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MONOTONICALLY D-SPACES

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ABSTRACT. Call X monotonically D if we can assign a closed discrete $D(\mathcal{U})$ to every open neighborhood assignment $\mathcal{U} = \{U(x) : x \in X\}$ in such a way that $X = \bigcup\{U(x) : x \in D(\mathcal{U})\}$ and $D(\mathcal{U}) \subseteq D(\mathcal{V})$ if $V(x) \subseteq U(x)$ for all x . The Michael line and countable first-countable spaces are monotonically D. The Sorgenfrey line, the unit interval, and $\omega_1 + 1$ are not.

INTRODUCTION

Monotone versions of topological properties are often interesting and useful. The most well-known such property is monotone normality; see e.g., [1], [3], [6]. Monotone paracompactness is studied in [5]. In the spring of 2004, David Lutzer visited Auburn University and presented the monotone Lindelöf property [2], which prompted the definition of monotonically D-spaces.

Recall that a family $\mathcal{U} = \{U(x) : x \in X\}$ is an *open neighborhood assignment* (ONA for short) for a topological space X if $x \in U(x)$ and $U(x)$ is open for each x . X is called a *D-space* (see [4]) if for every ONA $\mathcal{U} = \{U(x) : x \in X\}$ there is a closed discrete subset $D(\mathcal{U})$ of X such that $\{U(x) : x \in D(\mathcal{U})\}$ covers X . We call X *monotonically D* if, for each ONA \mathcal{U} , we can pick a closed discrete subset $D(\mathcal{U})$ with $X = \bigcup\{U(x) : x \in D(\mathcal{U})\}$ such that larger closed

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discrete sets correspond to finer ONA's; i.e., if $\mathcal{V} = \{V(x) : x \in X\}$ is an ONA with $V(x) \subseteq U(x)$ for all x , then $D(\mathcal{V}) \supseteq D(\mathcal{U})$.

In section 1, we describe a class of monotonically D-spaces that includes all countable first-countable T_1 -spaces and the Michael line, and we prove some preservation properties. In section 2, we give examples of spaces that are not monotonically D, including a countable example, $\omega_1 + 1$, the unit interval, and uncountable subsets of the Sorgenfrey line. In section 3, we define cofinally monotonically D-spaces and show that these are the same as D-spaces.

1. SOME CLASSES OF MONOTONICALLY D-SPACES

Theorem 1.1. *If $X = \{x_n : n \in \omega\}$ is a compact, countable T_1 -space, then X is monotonically D.*

Proof: For any ONA $\mathcal{U} = \{U(x_n) : n \in \omega\}$, let $k_{\mathcal{U}} = \min\{k : X = \bigcup_{n \leq k} U(x_n)\}$, and let $D(\mathcal{U}) = \{x_n : n \leq k_{\mathcal{U}}\}$. \square

A topological space X is *generalized left separated* (GLS for short) [4] if there is a reflexive (not necessarily transitive) binary relation \preceq on X , called a GLS relation, such that (a) every non-empty closed subset F has a \preceq -minimal element (where an $x \in F$ is \preceq -minimal for F if the conditions $y \in F$ and $y \preceq x$ imply that $y = x$), and (b) the set $\{y \in X : x \preceq y\}$ is open for each $x \in X$.

It was shown in [4] that all finite powers of the Sorgenfrey line are GLS and that every GLS-space is a D-space. It was observed that both compact Hausdorff spaces without isolated points and uncountable separable metrizable spaces are not GLS. (A countable space with the co-finite topology is a compact, first-countable, T_1 , GLS-space with no isolated points.) If \preceq is a GLS relation on a T_1 -space X , then \preceq is antisymmetric; i.e., if $x \preceq y$ and $y \preceq x$, then $x = y$ (for otherwise, the closed set $\{x, y\}$ would have no \preceq -minimal element).

Theorem 1.2. *Suppose that (X, \preceq) is a GLS T_1 -space and, for each $x \in X$, \mathcal{B}_x is a local base at x such that*

1. \mathcal{B}_x is well-ordered under reverse inclusion;
2. if $B \in \mathcal{B}_x$, then $B \subseteq \{y \in X : x \preceq y\}$;

3. if $x \preceq y$ with $x \neq y$, $B \in \mathcal{B}_x$, $B' \in \mathcal{B}_y$, and $y \in B$, then $B' \subseteq B$.

Then X is monotonically D .

Proof: Given any ONA $\mathcal{U} = \{U(x) : x \in X\}$, let $U'(x)$ be the maximal (with respect to inclusion) element of \mathcal{B}_x such that $x \in U'(x) \subseteq U(x)$. Let $D(\mathcal{U}) = \{z \in X : z \notin U'(y) \text{ if } y \in X \setminus \{z\}\}$. We claim that $X = \bigcup\{U'(z) : z \in D(\mathcal{U})\}$. Fix any x and let $F(x) = \{y \in X : x \in U'(y)\}$. Then $F(x)$ is closed, for if $w \in \overline{F(x)}$, then pick $y \in F(x)$ with $y \in U'(w)$. Then $w \preceq y$. If $w \neq y$, then $U'(y) \subseteq U'(w)$. Hence, $x \in U'(w)$ and $w \in F(x)$ (use that $x \in U'(y)$ since $y \in F(x)$), which completes the proof that $F(x)$ is closed. Let z be a \preceq -minimal element of $F(x)$. Then $z \in D(\mathcal{U})$ for if $z \in U'(y)$ for some $y \neq z$, then $y \preceq z$ and $U'(z) \subseteq U'(y)$; hence, $y \in F(x)$, contradicting \preceq -minimality of z in $F(x)$. Thus, every x is contained in $U'(z)$ for some $z \in D(\mathcal{U})$. The set $D(\mathcal{U})$ is closed and discrete for if $x \in U'(z)$ for some $z \in D(\mathcal{U})$, then $U'(z)$ is a neighborhood of x such that $U'(z) \cap D(\mathcal{U}) = \{z\}$ (use also that X is T_1).

Now assume that $\mathcal{V} = \{V(x) : x \in X\}$ is an ONA for X , finer than \mathcal{U} (and that $V'(x)$, for each x , and $D(\mathcal{V})$ are defined, following the above procedure). The inclusion $D(\mathcal{U}) \subseteq D(\mathcal{V})$ is clear from the definition of these sets, since $V'(y) \subseteq U'(y)$ for all y . \square

Corollary 1.3. (a) Every countable, first-countable T_1 -space X is monotonically D .

(b) Non-stationary subsets of ω_1 are monotonically D .

Proof: (a) List X as $\{x_n : n \in \omega\}$ and let $x_n \preceq x_k \iff n \leq k$. Clearly, \preceq is a GLS relation on X . For each n choose a local base $\mathcal{B}_n = \{B_i(x_n) : i \in \omega\}$ at x_n such that $B_{i+1}(x_n) \subseteq B_i(x_n) \subseteq \{x_k : n \leq k\}$ for every $i \in \omega$. Fix n . There are only finitely many $m < n$, and for each such m there are only finitely many j such that $x_n \in B_j(x_m)$. Since the intersection of these $B_j(x_m)$ is open, we may remove (by induction on $n = 1, 2, \dots$) the elements of \mathcal{B}_n that are not contained in this intersection to ensure that if $m < n$ and $x_n \in B_j(x_m)$ for some j , then $B_i(x_n) \subseteq B_j(x_m)$ for all i .

(b) Each non-stationary subset of ω_1 is the topological sum of countable, first-countable spaces. \square

Recall that a space X is *non-Archimedean* ([7], [8]; see also [9]) if it has a base \mathcal{B} of rank 1; i.e., if $B, B' \in \mathcal{B}$ and $B \cap B' \neq \emptyset$, then $B \subseteq B'$ or $B' \subseteq B$. Equivalently, X is non-Archimedean if it has a *tree-base*, i.e., a base which is a tree under reverse inclusion (and hence has rank 1) [10].

Corollary 1.4. *Every non-Archimedean, GLS T_1 -space X (hence, every non-Archimedean, countable T_1 -space) is monotonically D .*

Proof: Let \preceq be a GLS relation on X and let \mathcal{B} be a tree-base for X . Let $\mathcal{B}_x = \{B \in \mathcal{B} : x \in B \subseteq \{y \in X : x \preceq y\}\}$. Then conditions 1 and 2 of Theorem 1.2 are satisfied. We verify that 3 is also satisfied. If $B \in \mathcal{B}_x$, $B' \in \mathcal{B}_y$, and $y \in B$, then either $B \subseteq B'$ or $B' \subseteq B$. If $x \preceq y$ and $x \neq y$, then $y \not\preceq x$; hence, $x \notin B'$ and $B' \subseteq B$. \square

Corollary 1.5. (a) *Suppose X is a T_1 -space and A is a closed GLS subspace which is non-Archimedean in X ; i.e., there is a family \mathcal{B} of open subsets of X which is a tree under reverse inclusion and contains a local base at x (in X) for every $x \in A$. Suppose also that $X \setminus A$ is discrete. Then X is monotonically D .*

(b) *The Michael line M is monotonically D .*

Proof: (a) If $\mathcal{B}' = \mathcal{B} \cup \{\{y\} : y \in X \setminus A\}$, then \mathcal{B}' is a tree-base for X . The GLS relation \preceq on A can be extended to a GLS relation \preceq on X as follows: Let $x \preceq y$ if $x \in A$ and $y \in X \setminus A$, and let $x \preceq x$ if $x \in X \setminus A$. If $x \in A$, then $\{y \in X : x \preceq y\} = \{y \in A : x \preceq y\} \cup (X \setminus A)$, which is open. If $x \in X \setminus A$, then $\{y \in X : x \preceq y\} = \{x\}$, which is open. If $F \subseteq X \setminus A$, then every element of F is \preceq -minimal. If F is closed and $F \cap A \neq \emptyset$, then the \preceq -minimal elements for $F \cap A$ are also \preceq -minimal for F . Thus, the preceding corollary applies.

(b) The rationals are non-Archimedean in M (take \mathcal{B} to be a suitable family of open intervals with irrational endpoints). \square

Remark 1.6. The subspace A of all non-isolated points of the space X from Example 2.2 is a converging sequence; hence, it is non-Archimedean, but it is not non-Archimedean in X .

Theorem 1.7. (a) *If X is monotonically D and F is a closed subset of X , then F is monotonically D .*

(b) If the subspace Z of all non-isolated points of a space X is discrete (in particular, is a singleton), then X is monotonically D .

(c) If X is monotonically D and $f : X \rightarrow Y$ is a continuous closed surjection, then Y is monotonically D .

(d) Suppose $Y = \bigcup_{i \in \omega} F_i = \bigcup_{i \in \omega} \text{int}(F_i)$ where each F_i is a closed monotonically D -subspace of Y , and $F_i \subseteq F_{i+1}$. Then Y is monotonically D . Thus, if X is a normal monotonically D -space and Y is an open F_σ subspace, then Y is monotonically D .

Proof: (a) Let $W = X \setminus F$. For every relatively open $U \subseteq F$, let $U' = U \cup W$. Then U' is open in X and $U = U' \cap F$ (where U' is maximal with this property). If $\mathcal{U} = \{U_x : x \in F\}$ is a relatively ONA for F , let $\mathcal{U}' = \{U'_x : x \in F\} \cup \{W_x : x \in W\}$ where $W_x = W$ for each $x \in W$. Let $D_{\mathcal{U}'}$ be the closed discrete subset of X corresponding to \mathcal{U}' , and let $D_{\mathcal{U}} = F \cap D_{\mathcal{U}'}$. Since $W_x \cap F = \emptyset$ for each $x \in W$, $\bigcup \{U_x : x \in D_{\mathcal{U}}\} = F$. If $\mathcal{V} = \{V_x : x \in F\}$ is another relatively ONA for F such that $V_x \subseteq U_x$ for all $x \in F$, then $V'_x \subseteq U'_x$, too; hence, $D_{\mathcal{U}'} \subseteq D_{\mathcal{V}'}$ and $D_{\mathcal{U}} \subseteq D_{\mathcal{V}}$.

(b) For every ONA $\mathcal{U} = \{U(x) : x \in X\}$, the set $D_{\mathcal{U}} = Z \cup (X \setminus \bigcup \{U(x) : x \in Z\})$ works (use also that Z must be closed).

(c) Suppose that $\mathcal{V} = \{V_y : y \in Y\}$ is an ONA for Y . For each $y \in Y$ and $x \in f^{-1}(y)$, let $U_x = f^{-1}(V_y)$. Then $\mathcal{U} = \{U_x : x \in X\}$ is an ONA for X , and if $D_{\mathcal{U}}$ is the corresponding closed discrete subset of X , then $D_{\mathcal{V}} = f(D_{\mathcal{U}})$ works.

(d) Let $H_0 = F_0$ and, for each $i \geq 1$, let $H_i = F_i \setminus \text{int}_Y(F_{i-1})$. Each H_i is closed in F_i , hence monotonically D , and $Y = \bigcup_{i \in \omega} H_i$. If D_i is closed discrete in H_i , then $\bigcup_{i \in \omega} D_i$ is closed discrete in Y , since the family $\{H_i : i \in \omega\}$ is locally finite in Y . Given an ONA $\mathcal{U} = \{U_y : y \in Y\}$ for Y , let D_i be the closed discrete subspace of H_i corresponding to $\{U_y \cap H_i : y \in H_i\}$, and let $D_{\mathcal{U}} = \bigcup_{i \in \omega} D_i$. \square

Remark 1.8. In relation to part (b), if the subspace Z of all non-isolated points of a space X is a D -space, then X is a D -space. Here we cannot replace D by monotonically D , for if X is the space from Example 2.2, then the subspace of all non-isolated points is monotonically D , but X is not. Although discrete spaces are GLS , part (b) cannot be deduced from Corollary 1.5(a), since even if Z is a singleton, it need not be non-Archimedean in X .

F_σ -subspaces of monotonically D T_1 -spaces need not be monotonically D . Let X be countable but not monotonically D (see

Example 2.2), and take $X \cup \{p\}$ where p is a new point whose neighborhoods are co-finite. Clearly, X is F_σ in $X \cup \{p\}$, which is monotonically D by Theorem 1.1. We do not know if monotonically D is hereditary, or F_σ -hereditary, in the class of Hausdorff spaces.

2. SPACES THAT ARE NOT MONOTONICALLY D

Example 2.1. $\omega_1 + 1$ is not monotonically D (although it is D).

Proof: For each $\alpha < \omega_1$, let $\mathcal{U}^\alpha = \{U^\alpha(\delta) : \delta \leq \omega_1\}$ where $U^\alpha(\delta) = [0, \delta]$ if $\delta < \omega_1$, and $U^\alpha(\omega_1) = (\alpha, \omega_1]$. Then $U^\alpha(\delta)$ is a neighborhood of δ for all $\delta \leq \omega_1$, and hence each \mathcal{U}^α , $\alpha < \omega_1$, is an ONA. Assume $\omega_1 + 1$ were monotonically D, and let D^α be the closed discrete set corresponding to \mathcal{U}^α . Since $\alpha \notin (\alpha, \omega_1] = U^\alpha(\omega_1)$, it follows that $\alpha \in U^\alpha(\delta(\alpha)) = [0, \delta(\alpha)]$ for some $\delta(\alpha) \in D^\alpha$ with $\alpha \leq \delta(\alpha) < \omega_1$. Define $\alpha_0 = 0$ and $\alpha_{n+1} = \delta(\alpha_n) + 1$, and let $\beta = \sup_{n < \omega} \alpha_n$. Since \mathcal{U}^β is a finer ONA than each of the \mathcal{U}^{α_n} , D^β must contain all D^{α_n} ; hence, all $\delta(\alpha_n)$, $n < \omega$, a contradiction. \square

Example 2.2. There is a countable space X that is not monotonically D (although it is D, since every σ -compact T_1 -space is D).

Proof: Let X be a subset (but not a subspace) of the plane consisting of the origin $(0, 0)$, all points of the sequence $T = \{(\frac{1}{n}, 0) : n \geq 1\}$, and, for each $n \geq 1$, all points of the sequence $S(n) = \{(\frac{1}{n}, \frac{1}{m}) : m \geq 1\}$. Let $\overline{T}(n)$ be the tail of T determined by n (i.e., $\overline{T}(n) = \{(\frac{1}{n'}, 0) : n' \geq n\}$) and $S(n, m)$ be the tail $\{(\frac{1}{n}, \frac{1}{m'}) : m' \geq m\}$ of $S(n)$ determined by m (thus, $S(n) = S(n, 1)$). By definition, all points $(\frac{1}{n}, \frac{1}{m})$ are isolated. The basic neighborhoods of $(\frac{1}{n}, 0)$ are of the form $S(n, m) \cup \{(\frac{1}{n}, 0)\} = \overline{S(n, m)}$, $m \geq 1$. The basic neighborhoods of $(0, 0)$ are of the form $\{(0, 0)\} \cup \overline{T}(n) \cup (\cup_{n' \geq n} S(n', m_{n'}))$, where $n \geq 1$, and $m_{n'} \geq 1$ for each $n' \geq n$. In other words, basic neighborhoods of $(0, 0)$ contain a tail $\overline{T}(n)$ for some n , together with a tail $S(n', m_{n'})$ for each $(\frac{1}{n'}, 0) \in \overline{T}(n)$.

For the remainder of the proof, we will consider only ONA's that assign $\overline{S(n)} = S(n) \cup \{(\frac{1}{n}, 0)\}$ to $(\frac{1}{n}, 0)$ for each $n \geq 1$ and assign $\{(\frac{1}{n}, \frac{1}{m})\}$ to $(\frac{1}{n}, \frac{1}{m})$. In order to specify such an ONA, we need only to specify the neighborhood assigned to $(0, 0)$. Assume X were monotonically D. If U (with subscripts) is a neighborhood assigned

to $(0, 0)$, then \mathcal{U} and D (with subscripts) will denote the resulting ONA and the corresponding closed discrete set, respectively.

Let $U_1 = \{(0, 0)\} \cup (\cup_{n' > 1} \overline{S(n')})$ and $U_{1,m} = U_1 \cup S(1, m + 1)$ for each m . Then $U_1 \subseteq U_{1,m}$; hence, \mathcal{U}_1 is finer than $\mathcal{U}_{1,m}$ and $D_1 \supseteq D_{1,m}$ for all m . Since D_1 is closed and discrete, there is an m_1 such that $S(1, m_1) \cap D_1 = \emptyset$. Then $S(1, m_1) \cap D_{1,m_1} = \emptyset$. Since $(1, \frac{1}{m_1}) \notin U_{1,m_1}$, there are only two sets in \mathcal{U}_{1,m_1} that contain $(1, \frac{1}{m_1})$, namely $\{(1, \frac{1}{m_1})\}$ and $\overline{S(1)}$ (assigned to $(1, 0)$). Since $(1, \frac{1}{m_1}) \in S(1, m_1)$, it follows that $(1, \frac{1}{m_1}) \notin D_{1,m_1}$; hence, $(1, 0) \in D_{1,m_1}$. By induction, assume that $n > 1$ and $m_{n'}$ have been defined for all $n' < n$. Let $U_n = \{(0, 0)\} \cup (\cup_{n' > n} \overline{S(n')}) \cup (\cup_{n' < n} \overline{S(n', m_{n'} + 1)})$ and $U_{n,m} = U_n \cup S(n, m + 1)$ for each m . Then $D_n \supseteq D_{n,m}$ for all m , and an argument similar to the above shows there is an m_n such that $(\frac{1}{n}, 0) \in D_{n,m_n}$.

Let $U = \{(0, 0)\} \cup (\cup_{n \geq 1} \overline{S(n, m_n + 1)})$. Then $U \subseteq U_{n,m_n}$ for all n . Hence, the corresponding closed discrete D must contain all D_{n,m_n} . It follows that $\{(\frac{1}{n}, 0) : n \geq 1\} \subseteq D$, a contradiction. \square

Part (b) of the following theorem shows that non-Archimedean spaces need not be monotonically D.

Theorem 2.3. *None of the following spaces is monotonically D:*

- (a) *the closed unit interval $I = [0, 1]$,*
- (b) *the Cantor set C ,*
- (c) *any uncountable Polish space P ,*
- (d) *any compact Hausdorff space Y with no isolated points.*

Proof: Part (b) follows from (a) (and Theorem 1.7(c)) since I is the closed continuous image of C . Part (c) follows from (b) (and Theorem 1.7(a)) since every uncountable Polish space P contains a closed copy of C . For part (d), observe that Y contains a compact subspace K which can be mapped by a quotient (and closed) map onto the Cantor set C . We prove (a).

Assume I were monotonically D and define the ONA $\mathcal{U}_1 = \{I_x : x \in I\}$ where $I_x = I$ for each x . Let F_1 be the corresponding closed discrete set. Since I is compact, F_1 must be finite. For each $x \in F_1$, let U_x be a small neighborhood of x so that the Lebesgue measure of the union of these U_x is less than $\frac{1}{2}$, i.e., $m(\cup\{U_x : x \in F_1\}) < \frac{1}{2}$. Let $\mathcal{U}_2 = \{I_x : x \in I \setminus F_1\} \cup \{U_x : x \in F_1\}$,

and let F_2 be the corresponding closed and discrete, hence finite, set. Since \mathcal{U}_2 is finer than \mathcal{U}_1 , $F_1 \subseteq F_2$. The set F_2 is strictly larger than F_1 since the neighborhoods assigned to points in F_1 only cover a set of measure less than $\frac{1}{2}$. For each $x \in F_2 \setminus F_1$, fix a neighborhood U_x so that $m(\bigcup\{U_x : x \in F_2 \setminus F_1\}) < \frac{1}{4}$. If $\mathcal{U}_3 = \{I_x : x \in I \setminus F_2\} \cup \{U_x : x \in F_2\}$ and F_3 is the corresponding finite set, then F_3 is strictly larger than F_2 (since the neighborhoods assigned to points in F_1 , together with the neighborhoods assigned to points in $F_2 \setminus F_1$, can only cover a set of measure less than $\frac{1}{2} + \frac{1}{4} = \frac{3}{4}$). By induction, if \mathcal{U}_n and F_n are defined, fix a neighborhood U_x for each $x \in F_n \setminus F_{n-1}$ such that $m(\bigcup\{U_x : x \in F_n \setminus F_{n-1}\}) < \frac{1}{2^n}$. If $\mathcal{U}_{n+1} = \{I_x : x \in I \setminus F_n\} \cup \{U_x : x \in F_n\}$, then the corresponding closed, discrete (and hence finite) set F_{n+1} is strictly larger than F_n , since the neighborhoods assigned to points in F_n can only cover a set of measure less than $1 - \frac{1}{2^n}$. Let $F' = \bigcup\{F_n : n = 1, 2, \dots\}$ and $\mathcal{U} = \{I_x : x \in I \setminus F'\} \cup \{U_x : x \in F'\}$, and let F be the closed discrete set that corresponds to \mathcal{U} . Since \mathcal{U} is finer than \mathcal{U}_n for all n , F must contain each F_n . Since the F_n are strictly increasing, F must be infinite, a contradiction.¹ \square

We do not know if there is an uncountable subset of the real line (possibly a Lusin set) that is monotonically D.

Theorem 2.4. *A subspace X of the Sorgenfrey line is monotonically D if and only if X is countable.*

Proof: If X is countable, then apply Corollary 1.3(a).

If X is uncountable, then we may assume that X has no isolated points (otherwise, replace X with the closed set of all condensation points, i.e., points which have only uncountable neighborhoods). For each $y, t \in X$ with $y \leq t$, and for each $x \in X$, let $U_{y,t}(x) = (-\infty, t)$ if $x < y$, and let $U_{y,t}(x) = [x, \infty)$ if $x \geq y$. Then $\mathcal{U}_{y,t} = \{U_{y,t}(x) : x \in X\}$ is an ONA for X . If X were monotonically D, let $D_{y,t}$ denote the corresponding closed discrete set. Since $\mathcal{U}_{y,y}$ is finer than $\mathcal{U}_{y,t}$, $D_{y,y} \supseteq D_{y,t}$ for all $t \geq y$. There must be $t_y > y$ such that $y \in D_{y,t_y}$; to see this, assume the contrary and observe that

¹Added in proof. Without a reference to measure theory, one can show that any countably compact, uncountable T_1 -space in which points are G_δ is not monotonically D. In part (d), it is enough to assume that the compact Hausdorff space Y is not scattered.

$D_{y,t} \cap [y, t] \neq \emptyset$ (in order for t to be covered). If $d_t \in D_{y,t} \cap [y, t]$, then (assuming $y < d_t$ for all $t > y$) it follows that y is a limit point of $\{d_t : t > y\} \subseteq D_{y,y}$, a contradiction. For each y , fix $t_y > y$ such that $y \in D_{y,t_y}$. Then there is some n such that the set $Y = \{y : t_y - y > \frac{1}{n}\}$ is uncountable. For each $x \in X$, let $V(x) = [x, x + \frac{1}{n})$. If $y \in Y$ and $x < y$, then $x + \frac{1}{n} < t_y$ and hence, $V(x) \subseteq (-\infty, t_y) = U_{y,t_y}(x)$. If $y \in Y$ and $x \geq y$, then $V(x) \subseteq [x, \infty) = U_{y,t_y}(x)$. Thus, the ONA $\mathcal{V} = \{V(x) : x \in X\}$ is finer than \mathcal{U}_{y,t_y} for all $y \in Y$, and hence, the closed discrete set D that corresponds to \mathcal{V} must contain D_{y,t_y} for all $y \in Y$; in particular, D must contain y for all $y \in Y$, a contradiction. \square

3. MONOTONE CHARACTERIZATIONS OF D-SPACES

Given a space X , let $\mathcal{N} = \{\mathcal{U} : \mathcal{U} \text{ is an ONA for } X\}$. If $\mathcal{U}, \mathcal{V} \in \mathcal{N}$, then the notation $\mathcal{V} \leq \mathcal{U}$ means that $V(x) \subseteq U(x)$ for every $x \in X$; we say that \mathcal{V} is finer and \mathcal{U} is coarser.

A mapping D with domain \mathcal{N} is a *D-operator* if, for all $\mathcal{U} \in \mathcal{N}$,

- (1) $D(\mathcal{U})$ is a closed and discrete subset of X , and
- (2) $X = \bigcup\{U(x) : x \in D(\mathcal{U})\}$.

D is called *monotone* if, in addition, $\mathcal{V} \leq \mathcal{U}$ implies $D(\mathcal{U}) \subseteq D(\mathcal{V})$.

A family $\mathcal{K} \subseteq \mathcal{N}$ of ONA's is called *cofinal* in \mathcal{N} if for every ONA $\mathcal{W} \in \mathcal{N}$ there is a finer ONA $\mathcal{U} \leq \mathcal{W}$ with $\mathcal{U} \in \mathcal{K}$.

Call a space X *cofinally monotonically D* if there exists a cofinal $\mathcal{K} \subseteq \mathcal{N}$ and a *partial D-operator* – defined on \mathcal{K} only, and satisfying (1) and (2) above for all $\mathcal{U} \in \mathcal{K}$ – that is monotone on \mathcal{K} . Every monotonically D-space is cofinally monotonically D (with $\mathcal{K} = \mathcal{N}$).

Theorem 3.1. *X is cofinally monotonically D if and only if it is D.*

Proof: If X is cofinally monotonically D, let D be a partial D-operator with domain \mathcal{K} for some cofinal $\mathcal{K} \subseteq \mathcal{N}$. (For this part, we do not need D to be monotone on \mathcal{K}). For any $\mathcal{W} \in \mathcal{N} \setminus \mathcal{K}$, pick a $\mathcal{U} \in \mathcal{K}$ finer than \mathcal{W} and define $D(\mathcal{W}) = D(\mathcal{U})$ to get a D-operator with domain \mathcal{N} . (It follows that a space X is cofinally monotonically D if and only if there exists a D-operator D on \mathcal{N} and a cofinal \mathcal{K} such that the restriction $D|_{\mathcal{K}}$ is monotone.)

Conversely, if X is a D-space, let \mathcal{K} be the class of ONA's $\mathcal{U} = \{U(x) : x \in X\}$ for which there is a closed discrete $D(\mathcal{U})$ such that

- 1. $X = \bigcup\{U(x) : x \in D(\mathcal{U})\}$,

2. $U(x) \cap D(\mathcal{U}) = \{x\}$ if $x \in D(\mathcal{U})$, and
3. $U(y) \cap D(\mathcal{U}) = \emptyset$ if $y \notin D(\mathcal{U})$.

If $\mathcal{U} \in \mathcal{K}$, then $D(\mathcal{U})$ is uniquely determined by the above three conditions. We cannot remove an x from $D(\mathcal{U})$ since x is only covered by $U(x)$. If $y \notin D(\mathcal{U})$, we cannot add y to $D(\mathcal{U})$ since that would violate condition 2 for some $x \in D(\mathcal{U})$ for which $y \in U(x)$.

CLAIM 1. \mathcal{K} is cofinal in the class of all ONA's.

Proof of Claim. If \mathcal{W} is any ONA, pick a closed discrete D such that $X = \bigcup\{W(x) : x \in D\}$. If $x \in D$, let $U(x) = W(x) \setminus (D \setminus \{x\})$. If $y \notin D$, let $U(y) = W(y) \setminus D$. Then $\mathcal{U} \leq \mathcal{W}$, and \mathcal{U} is in \mathcal{K} with $D(\mathcal{U}) = D$.

CLAIM 2. If $\mathcal{U}, \mathcal{V} \in \mathcal{K}$, with $\mathcal{V} \leq \mathcal{U}$, then $D(\mathcal{V}) \supseteq D(\mathcal{U})$.

Proof of Claim. Suppose $x \in D(\mathcal{U})$. Then $x \notin \bigcup\{U(t) : t \in X \setminus \{x\}\}$; hence, $x \notin \bigcup\{V(t) : t \in X \setminus \{x\}\}$. It follows that $x \in D(\mathcal{V})$. \square

Here is another characterization of D-spaces which makes the D-space property look like a monotone property.

Proposition 3.2. *The following are equivalent for a T_1 -space X .*

- (a) X is not a D-space;
- (b) there is an operator N that assigns an open set $N(C)$ to every closed discrete subset C of X such that C is contained in $N(C)$ which is a proper subset of X , and $N(C) \subseteq N(D)$ if $C \subseteq D$.

Proof: (b) \implies (a). Form the ONA defined by $U(x) = N(\{x\})$ for all x . If C is any closed discrete set, then $\bigcup\{U(x) : x \in C\} \subseteq N(C)$, which is a proper subset of X . Thus, $\{U(x) : x \in C\}$ is not a cover.

(a) \implies (b). Fix an ONA $\{U(x) : x \in X\}$ such that there is no closed discrete C for which $\bigcup\{U(x) : x \in C\} = X$. Define $N(C) = \bigcup\{U(x) : x \in C\}$ for every closed discrete C . \square

Finally, we define two less restrictive modifications of monotonically D spaces and give examples, leaving the proofs to the reader.

Call X *countably monotonically D* if, for every ONA \mathcal{U} , one can fix a countable family $\mathcal{C}(\mathcal{U})$ of closed discrete sets such that $X = \bigcup\{U(x) : x \in D\}$ for all $D \in \mathcal{C}(\mathcal{U})$, and if $\mathcal{V} \leq \mathcal{U}$, then for each $D \in \mathcal{C}(\mathcal{U})$ there is a $D' \in \mathcal{C}(\mathcal{V})$ with $D \subseteq D'$. One can show that $\omega_1 + 1$ and X from Example 2.2 are countably monotonically D.

Call X *monotonically** D if there is a D-operator D such that if $\mathcal{V} \leq \mathcal{U}$, then $\bigcup\{U(x) : x \in D(\mathcal{U}) \cap D(\mathcal{V})\} = X$. The unit interval, $\omega_1 + 1$, and X from Example 2.2 are not *monotonically** D.

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