ ,  $\alpha^* \leq \beta^*$  and  $\langle \mathcal{G}(\beta) \rangle \subseteq \langle \mathcal{G}(\alpha) \rangle$ .

 $\begin{array}{ll} Proof: & \beta \in cl\{\alpha\} \subseteq cl(\beta^+X) = \beta^+X. \text{ Next, let } f \in C^+(X) \\ \text{and } n \in \mathbf{N}. & [0, \alpha(f) + 1/n) \subseteq [0, \beta(f) + 1/n), \text{ therefore,} \\ \pi_f^{\leftarrow}[[0, \alpha(f) + 1/n)] \cap e[X] \subseteq \pi_f^{\leftarrow}[[0, \beta(f) + 1/n)] \cap e[X]. \text{ Thus,} \\ \pi_f^{\leftarrow}[[0, \beta(f) + 1/n)] \cap e[X] \in \langle \mathcal{G}(\alpha) \rangle \text{ i.e.}, \langle \mathcal{G}(\beta) \rangle \subseteq \langle \mathcal{G}(\alpha) \rangle. \text{ So,} \\ c(\pi_f(\langle \mathcal{G}(\beta) \rangle)) \subseteq c(\pi_f(\langle \mathcal{G}(\alpha) \rangle)) \text{ and } \alpha^*(f) = \inf c(\pi_f(\langle \mathcal{G}(\alpha) \rangle)) \leq \inf c(\pi_f(\langle \mathcal{G}(\beta) \rangle)) = \beta^*(f). \text{ Hence, } \alpha^* \leq \beta^*. \end{array}$ 

Another way of viewing  $\rho X$  is that it arises from a partition of  $\beta^+ X$ . For  $\alpha \in \beta^+ X$ , let  $P_{\alpha} = \{\gamma \in \beta^+ X : \gamma^* = \alpha^*\}$ . Then  $\{P_{\alpha} : \alpha \in \beta^+ X\}$  is a partition of  $\beta^+ X$ . By Proposition 3.5, for  $\alpha \in \beta^+ X$ ,  $\alpha^*$  is the smallest element of  $P_{\alpha}$ . For  $\alpha \in \beta^+ X$ ,  $\forall P_{\alpha}$  exists in  $\beta^+ X$  since  $\beta^+ X$  is a complete upper semilattice. One question is whether  $\forall P_{\alpha} \in P_{\alpha}$ . This is answered in the negative by the next results.

**Proposition 3.11.** Let  $\alpha \in \rho X$  and  $f \in C^+(X)$ . Define  $\beta(g) = \alpha(g)$  for  $g \neq f$  and  $\beta(f) = 1$ . Then  $\alpha^* = \beta^*$ .

Proof: Since  $\alpha \leq \beta$ , by Proposition 3.10,  $\beta \in \beta^+ X$ ,  $\alpha = \alpha^* \leq \beta^*$ , and  $\langle \mathcal{G}(\beta) \rangle \subseteq \mathcal{G}(\alpha)$ . By Proposition 3.9, it suffices to show that  $\mathcal{G}(\alpha) \subseteq \langle \mathcal{G}(\beta) \rangle$ . A typical element of  $\mathcal{G}(\alpha)$  is of the form  $\pi_g^{\leftarrow}[[0, \alpha(g) + 1/n)] \cap e[X]$  where  $g \in C^+(X)$  and  $n \in \mathbb{N}$ . If  $f \neq g$ ,  $\pi_g^{\leftarrow}[[0, \alpha(g) + 1/n)] \cap e[X] \in \mathcal{G}(\beta)$ . We need to consider the case when f = g. There is an open set U in X such that  $e[U] = \pi_f^{\leftarrow}[[0, \alpha(f) + 1/n)] \cap e[X]$ . Since  $e[X] = \pi_f^{\leftarrow}[[0, \beta(f) + 1/m)] \cap e[X]$  for any  $m \in \mathbb{N}$ , we can assume that  $U \neq X$ . If  $f \neq \chi_U$ , then  $\beta(\chi_U) = \alpha(\chi_U)$  and  $e[U] = \pi_{\chi_U}^{\leftarrow}[[0, \beta(\chi_U) + \frac{1}{2})] \cap e[X] \in \mathcal{G}(\beta)$ . So, suppose that  $f = \chi_U$ . Since,  $X \setminus U \neq \emptyset$ ,  $f \neq \frac{1}{2}\chi_U$ . But for  $g = \frac{1}{2}\chi_U$ ,  $\pi_g^{\leftarrow}[[0, \beta(g) + \frac{1}{3})] \cap e[X] = \pi_g^{\leftarrow}[[0, \alpha(g) + \frac{1}{3})] \cap e[X] = e[U] \in \mathcal{G}(\beta)$ .

**Remark 3.12.** By Proposition 3.11, we have that for each  $\alpha \in \rho X$ ,  $\forall P_{\alpha} = \vec{1}$ . This emphasizes that  $\beta^+ X$  is too unstructured.

**Proposition 3.13.** Let  $\alpha$ ,  $\beta \in \beta^+ X$  such that  $\langle \mathcal{G}(\beta) \rangle \subseteq \langle \mathcal{G}(\alpha) \rangle$ . Then  $\alpha^* \leq \beta^* \leq \beta$ .

Proof: By Proposition 3.5,  $\beta^* \leq \beta$ ; so, it suffices to prove that  $\alpha^* \leq \beta^*$ . Let  $f \in C^+(X)$ . Then  $\beta^*(f) = \inf c(\pi_f(\langle \mathcal{G}(\beta) \rangle))$ . By Proposition 3.5,  $\beta^*(f) \in c(\pi_f(\langle \mathcal{G}(\beta) \rangle))$ . Since  $\langle \mathcal{G}(\beta) \rangle \subseteq \langle \mathcal{G}(\alpha) \rangle$ , it follows that  $\beta^*(f) \in c(\pi_f(\langle \mathcal{G}(\alpha) \rangle))$ . As  $\alpha^*(f) = \inf c(\pi_f(\langle \mathcal{G}(\alpha) \rangle))$ ,  $\alpha^*(f) \leq \beta^*(f)$ .

Now,  $X \approx e[X] \subseteq \rho X \subseteq \beta^+ X$ . The extension  $\beta^+ X$  of e[X] is closed in the compact space  $\prod_{C^+(X)} I^+$  and hence is compact. On the other hand,  $\rho X$  is not closed in  $\prod_{C^+(X)} I^+$ ; so, it is natural to ask if  $\rho X$  is also compact. We answer this in the affirmative in the next theorem.

**Proposition 3.14.** Let  $f \in C^+(X)$  and  $\varepsilon > 0$ . Then  $\pi_f^{\leftarrow}[[0, 1^*(f) + \varepsilon)] \cap \rho X = \rho X$ .

*Proof:* Let  $\alpha \in \rho X$ . Then  $\alpha \leq \mathbf{\vec{1}}$ ; so,  $\alpha = \alpha^* \leq \mathbf{\vec{1}}^*$  and  $\alpha(f) \leq \mathbf{\vec{1}}^*(f)$ . Hence,  $\alpha(f) \in [0, \mathbf{\vec{1}}^*(f) + \varepsilon)$ . Thus,  $\alpha \in \pi_f^{\leftarrow}[[0, \mathbf{\vec{1}}^*(f) + \varepsilon)]$ .  $\Box$ 

#### **Theorem 3.15.** $\rho X$ is compact.

Proof: From the paragraph after Proposition 3.5,  $\mathbf{\vec{1}}^* \in \rho X$ . Let  $\mathcal{C}$  be an open cover of  $\rho X$ . There is some  $U \in \mathcal{C}$  such that  $\mathbf{\vec{1}}^* \in U$ . There is a finite set  $F \subseteq C^+(X)$  and  $\varepsilon > 0$  such that  $\mathbf{\vec{1}}^* \in \bigcap \{\pi_f^{\leftarrow}[[0, \mathbf{\vec{1}}^*(f) + \varepsilon)] : f \in F\} \cap \rho X \subseteq U$ . By Proposition 3.14,  $\pi_f^{\leftarrow}[[0, \mathbf{\vec{1}}^*(f) + \varepsilon)] \cap \rho X = \rho X$ . Thus,  $\mathbf{\vec{1}}^* \in \rho X \subseteq U$ . Hence,  $\rho X$  can be covered by exactly one element.  $\Box$ 

**Remark 3.16.** Actually, we show in the proof of Theorem 3.15, that if  $e[X] \subseteq Y \subseteq \rho X$ , then  $Y \cup \{(\vec{1})^*\}$  is compact. An improvement of Proposition 3.10 would be: for  $\alpha, \beta \in \beta^+ X$ ,  $\alpha \leq \beta$  iff  $\alpha^* \leq \beta^*$ . This is false. For example, let  $\alpha \in \rho X$  such that  $\alpha \neq \vec{1}$ . Then there is some  $f \in C^+(X)$  such that

 $\alpha(f) \neq \vec{\mathbf{1}}(f)$ . Define  $\beta \in \beta^+ X$  by  $\beta(g) = \alpha(g)$  for  $g \neq f$  and  $\beta(f) = 1$ . Now,  $\alpha^* = \beta^*$  and  $\mathcal{G}(\alpha) = \mathcal{G}(\beta)$ . However,  $\beta \not\leq \alpha$  even though  $\alpha < \beta$ .

**Proposition 3.17.** Let  $\alpha \in \beta^+ X$  such that  $\langle \mathcal{G}(\alpha) \rangle$  is an open ultrafilter on e[X]. Then  $\alpha^*$  is a minimal element of  $\beta^+ X$ .

Proof: Suppose  $\langle \mathcal{G}(\alpha) \rangle$  is an open ultrafilter on e[X]. To show that  $\alpha^*$  is a minimal element of  $\beta^+ X$ , let  $\gamma \in \beta^+ X$  and  $\gamma \leq \alpha^*$ . By Proposition 3.10,  $\mathcal{G}(\alpha^*) = \langle \mathcal{G}(\alpha) \rangle \subseteq \langle \mathcal{G}(\gamma) \rangle$ . As,  $\mathcal{G}(\alpha^*)$  is an open ultrafilter,  $\mathcal{G}(\alpha^*) = \langle \mathcal{G}(\alpha) \rangle = \langle \mathcal{G}(\gamma) \rangle$ . By Proposition 3.9  $\alpha^* = \gamma^* \leq \gamma$ . Thus,  $\gamma = \alpha^*$ .

**Proposition 3.18.** Let  $\alpha \in \beta^+ X$ . Then  $\alpha$  is a minimal element of  $\beta^+ X$  iff  $\alpha = \alpha^*$  and  $\mathcal{G}(\alpha)$  is an open ultrafilter on e[X].

*Proof:* Suppose  $\alpha$  is a minimal element of  $\beta^+ X$ . By Proposition 3.5  $\alpha^* \leq \alpha$  and  $\alpha^* \in \beta^+ X$ . Hence,  $\alpha = \alpha^*$ . Let  $\mathcal{H}$  be an open filter such that  $\mathcal{H} \supseteq \mathcal{G}(\alpha)$ . Define  $\beta \in \prod_{C^+(X)} I^+$  as follows

$$\beta(f) = \begin{cases} 0 & \text{if } f = \chi_U \text{ and } U \in \mathcal{H} \\ 1 & \text{otherwise} \end{cases}$$

It follows that  $\mathcal{G}(\beta) = \mathcal{H}$ . Now,  $c(\pi_f \langle \mathcal{G}(\beta) \rangle) \supseteq c(\pi_f \langle \mathcal{G}(\alpha) \rangle)$ . So,  $\beta^*(f) = \inf c(\pi_f \langle \mathcal{G}(\beta) \rangle) \leq \inf c(\pi_f(\mathcal{G}(\alpha)) = \alpha^*(f) \leq \alpha(f)$ . Hence,  $\beta^* \leq \alpha$ . As,  $\alpha$  is a minimal element of  $\beta^+ X$ ,  $\alpha = \beta^* \leq \beta$ . By Proposition 3.10,  $\mathcal{H} = \mathcal{G}(\beta) \subseteq \mathcal{G}(\alpha)$ . This completes the proof that  $\mathcal{G}(\alpha)$  is an open ultrafilter on e[X].

Conversely, suppose that  $\alpha = \alpha^*$  and  $\mathcal{G}(\alpha)$  is an open ultrafilter on e[X]. By Proposition 3.17,  $\alpha = \alpha^*$  is a minimal element of  $\beta^+ X$ .

**Remark 3.19.** Let  $\theta X$  denote the set of open ultrafilters on X. If  $\alpha \in \beta^+ X$  is a minimal element, then by Proposition 3.18,  $\mathcal{G}(\alpha)$  is an open ultrafilter on e[X] and  $\alpha = \alpha^*$ . In particular, there is an open ultrafilter  $\mathcal{U}$  on X (i.e., there is  $\mathcal{U} \in \theta X$ ) such that  $e[\mathcal{U}] = \{e[U] : U \in \mathcal{U}\} = \mathcal{G}(\alpha)$ . If, also,  $e[\mathcal{U}] = \mathcal{G}(\beta)$  for some  $\beta \in \beta^+ X$ , then  $\mathcal{G}(\beta^*) = \mathcal{G}(\beta)$ ; by Proposition 3.7,

 $\alpha^* = \beta^*$ . In particular, there is a unique element  $\alpha_{\mathcal{U}} \in \rho X$  such that  $e[\mathcal{U}] = \mathcal{G}(\alpha_{\mathcal{U}})$ . Thus, the set of minimal elements of  $\beta^+ X = \{\alpha_{\mathcal{U}} : \mathcal{U} \in \theta X\}$ .

**Proposition 3.20.**  $\beta^+ X = \bigcup \{ \prod_{C^+(X)} cl \alpha_{\mathcal{U}}(f) : \mathcal{U} \in \theta X \}.$ 

Proof: By Remark 3.1[d],

$$\bigcup \{\prod_{C^+(X)} cl\alpha_{\mathcal{U}}(f) : \mathcal{U} \in \theta X\} = \bigcup \{cl\alpha_{\mathcal{U}} : \mathcal{U} \in \theta X\} \subseteq \beta^+ X.$$

Conversely, let  $\gamma \in \beta^+ X$ . The open filter  $\langle \mathcal{G}(\gamma) \rangle$  is contained in  $e[\mathcal{U}]$  for some  $\mathcal{U} \in \theta X$ . By Remark 3.19,  $\mathcal{G}(\alpha_{\mathcal{U}}) = e[\mathcal{U}], \alpha_{\mathcal{U}}^{\star} = \alpha_{\mathcal{U}}, \text{ and } \alpha_{\mathcal{U}} \text{ is a minimal element of } \beta^+ X$ . Now,  $\langle \mathcal{G}(\gamma) \rangle \subseteq \mathcal{G}(\alpha_{\mathcal{U}})$ . By Proposition 3.13,  $\alpha_{\mathcal{U}} \leq \gamma^{\star} \leq \gamma$ . For  $f \in C^+(X), \gamma(f) \in [\alpha_{\mathcal{U}}(f), 1] = cl\{\alpha_{\mathcal{U}}(f)\}$ . This implies that  $\gamma \in \prod_{C^+(X)} cl\alpha_{\mathcal{U}}(f)$ .

**Theorem 3.21.**  $\rho X$  is an atomic, complete upper semilattice.

*Proof:* By Propositions 3.17 and 3.18, it is seen that the minimal elements of  $\rho X$  are  $\{\alpha_{\mathcal{U}} : \mathcal{U} \in \theta X\}$ .  $\vec{1}^*$  is the largest element of  $\rho X$ .

To show that  $\rho X$  is atomic, let  $\alpha \in \rho X$ . Now,  $\mathcal{G}(\alpha)$  is an open filter on e[X] and there is some  $\mathcal{U} \in \theta X$  such that  $e[\mathcal{U}] \supseteq \mathcal{G}(\alpha)$ . But,  $e[\mathcal{U}] = \mathcal{G}(\alpha_{\mathcal{U}})$  for some  $\alpha_{\mathcal{U}} \in \rho X$ , by Remark 3.19. Hence,  $\mathcal{G}(\alpha_{\mathcal{U}}) \supseteq \mathcal{G}(\alpha)$ . By Proposition 3.13,  $\alpha_{\mathcal{U}} \leq \alpha^*$ . Since,  $\alpha_{\mathcal{U}}, \alpha \in \rho X$ ,  $\alpha_{\mathcal{U}} = \alpha_{\mathcal{U}}^* \leq \alpha^* = \alpha$ .

Thus, it only remains to prove that  $\rho X$  is closed under arbitrary joins. We denote by  $\bigvee_{cl}$  and  $\bigvee_{\rho}$ , the joins in the spaces  $\beta^+ X$  and  $\rho X$  respectively. It follows that  $\bigvee_{\rho} \alpha_i (\bigvee_{cl} \alpha_i)^*$ . Let  $\{\alpha_i : i \in J\} \subseteq \rho X$ . Then, for  $i \in J$ ,  $(\bigvee_{cl} \alpha_i) \ge (\bigvee_{cl} \alpha_i)^* \ge \alpha_i^* = \alpha_i$ . Let  $\gamma \in \rho X$  such that  $\gamma \ge \alpha_i$ , for every  $i \in J$ . Then  $\gamma \ge \bigvee_{cl} \alpha_i$ , so,  $\gamma^* = \gamma \ge (\bigvee_{cl} \alpha_i)^*$  and thus  $\bigvee_{\rho} \alpha_i = (\bigvee_{cl} \alpha_i)^*$ . Hence,  $\bigvee_{\rho} \alpha_i \in \rho X$ .

**Example 3.22.** Let  $X = \omega$  with the discrete topology and let  $e: \omega \to \prod_{C^+(\omega)} I^+$  be the usual embedding function. Let  $\alpha \in \beta \omega$  and let  $\mathcal{U}$  be the neighborhood trace filter of  $\alpha$  on

 $\omega$ . It is easy to describe  $\alpha(f)$  for every  $f \in C(\omega)$  in terms of the neighborhood trace filter  $\mathcal{U}$ . In fact,  $f(\mathcal{U})$  converges to an unique point in the usual topology in I, and that point is  $\alpha(f)$ .

Thus,  $\{\alpha(f)\} = c_I(f[\mathcal{U}]) = \inf c_{I+}(f[\mathcal{U}]) = \alpha_{\mathcal{U}}(f)$ . Hence,  $\alpha = \alpha_{\mathcal{U}}$ . Now  $\alpha_{\mathcal{U}}$  is a minimal element in  $\beta^+ \omega$  by Remark 3.19. Also, as  $cl\alpha_{\mathcal{U}} = \prod_{C^+(\omega)} [\alpha_{\mathcal{U}}(f), 1], \ \beta^+ \omega = \bigcup \{cl\alpha : \alpha \in \beta\omega\}$ .

### 4. Embedding Strict Extensions of X in $\rho X$

In this section we look at the conclusion of Theorem 2.8 with respect to the space  $\rho X$ . Let Y be an extension of X and  $f \in C^+(X)$ ,  $\hat{f} \in C^+(Y)$  be the continuous extension of f defined in 2.7. Consider the continuous function  $\hat{e}_Y : Y \to$  $\prod_{C^+(X)} I^+$  (defined in Remark 2.8) such that  $e[X] \subseteq \hat{e}_Y[Y] \subseteq$  $\beta^+ X$ . In this section, we show that  $\hat{e}_Y[Y] \subseteq \rho X$ . For  $y \in Y$ , let  $O^y = \{U \cap X : y \in U \in \tau(Y)\}$ . For  $V \in \tau(X)$ , let  $o_Y V = \{y \in Y : V \in O^y\}$ .

**Proposition 4.1.** Let Y be an extension of X. For  $y \in Y$ ,  $\mathcal{G}(\hat{e}_Y(y)) = \{e[U] : U \in O^y\}$ , in particular,  $\mathcal{G}(\hat{e}_Y(y))$  is an open filter on e[X].

Proof: Now,  $\mathcal{G}(\hat{e}_Y(y)) = \{\pi_f^{\leftarrow}[[0, \hat{e}_Y(y)(f) + 1/n)] \cap e[X] : f \in C^+(X), n \in \mathbb{N}\}$ . First note that for  $f \in C^+(X)$  and  $n \in \mathbb{N}$ ,

$$\pi_{f}^{\leftarrow}[[0, \hat{e}_{Y}(y)(f) + 1/n)] \cap e[X]$$

$$= \pi_{f}^{\leftarrow}[[0, \hat{f}(y) + 1/n)] \cap e[X]$$

$$= e[\{x \in X : f(x) < \hat{f}(y) + 1/n\}]$$

$$= e[f^{\leftarrow}[[0, \hat{f}(y) + 1/n)]].$$

As,  $f^{\leftarrow}[[0, \hat{f}(y) + 1/n)] = X \cap \hat{f}^{\leftarrow}[[0, \hat{f}(y) + 1/n)] \in O^y$ , we have shown that  $\mathcal{G}(\hat{e}_Y(y)) \subseteq \{e[U] : U \in O^y\}$ . Conversely, suppose that  $U \in O^y$  and let  $\chi_U$  denote the reverse characteristic function of U in X. It is easy to verify that  $\hat{\chi}_U$  is the reverse characteristic function of  $o_Y U$  in Y. As  $y \in o_Y U$ ,  $\widehat{\chi}_U(y) = 0$ . Also,  $\chi_U^{\leftarrow}[[0, \widehat{\chi}_U(z) + 1/n)] = U$  for all  $z \in o_Y U$ . Now,  $e[U] = e[\chi_U^{\leftarrow}[[0, \widehat{\chi}_U(y) + 1/n)]]$  $= \pi_{\chi_U}^{\leftarrow}[[0, \hat{e}_Y(y)(\chi_U) + 1/n)] \cap e[X].$ 

So,  $e[U] \in \mathcal{G}(\hat{e}_Y(y))$ . Hence,  $\{e[U] : U \in O^y\} \in \mathcal{G}(\hat{e}_Y(y))$ . This completes the proof of the Proposition.

For an extension Y of X,  $y \in Y$  and  $f \in C^+(X)$ ,  $(\hat{e}_Y(y))^*(f) = \inf c(\pi_f[\langle \mathcal{G}(\hat{e}_Y(y) \rangle]) = \inf c(\pi_f[e(O^y)])$  by Proposition 4.1. But as  $\pi_f \circ e = f$ , we have that  $(\hat{e}_Y(y))^*(f) = \inf c(f(O^y))$ .

**Proposition 4.2.** Let Y be an extension of X and  $f \in C^+(X)$ . Define  $F: Y \to I^+$  by  $F(y) = \inf(c(f(O^y)))$  for  $y \in Y$ . Then F is continuous,  $F \mid_X = f$  and  $F(y) \in c(f(O^y))$  for  $y \in Y$ .

Proof: Let  $x \in X$ . Since  $f(O^x)$  converges to f(x), it follows that  $f(x) \in c(f(O^x))$  and  $F(x) \leq f(x)$ . Let  $n \in \mathbb{N}$ . Then  $f(O^x)$  converges to F(x) + 1/n. There is an open set  $U \in O^x$ such that  $f[U] \subseteq [0, F(x)+1/n)$ . As  $x \in U$ , f(x) < F(x)+1/n. Therefore,  $f(x) \leq F(x)$ . By the above, f(x) = F(x). To show that F is continuous, let  $y \in Y$  and  $n \in \mathbb{N}$ . Since  $f(O^y)$  converges to F(y) + 1/2n, there is an open set  $U \in O^z$ for some  $z \in Y$ . As  $f[U] \subseteq [0, F(y) + 1/2n)$ . Suppose  $U \in O^z$  for some  $z \in Y$ . As  $f[U] \subseteq [0, F(y) + 1/2n)$ ,  $f(O^z)$  converges to F(y) + 1/2n. Hence,  $F(z) \leq F(y) + 1/2n$ . Thus,  $F[o_Y U] \subseteq$  $[0, F(y) + 1/2n] \subseteq [0, F(y) + 1/n)$ . This completes the proof that F is continuous.

By the continuity of F, for  $y \in Y$ ,  $F(O^y)$  converges to F(y). But  $F(O^y) = f(O^y)$ . This shows that  $F(y) \in c(f(O^y))$ .  $\Box$ 

**Theorem 4.3.** Let Y be an extension of X. Consider the continuous function  $\hat{e}_Y$  such that  $e[X] \subseteq \hat{e}_Y[Y] \subseteq \beta^+ X$ . Then  $\hat{e}_Y[Y] \subseteq \rho X$ .

*Proof:* For  $y \in Y$  and  $f \in C^+(X)$ , we must show that  $(\hat{e}_Y(y))^*(f) \ge (\hat{e}_Y(y)(f))$  by Proposition 3.5. But  $\hat{e}_Y(y)(f) = \hat{f}(y)$  by Theorem 2.8.

Fix f and let  $F(y) = (\hat{e}_Y(y))^*(f) = \inf c(f(O^y))$  as in the above Proposition. Since F is continuous and  $F \mid_X = f$ , by Proposition 4.2,  $\hat{f} \leq F$ . So,  $\hat{f}(y) \leq F(y)$ .

For an extension Y of X and  $x \in X$ , since  $\hat{e}_Y(x) = e(x)$ , it follows by the proof of the above theorem that  $e(x)^* = e(x)$ . Using Theorem 4.3, we have the following improvement of Theorem 2.8.

**Theorem 4.4.** Let Y be an extension of X. Define  $\hat{e}_Y : Y \to \prod_{C^+(X)} I^+$  by  $\hat{e}_Y(y)(f) = \hat{f}(y)$ . If the strict extension  $Y^{\#}$  of X is  $T_0$ , then  $\hat{e}_Y : Y^{\#} \to \prod_{C^+(X)} I^+$  is an embedding and  $e[X] \subseteq \hat{e}_Y[Y^{\#}] \subseteq \rho X$ .

#### 5. $\rho X$ and the Open Filters on X

In this section we establish the properties of  $\rho X$  further. We show that  $\rho X$  is homeomorphic with the set of all open filters on X with the Alexandroff topology.

**Proposition 5.1.** Let  $\mathcal{F}$  be an open filter on e[X], then there is an unique element  $\alpha_{\mathcal{F}} \in \rho X$  such that  $\mathcal{G}(\alpha_{\mathcal{F}}) = e(\mathcal{F})$ .

*Proof:* Let  $\mathcal{F}$  be an open filter on X and U be open in X. For  $f \in C^+(X)$ , define  $\alpha_{\mathcal{F}}(f) = \inf(c(f(\mathcal{F})))$ . First we show that  $\alpha_{\mathcal{F}} \in \beta^+ X$ . Suppose  $\alpha_{\mathcal{F}} \in T = \bigcap \{ \pi_{f_i} [[0, \alpha_{\mathcal{F}}(f_i) + 1/n_i)] : 1 \leq 1$  $i \leq n$ . Then, for  $1 \leq i \leq n, \pi_{f_i}(\alpha_{\mathcal{F}}) \in [0, \alpha_{\mathcal{F}}(f_i) + 1/n_i)$ . Now,  $\pi_{f_i}(\alpha_{\mathcal{F}}) = \alpha_{\mathcal{F}}(f_i) \text{ and } f_i(\mathcal{F}) \text{ converges to } \alpha_{\mathcal{F}}(f_i) \in [0, \alpha_{\mathcal{F}}(f_i) + 1]$  $1/n_i$ ). Thus, there is a  $F_i \in \mathcal{F}$  such that  $f_i[F_i] \subseteq [0, \alpha_{\mathcal{F}}(f_i) +$  $1/n_i$ ). Let  $F = \cap \{F_i : 1 \le i \le n\}$  and  $x \in F$ . Then  $f_i(x) \in F_i$  $[0, \alpha_{\mathcal{F}}(f_i) + 1/n_i)$  for every *i* such that  $1 \leq i \leq n$ . But,  $f_i(x) =$  $\pi_{f_i}(e(x))$ , so,  $e(x) \in \pi_{f_i}[[0, \alpha_{\mathcal{F}}(f_i) + 1/n_i)]$ . Thus,  $e(x) \in T$  and we have shown that  $\alpha_{\mathcal{F}} \in \beta^+ X$ . Next, we prove that  $\mathcal{G}(\alpha_{\mathcal{F}}) =$  $e(\mathcal{F})$ . Let U be open in X,  $f \in C^+(X)$  and  $n \in \mathbb{N}$  such that  $e[U] = \pi_f^{\leftarrow}[[0, \alpha_{\mathcal{F}}(f) + 1/n)] \cap e[X]. \text{ As } \alpha_{\mathcal{F}}(f) = \inf(c(f(\mathcal{F}))),$ there is a  $F \in \mathcal{F}$  such that  $f[F] \subseteq [0, \alpha_{\mathcal{F}}(f) + 1/n)$ . But, since  $\pi_g \circ e = g$  for  $g \in C^+(X)$ , we have that  $f[F] = \pi_f \circ e[F]$ . In particular,  $\pi_f \circ e[F] \subseteq [0, \alpha_{\mathcal{F}}(f) + 1/n)$  from which it follows that  $e[F] \subseteq \pi_{f}^{\leftarrow}[[0, \alpha_{\mathcal{F}}(f) + 1/n)] \cap e[X] = e[U]$ . Then,  $F \subseteq U$ 

and  $U \in \mathcal{F}$ . This proves that  $\mathcal{G}(\alpha_{\mathcal{F}}) \subseteq e(\mathcal{F})$ . Now to show that  $e(\mathcal{F}) \subseteq \mathcal{G}(\alpha_{\mathcal{F}})$ , let  $F \in \mathcal{F}$ . Since  $\pi_{\chi_F}^{\leftarrow}[[0,1/2)] \cap e[X] = e[F]$ , it suffices to show that  $\pi_{\chi_F}(\alpha_{\mathcal{F}}) = 0$ . An open neighborhood of 0 in  $I^+$  is of the form [0, a), where,  $0 < a \leq 1$ . Note that  $\chi_F[F] = 0 \in [0, a)$ . Thus,  $\chi_F(\mathcal{F})$  converges to 0 and we have that  $\inf(c(\chi_F(\mathcal{F}))) = 0$ , i.e.,  $\alpha_{\mathcal{F}}(\chi_F) = 0$ . Hence,  $e(\mathcal{F}) = \mathcal{G}(\alpha_{\mathcal{F}})$ . Also, note that  $\alpha_{\mathcal{F}}^*(f) = \inf(c(\pi_f[\langle \mathcal{G}(\alpha) \rangle])) = \inf(c(\pi_f[e(\mathcal{F})])) = \inf(c(f(\mathcal{F}))) = \alpha_{\mathcal{F}}(f)$  i.e.,  $\alpha_{\mathcal{F}} \in \rho X$ . The uniqueness of  $\alpha_{\mathcal{F}}$  is an immediate consequence of Proposition 3.9.

Let  $\mathcal{OF}(X)$  denote  $\{\mathcal{F} : \mathcal{F} \text{ is an open filter on } X\}$ . The set  $\mathcal{OF}(X)$  is partially ordered by inclusion.

**Theorem 5.2.**  $\phi : \rho X \to \mathcal{OF}(X)$  defined by  $\phi(\alpha) = \mathcal{G}(\alpha)$  is a reverse-order isomorphism.

Proof: First, we show that  $\phi$  is one-one. Let  $\alpha, \beta \in \rho X$ . Since  $\alpha^* = \alpha \neq \beta = \beta^*$ , by Proposition 3.9  $\mathcal{G}(\alpha^*) \neq \mathcal{G}(\beta^*)$ . By Proposition 5.1,  $\phi$  is onto. Thus it only remains to show that  $\phi$  is a reverse-order homomorphism. Let  $\alpha, \beta \in \rho X$ . Suppose,  $\alpha \leq \beta$ . By Proposition 3.10,  $\phi(\alpha) = \mathcal{G}(\alpha) \supseteq \mathcal{G}(\beta) = \phi(\beta)$ . Conversely, suppose that  $\phi(\beta) \leq \phi(\alpha)$ . Then,  $\mathcal{G}(\alpha) \supseteq \mathcal{G}(\beta)$ . By 3.13,  $\alpha = \alpha^* \leq \beta^* = \beta$ 

We now define a topology on  $\mathcal{OF}(X)$  and show that in fact  $\rho X$  and  $\mathcal{OF}(X)$  are homeomorphic.

**Definition 5.3.** For U open in X let  $OU = \{ \mathcal{F} \in \mathcal{OF}(X) : U \in \mathcal{F} \}.$ 

**Proposition 5.4.** Let U, V be open in X.

(a) If  $U \subseteq V$ , then  $OU \subseteq OV$ .

(b)  $OX = O\mathcal{F}(X)$  and  $O\emptyset = \emptyset$ .

(c)  $OU \cap OV = O(U \cap V)$ .

(d)  $OU \cup OV \subseteq O(U \cup V)$ .

**Proof:** The proof to this is similar to Proposition 7.1(c) in [6].  $\Box$ 

**Proposition 5.5.**  $\{OU : U \text{ open in } X\}$  forms a base for a  $T_0$  topology on  $\mathcal{OF}(X)$ .

*Proof:* By Proposition 5.4,  $\{OU : U \in \tau(X)\}$  is a base for a topology on  $\mathcal{OF}(X)$ . Let  $\mathcal{F}$  and  $\mathcal{G}$  be distinct open filters on X. There is an open set U in X such that  $U \in \mathcal{F} \setminus \mathcal{G}$  (or  $\mathcal{G} \setminus \mathcal{F}$ ). Then,  $\mathcal{F} \in OU$  and  $\mathcal{G} \notin OU$  (or  $\mathcal{G} \in OU$ ,  $\mathcal{F} \notin OU$ ).

Recall by Proposition 3.7 that a basic open set in  $\rho X$  is a set of the form  $\pi_f^{\leftarrow}[[0,b]] \cap \rho X$ , where 0 < b < 1 and  $f \in C^+(X)$ . The next fact shows that we can reformulate the basic open sets in terms of the characteristic functions of open sets in X.

**Proposition 5.6.**  $\{\pi_{\chi_U}^{\leftarrow}[\{0\}] \cap \rho X : U \text{ is open in } X\}$  forms a base for  $\rho X$ .

Proof: First note that for  $U \in \tau(X)$ ,  $\pi_{\chi_U}^{\leftarrow}[\{0\}] \cap \rho X = \pi_{\chi_U}^{\leftarrow}[[0, 1/2)] \cap \rho X$  is open in  $\rho X$ . Let  $f \in C^+(X)$  and  $\alpha \in \pi_f^{\leftarrow}[[0, b)] \cap \rho X$ . Then  $\pi_f(\alpha) < b$ . Let 0 < a < 1 such that  $\pi_f(\alpha) < a < b$ . Now,  $\alpha \in \pi_f^{\leftarrow}[[0, a]] \cap \rho X$  and  $\alpha = \alpha^*$ . Thus, there is an open set U in X such that  $e[U] \in \mathcal{G}(\alpha)$  and  $\pi_f[e[U]] \subseteq [0, a)$ . By Proposition 3.8,  $\pi_{\chi_U}(\alpha) = 0$ . Hence,  $\alpha \in \pi_{\chi_U}^{\leftarrow}[\{0\}] \cap \rho X$ . To complete the proof of this result, it suffices to show that  $\pi_{\chi_U}^{\leftarrow}[\{0\}] \cap \rho X \subseteq \pi_f^{\leftarrow}[[0, b]] \cap \rho X$ . Let  $\beta \in \pi_{\chi_U}^{\leftarrow}[\{0\}] \cap \rho X$ . Then  $\pi_{\chi_U}(\beta) = 0$  and by Proposition 3.8,  $e[U] \in \mathcal{G}(\beta)$ . But  $\pi_f[e[U]] \subseteq [0, a)$  implies  $\pi_f[\langle \mathcal{G}(\beta) \rangle]$  converges to a and since,  $\beta(f) = \beta^*(f) = \inf c(\pi_f[\langle \mathcal{G}(\beta) \rangle] \leq a < b$ , then  $\beta \in \pi_f^{\leftarrow}[[0, b]] \cap \rho X$ . Thus,  $\alpha \in \pi_{\chi_U}^{\leftarrow}[\{0\}] \cap \rho X \subseteq \pi_f^{\leftarrow}[[0, b)] \cap \rho X$ .

#### **Theorem 5.7.** $\rho X$ is homeomorphic to $\mathcal{OF}(X)$ .

**Proof:** Define  $\phi : \rho X \to \mathcal{OF}(X)$  as in Theorem 5.2. By Theorem 5.2,  $\phi$  is a bijection, so all that remains to be proven is that  $\phi$  is continuous and open. In proving that  $\phi$  is continuous we show that the pre-image of basic open sets in  $\mathcal{OF}(X)$  is in fact basic open in  $\rho X$  and this fact along with Proposition 5.6 shows that  $\phi$  is open, since  $\phi$  is a bijection.

Let U be open in X. Then  $\phi^{\leftarrow}[OU] = \{\alpha_{\mathcal{F}} : \mathcal{F} \in OU\} = \{\alpha_{\mathcal{F}} : U \in \mathcal{F}\}$ . But,  $e[\mathcal{F}] = \mathcal{G}(\alpha_{\mathcal{F}})$  by Proposition 5.1, so by Proposition 3.8,  $U \in \mathcal{F}$  iff  $\pi_{\chi_U}(\alpha_{\mathcal{F}}) = 0$ . Hence,  $\phi^{\leftarrow}[OU] = \{\alpha_{\mathcal{F}} : \pi_{\chi_U}(\alpha_{\mathcal{F}}) = 0\} = \{\alpha \in \rho X : \pi_{\chi_U}(\alpha) = 0\} = \pi_{\chi_U}^{\leftarrow}[\{0\}] \cap$   $\rho X$ , which is open in  $\rho X$ . Thus, we have shown  $\phi$  to be continuous and hence,  $\rho X$  is homeomorphic to  $\mathcal{OF}(X)$ . 

#### 6. $\rho X$ and Strict $T_0$ Extensions

The  $T_0$  compactification  $\beta^+ X$  of e[X] has now been pruned to  $\rho X$ , so that the number of extensions of e[X] is reduced. The construction of  $\rho X$  and its being homeomorphic to  $\mathcal{OF}(X)$ enables us to completely characterize all the extensions of e[X]contained in  $\rho X$ .  $\rho X$  has been formed by selecting the minimal elements  $\alpha^*$  from  $\prod_{C^+(X)} I^+$  based on the open filter subbases  $\mathcal{G}(\alpha).$ 

**Lemma 6.1.** Let  $Y \subseteq \rho X$  be an extension of e[X].

- (a) For  $\alpha \in Y$ ,  $\mathcal{G}(\alpha) = O_Y^{\alpha}$ .
- (b) For  $U \in \tau(X)$ ,  $\pi_{\chi_U} [\{0\}] \cap Y = o_Y e[U]$ .

*Proof:* To prove (a), let  $U \in \mathcal{G}(\alpha)$ . By Proposition 3.7, there are  $f \in C^+(X)$  and  $n \in \mathbb{N}$  such that  $U = \pi_t^{\leftarrow}[[0, \alpha(f) +$ 1/n]  $\cap e[X]$ . Since  $\pi_{f}^{\leftarrow}[[0,\alpha(f)+1/n)] \cap Y$  is an open set containing  $\alpha$ , we have that  $U \in O_Y^{\alpha}$ . Conversely, let  $V \in O_Y^{\alpha}$ . There is an open set W in  $\prod_{C^+(X)} I^+$  such that  $\alpha \in W$  and  $(W \cap Y) \cap e[X] = V$ . There is a finite set  $F \subseteq C^+(X)$  and  ${n_f: f \in F} \subseteq \mathbf{N}$  such that  $\alpha \in \bigcap {\pi_f [[0, \alpha(f) + 1/n_f)] : f \in \mathbb{N}}$  $F \} \subseteq W$ . Let  $T = \bigcap \{ \pi_{f}^{\leftarrow} [[0, \alpha(f) + 1/n_{f})] \cap e[X] : f \in F \}.$ Then  $T \subseteq W \cap e[X] = V$ . As  $T \in \mathcal{G}(\alpha), V \in \mathcal{G}(\alpha)$ . To prove (b), note that  $\alpha \in \pi^{\leftarrow}_{\chi_{II}}[\{0\}] \cap Y \text{ iff } \alpha \in Y \text{ and } \pi_{\chi_{II}}(\alpha) = 0$ iff  $\alpha \in Y$  and  $\alpha(\chi_{U}) = 0$ 

- iff (using Proposition 3.8)  $\alpha \in Y$  and  $e[U] \in \mathcal{G}(\alpha)$
- iff (using Proposition 6.1 (a))  $\alpha \in Y$  and  $e[U] \in O_Y^{\alpha}$

iff 
$$\alpha \in o_Y e[U]$$
.

Thus,  $\pi_{\chi_U}^{\leftarrow}[\{0\}] \cap Y = o_Y e[U].$ 

**Theorem 6.2.** The space  $\rho X$  is a strict  $T_0$  compactification of e[X]. In particular, if  $Y \subseteq \rho X$  is an extension of e[X], then Y is a strict  $T_0$  extension of e[X].

**Proof:** Since  $\prod_{C^+(X)} I^+$  is a  $T_0$  space, any subspace is  $T_0$ ; so  $\rho X$  is  $T_0$ . By Theorem 3.15,  $\rho X$  is a compactification of e[X]. By Proposition 5.6 and Proposition 6.1 (b), if  $Y \subseteq \rho X$  is an extension of e[X], Y is a strict extension of e[X].

**Lemma 6.3.** Let  $Y, Z \subseteq \rho X$  be extensions of e[X]. If  $\alpha \in Y$  and  $\beta \in Z$  such that  $O_Y^{\alpha} = O_Z^{\alpha}$ , then  $\alpha = \beta$ .

*Proof:* If  $O_Y^{\alpha} = O_Z^{\beta}$ , then  $\mathcal{G}(\alpha) = \mathcal{G}(\beta)$  by Lemma 6.1. By Propositions 3.7 and 3.8,  $\alpha = \beta$ .

**Theorem 6.4.** If X is  $T_0$ ,  $\rho X$  contains all the strict  $T_0$  extensions of X and no others. Also,  $\rho X$  contains only one copy of each strict  $T_0$  extension.

Proof: By Theorem 6.2,  $\rho X$  only contains strict  $T_0$  extensions of X, and by Lemma 6.3,  $\rho X$  contains a copy of every strict  $T_0$ extension of X. Suppose Y and Z are extensions of e[X], and  $Y \cup Z \subseteq \rho X$ , and  $Y \equiv_{e[X]} Z$ . Then there is a homeomorphism  $f: Y \to Z$  such that f(e(x)) = e(x) for all  $x \in X$ . If  $\alpha \in Y$ , then  $O_Y^{\alpha} = O_Z^{f(\alpha)}$ . In particular,  $\alpha = f(\alpha)$  by Lemma 6.3. Hence, Y = Z as subsets of  $\rho X$ . So,  $\rho X$  contains only one copy of each extension of e[X].

One of the primary research goals of this article was to determine which H-closed extension of e[X], when X is Hausdorff, are contained in  $\rho X$ . As a consequence of 6.4, we have the next result.

#### **Corollary 6.5.** Let X be a Hausdorff space.

- (a) If  $Y \subseteq \rho X$  is an H-closed extension of e[X], then  $\rho X$  contains no other copy of Y.
- (b)  $\rho X$  contains exactly one element from each S-equivalence class of H-closed extensions.

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