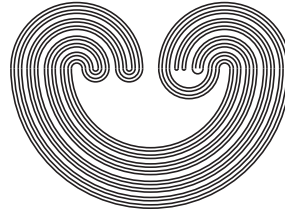


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## A BASE, A QUASI-BASE, AND A MONOTONE NORMALITY OPERATOR FOR $C_k(P)$

by

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## A BASE, A QUASI-BASE, AND A MONOTONE NORMALITY OPERATOR FOR $C_k(P)$

KENICHI TAMANO

ABSTRACT. The following three constructions are given for the space  $C_k(P)$  of all continuous real-valued functions on the space of irrationals with the compact-open topology:

- (1) a  $\sigma$ -closure-preserving base,
- (2) a  $\sigma$ -closure-preserving quasi-base, and
- (3) a monotone normality operator.

We prove more generally that  $C_k(X)$  is an  $M_1$ -space for any Polish space  $X$ , which answers a question of P. M. Gartside and E. A. Reznichenko.

### INTRODUCTION

A space  $X$  is *stratifiable* if for each closed set  $F$  of  $X$  and  $n \in \omega$ , we can assign an open set  $G_n(F)$  (called a *stratification*) such that  $F = \bigcap_{n \in \omega} G_n(F) = \bigcap_{n \in \omega} \text{cl } G_n(F)$ ,  $G_n(F) \subset G_{n+1}(F)$  for any  $n \in \omega$ , and  $G_n(F) \subset G_n(F')$  whenever  $F \subset F'$ . A space is an  $M_1$ -space if it has a  $\sigma$ -closure-preserving base. It is an old problem of Jack G. Ceder [1] whether or not every stratifiable space is an  $M_1$ -space. The problem is sometimes called the  $M_3 \Rightarrow M_1$ -question, since a space is stratifiable if and only if it is an  $M_3$ -space.

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Let  $C_k(X)$  be the space of all continuous real-valued functions on a space  $X$  with the compact-open topology. P. M. Gartside and E. A. Reznichenko [2] have shown that  $C_k(X)$  is stratifiable whenever  $X$  is a complete separable metrizable space, i.e., a Polish space. They asked whether  $C_k(X)$  is an  $M_1$ -space for any Polish space  $X$  or not.

In §1, we provide a positive answer to the question by using an idea of T. Mizokami and N. Shimane [12], [13].

**Theorem 1.**  *$C_k(X)$  is an  $M_1$ -space for any Polish space  $X$ .*

We don't know the answer to the following question, whose negative answer implies the negative answer to the  $M_3 \Rightarrow M_1$ -question.

**Question 1.** Is every subspace of  $C_k(X)$  an  $M_1$ -space for any Polish space  $X$ ?

Also, the following question, whose positive answer implies a positive answer to Question 1, remains open. Recall that a space is a  $\mu$ -space if it is embeddable in some  $\prod_{n \in \omega} X_n$ , where each  $X_n$  is paracompact and a countable union of closed metrizable subspaces.

**Question 2.** Is  $C_k(P)$  a  $\mu$ -space?

More generally, we can ask the following question.

**Question 3** ([11], [10], [14]). Is every stratifiable space a  $\mu$ -space?

To show that  $C_k(X)$  is stratifiable for any Polish space  $X$ , Gartside and Reznichenko proved that it has a  $\sigma$ -cushioned pair base, i.e., it is an  $M_3$ -space. Heikki J. K. Junnila [9] and Gary Gruenhage [3] proved that a space is an  $M_3$ -space if and only if it is an  $M_2$ -space, i.e., it has a  $\sigma$ -closure-preserving quasi-base  $\mathcal{B}$ , i.e., for each point  $x$  in an open set  $U$ , there is some  $B \in \mathcal{B}$  with  $x \in B^\circ \subset B \subset U$ , and  $\mathcal{B} = \bigcup_{n \in \omega} \mathcal{B}_n$  where each  $\mathcal{B}_n$  is closure-preserving. By Theorem 1,  $C_k(X)$  has a  $\sigma$ -closure-preserving base. But there might be simpler or more natural ways to get such a base or a  $\sigma$ -closure-preserving quasi-base. In §2, we show a method to construct a  $\sigma$ -closure-preserving quasi-base for  $C_k(P)$ , which is different from the way in §1. The construction, which is inspired by Gruenhage's technique in [3], might be interesting in itself.

**Construction 1.** *We construct a  $\sigma$ -closure-preserving quasi-base for  $C_k(P)$ .*

In §3, we show the following construction.

**Construction 2.** *We construct a monotone normality operator for  $C_k(P)$ .*

Constructions 1 and 2 might be helpful for further investigation of  $C_k(P)$  to get answers to the open questions 1 through 3.

We adopt the following notation: Let  $\omega$  be the set of nonnegative integers. The letters  $n, m, k, l, \dots$  always denote members of  $\omega$ , so, for example,  $n \geq 1$  means  $n \in \omega - \{0\}$ .

For  $f \in C_k(X)$ , a compact set  $K \subset X$ , and  $\varepsilon > 0$ , define  $B(f, K, \varepsilon) = \{g \in C_k(P) : |g(x) - f(x)| < \varepsilon \text{ for any } x \in K\}$ .

For the background information on stratifiable spaces, see [4] or [15]. For a current survey, see [5].

# 1. A $\sigma$ -CLOSURE-PRESERVING BASE FOR $C_k(P)$

**Theorem 1.**  *$C_k(X)$  is an  $M_1$ -space for any Polish space  $X$ .*

*Proof:* Let  $Y$  be a Polish space and put  $X = C_k(Y)$ . It is shown by Gruenhage and Kenichi Tamano [6] that if  $Y$  is a  $\sigma$ -compact Polish space, in particular, if  $Y$  is a locally compact Polish space, then  $C_k(Y)$  is an  $M_1$ -space. So we may assume that  $Y$  is not locally compact. Then there is a point  $p_0 \in Y$  such that  $Y$  is not locally compact at  $p_0$ . Take a decreasing neighborhood base  $\{U_n : n \in \omega\}$  of  $p_0$ . Define  $F_n = \{f \in X : |f(p) - f(q)| \leq 1 \text{ for any } p, q \in U_n\}$ . Then we can show that

- (a)  $F_n \subset F_{n+1}$  for each  $n \in \omega$ ,
- (b)  $\{F_n : n \in \omega\}$  is a closed cover of  $X$ , and
- (c) for each  $f \in F_n$ , there is a sequence  $\{g(f, i)\}_{i \in \omega}$  in  $X \setminus F_n$  converging to  $f$ .

Properties (a) and (b) are easy to see. To show (c), fix  $n \in \omega$ . Since  $\text{cl } U_n$  is not compact, we can take a countable family  $\{V_i\}_{i \in \omega}$  of nonempty open sets in  $U_n$  such that  $\{V_i\}_{i \in \omega}$  is discrete in  $Y$ . Take a point  $q_i \in V_i$  for each  $i \in \omega$ . For each  $i \in \omega$ , take a function  $g(f, i) \in C_k(Y)$  satisfying that  $g(f, i) = f(p)$  for any  $p \in Y \setminus V_i$ , and

$$(1) \quad g(f, i)(q_i) = f(q_{i+1}) + 2.$$

By (1), we have that  $g(f, i) \notin F_n$ . To show that  $\{g(f, i)\}_{i \in \omega}$  converges to  $f$ , let  $B(f, K, \varepsilon)$  be a neighborhood of  $f$ . Since  $K$  is compact, only finitely many  $V_i$ 's can meet  $K$ . Thus, there is  $i_0 \in \omega$

such that  $K \cap V_i = \emptyset$  for any  $i \geq i_0$ . Then  $g(f, i) \in B(f, K, \varepsilon)$  for any  $i \geq i_0$ .

Now Theorem 1 follows from (a), (b), and (c) above and the following Lemma 1.  $\square$

Lemma 1 is essentially proved in Mizokami and Shimane's papers (see Lemma 2.6 in [12], or Lemma 12 in [13]). We give a short proof here to make this paper self-contained.

**Lemma 1** ([12]). *Let  $X$  be a stratifiable space with an increasing sequence  $\{F_n\}_{n \in \omega}$  of closed sets of  $X$  such that for any  $n \in \omega$  and  $x \in F_n$ , there is a sequence  $\{y(x, i)\}_{i \in \omega}$  in  $X \setminus F_n$  converging to  $x$ . Then  $X$  is an  $M_1$ -space.*

To show Lemma 1, we need the following two lemmas. Lemma 2 gives us a method to fatten a closed set to a regular closed set. For each triple  $(\mathcal{B}, F, H)$ , fix some  $\mathcal{B}'$  constructed in Lemma 2, which will be denoted by  $\mathcal{B}' = \Phi(\mathcal{B}, F, H)$ .

**Lemma 2.** *Let  $F$  and  $H$  be closed sets of a stratifiable space  $X$  with  $H \subset F$ . Assume that for each  $x \in F \setminus H$ , there exists a sequence  $\{y(x, i)\}_{i \in \omega}$  in  $X \setminus F$  converging to  $x$ . Then for each closure-preserving closed family  $\mathcal{B}$  of  $X \setminus H$ , there is a closure-preserving closed family  $\mathcal{B}' = \bigcup_{B \in \mathcal{B}} \mathcal{B}'(B)$  of  $X \setminus H$  such that*

- (a) *for each  $B' \in \mathcal{B}'(B)$ , we have  $B \cap F = B' \cap F$ , and  $B \cap F \subset \text{cl}_X \text{int}_X B'$ ;*
- (b) *for any neighborhood  $U$  of  $B \cap F$ , there exists  $B' \in \mathcal{B}'(B)$  with  $B \cap F \subset B' \subset U$ ; and*
- (c) *for any closure-preserving closed family  $\mathcal{C} = \bigcup \{\mathcal{C}(B) : B \in \mathcal{B}\}$  of  $X$  with  $B \subset C$  for any  $C \in \mathcal{C}(B)$ , we have that  $\{C \cup B' : C \in \mathcal{C}(B), B' \in \mathcal{B}'(B), B \in \mathcal{B}\}$  is a closure-preserving closed family of  $X$ .*

*Proof:* Since  $\{B \cap F : B \in \mathcal{B}\}$  is a closure-preserving closed family of  $X \setminus H$  and since  $F \setminus H$  is an  $F_\sigma$ -set of  $X$ , there exists a subset  $D = \bigcup_{i \in \omega} D_i$  of  $F \setminus H$  such that each  $D_i$  is a discrete closed set of  $X$ ,  $D_i \cap D_j = \emptyset$  for  $i \neq j$ , and  $B \cap F = \text{cl}_{X \setminus H}(B \cap D)$  for each  $B \in \mathcal{B}$  ([7]). For each point  $x \in D$ , take a sequence  $\{y(x, i)\}_{i \in \omega}$  in  $X \setminus F$  converging to  $x$  satisfying the following: For each  $y(x, i)$ , there is an open subset  $U(x, i)$  of  $X \setminus F$  containing  $y(x, i)$  such that

$$(2) \quad F \cap \text{cl} U(x, i) = \emptyset;$$

(3) for each  $x \in D$ , we have  $U(x, i) \cap U(x, j) = \emptyset$  for  $i \neq j$ ;

(4) for each  $n \in \omega$ ,  $\{\{x\} \cup \bigcup_{i \in \omega} \text{cl } U(x, i) : x \in D_n\}$

is a discrete closed family in  $X$ ; and

(5)  $\{U(x, i) : x \in D, i \in \omega\}$  is locally finite in  $X \setminus F$ .

This can be done because, for example, every stratifiable space is submetrizable.  $X$  has a metrizable subtopology  $\tau$  such that  $F$  is a closed set, and each  $D_i$  is a closed discrete set in  $(X, \tau)$ .

Let  $\mathcal{E}(x, i)$  be a closure-preserving closed quasi-neighborhood base of  $y(x, i)$  in  $X$  contained in  $U(x, i)$ . Let  $\{G_n(\cdot)\}$  be a stratification of  $X$ . For each  $B \in \mathcal{B}$ , let  $\mathcal{B}'(B)$  be the set of all  $B'$  which can be expressed as

(6)  $B' = (B \cap F) \cup \bigcup \{E(x, i) : x \in B \cap D, i \geq s(x)\}$ ,  
where  $s : B \cap D \rightarrow \omega$  is a function,  $E(x, i) \in \mathcal{E}(x, i)$   
and  $E(x, i) \subset G_j(B)$  for each  $i \geq s(x)$  and the unique  
 $j \in \omega$  with  $x \in D_j$ .

Now it is not difficult to check (a), (b), and (c). We only show (c). Suppose that for each  $\lambda \in \Lambda$ ,  $B_\lambda \in \mathcal{B}$ ,  $C_\lambda \in \mathcal{C}(B_\lambda)$ , and  $B'_\lambda \in \mathcal{B}'(B_\lambda)$ . We show that  $\bigcup_{\lambda \in \Lambda} C_\lambda \cup B'_\lambda$  is closed in  $X$ . Suppose that  $p \notin \bigcup_{\lambda \in \Lambda} C_\lambda \cup B'_\lambda$ . Since  $\mathcal{C}$  is a closure-preserving closed family of  $X$  and  $p \notin \bigcup_{\lambda \in \Lambda} C_\lambda$ , there is  $m \in \omega$  such that  $p \notin \text{cl}_X G_m(\bigcup_{\lambda \in \Lambda} C_\lambda)$ . For each  $\lambda$ , let  $B_\lambda$  be expressed as in (6), i.e.,  $B'_\lambda = (B_\lambda \cap F) \cup \bigcup \{E_\lambda(x, i) : x \in B_\lambda \cap D, i \geq s_\lambda(x)\}$ , where  $s_\lambda : B_\lambda \cap D \rightarrow \omega$  is a function,  $E_\lambda(x, i) \in \mathcal{E}(x, i)$  and  $E_\lambda(x, i) \subset G_j(B)$  for each  $i \geq s_\lambda(x)$  and the unique  $j \in \omega$  with  $x \in D_j$ .

Then we have

$$E_\lambda(x, i) \subset G_j(B_\lambda) \subset G_m(\bigcup_{\lambda \in \Lambda} B_\lambda) \subset G_m(\bigcup_{\lambda \in \Lambda} C_\lambda)$$

for any  $i \geq s_\lambda(x)$  and  $j \geq m$  with  $x \in B_\lambda \cap D_j$ . Put

$$U = X \setminus \text{cl } G_m(\bigcup_{\lambda \in \Lambda} C_\lambda).$$

Then  $\{B'_\lambda : \lambda \in \Lambda\} \cap U$  is the intersection of  $U$  and the union of a subfamily of the closure-preserving closed family  $\bigcup \{E(x, i) \cup \{x\} : E(x, i) \in \mathcal{E}(x, i), x \in D_0 \cup D_1 \cup \dots \cup D_{m-1}, i \in \omega\}$ . Thus,  $p \notin \text{cl}(\bigcup_{\lambda \in \Lambda} B'_\lambda)$ .  $\square$

Lemma 3 takes care of the preservation of the closure-preserving property at lower levels in the proof of Lemma 1.

Two subsets  $A$  and  $B$  of a space  $X$  are called *separated* if  $(\text{cl } A) \cap B = A \cap (\text{cl } B) = \emptyset$ . Recall that a space  $X$  is *monotonically normal* ([8]) if and only if for each pair  $(A, B)$  of separated subsets of  $X$ , one can assign an open set  $D(A, B)$  such that

- (a)  $A \subset D(A, B)$  (hence,  $B \subset D(B, A)$ );
- (b)  $D(A, B) \cap D(B, A) = \emptyset$ ; and
- (c) if  $(A', B')$  is a pair of separated sets with  $A \subset A'$  and  $B \supset B'$ , then  $D(A, B) \subset D(A', B')$ .

The function  $D$  is called a *monotone normality operator* for  $X$ .

**Lemma 3.** *Let  $X$  be a monotonically normal space with a monotone normality operator  $D(H, K)$ . Let  $F$  be a closed set of  $X$  and  $\mathcal{B}$  a closure-preserving closed family of  $X$ . Suppose that for each  $B \in \mathcal{B}$ , we have  $B' \subset D(B \setminus F, F \setminus B)$ . Then  $\{B \cup B' : B \in \mathcal{B}\}$  is closure-preserving at any point of  $F$ .*

*Proof:* Suppose that  $x \in F$ ,  $\mathcal{C} \subset \mathcal{B}$ , and  $x \notin \cup\{B \cup B' : B \in \mathcal{C}\}$ . Since  $\mathcal{B}$  is closure-preserving,  $\cup\mathcal{C}$  is a closed set. Let  $U = D(F \setminus (\cup\mathcal{C}), (\cup\mathcal{C}) \setminus F) \setminus (\cup\mathcal{C})$ . Then  $U$  is a neighborhood of  $x$  missing  $\cup\{B \cup B' : B \in \mathcal{C}\}$ . Indeed, let  $B \in \mathcal{C}$ . Then  $B' \subset D(B \setminus F, F \setminus B) \subset D((\cup\mathcal{C}) \setminus F, F \setminus (\cup\mathcal{C}))$ . Hence,  $B' \cap U = \emptyset$ .  $\square$

Now we are in a position to prove Lemma 1.

*Proof of Lemma 1:* Let  $\{F_n\}_{n \in \omega}$  be the sequence of closed sets satisfying the assumption of Lemma 1. Put  $F_{-1} = \emptyset$ . Let  $S$  be the set of all finite increasing sequences in  $\omega$ .

For  $s = \langle n_0, n_1, \dots, n_{k-1}, n_k \rangle \in S$ , the number  $k+1$  is called the length of  $s$ . The length of  $\emptyset$  is defined to be 0. The length of  $s$  is denoted by  $|s|$ . For  $s = \emptyset$ , let  $s \frown n = \langle n \rangle$ ; and for  $s = \langle n_0, n_1, \dots, n_k \rangle$  and  $n \in \omega$  with  $n > n_k$ , let  $s \frown n = \langle n_0, n_1, \dots, n_k, n \rangle$ .

Let  $\bigcup_{n \in \omega} \mathcal{B}_n$  be a  $\sigma$ -closure-preserving closed quasi-base for  $X$ . By fattening  $\bigcup_{n \in \omega} \mathcal{B}_n$ , we construct a  $\sigma$ -closure-preserving regular closed quasi-base  $\bigcup_{n \in \omega} \mathcal{C}_n$ .

To do that, it suffices to show that for each closure-preserving family  $\mathcal{B}$  of closed sets of  $X$ , there is a closure-preserving family  $\mathcal{C} = \mathcal{C}(\mathcal{B})$  of regular closed sets satisfying

- (7) for each  $B \in \mathcal{B}$  and an open set  $U$  of  $X$  there is  $C \in \mathcal{C}$  such that  $B \subset C \subset U$ .

Indeed, put  $\mathcal{C}_n = \mathcal{C}(\mathcal{B}_n)$ . Then  $\bigcup_{n \in \omega} \{\text{int } C : C \in \mathcal{C}_n\}$  is the desired  $\sigma$ -closure-preserving base for  $X$ .

To complete the proof, let  $\mathcal{B}$  be a closure-preserving closed family. We construct  $\mathcal{C}$  satisfying the conditions above.

For each  $s \in S$ , we define a family  $\mathcal{B}_s$  of sequences of subsets of  $X$  of length  $|s| + 1$  by induction on the length of  $s$ . Let  $\mathcal{B}_\emptyset = \mathcal{B}$ . Suppose that  $\mathcal{B}_s$  is defined. Let  $n \in \omega$ . For  $s = \emptyset$ , let  $n \in \omega$  be arbitrary. For  $s = \langle n_0, n_1, \dots, n_k \rangle \neq \emptyset$ , we assume that  $n > n_k$ .

The definition of  $\mathcal{B}_{s \frown n} = \bigcup_{B \in \mathcal{B}_s} \mathcal{B}_{s \frown n}(B)$  is as follows. We use the operation  $\Phi(\cdot, \cdot, \cdot)$  from Lemma 2.

- (8) Suppose that  $s = \emptyset$ . Then for any  $B \in \mathcal{B}_s$ , define
- $$\begin{aligned} \mathcal{B}_{s \frown n}(B) &= \mathcal{B}_{\langle n \rangle}(B) = \\ &\{ \langle B, B' \rangle : B' \in \Phi(\mathcal{B}_\emptyset | (X - F_{n-1}), F_n, F_{n-1})(B \setminus F_{n-1}), \\ &\quad B' \subset G_n(B) \text{ and } B' \subset \bigcap_{m < n} D(B \setminus F_m, F_m \setminus B) \}. \end{aligned}$$
- (9) Suppose that  $s = \langle n_0, n_1, \dots, n_k \rangle \neq \emptyset$ .  
 For any  $B = \langle B_{-1}, B_0, \dots, B_k \rangle \in \mathcal{B}_s$ , let  $B^* = \bigcup_{i=-1}^k B_i$ .  
 For any  $-1 \leq i \leq k$ , let  $B|i = \langle B_{-1}, B_0, \dots, B_i \rangle$ .  
 We put  $n_{k+1} = n$  and define  $\mathcal{B}_{s \frown n}(B) =$   

$$\begin{aligned} &\{ \langle B, B' \rangle : B' \in \Phi(\{C_k \setminus F_{n-1}\}_{C \in \mathcal{B}_s}, F_n, F_{n-1})(B_k \setminus F_{n-1}), \\ &\quad B' \subset G_{n_0}(B_{-1}) \text{ and } B' \subset D((B|i)^* \setminus F_m, F_m \setminus (B|i)^*) \\ &\quad \text{for each } -1 \leq i \leq k \text{ and } m < n_{i+1} \}. \end{aligned}$$

Finally, define  $\mathcal{B}_{s \frown n} = \bigcup \{ \mathcal{B}_{s \frown n}(B) : B \in \mathcal{B}_s \}$ . Then, by induction on the length of  $s \in S$ , and by using Lemma 3, we can show that for each  $s \in S$ ,

- (10)  $\mathcal{C}_s = \{B^* : B \in \mathcal{B}_s\}$  is a closure-preserving closed family of  $X$ .

Indeed, suppose that  $s = \langle n_0, \dots, n_k \rangle \in S \setminus \{\emptyset\}$ . Take  $s^- \in S$  with  $s = s^- \frown n$  and assume that  $\mathcal{C}_{s^-} = \{B^* : B \in \mathcal{B}_{s^-}\}$  is a closure-preserving closed family. Note that by (8) and (9), for each  $B = \langle B_{-1}, B_0, \dots, B_k \rangle \in \mathcal{B}_s$ , we have

$$B_k \subset D((B|k-1)^* \setminus F_{n_{k-1}}, F_{n_{k-1}} \setminus (B|k-1)^*).$$

Hence by Lemma 3,  $\{B^* : B \in \mathcal{B}_s\}$  is a closure-preserving closed family of  $X$ .

Let  $\mathcal{C} = \{\bigcup_{s \in S} (B_s)^* : \text{the family } \{B_s : s \in S\} \text{ satisfies that } B_s \in \mathcal{B}_s \text{ and } B_s \subset B_{s'} \text{ (i.e., } B_s \text{ is an initial segment of a sequence}$

$B_{s'}$ ) for any  $s, s' \in S$  with  $s \subset s'$ . By Lemma 2, it is easy to check (7) and that  $C \subset \text{clint } C$  for each  $C \in \mathcal{C}$ .

It remains to show that  $\mathcal{C}$  is a closure-preserving closed family. Assume that  $\{C^\lambda : \lambda \in \Lambda\} \subset \mathcal{C}$ . For each  $\lambda \in \Lambda$ , let  $C^\lambda = \bigcup_{s \in S} (B_s^\lambda)^*$ , and let  $B_s^\lambda = \langle B_{-1}^\lambda, B_0^\lambda, \dots, B_{|s|}^\lambda \rangle$ . We show that  $\bigcup_{\lambda \in \Lambda} C^\lambda$  is closed. To show this, let  $p \in X \setminus (\bigcup_{\lambda \in \Lambda} C^\lambda)$ .

Note that  $B_{-1}^\lambda \in \mathcal{B}_\emptyset = \mathcal{B}$  for each  $\lambda \in \Lambda$ . Let  $E = \bigcup_{\lambda \in \Lambda} B_{-1}^\lambda$ . Since  $\mathcal{B}$  is a closure-preserving closed family,  $E$  is a closed set. It follows from  $p \notin E$  that there is  $m \in \omega$  such that

$$(11) \quad p \in F_m \setminus \text{cl } G(E, m).$$

Define  $S(m) = \{s = \langle n_0, \dots, n_k \rangle \in S \setminus \{\emptyset\} : n_k \leq m\}$ . For each  $s = \langle n_0, \dots, n_k \rangle \in S(m)$ , let  $T(s)$  be the set of all  $t \in S$  such that  $t \subset s$ , or  $s \subset t$  with  $t = \langle n_0, \dots, n_l \rangle, l > k$ , and  $n_{k+1} > m$ .

Put  $T = \bigcup_{s \in S(m)} T(s)$  and  $S' = S \setminus (\{\emptyset\} \cup T) = \{s = \langle n_0, \dots, n_k \rangle \in S \setminus \{\emptyset\} : n_0 > m\}$ . Define  $V = X \setminus \text{cl } G(E, m)$ . Then by (8), (9) and (11), we have  $(B_s^\lambda)^* \cap V = \emptyset$  for any  $s \in S' \cup \{\emptyset\}$  and  $\lambda \in \Lambda$ .

For each  $s \in S(m)$ , define  $\mathcal{T}(s) = \{(B_t^\lambda)^* : t \in T(s), \lambda \in \Lambda\}$ .

To complete the proof of the closure-preserving property of  $\mathcal{C}$ , it suffices to show that

$$(12) \quad p \notin \text{cl} \left( \bigcup_{s \in S(m)} \bigcup \mathcal{T}(s) \right).$$

Note that  $S(m)$  is a finite set. Hence, it suffices to show that for each  $s \in S(m)$ , we have

$$(13) \quad p \notin \text{cl} \left( \bigcup \mathcal{T}(s) \right) = \text{cl} \left( \bigcup \{(B_t^\lambda)^* : t \in T(s), \lambda \in \Lambda\} \right).$$

Put  $H = \bigcup \{(B_s^\lambda)^* : \lambda \in \Lambda\}$ . Then  $p \notin H$ , and by (10),  $H$  is a closed set. Define a neighborhood  $W$  of  $p$  by

$$W = D(F_m \setminus H, H \setminus F_m) \setminus H.$$

Recall that  $C^\lambda = \bigcup_{t \in S} (B_t^\lambda)^*$ . Let  $s = \langle n_0, \dots, n_k \rangle$ ,  $s \subset t$ , and  $s \neq t$ . Then  $t$  can be written as  $t = \langle n_0, \dots, n_k, n_{k+1}, \dots, n_l \rangle$  with  $n_{k+1} > m$ . Then for any  $\lambda \in \Lambda$  and for any  $j$  with  $k+1 \leq j \leq l$ , by (9), we have

$$B_j^\lambda \subset D((B_s^\lambda)^* \setminus F_m, F_m \setminus (B_s^\lambda)^*) \subset D(H \setminus F_m, F_m \setminus H).$$

Hence,  $(B_t^\lambda)^* \cap W = \emptyset$ . Thus,  $\bigcup_{\lambda \in \Lambda} C^\lambda \cap W = \emptyset$ , which completes the proof of the closure-preserving property of  $\mathcal{C}$ .  $\square$

2. A  $\sigma$ -CLOSURE-PRESERVING QUASI-BASE FOR  $C_k(P)$ 

**Construction 1.** We construct a  $\sigma$ -closure-preserving quasi-base for  $C_k(P)$ .

Let  $P$  be the set of nondecreasing functions of  $\omega^\omega$ . Note that  $P$  is homeomorphic to both  $\omega^\omega$  and the set of irrational numbers with the usual topology. For each  $x, y \in P$ ,  $x \leq y$  means that  $x(n) \leq y(n)$  for any  $n \in \omega$ . For each  $p \in P$ , define  $K_p = \{x \in P : x \leq p\}$ , and let  $\mathcal{K} = \{K_p : p \in P\}$ . For  $A \subset P$ , define  $m(A) = \{a \in A : a' = a \text{ for any } a' \in A \text{ with } a' \leq a\}$ . Let  $Q = \{q \in P : q \text{ is bounded, i.e., there is } n \in \omega \text{ such that } q(i) = q(i+1) \text{ for any } i \geq n\}$ .

**Lemma 4** ([2]). *If  $U$  is a clopen set of  $P$ , then  $m(U)$  is a finite set in  $Q$ . For any  $K \in \mathcal{K}$  and any clopen set  $U$  of  $P$ , if  $K \cap U \neq \emptyset$ , then  $K \cap m(U) \neq \emptyset$ .*

For  $f \in C_k(P)$  and  $n \geq 1$ , define  $V(f, n) = B(f, n^\omega, \frac{1}{2^n})$ . Let  $W(f) = \bigcup \{B(\mathbf{0}, K_p, \frac{1}{4}) : p \in P, |f(p)| > \frac{3}{4}\}$ . Note that since  $K_p \cap Q$  is dense in  $K_p$  for any  $p \in P$ , we have  $W(f) = \bigcup \{B(\mathbf{0}, K_q, \frac{1}{4}) : q \in Q, |f(q)| > \frac{3}{4}\}$ .

**Lemma 5.** *For any  $f \in C_k(P)$ , there is  $n \geq 1$  such that  $V(f, n) \cap W(f) = \emptyset$ .*

*Proof:* If  $|f|^{-1}[\frac{3}{4}, \infty) = \emptyset$ , then  $W(f) = \emptyset$ , and any  $n \geq 1$  satisfies the condition.

Assume that  $|f|^{-1}[\frac{3}{4}, \infty) \neq \emptyset$ . Let  $U$  be clopen with  $|f|^{-1}[\frac{3}{4}, \infty) \subset U \subset |f|^{-1}(\frac{1}{2}, \infty)$ . We show that

$$(14) \quad B(f, m(U), \frac{1}{4}) \cap W(f) = \emptyset,$$

which implies that  $V(f, n) \cap W(f) = \emptyset$  for any  $n \geq 2$  satisfying  $m(U) \subset n^\omega$ .

To show (14), assume that  $p \in P$  satisfies  $|f(p)| > \frac{3}{4}$ . We show that

$$(15) \quad B(f, m(U), \frac{1}{4}) \cap B(\mathbf{0}, K_p, \frac{1}{4}) = \emptyset.$$

Since  $|f|^{-1}[\frac{3}{4}, \infty) \subset U$ , we have  $p \in U \cap K_p$ . Hence, there exists  $q \in m(U) \cap K_p$ . Since  $q \in U \subset |f|^{-1}(\frac{1}{2}, \infty)$ , we have  $f(q) > \frac{1}{2}$ , which implies (15).  $\square$

Define a closed set  $M_0$  of  $C_k(P)$  by  $M_0 = \{f \in C_k(P) : |f(p)| \leq \frac{3}{4} \text{ for any } p \in P\}$ .

For each  $f \in C_k(P) - M_0$ , define  $n(f) = \min\{n \geq 1 : V(f, n) \cap W(f) = \emptyset\}$ , and for each  $n \geq 1$ , define  $M_n = M_0 \cup \{f \in C_k(P) \setminus M_0 : n(f) \leq n\}$ . Note that  $M_n \subset M_{n+1}$ , for each  $n \in \omega$ , and  $C_k(P) = \bigcup\{M_n : n \in \omega\}$ .

**Lemma 6.** *Each  $M_n$ ,  $n \in \omega$ , is a closed set of  $C_k(P)$ .*

*Proof:* Let  $n \geq 1$ . We show that  $M_n$  is closed in  $C_k(P)$ . Suppose that  $f \in C_k(P) \setminus M_n$ . Then  $V(f, n) \cap W(f) \neq \emptyset$ . Hence, there exists  $q \in Q$  such that  $|f(q)| > \frac{3}{4}$  and  $V(f, n) \cap B(\mathbf{0}, K_q, \frac{1}{4}) \neq \emptyset$ . Take

$$(16) \quad h \in V(f, n) \cap B(\mathbf{0}, K_q, \frac{1}{4}).$$

Take  $m \in \omega$ , satisfying

$$(17) \quad h \in V(g, n) \text{ for any } g \in V(f, m),$$

$$(18) \quad q \in m^\omega, \text{ and}$$

$$(19) \quad \frac{1}{2^m} < |f(q)| - \frac{3}{4}.$$

To get (17), define  $\varepsilon = \sup\{|f(p) - h(p)| : p \in n^\omega\}$ . Then  $0 \leq \varepsilon < \frac{1}{2^n}$ . Take  $m \in \omega$  with  $m \geq n$  and  $\frac{1}{2^m} < \frac{1}{2^n} - \varepsilon$ . Then  $m$  satisfies (17).

To complete the proof, let  $V = V(f, m) \setminus M_0$ . Then  $V$  is a neighborhood of  $f$  with  $V \cap M_n = \emptyset$ . To show this, let  $g \in V$ . Since  $g \in V(f, m)$ , by (18), we have  $|f(q) - g(q)| < \frac{1}{2^m}$ . Hence by (19), we have  $|g(q)| \geq |f(q)| - |f(q) - g(q)| > |f(q)| - \frac{1}{2^m} > \frac{3}{4}$ . Furthermore, by (16) and (17), we have  $h \in V(g, n) \cap B(\mathbf{0}, K_q, \frac{1}{4})$ . Hence,  $V(g, n) \cap W(g) \neq \emptyset$ . Thus,  $g \notin M_n$ , which completes the proof.  $\square$

For each  $n \geq 1$ , take a locally finite open refinement  $\mathcal{G}_n$  of the open cover  $\{V(f, n+1) : f \in C_k(P)\}$  of  $C_k(P)$ . For any  $n \in \omega$ , let  $\mathcal{U}_n = \mathcal{G}_n|(X \setminus M_{n-1})$ , where  $M_{-1} = \emptyset$ . Then  $\mathcal{U} = \bigcup_{n \in \omega} \mathcal{U}_n$  is a point finite open cover of  $C_k(P)$ , hence is interior-preserving. For each  $f \in X - M_0$ , take an element  $U(f)$  of  $\mathcal{U}_{n(f)}$  containing  $f$ . This can be done because  $\mathcal{G}_{n(f)}$  is an open cover of  $C_k(P)$  and  $f \notin M_{n(f)-1}$ .

For each  $K = K_p \in \mathcal{K}$ , define

$$E(\mathbf{0}, K) = X \setminus \bigcup\{U(f) : f \notin B(\mathbf{0}, K, 1)\}.$$

Then we have  $E(\mathbf{0}, K) \subset B(\mathbf{0}, K, 1)$ , and  $\mathcal{E} = \{E(\mathbf{0}, K) : K \in \mathcal{K}\}$  is a closure-preserving closed family.

**Lemma 7.** *For each  $K \in \mathcal{K}$ ,  $\mathbf{0} \in \text{int } E(\mathbf{0}, K_p)$ .*

*Proof:* Let  $K = K_p$ . It suffices to show  $B(\mathbf{0}, K_p, \frac{1}{4}) \subset E(\mathbf{0}, K_p)$ . Suppose that  $f \in C_k(P) \setminus B(\mathbf{0}, K_p, 1)$ . We show that

$$(20) \quad B(\mathbf{0}, K_p, \frac{1}{4}) \cap U(f) = \emptyset.$$

Note that  $f \notin M_0$  because  $M_0 \subset B(\mathbf{0}, K_p, 1)$ . Since  $f \notin B(\mathbf{0}, K_p, 1)$ , there exists  $p' \leq p$  such that  $f(p') \geq 1 > \frac{3}{4}$ . Then

$$(21) \quad B(\mathbf{0}, K_p, \frac{1}{4}) \subset B(\mathbf{0}, K_{p'}, \frac{1}{4}) \subset W(f).$$

Note that  $U(f) \subset V(f, n(f))$ . Indeed, since  $U(f) \in \mathcal{U}_{n(f)}$  and  $\mathcal{U}_{n(f)}$  is a refinement of  $\mathcal{G}_{n(f)}$ , there exists  $g \in C_k(P)$  with  $U(f) \subset V(g, n(f) + 1)$ . For any  $e \in U(f)$ , since  $e \in V(g, n(f) + 1)$ , we have  $|e(x) - g(x)| < \frac{1}{2^{n(f)+1}}$  for any  $x \in (n(f) + 1)^\omega$ . Note that  $f, h \in U(f)$ . Hence,  $|f(x) - h(x)| < \frac{1}{2^{n(f)}}$  for any  $x \in n(f)^\omega$ , which implies  $U(f) \subset V(f, n(f))$ .

By the definition of  $n(f)$ , we have  $V(f, n(f)) \cap W(f) = \emptyset$ , which implies that

$$(22) \quad U(f) \cap W(f) = \emptyset.$$

Thus, by (21) and (22), we get (20).  $\square$

Now for each  $n \geq 1$ , let  $H_n : C_k(P) \rightarrow C_k(P)$  be the homeomorphism defined by  $H_n(f) = \frac{1}{n}f$ . Define  $\mathcal{E}_n = \{H_n(E(\mathbf{0}, K)) : K \in \mathcal{K}\}$ . Then we have the following lemma.

**Lemma 8.**  $\mathcal{E} = \bigcup_{n \geq 1} \mathcal{E}_n$  is a  $\sigma$ -closure preserving neighborhood base of  $\mathbf{0}$  consisting of closed sets.

*Proof of Construction 1:* Let  $D$  be a countable dense subset of  $C_k(P)$ . Let  $\mathcal{E}$  be as in Lemma 8. Then  $\{E + f : E \in \mathcal{E}, f \in D\}$  is the desired  $\sigma$ -closure-preserving quasi-base for  $C_k(P)$ .  $\square$

### 3. A MONOTONE NORMALITY OPERATOR FOR $C_k(P)$

**Construction 2.** *We construct a monotone normality operator for  $C_k(P)$ .*

*Proof:* Let  $\{\mathcal{H}_n : n \in \omega\}$  be a family of finite subsets of  $C_k(P)$  such that  $\bigcup_{n \in \omega} \mathcal{H}_n$  is dense in  $C_k(P)$ .

For example, let  $\{\mathcal{P}_n : n \in \omega\}$  be a sequence of finite partitions of  $P$  such that  $\mathcal{P}_{n+1} \prec \mathcal{P}_n$  for each  $n \in \omega$ , and  $\bigcup_{n \in \omega} \mathcal{P}_n$  is a base of  $P$ . Let  $\{Q_n : n \in \omega\}$  be an increasing sequence of finite subsets of rational numbers such that  $Q = \bigcup Q_n$ . Define  $\mathcal{H}_n$  by  $\mathcal{H}_n = \{f \in C_k(P) : f \text{ has a constant value in } Q_n \text{ on each } A \in \mathcal{P}_n\}$ . Then  $\{\mathcal{H}_n : n \in \omega\}$  satisfies the condition stated above.

To get a monotone normality operator, it is sufficient to define  $B(f, K, \varepsilon)_f$  for each  $f \in C_k(P)$ ,  $K \in \mathcal{K}$ , and  $\varepsilon > 0$  satisfying:  
if

$$(23) \quad B(f, K, \varepsilon)_f \cap B(g, L, \mu)_g \neq \emptyset,$$

then

$$(24) \quad f \in B(g, L, \mu) \text{ or } g \in B(f, K, \varepsilon).$$

To define  $B(f, K, \varepsilon)_f$ , take  $n_f = n(f, K, \varepsilon)$ ,  $m_f = m(f, K, \varepsilon) \in \omega$ , and  $h_f = h(f, K, \varepsilon) \in \mathcal{H}_{m_f}$  such that

$$(25) \quad m_f \geq n_f, \text{ and}$$

$$(26) \quad |f(p) - h_f(p)| < \frac{1}{2^{n_f}} < \frac{5}{2^{n_f}} < \varepsilon \text{ for any } p \in K.$$

For each  $m, n \leq m_f$ , and  $h \in \mathcal{H}_m$ , take a clopen set  $U^{f, h, m, n}$  such that

$$(27) \quad |f - h|^{-1}[\frac{4}{2^n}, \infty) \subset U^{f, h, m, n} \subset |f - h|^{-1}(\frac{2}{2^n}, \infty).$$

Define

$$(28) \quad K' = K \cup \bigcup_{h \in \mathcal{H}_m, m, n \leq m_f} m(U^{f, h, m, n}), \text{ and}$$

$$B(f, K, \varepsilon)_f = B(f, K', \frac{1}{2^{m_f+2}}).$$

Now assume (23). To show (24), we may assume, without loss of generality, that

$$(29) \quad m_f \leq m_g.$$

By (25) and (29), we have  $n_f \leq m_f \leq m_g$ . Hence, the set  $U = U^{g, h_f, m_f, n_f}$  appears in the construction of  $B(g, L, h)_g$ .

Case 1.  $U \cap K = \emptyset$ .

By the definition of  $U$  in the construction of  $B(g, L, h)_g$ , we have  $|g - h_f|^{-1}[\frac{4}{2^{n_f}}, \infty) \cap K = \emptyset$ . Hence, we have  $|g - h_f| < \frac{4}{2^{n_f}}$  on  $K$ . On the other hand, by the definition of  $h_f$  in the construction of  $B(f, K, \varepsilon)$ , we have  $|f - h_f| < \frac{1}{2^{n_f}}$  on  $K$ . Thus,  $|f - g| < \frac{5}{2^{n_f}} < \varepsilon$  on  $K$ . Hence,  $g \in B(f, K, \varepsilon)$ .

Case 2.  $U \cap K \neq \emptyset$ .

By (23) and (28), we have

$$B(f, K, \frac{1}{2^{m_f+2}}) \cap B(g, m(U), \frac{1}{2^{m_g+2}}) \neq \emptyset.$$

Hence,  $|f - g| < \frac{1}{2^{m_f+1}} \leq \frac{1}{2^{n_f+1}}$  on  $m(U) \cap K (\neq \emptyset)$ . On the other hand, by the definition of  $U$ , we have  $U \subset |g - h_f|^{-1}(\frac{2}{2^{n_f}}, \infty)$ . Hence,  $|g - h_f| > \frac{2}{2^{n_f}}$  on  $U$ . Hence,

$$|f - h_f| \geq |g - h_f| - |f - g| > \frac{2}{2^{n_f}} - \frac{1}{2^{n_f+1}} = \frac{3}{2^{n_f+1}}$$

on  $m(U) \cap K (\neq \emptyset)$ , contradicting that  $|f - h_f| < \frac{1}{2^{n_f}}$  on  $K$ .  $\square$

**Remark 1.** In the definition of  $U$ , we can replace “ $m, n \leq m_f$ ” by “ $n \leq m \leq m_f$ .”

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