

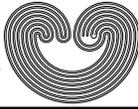
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## PRODUCTS OF FRÉCHET SPACES

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**ABSTRACT.** We give a survey of results and concepts related to certain problems on the Fréchet-Urysohn property in products. This material was presented in a workshop at the 2005 Summer Conference on Topology and its Applications at Denison University.

### 1. INTRODUCTION

Recall that a space  $X$  is **Fréchet** (or **Fréchet-Urysohn**) if whenever  $x$  is in the closure of a set  $A$ , there is a sequence of points  $a_n$  in  $A$  which converge to  $x$ . Although this is a very basic concept, there are still many unsolved problems, including, for example:

(1) (Malychin) Is there in ZFC a separable Fréchet non-metrizable topological group?

(2) (Nogura) For each  $n$  in  $\omega$ , is there in ZFC a compact (or countable) Fréchet space  $X$  such that  $X^n$  is Fréchet but  $X^{n+1}$  is not Fréchet? [For  $n = 1$ , yes [Sim<sub>1</sub>], but unsolved for  $n = 2$ .]

(3) (Galvin) Is there in ZFC a compact (or countable)  $X$  such that  $X^n$  is Fréchet for each  $n$  in  $\omega$ , but  $X^\omega$  is not Fréchet?

The property “Fréchet-Urysohn for finite sets” and its variants, introduced by Reznichenko and Sipacheva[RS], and also studied by Szeptycki and myself [GS<sub>1</sub>],[GS<sub>2</sub>], are relevant to these questions, as are the  $\alpha_i$  properties of Arhangel’skii. Many important examples

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in the area are based on almost disjoint families of subsets of  $\omega$ , or on Hausdorff gaps. Some connections to special subsets of the reals, topological games, selection principles, and convergence in function spaces will also be mentioned. Many other questions, some old, some new, will be mentioned; see the last section for a long list of them.

Most of the results presented here can be found in the literature; we will not repeat their proofs, though occasionally we give an outline of the key idea. We do give some arguments for the few new ideas that appear here. All spaces are assumed to be regular and  $T_1$ .

## 2. $\alpha_i$ -PROPERTIES, A.D. SPACES, AND BISEQUENTIALITY

We will essentially restrict our attention to countable spaces, so we first give some motivation for doing so. A prototypical Fréchet non-first-countable space (for  $\kappa$  infinite) is:

**Definition 2.1.** The **sequential fan**  $S_\kappa$  is the quotient space obtained by identifying the limit points of a topological sum of  $\kappa$ -many convergent sequences.

It is in general relatively easy to destroy Fréchetness in products by making the product not even countably tight<sup>1</sup>. E.g., Arhangel'skii [Ar<sub>1</sub>] proved that  $S_\omega \times S_c$  is not countably tight, and I showed a few years later that  $S_{\omega_1}^2$  is not countably tight. In the mid-1990's, Todorčević[To<sub>1</sub>], and Alster and Pol[AP], showed that, for each  $n \in \omega$ , there exists spaces  $X_i$ ,  $i \leq n$ , such that the product of any  $n$  members of  $\{C_p(X_i) : i \leq n\}$  is Fréchet, but  $\prod_{i \leq n} C_p(X_i)$  is not countably tight. [ $C_p(X)$  is the space of all real-valued continuous functions on  $X$  with the topology of pointwise convergence; they did this for  $n = 1$ , but the methods also work for higher  $n$ .]

In this article, we will concentrate on failure of Fréchetness in products, where the product remains countably tight. This is a subtler kind of failure. It also allows us to focus on countable spaces with one non-isolated point—i.e., the theory becomes a theory of certain kinds of filters on  $\omega$ .

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<sup>1</sup> $X$  is *countably tight* if  $x \in \bar{A}$  implies  $x \in \bar{C}$  for some countable  $C \subset A$ .

This restriction is not as severe as it may seem. E.g., Malychin [Mal] showed that a finite product of compact countably tight spaces is countably tight. So this restricted theory essentially includes Fréchetness in (finite) products of compact spaces.

The following well-known example shows that it is easy, even in this restricted setting, to destroy Fréchetness in the product:

**Example 2.2.** Let  $S$  be a non-trivial convergent sequence with its limit. Then  $S_\omega \times S$  is not Fréchet.

Arhangel'skii[Ar<sub>1</sub>, Ar<sub>2</sub>] introduced the  $\alpha_i$  properties as a tool for studying Fréchetness of products.

**Definition 2.3.** A point  $x$  of  $X$  is said to be an  $\alpha_2$ -point (resp.,  $\alpha_4$ -point) if whenever we have for each  $n \in \omega$  a sequence  $S_n$  converging to  $x$ , there is a sequence  $S$  converging to  $x$  such that  $S \cap S_n \neq \emptyset$  for all  $n \in \omega$  (resp., for  $\infty$ -many  $n \in \omega$ ). For  $\alpha_2$ , it is equivalent to require that  $S \cap S_n$  be infinite for every  $n$ . An  $\alpha_3$ -point is defined in the same way as this version of  $\alpha_2$ , except that we only require  $S \cap S_n$  to be infinite for  $\infty$ -many  $n$ . And for  $x$  to be an  $\alpha_1$ -point, we require  $S_n \setminus S$  to be finite for every  $n$ .<sup>2</sup>

While the definitions of the  $\alpha_i$ -properties make sense in any space, we will only consider them within the class of Fréchet -spaces; we say  $x$  is an  $\alpha_i$ -FU-point if  $x$  is both a Fréchet-point and an  $\alpha_i$ -point. Note that  $x$  being an  $\alpha_2$  (resp.,  $\alpha_4$ )-FU-point is equivalent to the following “selection” version of the Fréchet property:

$$x \in \overline{A_n} \text{ for all } n \text{ implies:}$$

$$\text{for all } n \text{ (resp., for } \infty\text{-many } n) \exists x_n \in A_n \text{ with } x_n \rightarrow x.$$

The  $\alpha_4$ -FU property has also been called **strongly Fréchet** or **countably bisequential**;  $\alpha_2$  (resp.,  $\alpha_4$ ) is also called the **(weak) diagonal sequence property**. The following is a list of several key facts about the  $\alpha_i$  properties.

**Theorem 2.4.**

- (a) [Mic] *If  $S$  is a non-trivial convergent sequence with its limit, then  $X \times S$  is Fréchet iff  $X$  is  $\alpha_4$ -FU;*
- (b) [Ar<sub>2</sub>] *If  $X \times Y$  is Fréchet, and  $Y$  countably compact, then  $X$  must be  $\alpha_4$ .*

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<sup>2</sup>Since we are working in  $T_2$ -spaces, it does no harm to confuse a non-trivial sequence with its range, as we have done here.

- (c) [O][Ar<sub>2</sub>] *If  $X$  is countably compact and Fréchet, then it is  $\alpha_4$ .*
- (d) [Ar<sub>2</sub>] *If  $X$  is  $\alpha_3$ -FU and  $Y$  countably compact Fréchet, then  $X \times Y$  is Fréchet;*
- (e) [No<sub>2</sub>] *If  $X$  is  $\alpha_i$ ,  $i = 1, 2, 3$ , then so is  $X^n$  for all  $n \leq \omega$ ;*
- (f) *It is consistent with ZFC that all countable  $\alpha_2$ -FU spaces are  $\alpha_1$ [D], and that all countable  $\alpha_1$ -FU spaces are first-countable[DS], but there is in ZFC a countable  $\alpha_2$ -FU space which is not first-countable[GN<sub>1</sub>][Ny<sub>2</sub>];*
- (g) [TU<sub>1</sub>] *Every countable analytic<sup>3</sup>  $\alpha_2$ -FU space is first-countable;*
- (h) *Every Fréchet topological group is  $\alpha_4$ [Ny<sub>1</sub>], but in the Cohen model, there is a countable Fréchet topological group which is not  $\alpha_3$ [S<sub>1</sub>].*

Many examples in the area are of the following simple form:

**Definition 2.5.** Let  $\mathcal{A}$  be an almost-disjoint (a.d.) family of subsets of  $\omega$ . The  $\psi$ -**space of  $\mathcal{A}$** , denoted  $\psi(\mathcal{A})$ , is the (locally compact) space  $\omega \cup \mathcal{A}$ , where points of  $\omega$  are isolated and for every  $A \in \mathcal{A}$ ,  $\{n : n \in A\}$  is a convergent sequence with limit point  $A$ .  $\psi(\mathcal{A})^*$  denotes the one-point compactification of  $\psi(\mathcal{A})$  with compactifying point  $\infty$ . We call the subspace  $\omega \cup \{\infty\}$  of  $\psi(\mathcal{A})^*$  the **a.d.-space generated by  $\mathcal{A}$** . Note that the nbhds of  $\infty$  in the a.d.-space have the form  $\{\infty\} \cup (\omega \setminus (F \cup (\cup \mathcal{F})))$ , where  $F \in [\omega]^{<\omega}$  and  $\mathcal{F} \in [\mathcal{A}]^{<\omega}$ .

A meta-theorem in the area is that, in the class of Fréchet spaces, there is a compact example illustrating a convergence property iff there is one of the form  $\psi(\mathcal{A})^*$  iff there is an a.d.-space example. Indeed, I don't know of a relevant counterexample to this.

Since  $\psi(\mathcal{A})^*$  is first-countable at every point except  $\infty$ , and  $\mathcal{A} \cup \{\infty\}$  is the one-point compactification of discrete  $\mathcal{A}$ , which has most every Fréchet-type property weaker than first-countable that we will consider, to say that the a.d.-space generated by  $\mathcal{A}$  has the property is usually equivalent to saying  $\psi(\mathcal{A})^*$  has the property (in particular, at the point  $\infty$ ).

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<sup>3</sup>A countable space  $X = (\omega, \tau)$  is **analytic** if  $\{\chi_U : U \in \tau\}$  is an analytic subset of  $2^\omega$ .

A very important example of this type is due to P. Simon. Recall that an a.d. family is **MAD** if it is maximal w.r.t. being pairwise almost disjoint. Simon calls an a.d. family  $\mathcal{A}$  of subsets of  $\omega$  **nowhere-MAD** if for any  $X \subset \omega$ , if  $X$  is not almost covered (i.e., covered mod finite) by some finite subcollection of  $\mathcal{A}$ , then  $\mathcal{A} \upharpoonright X = \{A \cap X : A \in \mathcal{A}\}$  is not a MAD collection of subsets of  $X$ .

**Proposition 2.6.** [Sim<sub>1</sub>]

- (a)  $\psi(\mathcal{A})^*$  is Fréchet iff  $\mathcal{A}$  is nowhere-MAD;
- (b) If  $\mathcal{A}_\alpha, \alpha \in I$ , partitions a MAD  $\mathcal{A}$ , then  $\prod_{\alpha \in I} \psi(\mathcal{A}_\alpha)^*$  is not Fréchet;
- (c) There are nowhere-MAD  $\mathcal{A}_0, \mathcal{A}_1$  such that  $\mathcal{A}_0 \cup \mathcal{A}_1$  is MAD; hence  $\psi(\mathcal{A}_0)^*$  and  $\psi(\mathcal{A}_1)^*$  are compact Fréchet spaces such that  $\psi(\mathcal{A}_0)^* \times \psi(\mathcal{A}_1)^*$  is not Fréchet.

I used Martin’s Axiom to construct a certain countably infinite partition of a MAD family which gave a consistent answer to the question of Galvin mentioned in the introduction, and K. Tamano subsequently used it to get a consistent answer to Nogura’s question.

**Example 2.7.** [G<sub>2</sub>](MA)

- (a) There is a MAD  $\mathcal{A}$  and a partition  $\{\mathcal{A}_n\}_{n \in \omega}$  of  $\mathcal{A}$  such that  $\prod_{i \leq n} \psi(\mathcal{A}_i)^*$  is Fréchet for each  $n$ , but  $\prod_{i \in \omega} \psi(\mathcal{A}_i)^*$  is not Fréchet;
- (b) There exists a almost-disjoint family  $\mathcal{A}$  such that, if  $X = \psi(\mathcal{A}^*)$ , then  $X^n$  is Fréchet for each  $n < \omega$ , but  $X^\omega$  is not Fréchet.

**Example 2.8.** [Ta] (MA) For each positive integer  $n$ , there is a compact Fréchet space  $X$  (of the form  $\psi(\mathcal{A})^*$ ) such that  $X^n$  is Fréchet but  $X^{n+1}$  is not Fréchet.

**Question 1.** Are there ZFC examples  $X$ , either compact or countable, satisfying the conditions of Example 2.7 or 2.8 as above?

Bisquentiality has a rather different flavor from most of the other convergence properties, but is a very relevant to our discussion.

**Definition 2.9.** For a filter  $\mathcal{F}$  and a collection  $\mathcal{G}$  of sets, put  $\mathcal{G}\#\mathcal{F}$  iff every member of  $\mathcal{F}$  meets every member of  $\mathcal{G}$ . A space  $X$  is said to be **bisequential** at  $x \in X$  if whenever  $\mathcal{F}$  is a filter which clusters at  $x$  (i.e.  $x \in \overline{F}$  for every  $F \in \mathcal{F}$ ), there is a decreasing sequence  $G_n$  of sets converging to  $x$  such that  $\{G_n\}_{n \in \omega}\#\mathcal{F}$ . Equivalently, every ultrafilter which clusters at  $x$  contains such a sequence  $\{G_n\}_{n \in \omega}$ .

It is easy to see that bisequential spaces are Fréchet. The bisequential notion goes back to Michael and was also studied in depth by Arhangel'skii.

**Theorem 2.10.**

- (a) [Mic] *A countable product of bisequential spaces is bisequential;*
- (b) [Ar<sub>2</sub>] *Bisequential spaces are  $\alpha_3$  and absolutely Fréchet (i.e., every point of  $X$  is a Fréchet -point in  $\beta X$ );*
- (c) [Ar<sub>2</sub>] *If  $X$  is bisequential and  $Y$  is  $\alpha_4$ -FU, then  $X \times Y$  is Fréchet;*
- (d) [TU<sub>2</sub>] *Every countable analytic  $\alpha_4$ -FU space is bisequential;*
- (e) [Ar<sub>4</sub>] *Bisequential topological groups are metrizable.*

By (b) or (c) above, Simon's examples are not bisequential. But a large class of a.d.-spaces is easily seen to be bisequential. Recall that an a.d. family  $\mathcal{A}$  is  **$\mathbb{R}$ -embeddable**[HH] if there is a one-to-one function  $f : \omega \rightarrow \mathbb{Q}$  which extends to a continuous one-to-one  $\hat{f} : \psi(\mathcal{A}) \rightarrow \mathbb{R}$ .

**Proposition 2.11.** *Let  $\mathcal{A}$  be an  $\mathbb{R}$ -embeddable a.d. family. Then  $\psi(\mathcal{A})^*$  is bisequential.*

The above result seems to be folklore; for its (easy) proof, use the fact that any ultrafilter on  $\mathbb{R}$  converges either to a single point, or to  $\pm\infty$ . If it's a point  $x$ , then bisequentiality is witnessed by a decreasing nbhd base at the point, if necessary minus  $f(A)$  for the at most one  $A \in \mathcal{A}$  with  $x \in \overline{f(A)}$ .

It would be good to have a nice characterization of bisequentiality of  $\psi(\mathcal{A})^*$ . Since bisequential implies  $\alpha_3$  and the property is preserved by closed maps, it follows that  $\psi(\mathcal{A})^*$  bisequential implies  $\psi(\mathcal{B})^*$  is  $\alpha_3$ -FU for every  $\mathcal{B} \subset \mathcal{A}$ ; I don't know if this consistently reverses, or even if just  $\psi(\mathcal{A})^*$  being  $\alpha_3$ -FU is consistently equivalent

to its bisequentiality. There are however ZFC examples of bisequential  $\psi(\mathcal{A})^*$  where  $\mathcal{A}$  is not  $\mathbb{R}$ -embeddable; also, Example 5.3 in these notes is a countable  $\alpha_2$ -FU space which is not bisequential.

Theorem 2.10(c) left open the problem of characterizing the spaces whose product with every  $\alpha_4$ -FU space is Fréchet. Gerlitz and Nagy[GN<sub>1</sub>] solved the problem; more recently, Jordan and Mynard obtained another solution by introducing the following property defined in the same spirit as bisequential. First, for a filter  $\mathcal{F}$  on  $X$ , call a sequence in  $X$   **$\mathcal{F}$ -convergent** if it is convergent in the topology on  $X \cup \{\infty\}$ , where  $X$  is a set of isolated points and nbhds of  $\infty$  have the form  $F \cup \{\infty\}$ ,  $F \in \mathcal{F}$ . Also, call  $\mathcal{F}$  an  **$\alpha_4$ -FU filter** if  $X \cup \{\infty\}$  is  $\alpha_4$ -FU (at  $\infty$ ) in this topology.

**Definition 2.12.** [JM] A space  $X$  is called **productively  $\alpha_4$ -FU**<sup>4</sup> at  $x$  if whenever  $\mathcal{F}$  is an  $\alpha_4$ -FU filter which clusters at  $x$ , then there is a decreasing sequence  $G_n$  of sets converging to  $x$  such that  $\{G_n\}_{n \in \omega} \# \mathcal{F}$ . (Equivalently, since  $\mathcal{F}$  is  $\alpha_4$ , there is a convergent sequence to  $x$  which is also  $\mathcal{F}$ -convergent.)

So, productively  $\alpha_4$ -FU is the same as bisequentiality with respect to  $\alpha_4$ -FU filters.

**Theorem 2.13.** [JM]

- (a) *A space  $X$  is productively  $\alpha_4$ -FU iff its product with every  $\alpha_4$ -FU space is  $(\alpha_4)$ -FU;*
- (b) *A finite product of productively  $\alpha_4$ -FU spaces is productively  $\alpha_4$ -FU;*
- (c) *It is consistent that there is a countable productively  $\alpha_4$ -FU space which is not  $\alpha_3$ .*

It is not known, however, if an example as in (c), or a countable productively  $\alpha_4$ -FU space which is not bisequential, exists in ZFC.

**Question 2.** [JM]

- (a) *Is there a ZFC example of a countable productively  $\alpha_4$ -FU space which is not bisequential? Not  $\alpha_3$ ?*
- (b) *If  $X$  is productively  $\alpha_4$ -FU, must  $X^\omega$  be too? Must it at least be Fréchet?*

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<sup>4</sup>Jordan and Mynard call this simply “productively Fréchet”.

One may analogously define “bisequential w.r.t.  $\alpha_i$  filters”. If we call such spaces **productively  $\alpha_i$ -FU**, then the analogue of Theorem 2.13(a) holds with  $\alpha_4$  replaced by  $\alpha_i$ ,  $i = 1, 2, 3$ . Simon’s example mentioned earlier is productively  $\alpha_3$ -FU (by Theorem 2.4(d)), but is not productively  $\alpha_4$ -FU. We do not know of an example of a productively  $\alpha_2$ -FU space which is not productively  $\alpha_3$ -FU.

### 3. FRÉCHET-URYSOHN FOR FINITE SETS

**Definition 3.1.** [RS],[GS<sub>1</sub>], [GS<sub>2</sub>] Let  $x \in X$ . A collection  $\mathcal{P}$  is a  $\pi$ -**net** at  $x$ , denoted by  $x \in \overline{\mathcal{P}}$ , if every nbhd of  $x$  contains some member of  $\mathcal{P}$ .  $X$  is **Fréchet-Urysohn for finite sets (FU<sub>fin</sub>)** at  $x$  if whenever  $\mathcal{P}$  is a collection of finite sets with  $x \in \overline{\mathcal{P}}$ , there are  $P_n \in \mathcal{P}$  with  $P_n \rightarrow x$  (by which we mean every nbhd of  $x$  contains  $P_n$  for all sufficiently large  $n$ ). For  $n \in \omega$ , **Fréchet-Urysohn for  $n$ -point sets (FU<sub>n</sub>)** is defined analogously, and we say  $X$  is **boundedly FU<sub>fin</sub>** at  $x$  iff  $X$  is FU<sub>n</sub> at  $x$  for every  $n$ .

We also consider natural analogues of  $\alpha_2$ -FU and  $\alpha_4$ -FU for the above stronger FU-type properties. E.g.,  $X$  is  $\alpha_2$ -**FU<sub>n</sub>** (resp.  $\alpha_4$ -**FU<sub>n</sub>** at  $x$ ) if whenever  $x \in \overline{\mathcal{P}_i}$ ,  $i \in \omega$ , where each  $\mathcal{P}_i$  consists of  $n$ -point sets, there are  $P_i \in \mathcal{P}_i$  for each  $i$  (resp., for  $\infty$ -many  $i$ ) such that  $P_i \rightarrow x$ .

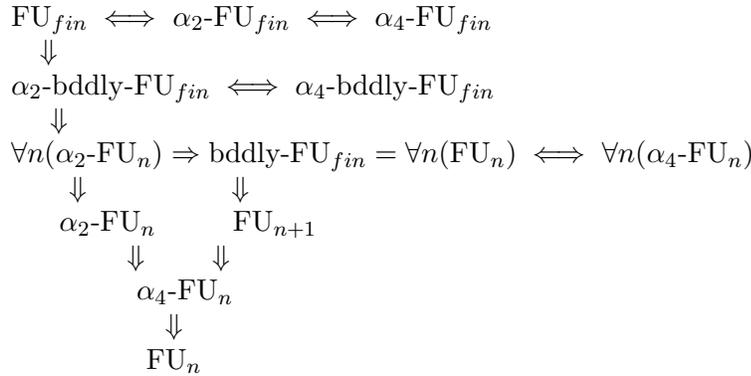
Note that FU<sub>1</sub> and its  $\alpha_2$  and  $\alpha_4$  versions are the same as the standard Fréchet-Urysohn property and its  $\alpha_2$  and  $\alpha_4$  versions. More generally:

**Proposition 3.2.** [Sip][GS<sub>1</sub>][GS<sub>2</sub>] Let  $X$  be a space with one non-isolated point. Then:

- (a)  $X$  is FU<sub>n</sub>  $\iff$   $X^n$  is Fréchet;
- (b)  $X$  is  $\alpha_4$ -FU<sub>n</sub>  $\iff$   $X^n$  is Fréchet and  $\alpha_4$ ;
- (c)  $X$  is  $\alpha_2$ -FU<sub>n</sub>  $\iff$   $X^n$  is Fréchet and  $\alpha_2$  (equiv.,  $X^n$  is Fréchet and  $X$  is  $\alpha_2$ );
- (d)  $X$  is boundedly FU<sub>fin</sub>  $\iff$   $X^n$  is Fréchet for all  $n \in \omega$ .

It follows that Example 2.8 gives, under MA, a countable FU<sub>n</sub> space which is not FU<sub>n+1</sub>, for each  $n$ , and asking for a ZFC example of an example like 2.8 is equivalent to asking for, in ZFC, a countable or compact FU<sub>n</sub> space which is not FU<sub>n+1</sub>. Also, Example 2.7 gives, under MA, a countable boundedly FU<sub>fin</sub> space whose countable power is not Fréchet, and we do not know a ZFC example of this either. But it is the case that the countable power of a FU<sub>fin</sub>-space is Fréchet, even FU<sub>fin</sub> [GS<sub>2</sub>].

The above definition suggests quite a few classes of spaces, and there are some elementary implications between the them, as indicated in the following chart.



The papers [GS<sub>1</sub>],[GS<sub>2</sub>] of myself and Szepytcki contain a detailed investigation of these properties and relations among them. As indicated in the chart, there are a few equivalences among the suggested classes of spaces, e.g., the  $\alpha_2$  and  $\alpha_4$  versions of  $\text{FU}_{fin}$  are equivalent to  $\text{FU}_{fin}$ . But most of the time, there are at least consistent examples separating the properties; in particular, under CH, all of the of the properties not rather easily proven to be equivalent are different even for countable spaces. In many cases however, we don't know if there are ZFC examples; e.g., we don't know of any ZFC example of an ( $\alpha_2$ -)  $\text{FU}_2$  space which is not boundedly  $\text{FU}_{fin}$  (resp.,  $\text{FU}_{fin}$ ). The most outstanding question in the area is:

**Question 3.** Is there, in ZFC, a countable  $X$  which is  $\text{FU}_{fin}$  but not first-countable?

Reznichenko and Sipacheva[RS] proved:

**Proposition 3.3.** *If there is a countable  $X$  which is  $\text{FU}_{fin}$  but not first-countable, then there is a countable (equiv., separable) Fréchet topological group which is not first countable (equiv., not metrizable).*

Given the space  $X$ , which may be assumed to be of the form  $\omega \cup \{\infty\}$ , the group can be described simply as the set  $G$  of all finite subsets of  $\omega$  under the symmetric difference operation, and for  $U$  open in  $X$ , the set  $U^* = \{F \in G : F \subset U\}$  is a nbhd of the identity.

Thus, an  $X$  answering Question 3 would answer an old question of Malychin, who asked if such groups exist in ZFC. This was Reznichenko and Sipecheva's motivation for introducing  $FU_{fin}$ . (The property was noticed earlier by Nyikos[Ny<sub>3</sub>] and also Dow and Steprans[DS], but [RS] is the first detailed investigation.) Theorem 2.4(h) indicates Malychin's question is probably not equivalent to Question 3, however.

There are ZFC examples separating boundedly  $FU_{fin}$  from  $FU_{fin}$ , and  $\alpha_2$ -boundedly  $FU_{fin}$  from first-countable. Recall that  $\psi(\mathcal{A})^*$  is bisequential whenever  $\mathcal{A}$  is  $\mathbb{R}$ -embeddable, and it follows from Theorem 2.10(a) that bisequential spaces are boundedly  $FU_{fin}$ .

**Example 3.4.**

- (a) [GS<sub>2</sub>] An  $\mathbb{R}$ -embeddable  $\mathcal{A}$  such that  $\psi(\mathcal{A})^*$  is not  $\alpha_2$ -FU. (Hence  $\psi(\mathcal{A})^*$  is boundedly  $FU_{fin}$  but not  $FU_{fin}$ .)
- (b) ([Ny<sub>2</sub>], essentially; see also [GS<sub>2</sub>]) If  $\mathcal{A} \subset \mathcal{P}(2^{<\omega})$  is the set of branches of a Cantor tree over a  $\lambda'$ -set  $X \subset 2^\omega$ , then  $\psi(\mathcal{A})^*$  is  $\alpha_2$ -boundedly  $FU_{fin}$  but not first-countable.

As we'll note in the next section, Nyikos also showed that the space in (b) above is  $FU_{fin}$  iff  $X$  is what is called a  $\gamma$ -set. But Miller[Mil] showed that there is a model in which every  $\lambda'$ -set is  $\gamma$ , so this will not get a ZFC example separating  $\alpha_2$ -boundedly  $FU_{fin}$  from  $FU_{fin}$  (and none is known).

The only case where an equivalence of these kinds of properties is known to be both consistent with and independent of set theory is given by the following result, which also answered a question of Nogura[No<sub>2</sub>].

**Theorem 3.5.**

- (a) [Sim<sub>2</sub>](CH) *There is a countable space  $X$  which is  $FU_2$  but not  $\alpha_4$ - $FU_2$ ;*
- (b) [To<sub>2</sub>] (OCA<sup>5</sup>)  *$FU_n$  is equivalent to  $\alpha_4$ - $FU_n$ , for  $n \geq 2$ .*

What is actually shown in [To<sub>2</sub>] is that, under OCA,  $X \times Y$  Fréchet implies  $X \times Y$  is  $\alpha_4$ . But then we have (under OCA) that if  $X$   $FU_n$  for  $n \geq 2$ , then since  $X^n = X^{n-1} \times X$  is Fréchet, it is also  $\alpha_4$ ; so  $X$  is  $\alpha_4$ - $FU_n$ .

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<sup>5</sup>OCA denotes the Open Coloring Axiom, a consequence of PFA, the Proper Forcing Axiom.

4. THE  $\gamma$ -CONNECTION

It has been known for a long time that if there are uncountable  $\gamma$ -sets, then there are countable  $FU_{fin}$  spaces which are not first-countable. Here we give a construction which makes the “ $\gamma$ -connection” obvious, and suggests something to look at for possible ZFC examples.

**Definition 4.1.** Let  $X \subset 2^\omega$  and let  $\mathcal{U}$  be a collection of open subsets of  $X$  with  $X \in \mathcal{U}$ . Let  $\Gamma(X, \mathcal{U})$  be the set  $\mathcal{U}$  with the following topology:  $X$  is the only non-isolated point, and for any finite  $F \subset X$ ,

$$O(F) = \{U \in \mathcal{U} : F \subset U\}$$

is a nbhd of  $X$ .

**Remark.** It’s occasionally handy to think of members of  $\mathcal{U}$  as open subsets of  $2^\omega$  instead of  $X$  (see Proposition 4.6(j) and Corollary 4.7 below). This is OK as long as no two members of  $\mathcal{U}$  have the same trace on  $X$  (which is not a serious restriction for applications).

If  $X \subset 2^\omega$ , let  $T(X)$  denote the a.d. space generated by the branches of the Cantor tree over  $X$ , i.e., generated by the a.d. family  $\{b_x : x \in X\}$ , where  $b_x = \{x \upharpoonright n : n \in \omega\}$  is the branch of the Cantor tree corresponding to  $x$ . This space was studied in detail by Nyikos[Ny<sub>2</sub>].

**Example 4.2.** Let  $\mathcal{U}$  be the collection of all complements of basic clopen subsets  $[\sigma]$  of the Cantor set  $2^\omega$  (i.e.,  $\sigma \in 2^n$  for some  $n$ , and  $[\sigma] = \{x \in 2^\omega : x \upharpoonright n = \sigma\}$ ). Also put  $X \in \mathcal{U}$ . Then  $\Gamma(X, \mathcal{U})$  is homeomorphic to  $T(X)$ .

To see this, simply note that for  $F \subset X$ ,  $O(F) = \{X\} \cup \{2^\omega \setminus [s] : \sigma \notin \bigcup_{x \in F} b_x\}$ , i.e., nbhds of  $\infty$  correspond to complements of finitely many branches over points of  $X$ . More generally, the following shows that any a.d. space is of the form  $\Gamma(X, \mathcal{U})$ :

**Proposition 4.3.** *Let  $\mathcal{A}$  be an a.d. family and let  $X = \{\chi_A : A \in \mathcal{A}\} \subset 2^\omega$ . For each  $n \in \omega$ , let  $O_n = \{x \in 2^\omega : x(n) = 0\}$ , and let  $\mathcal{O} = \{X\} \cup \{O_n\}_{n \in \omega}$ . Then the a.d. space generated by  $\mathcal{A}$  is homeomorphic to  $\Gamma(X, \mathcal{O})$ .*

*Proof.* If  $F = \{\chi_{A_i} : i < n\}$ , then clearly  $O(F) = \mathcal{O} \setminus \{O_n : n \in \bigcup_{i < n} A_i\}$ . □

**Definition 4.4.** A collection  $\mathcal{V}$  is called an  $\omega$ -**cover** of a set or space  $X$  (resp.,  $\gamma$ -**cover**) if every finite subset of  $X$  is contained in some member of  $\mathcal{V}$  (resp., every finite subset of  $X$  is contained in almost every (mod finite) member of  $\mathcal{V}$ ).

**Definition 4.5.** Let  $\mathcal{U}$  be a collection of sets. We call  $X$  a  $\mathcal{U}$ - $\gamma$ -**set** if every  $\omega$ -cover  $\mathcal{V}$  of  $X$ , with  $\mathcal{V} \subset \mathcal{U}$ , has a  $\gamma$ -subcover. If  $X \subset \mathbb{R}$ , then  $X$  is called simply a  $\gamma$ -**set** if  $X$  is  $\mathcal{U} - \gamma$ , where  $\mathcal{U}$  = all open sets (equivalently,  $\mathcal{U}$  = a countable base closed under finite unions).

**Remark.** (a)  $\gamma$ -subsets of the reals have strong measure 0, hence uncountable ones consistently do not exist. However, they do exist in many models (e.g., any subset of  $\mathbb{R}$  of cardinality less than  $\mathfrak{p}$  is a  $\gamma$ -set, and  $\mathfrak{p} = \mathfrak{c}$  implies there is a  $\gamma$ -set of cardinality  $\mathfrak{c}$ ); also, Todorćević showed that there can exist two  $\gamma$ -sets whose union is not  $\gamma$  (see [GM]).

(b) Nyikos[Ny<sub>2</sub>] showed that the a.d. space corresponding to the Cantor tree over  $X$  is  $FU_{fin}$  iff  $X$  is a  $\gamma$ -set, and Gerlitz and Nagy[GN<sub>2</sub>] showed that  $C_p(X)$  is ( $\alpha_2$ -)  $FU$  (and the same proof gets  $FU_{fin}$ ) iff  $X$  is a  $\gamma$ -set. The  $\Gamma(X, \mathcal{U})$  construction includes the essence of both, and the elementary proof of Proposition 4.6 (especially (a) and (c)) makes the “ $\gamma$ -connection” completely obvious.

Notation:  $\mathcal{U}^{\cap fin}$  (resp.,  $\mathcal{U}^{\cap n}$ ) is the set of all finite (resp.,  $n$ -sized) intersections of members of  $\mathcal{U}$ .

**Proposition 4.6.** Consider  $\Gamma(X, \mathcal{U})$ , where  $X \subset 2^\omega$  and  $\mathcal{U}$  is a collection of open subsets of  $X$  with  $X \in \mathcal{U}$ . Then:

- (a)  $\forall \mathcal{V} \subset \mathcal{U} (X \in \overline{\mathcal{V}} \iff \mathcal{V} \text{ is an } \omega\text{-cover of } X)$ ;
- (b)  $\forall \mathcal{V} \subset [\mathcal{U}]^{<\omega} (X \in \overline{\mathcal{V}} \iff \{\cap \mathcal{W} : \mathcal{W} \in \mathcal{V}\} \text{ is an } \omega\text{-cover of } X)$ ;
- (c) For  $V_n \in \mathcal{U}$ ,  $n \in \omega$ , we have  $V_n \rightarrow X \iff \{V_n\}_{n \in \omega}$  is a  $\gamma$ -cover of  $X$ ;
- (d) For  $\mathcal{V}_n \subset \mathcal{U}$ ,  $n \in \omega$ , we have  $\mathcal{V}_n \rightarrow X \iff \{\cap \mathcal{V}_n\}_{n \in \omega}$  is a  $\gamma$ -cover of  $X$ ;
- (e)  $\Gamma(X, \mathcal{U})$  is  $FU \iff X$  is a  $\mathcal{U} - \gamma$ -set;
- (f)  $\Gamma(X, \mathcal{U})$  is  $FU_{fin} \iff X$  is a  $\mathcal{U}^{\cap fin} - \gamma$ -set;
- (g)  $\Gamma(X, \mathcal{U})$  is  $FU_n \iff X$  is a  $\mathcal{U}^{\cap n} - \gamma$ -set;

- (h)  $\Gamma(X, \mathcal{U})$  is  $\alpha_2$ -FU (resp,  $\alpha_4$ -FU)  $\iff$  whenever  $\mathcal{V}_n \subset \mathcal{U}$  is an  $\omega$ -cover (equivalently,  $\gamma$ -cover) of  $X$ , then for each  $n$  (resp., for infinitely many  $n$ ) there are  $V_n \in \mathcal{V}_n$  such that the  $V_n$ 's are a  $\gamma$ -cover;
- (i)  $\Gamma(X, \mathcal{U})$  is first-countable iff there are finite  $F_n \subset X$ ,  $n \in \omega$ , and  $X = \bigcup_{n \in \omega} F_n$ , such that, for all  $U \in \mathcal{U}$ ,  $U \supset F_n \iff U \supset X_n$ . In particular,  $\Gamma(X, \mathcal{U})$  is not first-countable if  $|X| > \omega$ , and for each  $x \in X$  and finite  $F \subset X$  with  $x \notin F$ , there is some  $U \in \mathcal{U}$  with  $x \notin U \supset F$ .
- (j) If  $X_0, X_1 \subset 2^\omega$ , then  $\Gamma(X_0 \cup X_1, \mathcal{U})$  is homeomorphic to the diagonal of  $\Gamma(X_0, \mathcal{U}) \times \Gamma(X_1, \mathcal{U})$ .

*Proof.* (a)-(d) are easy translations from the definitions, using  $U \in O(F) \iff U \supset F$ . E.g., for (a),  $X \in \bar{\mathcal{V}} \iff \forall F \in [X]^{<\omega} [\exists V \in \mathcal{V}(V \in O(F))] \iff \forall F \in [X]^{<\omega} [\exists V \in \mathcal{V}(V \supset F)] \iff \mathcal{V}$  is an  $\omega$ -cover. For (d),  $\mathcal{V}_n \rightarrow X \iff \forall F \in [X]^{<\omega}$  [for almost all  $n \in \omega(\mathcal{V}_n \in O(F))$ ]  $\iff \forall F \in [X]^{<\omega}$  [for almost all  $n \in \omega(F \subset \bigcap \mathcal{V}_n)$ ]  $\iff \{\bigcap \mathcal{V}_n\}_{n \in \omega}$  is a  $\gamma$ -cover.

Now (e),(f), (g), and (h) follow straightforwardly from (a)-(d). For (i), if  $O(F_n)$ ,  $n \in \omega$ , enumerates a countable base, let  $X_n = \{x \in X : O(F_n) \subset O(x)\} = \{x \in X : U \supset F_n \rightarrow x \in U\}$ . And finally for (j), it is easily checked that  $U \rightarrow (U, U)$  is the desired homeomorphism.  $\square$

**Corollary 4.7.** *Let  $\mathcal{U}$  be a countable base for  $2^\omega$  closed under finite unions. Then:*

- (a) *If  $X \subset 2^\omega$  is a  $\gamma$ -set, then  $\Gamma(X, \mathcal{U})$  is a countable  $FU_{fin}$ -space which is not first-countable;*
- (b) *If  $X_0$  and  $X_1$  are  $\gamma$ -sets in  $2^\omega$  but  $X_0 \cup X_1$  is not  $\gamma$ , then  $\Gamma(X_e, \mathcal{U})$  is  $FU_{fin}$  for  $e < 2$ , but  $\Gamma(X_0, \mathcal{U}) \times \Gamma(X_1, \mathcal{U})$  is not Fréchet.*

Nyikos[Ny<sub>2</sub>] showed that the a.d.-space corresponding to the Cantor tree over  $2^\omega$  (i.e., the whole Cantor tree) is Fréchet; so it follows from Proposition 4.6 and previous remarks that  $2^\omega$  is  $\mathcal{U} - \gamma$ , where  $\mathcal{U}$  is the collection of complements of basic open subsets of  $2^\omega$ . However, we don't know the answer to:

**Question 4.** *Is there in ZFC an uncountable  $\mathcal{U} - \gamma$ -set  $X \subset 2^\omega$ , where  $\mathcal{U}$  is a countable collection of open subsets of  $2^\omega$  satisfying:*

- (a)  $\mathcal{U}$  closed under finite intersections;

- (b) For each  $x \in X$  and finite  $F \subset X$  with  $x \notin F$ , there is some  $U \in \mathcal{U}$  with  $x \notin U \supset F$ ?

If there is such  $X$  and  $\mathcal{U}$ , then by Proposition 4.6,  $\Gamma(X, \mathcal{U})$  is a non-first-countable  $\text{FU}_{fin}$ -space. The following, essentially due to Szeptycki[Sz], eliminates a possibility for a ZFC example.

**Proposition 4.8.** *Let  $\mathcal{U}$  be the collection all complements of finite unions from a countable collection  $\mathcal{I}$  of closed intervals. Then it is consistent (in particular, it holds if  $\mathfrak{b} > \omega_1$  and there are no real  $\gamma$ -sets) that there is no uncountable  $\mathcal{U}$ - $\gamma$ -set  $X$  satisfying the condition of Question 4(b).*

*Proof.* Suppose there exists such  $X$  and  $\mathcal{I}$ . Let  $\mathcal{I}^0$  be the collection of interiors of members of  $\mathcal{I}$ . Notice that the condition of Question 4(b) implies that  $\mathcal{I}^0$  contains a base at all points of  $X$  that are two-sided limits of  $X$ ; in particular, all but countably many points of  $X$ . For each  $n$ , let

$$Y_n = \{y \in \mathbb{R} : y \in J \in \mathcal{I}^0 \Rightarrow J \not\subset (y - 1/2^n, y + 1/2^n)\}.$$

Notice that  $\overline{Y_n} \subset Y_{n+1}$  and that  $\bigcup_{n \in \omega} Y_n$  is the set of all points  $y \in \mathbb{R}$  such that  $\mathcal{I}^0$  does not contain a base at  $y$ . Hence the set

$$X^* = \{x \in \mathbb{R} : \mathcal{I}^0 \text{ contains a base at } x\}$$

is  $G_\delta$ , hence Polish. If  $\mathfrak{b} > \omega_1$ , then any uncountable subset of an analytic set has an uncountable subset contained in some compact subset of the analytic set. Applying this to  $X^*$  and  $X \cap X^*$ , there is a compact subset  $K$  of  $X^*$  such that  $K \cap X$  is uncountable. The following claim finishes the proof.

*Claim.*  $K \cap X$  is a (real)  $\gamma$ -set.

*Proof of Claim.* Let  $\mathcal{O}$  be an  $\omega$ -cover of  $K \cap X$ . Let

$$\mathcal{U}(\mathcal{O}) = \{U \in \mathcal{U} : \exists O \in \mathcal{O} (U \cap K \subset O)\}.$$

Clearly it suffices to show that  $\mathcal{U}(\mathcal{O})$  contains a  $\gamma$ -subcover of  $K \cap X$ . Since  $X$  is a  $\mathcal{U}$ - $\gamma$ -set, it suffices then to show that  $\mathcal{U}(\mathcal{O})$  is an  $\omega$ -cover of  $X$ . □(Claim)

To this end, suppose  $F \subset X$  is finite. There is some  $O \in \mathcal{O}$  with  $F \cap K \subset O$ . Since  $\mathcal{I}^0$  contains a base at each point of  $K \setminus O$ , there is some finite subcollection  $\mathcal{J}$  of  $\mathcal{I}$  such that  $\mathcal{J}$  covers  $K \setminus O$  and misses  $F$ . Let  $U = \setminus \cup \mathcal{J}$ . Then  $U \in \mathcal{U}(\mathcal{O})$  and  $F \subset U$ . This completes the proof. □

**Remark.** The same argument works for the real line, and also for  $\omega^\omega$ , where closed intervals are replaced by basic clopen sets  $[\sigma]$ ,  $\sigma \in \omega^{<\omega}$ . The latter shows that it is consistent that there are no uncountable “weak  $\gamma$ -sets” as defined in [GS<sub>1</sub>], which answers Question 4 in that paper.

The Laver model for the Borel conjecture satisfies that  $\mathfrak{b} > \omega_1$  and there are no  $\gamma$ -sets.

### 5. GAP SPACES

Tamano’s examples (see Example 2.8) give examples (under MA) of  $\alpha_4$ -FU<sub>*n*</sub> a.d. spaces which are not FU<sub>*n*+1</sub>, for  $n \geq 2$  (for  $n = 1$  we have Simon’s example in ZFC). What about  $\alpha_2$ -FU<sub>*n*</sub> not FU<sub>*n*+1</sub>? By Theorem 2.4(d)(e), compact spaces, and hence a.d.-spaces, won’t do for this:

**Proposition 5.1.** *If  $X$  is compact  $\alpha_2$ -FU, then  $X$  is  $\alpha_2$ -FU<sub>*n*</sub> for every  $n$ .*

(Remark: Same is true with  $\alpha_1$  or  $\alpha_3$  in place of  $\alpha_2$ .)

What works to separate (only consistently for  $n \geq 2$ )  $\alpha_2$ -FU<sub>*n*</sub> from FU<sub>*n*+1</sub> turns out to be spaces defined from Hausdorff gaps. An example due to J. Isbell, appearing in [O], produces two countable Fréchet  $\alpha_2$ -spaces whose product is not Fréchet. The assumption  $2^{\aleph_0} < 2^{\aleph_1}$  is used in [O] in describing Isbell’s example, and it is only claimed that the spaces are  $\alpha_4$ -FU. But P. Nyikos [Ny<sub>2</sub>] noticed that the examples are  $\alpha_2$ -spaces, and that what is needed to construct the examples is a Hausdorff gap, so they exist in ZFC.

**Definition 5.2.** An  $\omega_1$ -sequence  $\{(a_\alpha, b_\alpha) : \alpha < \omega_1\}$  of pairs of infinite subsets of  $\omega$  is a **Hausdorff gap** if

- (a)  $a_\alpha \subset^* a_\beta \subset^* b_\beta \subset^* b_\alpha$  for all  $\alpha < \beta < \omega_1$ ;
- (b) There is no  $c$  such that  $a_\alpha \subset^* c \subset^* b_\alpha$  for all  $\alpha < \omega_1$ .

(Recall  $a \subset^* b$  means  $|a \setminus b| < \omega$ .)

Given a Hausdorff gap as above, let

$$\mathcal{I}^0 = \{x \subset \omega : |x \cap a_\alpha| < \omega \text{ for all } \alpha < \omega_1\},$$

$$\mathcal{I}^1 = \{x \subset \omega : |x \cap (\omega \setminus b_\alpha)| < \omega \text{ for all } \alpha < \omega_1\}.$$

Then Nyikos’s observation is that the spaces  $X_e = \omega \cup \{\infty\}$ , where neighborhoods of  $\infty$  are complements of members of the ideal  $\mathcal{I}^e$ , are the same as Isbell’s spaces and are Fréchet  $\alpha_2$ . We call a space

obtained from (either the left or right side of) a Hausdorff gap  $g$  in this way a **gap space**. It is not hard to show that the product  $X_0 \times X_1$  of the above gap spaces is not Fréchet. (See [O] and [Ny<sub>2</sub>], or Example 2.4 in [G<sub>3</sub>].)

The Isbell-Nyikos example in a slightly different form produces, in ZFC, a gap space which is not  $FU_2$ .

**Example 5.3.** [GS<sub>2</sub>] There is a gap space  $X = \omega \cup \{\infty\}$  which is Fréchet  $\alpha_2$  but not  $FU_2$ .

The example is obtained by putting together two copies of an arbitrary Hausdorff gap, with one copy the reverse of the other. That is, given a gap  $\{(a_\alpha, b_\alpha) : \alpha < \omega_1\}$ , if we let  $\{(a'_\alpha, b'_\alpha) : \alpha < \omega_1\}$  be the same gap on another copy  $\omega'$  of  $\omega$ , then  $\{(a_\alpha \cup (\omega' \setminus b'_\alpha), b_\alpha \cup (\omega' \setminus a'_\alpha)) : \alpha < \omega_1\}$  yields a non- $FU_2$  gap space. It is not difficult to verify that the set  $\{\{n, n'\} : n \in \omega\}$  is a  $\pi$ -net of doubletons with no convergent subsequence.

In the other direction, it is not difficult to construct gap spaces that are  $FU_{fin}$  under CH, and they also exist in the Hechler model [GS<sub>2</sub>]. Hrusak asked if there could be in ZFC a gap space which is  $FU_{fin}$ . The answer is no: under  $MA_{\omega_1}$ , no gap space is  $FU_2$ .

**Theorem 5.4.** [GS<sub>2</sub>]  $MA(\omega_1)$  implies that no gap space is  $FU_2$ .

The key idea is to show that, given a gap, there are disjoint subsets  $w$  and  $w'$  of  $\omega$  such that the restriction of the gap to  $w \cup w'$  is essentially like Example 5.3.

Now consider the following question:

**Question 5.** Does PFA imply that every countable  $\alpha_2$ - $FU_2$  space is boundedly  $FU_{fin}$ ?

No compact space, hence no a.d.-space, can serve as a counterexample, by Theorem 5.1. About the only other kind of example we have in this theory are gap spaces, so what about them? Since Todorćević showed that, under PFA, the only types of gaps that exist are  $(\omega_1, \omega_1^*)$ ,  $(\omega, \omega_2^*)$ , or  $(\omega_2, \omega^*)$  (recall  $\mathfrak{c} = \omega_2$  under PFA), a positive answer to this question seems plausible (though we haven't eliminated the possibility of an example from an  $(\omega_2, \omega^*)$ -gap).

On the other hand, there are examples in other models. In particular:

**Example 5.5.** [GS<sub>2</sub>](CH) For each  $n$ , there is an  $\alpha_2$ -FU <sub>$n$</sub>  gap space which is not FU <sub>$n+1$</sub> .

The idea is to build by an induction of length  $\omega_1$  a gap on  $\omega \times (n + 1)$ , making  $\{\{i\} \times (n + 1) : i \in \omega\}$  be a  $\pi$ -net of  $(n + 1)$ -sized sets with no convergence sequence, and making sure along the way that potential  $\pi$ -nets of  $\leq n$ -sized sets will in the end either not remain a  $\pi$ -net or will contain a convergent subsequence.

6. OTHER CONNECTIONS—GAMES, FUNCTION SPACES, MOVING OFF PROPERTY

**Definition 6.1.** Define the **convergence game**  $G(X, x)^{fin}$  at  $x \in X$  as follows. In the  $n^{th}$  round, Player  $O$  plays an open nbhd  $O_n$  of  $x$ , and Player  $P$  responds by choosing a finite set  $P_n \subset O$ . We say  $O$  **wins** the game if  $P_n \rightarrow x$ , otherwise  $P$  wins. Games  $G(X, x)^n$  in which  $P$  must choose a set of size  $\leq n$  are similarly defined. [In [G<sub>1</sub>], the game  $G(X, x)^1$  was studied.]

**Theorem 6.2.** [GS<sub>2</sub>] *Let  $*$  be fin or  $n$ . A space  $X$  is  $\alpha_2$ -FU <sub>$*$</sub>  at  $x$  iff Player  $P$  has no winning strategy in the game  $G^*(X, x)$ .*

It follows that if  $X$  is the space of Example 5.3, which is  $\alpha_2$ -FU but not FU<sub>2</sub>, then  $P$  has no winning strategy, i.e., cannot avoid convergence of his chosen points to  $\infty$ , if he can only choose a single point on each round, but he does have a winning strategy if he is allowed to choose two points each time. And Example 5.5 gives under CH spaces in which  $P$  has no winning strategy in the  $n$ -point game but does have one in the  $n + 1$ -point game. We don't know ZFC examples of this for  $n \geq 2$ .

Since FU <sub>$fin$</sub>  is equivalent to  $\alpha_2$ -FU <sub>$fin$</sub> , the theorem also says that FU <sub>$fin$</sub>  is equivalent to  $P$  having no winning strategy in the convergence game where he can choose on each round a finite set of arbitrary size.

A game that has some close connections with the above game essentially replaces finite sets with compact sets. Define the game  $G^K(X)$  on a locally compact space  $X$  as follows: On the  $n^{th}$  round, Player  $K$  chooses a compact set  $K_n$ , and Player  $L$  responds by choosing a compact set  $L_n$  disjoint from  $K_n$ . We say  $K$  **wins** the game if  $\{L_n : n \in \omega\}$  is discrete in  $X$ . The similarity to  $G(X, x)$  is evident when one notes that choosing a compact subset of  $X$

is equivalent to choosing a nbhd of the compactifying point  $\infty$  of the one-point compactification of  $X$ , and  $\{L_n : n \in \omega\}$  discrete is equivalent to  $L_n \rightarrow \infty$ .

The following is a compact set analogue of the  $FU_{fin}$  property and its game equivalence. Call a collection  $\mathcal{L}$  of compact sets a **moving off collection** if for any compact  $K$ , there is  $L \in \mathcal{L}$  such that  $K \cap L = \emptyset$ . (Note that a moving off collection  $\mathcal{L}$  is a  $\pi$ -net of compact sets at the compactifying point of the one-point compactification.) We say  $X$  has the **Weak Moving Off Property (WMOP)** if for every moving off collection  $\mathcal{L}$ , there are  $L_n \in \mathcal{L}$  such that  $\{L_n : n \in \omega\}$  is discrete. If one requires the stronger “ $\{L_n : n \in \omega\}$  has a discrete open expansion”, this defines the *MOP*. WMOP and MOP are easily seen to be equivalent for normal spaces or locally compact spaces.

The reason this game, and the MOP, became of interest is due to the following result:

**Theorem 6.3.** [GM] *The following are equivalent for a locally compact space  $X$ :*

- (a)  $C_k(X)$  is a Baire space;
- (b)  $L$  has no winning strategy in  $G^K(X)$ ;
- (c)  $X$  has the MOP;
- (d)  $X$  has the WMOP.

Sakai[Sa] recently obtained a result which, for locally compact spaces  $X$ , implies that the MOP is also equivalent to the following Fréchet-like property (called  $\kappa$ -Fréchet-Urysohn) of  $C_k(X)$ :  $p \in \overline{U}$ ,  $U$  open, implies there are  $x_n \in U$  with  $x_n \rightarrow p$ . Also, Nyikos noticed an interesting connection between the MOP property and Fréchetness of a natural subspace of  $C_k(X)$ . For  $X$  locally compact, let

$$C_0(X) = \{f \in C(X) : f \text{ vanishes at } \infty\}.$$

**Theorem 6.4.** [Ny<sub>4</sub>] *Let  $X$  be locally compact, and consider  $C_0(X)$  with the compact-open topology. Then*

- (a)  $t(C_0(X)) = \omega$  iff every moving off collection of compact subsets of  $X$  has a countable moving off subcollection;
- (b)  $C_0(X)$  is  $FU_{fin}$  iff  $C_0(X)$  is Fréchet iff  $X$  has the MOP.

Nyikos actually showed part (b) only for Fréchet, but the same argument gets  $FU_{fin}$ .

We can use  $C_0(X)$  to get other (consistent) examples of countable  $FU_{fin}$  not first-countable spaces and groups. Now if  $X$  were Lindelöf,  $C_0(X)$  would be first-countable; but we have:

**Theorem 6.5.** *Suppose  $X$  is locally compact with a countable  $\pi$ -base, and has the MOP. If  $X$  is not Lindelöf, then there is a countable subgroup of  $C_0(X)$  which is  $FU_{fin}$  but not first countable.*

*Proof.* For each member  $B$  of a countable  $\pi$ -base  $\mathcal{B}$ , there is a continuous function  $f_B : X \rightarrow [0, 1]$  such that  $f_B(x) = 1$  for some  $x \in B$  and  $f_B(X \setminus B) = \{0\}$ . Let  $F$  be the collection of these  $f_B$ 's, together with the constant 0 function  $c_0$ . If  $c_0$  had countable character in  $F$ , there would be basic open sets  $B(c_0, L_n, \epsilon_n) = \{f \in F : \forall x \in L_n (|f(x)| < \epsilon_n)\}$  for  $n \in \omega$  that witness this. As  $X$  is locally compact but not Lindelöf, there is an open set  $U$  with compact closure which is not contained in any  $L_n$ . Then for each  $n$ , there is some  $B_n \in \mathcal{B}$  such that  $f_{B_n}(L_n) = \{0\}$  and  $1 \in f_{B_n}(U)$ . It follows that no set  $B(c_0, L_n, \epsilon_n)$  is contained in the neighborhood  $B(c_0, \overline{U}, 1/2)$  of  $c_0$ , contradiction. So  $F$  is not first countable.

Finally, let  $G$  be the subgroup generated by  $F$ . Then  $G$  is not first countable, and since  $C_0(X)$  is  $FU_{fin}$ , so is  $G$ . □

Note that since finite sets are compact, it is easy to translate the MOP property of any locally compact non-Lindelöf space  $X$  to see that it implies the  $FU_{fin}$  property at the compactifying point of its one-point compactification  $X^*$ . And if  $X$  is also separable, there would be a countable non-first-countable subspace of  $X^*$ . So we ask:

**Question 6.** Is there in ZFC a separable locally compact non-Lindelöf  $X$  which has the MOP? In particular, is there in ZFC an uncountable a.d.  $\mathcal{A}$  such that  $\psi(\mathcal{A})$  has the MOP?

For all we know, it could be that the answer is no, yet there is such a space which is  $FU_{fin}$  at the compactifying point. There are various consistent examples of a.d.  $\mathcal{A}$  with  $\psi(\mathcal{A})^*$   $FU_{fin}$  yet  $\psi(\mathcal{A})$  does not have the MOP; an a.d. space constructed by Nyikos under  $\mathfrak{p} = \mathfrak{b}$  is one example. This space is generated by the a.d. family  $\mathcal{A}$  of subsets of  $\omega \times \omega$  consisting of the graphs of a  $<^*$ -increasing  $<^*$ -unbounded family  $f_\alpha$ ,  $\alpha < \mathfrak{b}$ , of increasing functions in  $\omega^\omega$ , together with  $\{n\} \times \omega : n \in \omega$ . In Theorem 5.6 of [Ny<sub>3</sub>], Nyikos proves that this space is  $FU_{fin}$ . It is easy to check that if  $x_n$  is the

compactifying point of  $\{n\} \times \omega$  in  $\psi(\mathcal{A})$ , and  $L_n = \{x_n\} \cup (\{n\} \times \omega)$ , then the collection of all tails of the  $L_n$ 's form a (countable) moving off collection in  $\psi(\mathcal{A})$ , no infinite subcollection of which is discrete.

## 7. OPEN PROBLEMS

**Problem 7.1.** [RS] Is there in ZFC a countable  $\text{FU}_{fin}$  space which is not first-countable?

**Problem 7.2.** Is there in ZFC a countable Fréchet topological group which is:

- (a) [Mal] Not first-countable? [Yes if yes to Problem 7.1.]
- (b) [S<sub>2</sub>] Not  $\text{FU}_2$ ? [There is an example if  $\text{CH}[\text{Sh}]$ .]

**Problem 7.3.**

- (a) [S<sub>1</sub>] Is it consistent that every Fréchet topological group is  $\alpha_3$ ?
- (b) (Shibakov) Can there be a Fréchet topological group whose product with some compact Hausdorff Fréchet space is not Fréchet? [The group could not be  $\alpha_3$ .]

**Problem 7.4.** [GS<sub>1</sub>][GS<sub>2</sub>] Are there in ZFC countable spaces  $X$  satisfying:

- (a)  $\text{FU}_n$  (resp.,  $\alpha_2\text{-FU}_n$ ) but not  $\text{FU}_{n+1}$  for  $n \geq 2$ ? (Equivalently,  $X^n$  Fréchet (resp., and  $\alpha_2$ ) but  $X^{n+1}$  not Fréchet?) [For  $n = 1$ , yes.]
- (b)  $\text{FU}_2$  (resp.,  $\alpha_2\text{-FU}_2$ ) but not boundedly  $\text{FU}_{fin}$ ? (Equivalently,  $X^2$  is Fréchet (resp., and  $\alpha_2$ ) but  $X^n$  not Fréchet for some  $n$ ?)
- (c)  $\alpha_2$ -boundedly  $\text{FU}_{fin}$  but not  $\text{FU}_{fin}$ ?

**Problem 7.5.** Does  $\alpha_2\text{-FU}_2$  imply boundedly  $\text{FU}_{fin}$  under PFA? [No compact or a.d. spaces can be counterexamples, and if there is a gap space counterexample under PFA, it would have to be from an  $(\omega_2, \omega^*)$ -gap .]

**Problem 7.6.** Is there in ZFC a countable (or compact) space  $X$  such that  $X^n$  is Fréchet for all positive integers  $n$  (equivalently,  $X$  is boundedly  $\text{FU}_{fin}$ ), but  $X^\omega$  is not Fréchet? [There is an example under MA.]

**Problem 7.7.** Is there in ZFC a countable non-bisequential space which is:

- (a) boundedly  $FU_{fin}$ ?
- (b) [JM] productively  $\alpha_4$ -FU?
- (c) [DS]  $FU_2$ ?

**Problem 7.8.** Is there in ZFC a productively  $\alpha_4$ -FU non- $\alpha_3$ -space? [Since bisequential implies  $\alpha_3$ , a positive answer also implies a positive answer to Problem 7.7(b).]

**Problem 7.9.** Is there in ZFC a countable boundedly  $FU_{fin}$  space which is not productively  $\alpha_4$ -FU?

**Problem 7.10.** [JM] If  $X$  is productively  $\alpha_4$ -FU, must  $X^\omega$  be FU? Productively  $\alpha_4$ -FU?

**Problem 7.11.** [GS<sub>2</sub>] Does  $X^\omega$  Fréchet imply  $X$  is  $\alpha_3$ ?

**Problem 7.12.** Let  $\mathcal{A} = \{f_\alpha\}_{\alpha < \mathfrak{b}}$  be a  $<^*$ -unbounded  $<^*$ -increasing family of non-decreasing functions from  $\omega$  to  $\omega$ . Is  $(\psi(\mathcal{A})^*)^\omega$  Fréchet always? In particular, can  $(\psi(\mathcal{A})^*)^\omega$  be Fréchet when  $\psi(\mathcal{A})^*$  is not  $\alpha_3$  (and thus provide a consistent negative answer to the previous problem)? [This  $\psi(\mathcal{A})^*$  was considered by Nyikos, who noted in [Ny<sub>3</sub>] that it can be made non- $\alpha_3$ , hence non-bisequential, if  $\mathfrak{b} = \mathfrak{c}$ ; more recently he showed it can also be made bisequential. Jordan and Mynard[JM] show that it is always productively  $\alpha_4$ -FU.]

**Problem 7.13.** Find a productively  $\alpha_2$ -FU space which is not productively  $\alpha_3$ -FU. [Simon's example (Prop. 1.7(c)) is productively  $\alpha_3$ -FU but not productively  $\alpha_4$ -FU.]

**Problem 7.14.** [DS] Is there a model of set-theory in which every countable  $FU_2$   $\alpha_1$ -space is first-countable, yet there is a countable non-first-countable  $\alpha_1$ -FU space?

**Problem 7.15.** [Ar<sub>2</sub>] Characterize the class of spaces  $X$  such that  $X \times Y$  is Fréchet for every countably compact Fréchet -space  $Y$ . [The class includes all productively  $\alpha_4$ -FU spaces and all  $\alpha_3$  -  $FU$  spaces.]

**Problem 7.16.** Suppose  $X \times Y$  is Fréchet for every  $\alpha_3$ -FU space  $Y$ . Must  $X$  have a Fréchet countably-compactification? What if  $X$  is countable? [This problem is motivated by Theorem 2.4(d). But I don't even know if every productively  $\alpha_4$ -FU space has a countably tight countably compactification<sup>6</sup>.]

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<sup>6</sup>F. Jordan[J] has recently constructed under CH a productively  $\alpha_4$ -FU space with no countably tight countably compactification.

**Problem 7.17.** Let  $P$  be one of the properties  $FU_n$  ( $n \geq 2$ ), boundedly  $FU_{fin}$ , or  $FU_{fin}$ . Suppose  $X$  and  $Y$  satisfy  $P$ , and  $X \times Y$  is Fréchet. Must  $X \times Y$  satisfy  $P$ ? [For  $FU_n$ , consistently no: any  $FU_n$  not  $FU_{2n}$  space  $X$  provides a counterexample with  $X = Y$ . For  $P = \alpha_4$ - $FU$  spaces, this was a question of Nogura, which has a negative answer under  $CH[Sim_2]$ , and a positive answer under  $OCA[To_2]$ .]

**Problem 7.18.** Does there exist in ZFC an uncountable subset  $X$  of  $2^\omega$  and a collection  $\mathcal{U}$  of open sets such that, for each finite  $F \subset X$  and  $x \in X \setminus F$ , there is  $U \in \mathcal{U}$  with  $F \subset U \subset X \setminus \{x\}$ , and :

- (a)  $X$  is a  $\mathcal{U}^{\cap fin} - \gamma$  set?
- (b)  $X$  is a  $\mathcal{U}^{\cap n}$  but not a  $\mathcal{U}^{\cap n+1} - \gamma$  set?

[Positive answers would give positive answers to Problems 7.1 and 7.4a respectively.]

**Problem 7.19.** Is there in ZFC an uncountable a.d. family  $\mathcal{A}$  such that:

- (a)  $\psi(\mathcal{A})^*$  is  $FU_{fin}$ ?
- (b)  $\psi(\mathcal{A})$  has the MOP? [This implies  $\psi(\mathcal{A})^*$  is  $FU_{fin}$ .]

**Problem 7.20.** Is there in ZFC a separable locally compact non-Lindelöf space  $X$  such that:

- (a)  $X^*$  is  $FU_{fin}$  at the compactifying point?
- (b)  $X$  has the MOP?

[Of course, this is simply a more general version of the previous problem.]

**Problem 7.21.** Is it consistent that  $\psi(\mathcal{A})^*$  bisequential is equivalent to

- (a)  $\psi(\mathcal{A})^* \alpha_3 - FU$ ?
- (b)  $\psi(\mathcal{B})^* \alpha_3 - FU$  for every  $\mathcal{B} \subset \mathcal{A}$ ?

[The forward directions hold. Nyikos noted that the reverse directions do not hold if there is a point of character  $\aleph_1$  in  $\beta\omega$ .]

**Problem 7.22.** Solve Problems 7.1, 7.6, 7.7, 7.8, 7.9, 7.10, 7.11, and the non-parenthetical parts of Problem 7.4 in the class of a.d. (or compact) spaces.

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