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by

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# CALIBERS AND THE DISCRETE COUNTABLE CHAIN CONDITION

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ABSTRACT. This paper introduces and studies *cc*-caliber  $\omega_1$  and *cc*-caliber  $(\omega_1, \omega)$ . The two conditions are weaker than countable-compactness or separability and are stronger than the discrete countable chain condition. Related chain conditions are also investigated.

#### 1. Preliminaries

A space X is said to satisfy the discrete countable chain condition (DCCC) if any discrete family of non-empty open subsets of X is countable. Separability implies DCCC. Chain conditions between separability and DCCC are widely studied: for instance, caliber  $\omega_1$ (i.e., each point-countable family of non-empty open subsets of a space is countable) or caliber  $(\omega_1, \omega)$  (i.e., each point-finite family of non-empty open subsets of a space is countable) (see [3], [4], [9], [10], or [12]).

In this paper, we introduce and study *cc*-caliber  $\omega_1$  and *cc*caliber  $(\omega_1, \omega)$ , which are weaker than separability or countablecompactness, but stronger than DCCC. We show that the implications "countable-compactness  $\Rightarrow$  *cc*-caliber  $\omega_1 \Rightarrow$  *cc*-caliber  $(\omega_1, \omega)$  $\Rightarrow$  DCCC" are not reversible; the usual ordinal space  $[0, \omega_1)$  and its Alexandroff duplicate space  $A([0, \omega_1))$  have *c*-caliber  $(\omega_1, \omega)$ , but not *c*-caliber  $\omega_1$ ; if a space has a  $\delta\theta$ -base (a quasi- $G_{\delta}$ -diagonal,

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respectively), then caliber  $\omega_1$ , *c*-caliber  $\omega_1$ , and *cc*-caliber  $\omega_1$  are equivalent; in a locally separable meta-Lindelöf space, DCCC implies separability. Related chain conditions are also investigated.

Throughout the paper, spaces are  $T_2$ . Mappings are continuous and surjective. A space satisfies the countable chain condition (CCC) if any disjoint family of non-empty open subsets is countable. A space X has a quasi- $G_{\delta}$ -diagonal if there is a sequence  $\{\mathscr{G}_n : n < \omega\}$  of families of open subsets such that for given distinct points  $x, y \in X$ , there is some n with  $x \in st(x, \mathscr{G}_n) \subset X \setminus \{y\}$ . We reserve the symbol  $\mathbb{Z}$  for the sets of integers. By the space  $[0, \omega_1)$ , we mean the usual ordinal space, where  $\omega_1$  is the first uncountable ordinal. Other terms and symbols will be found in [5].

### 2. Definitions of *cc*-caliber $\omega_1$ and *cc*-caliber $(\omega_1, \omega)$

**Definition 2.1.** A space X has *cc*-caliber  $\omega_1$  if for each uncountable family  $\mathscr{U}$  of non-empty open subsets there is a closed countably compact subset E of X such that  $\{U \in \mathscr{U} : U \cap E \neq \emptyset\}$  is uncountable.

**Definition 2.2.** A space X has cc-caliber  $(\omega_1, \omega)$  if for each uncountable family  $\mathscr{U}$  of non-empty open subsets there is a closed countably compact subset E of X such that  $\{U \in \mathscr{U} : U \cap E \neq \emptyset\}$  is infinite.

**Proposition 2.3.** If a space X has cc-caliber  $(\omega_1, \omega)$ , then X satisfies DCCC.

*Proof:* Assume that X does not satisfy DCCC. Then X has a discrete uncountable family  $\mathscr{U}$  of non-empty open subsets. Hence, there is a closed countably compact  $E \subset X$  which meets infinite members of  $\mathscr{U}$ . Thus,  $\{E \cap U : U \in \mathscr{U} \text{ and } E \cap U \neq \emptyset\}$  is a discrete infinite family of subsets of E. This contradicts countable-compactness of E.

By definitions and Proposition 2.3 the following hold:

countable-compactness  $\Rightarrow$  cc-caliber  $\omega_1 \Rightarrow$  cc-caliber  $(\omega_1, \omega) \Rightarrow$  DCCC.

In section 4, Example 4.1, Example 4.2, and Example 4.4 demonstrate that none of the implications is reversible.

Recall that a space X has c-caliber  $\omega_1$  if for each uncountable family  $\mathscr{U}$  of non-empty open subsets, there is a compact subset K

of X such that  $\{U \in \mathscr{U} : U \cap K \neq \emptyset\}$  is uncountable; a space X has c-caliber  $(\omega_1, \omega)$  if for each uncountable family  $\mathscr{U}$  of nonempty open subsets, there is a compact subset K of X such that  $\{U \in \mathscr{U} : U \cap K \neq \emptyset\}$  is infinite (see [8], [7]).

From the definitions, we see that

- (1) c-caliber  $\omega_1 \Rightarrow c$ -caliber  $(\omega_1, \omega)$ ;
- (2) c-caliber  $\omega_1 \Rightarrow cc$ -caliber  $\omega_1$ ;
- (3) c-caliber  $(\omega_1, \omega) \Rightarrow cc$ -caliber  $(\omega_1, \omega)$ .

The above three implications are not converse: Example 4.5 shows that (1) is not reversible; the spaces in Proposition 2.7 are countably compact (so *cc*-caliber  $\omega_1$ ) but without *c*-caliber  $\omega_1$ , and thus, (2) is not reversible; by Example 4.7, (3) is not reversible either.

**Proposition 2.4.** ( $\alpha$ ) For a first-countable  $T_3$ -space X, the following are equivalent: (1) X has c-caliber ( $\omega_1, \omega$ ); (2) X has cc-caliber ( $\omega_1, \omega$ ); (3) X satisfies DCCC.

( $\beta$ ) If X is weakly  $[\omega_1, \infty)^r$ -refinable, then c-caliber  $\omega_1$  and cc-caliber  $\omega_1$  are equivalent; c-caliber  $(\omega_1, \omega)$  and cc-caliber  $(\omega_1, \omega)$  are equivalent.

*Proof:*  $(\alpha)$ :  $(1) \Rightarrow (2)$  is obvious. By Proposition 2.3,  $(2) \Rightarrow (3)$ .  $(3) \Rightarrow (1)$  is by [8, Proposition 2.2].

( $\beta$ ): If a closed  $F \subset X$  is countably compact, then F is weakly  $[\omega_1, \infty)^r$ -refinable. By [2, Theorem 9.2], F is compact.

Recall that the Alexandroff duplicate space A(X) for a space X is the set  $X \times \{0,1\}$  with the topology as follows: points in  $X \times \{1\}$  are isolated and each point  $\langle x, 0 \rangle$  in  $X \times \{0\}$  has the basic neighborhoods of the form  $(U \times \{0,1\}) \setminus \{\langle x,1 \rangle\}$ , where U is an open neighborhood of x in X; the long line  $L_{\omega_1}$  is the set  $[0, \omega_1) \times [0,1)$  with the linearly ordered topology of the lexicographical order.

**Proposition 2.5.** Let X be a space such that all compact subsets of X have cardinality  $< \kappa$ , where  $\kappa$  is an infinite cardinal. Then A(X) also has all its compact subsets of cardinality  $< \kappa$ .

*Proof:* Note that the projection mapping  $\pi$ :  $A(X) \to X$ ,  $\pi(x, i) = x$ , is continuous. So if K is a compact subset of A(X), then  $\pi(K)$  is a compact subset of X. By hypothesis,  $\pi(K)$  has cardinality  $< \kappa$ ; hence, as  $\pi$  is a 2-to-1 mapping, K has cardinality  $< \kappa$ .  $\Box$ 

**Corollary 2.6.** Let X be a space with  $|X| > \omega$ , then the following hold.

- (1) If any compact subset of X is finite, A(X) does not have c-caliber  $(\omega_1, \omega)$ .
- (2) If any compact subset of X is countable, A(X) does not have c-caliber  $\omega_1$ .

*Proof:* Put  $\mathscr{U} = \{\{\langle x, 1 \rangle\} : x \in X\}$ . Then  $\mathscr{U}$  is an uncountable family of open subsets of A(X).

(1) By Proposition 2.5, any compact subset of A(X) is finite and thus meets only finite members of  $\mathscr{U}$ . Hence, A(X) does not have *c*-caliber  $(\omega_1, \omega)$ .

(2) By Proposition 2.5, any compact subset of A(X) is countable. For the uncountable family  $\mathscr{U}$  of open subsets of A(X) and any compact subset C of A(X), C meets only countable members of  $\mathscr{U}$ . Hence, A(X) does not have *c*-caliber  $\omega_1$ .

In general, c-caliber  $(\omega_1, \omega)$  and cc-caliber  $(\omega_1, \omega)$  cannot be preserved by the Alexandroff duplicate space A(X) of a space X (see Example 4.6); however, we have the following proposition.

**Proposition 2.7.** Let X be any of the following spaces:

- (1) the space  $[0, \omega_1)$ ;
- (2) the long line  $L_{\omega_1}$ ;
- (3) the space  $A([0, \omega_1))$ ;
- (4) the space  $A(L_{\omega_1})$ .

Then X is with c-caliber  $(\omega_1, \omega)$  but without c-caliber  $\omega_1$ .

*Proof:* Note that if a space X is countably compact, then so is A(X). In fact, let S be a countably infinite subset of A(X). If  $S \cap (X \times \{0\})$  is infinite, then S has an accumulation point in  $X \times \{0\}$ , and hence in A(X). Otherwise, we can suppose S = $\{\langle x_n, 1 \rangle : n < \omega\}$ . Then the  $x_n$ 's have an accumulation point in X, say x. And now it is clear that  $\langle x, 0 \rangle$  is an accumulation point of S in A(X).

Now since  $[0, \omega_1)$  and  $L_{\omega_1}$  are countably compact, so are  $A([0, \omega_1))$ and  $A(L_{\omega_1})$ . Thus, the four spaces satisfy DCCC. Clearly, they are  $T_3$  and first countable, and so by Proposition 2.4, they have *c*-caliber  $(\omega_1, \omega)$ .

For  $[0, \omega_1)$ ,  $\mathscr{U} = \{\{x\} : x < \omega_1 \text{ is an isolated ordinal}\}\$  is uncountable. If  $K \subset [0, \omega_1)$  is compact, then K meets at most countable members of  $\mathscr{U}$ . Thus,  $[0, \omega_1)$  is without c-caliber  $\omega_1$ .

For  $L_{\omega_1}$ , each  $V_{\alpha} = \{\alpha\} \times (0,1)$   $(\alpha < \omega_1)$  is open in  $L_{\omega_1}$ . For  $K \subset L_{\omega_1}$ , if K meets uncountable members of  $\mathscr{V} = \{V_{\alpha} : \alpha < \omega_1\}$ , then K is not compact. Thus,  $L_{\omega_1}$  is without c-caliber  $\omega_1$ .

By Corollary 2.6(2),  $A([0, \omega_1))$  is without *c*-caliber  $\omega_1$ .

To show that  $A(L_{\omega_1})$  is without *c*-caliber  $\omega_1$ , put  $W_{\alpha} = (\{\alpha\} \times (0,1)) \times \{0,1\}$  for each  $\alpha < \omega_1$ . Then each  $W_{\alpha}$  is open in  $A(L_{\omega_1})$ . If  $K \subset A(L_{\omega_1})$  meets uncountable members of  $\mathscr{W} = \{W_{\alpha} : \alpha < \omega_1\}$ , then *K* is not compact.  $\Box$ 

**Proposition 2.8.** For any compact space E, the product spaces  $[0, \omega_1) \times E$  and  $L_{\omega_1} \times E$  do not have c-caliber  $\omega_1$ .

Proof: For  $[0, \omega_1) \times E$ , note that  $\mathscr{U} = \{\{\alpha\} \times E : \alpha < \omega_1 \text{ is an iso$  $lated ordinal}\}$  is an uncountable family of non-empty open subsets of countably compact space  $[0, \omega_1) \times E$ . For any compact  $K \subset [0, \omega_1) \times E$ , p(K) is compact in  $[0, \omega_1)$ , where p is the projection of  $[0, \omega_1) \times E$  onto  $[0, \omega_1)$ . Thus, p(K) is countable. Put  $\alpha_K = \sup\{\alpha : \alpha \in p(K)\}$ ; then  $\alpha_K < \omega_1$  and  $K \subset [0, \alpha_K] \times E$ . Since  $[0, \alpha_K] \times E$ meets only countable members of  $\mathscr{U}$ , K cannot meet uncountable members of  $\mathscr{U}$ . Hence,  $[0, \omega_1) \times E$  does not have c-caliber  $\omega_1$ .

For  $L_{\omega_1} \times E$ , put  $V_{\alpha} = \{\alpha\} \times (0, 1), \alpha < \omega_1$ . Then  $\mathscr{V} = \{V_{\alpha} \times E : \alpha < \omega_1\}$  is an uncountable family of non-empty open subsets of  $L_{\omega_1} \times E$ . For any compact  $C \subset L_{\omega_1} \times E$ , p(C) is compact in  $L_{\omega_1}$ , where p is the projection of  $L_{\omega_1} \times E$  onto  $L_{\omega_1}$ . Thus,  $H = \{\alpha < \omega_1 : p(C) \cap V_{\alpha} \neq \emptyset\}$  is countable. Put  $\alpha_0 = \sup\{\alpha : \alpha \in H\} + 1$ ; then  $\alpha_0 < \omega_1$  and  $C \subset T = [\langle 0, 0 \rangle, \langle \alpha_0, 0 \rangle] \times E$ . Since T meets only countable members of  $\mathscr{V}$ . C cannot meet uncountable members of  $\mathscr{V}$ . Hence,  $L_{\omega_1} \times E$  does not have c-caliber  $\omega_1$ .

**Corollary 2.9.** For a first-countable compact space E, the products  $[0, \omega_1) \times E$  and  $L_{\omega_1} \times E$  have c-caliber  $(\omega_1, \omega)$ .

*Proof:* Since the countably compact spaces  $[0, \omega_1) \times E$  and  $L_{\omega_1} \times E$  have *cc*-caliber  $(\omega_1, \omega)$  and are first-countable, by Proposition 2.4, they have *c*-caliber  $(\omega_1, \omega)$ .

#### Y.-Z. GAO AND W.-X. SHI

## 3. Related chain conditions and relationships among them

Recall that a space has Property K (see [9]) if each uncountable family  $\mathscr{U}$  of non-empty open subsets has an uncountable linked subfamily  $\mathscr{V}$  (i.e., if  $U, V \in \mathscr{V}$ , then  $U \cap V \neq \emptyset$ ).

**Proposition 3.1.** Let X be a space and

(a) = separability;	(f) = c-caliber $\omega_1$ ;
(b) = caliber $\omega_1$ ;	(g) = c-caliber $(\omega_1, \omega);$
(c) = caliber $(\omega_1, \omega);$	(h) = cc-caliber $\omega_1$ ;
(d) = Property K;	(i) = cc-caliber $(\omega_1, \omega)$ ;
(e) $= CCC;$	(j) = DCCC.

Then the following hold:

- (1) (a) to (e) are hereditary with respect to open subspaces, but (f) to (j) are not;
- (2) none of the ten properties is hereditary with respect to closed subspaces;
- (3) the ten properties are invariants under continuous mappings;
- (4) (a) to (e) are inverse invariants under irreducible closed mappings;
- (5) (f) to (j) (with  $T_3$ ) are inverse invariants under irreducible perfect mappings;
- (6) the ten properties are not inverse invariants under perfect mappings.

*Proof:* The proofs of (1) to (5) are without difficulty, so we omit them. To show (6), note that the mapping  $\pi : A(X) \to X$ ,  $\pi(x, i) = x$ , is perfect. Let  $X = S \times S$ , where S is the Sorgenfrey line. Then X is separable and thus, X satisfies (a) to (j). By Example 4.6, A(X) does not satisfy DCCC, and thus, A(X) does not satisfy any of (a) to (j).

**Proposition 3.2.** If the space X is hereditarily DCCC, then X has caliber  $(\omega_1, \omega)$ .

Proof: Let  $\mathscr{U}$  be a point-finite family of non-empty open subsets of X. For  $n < \omega$ , put  $D_n = \{x \in X : ord(x, \mathscr{U}) = n + 1\}$  and  $\mathscr{D}_n = \{(\bigcap \mathscr{U}') \cap D_n : \mathscr{U}' \subset \mathscr{U}, |\mathscr{U}'| = n + 1\}$ . Since  $D_n$  is DCCC, the discrete open cover  $\mathscr{D}_n$  of it is countable. Since each set in  $\mathscr{U}$ 

contains some non-empty set in  $\mathscr{D}_n$  for some  $n < \omega$  and each nonempty set in  $\mathscr{D}_n$  is a subset of exactly n + 1 sets in  $\mathscr{U}$ , it follows that  $\mathscr{U}$  is countable. Thus, X has caliber  $(\omega_1, \omega)$ .

**Remark 3.3.** (1) Proposition 3.2 is due to Lemma [7] (i.e., hereditarily Lindelöf spaces have caliber  $(\omega_1, \omega)$ ). We only note that "hereditarily Lindelöf" can be weakened to "hereditarily DCCC."

(2) Let  $\mathscr{P}$  be any of (d) to (i) in Proposition 3.1. Since  $\mathscr{P}$  implies DCCC (see Figure (\*)), if X is hereditarily  $\mathscr{P}$ , then X has caliber  $(\omega_1, \omega)$ .

(3) Proposition 3.2 is not reversible. In fact, the product  $X = S \times S$  of the Sorgenfrey line S is separable, so it has caliber  $(\omega_1, \omega)$ . However, X has a discrete closed subspace  $Y = \{\langle x, -x \rangle : x \in S\}$  which is not DCCC.

Recall that an open cover  $\mathscr{U}$  of a space X is  $T_1$ -point-separating if  $x \neq y$  are points of X, then some member of  $\mathscr{U}$  contains x but not y.

**Proposition 3.4.** If X has a  $\delta\theta$ -base (a quasi- $G_{\delta}$ -diagonal, a pointcountable,  $T_1$ -point-separating open cover, respectively), then "caliber  $\omega_1 \Leftrightarrow c$ -caliber  $\omega_1 \Leftrightarrow c$ -caliber  $\omega_1$ ."

*Proof:* "caliber  $\omega_1 \Rightarrow c$ -caliber  $\omega_1 \Rightarrow c$ -caliber  $\omega_1$ " is obvious. Let us show that cc-caliber  $\omega_1 \Rightarrow$  caliber  $\omega_1$ . For an uncountable family  $\mathscr{U} = \{U_\alpha : \alpha < \omega_1\}$  of non-empty open subsets of X, let C be a closed countably compact subset of X with  $C \cap U_\alpha \neq \emptyset$  for each  $\alpha \in P$ , where P is an uncountable subset of  $[0, \omega_1)$ .

If X has a  $\delta\theta$ -base, then so does the countably compact C. By [1, Proposition 2.1], C is compact and metrizable and thus, it is separable. Hence, the family  $\{C \cap U_{\alpha} : \alpha \in P\}$  of non-empty open subsets of C is not point-countable and thus,  $\mathscr{U}$  is not point-countable. Therefore, X has caliber  $\omega_1$ .

If X has a point-countable,  $T_1$ -point-separating open cover (a quasi- $G_{\delta}$ -diagonal, respectively), then so does the countably compact subset C. By [1, Proposition 2.2] ([1, Proposition 2.3], respectively), C is compact and metrizable and thus, it is also separable.

To be clear at a glance, we give Figure (\*) which combines the results of the paper with figures of [7], Figure 1.1 of [8], and Figure 1 of [9].



Recall that a space X is meta-Lindelöf if every open cover  $\mathscr{U}$  of X has a point-countable open refinement  $\mathscr{V}$ .

**Proposition 3.5.** If X is locally separable and meta-Lindelöf, then DCCC implies separability. Omitting compactness and countable-compactness, the other ten properties in Figure (\*) are equivalent.

Proof: Let X be locally separable, meta-Lindelöf, and with DCCC. Then for each  $x \in X$ , there is an open separable neighborhood  $U_x$  of x. By meta-Lindelöfness of X, the open cover  $\mathscr{U} = \{U_x : x \in X\}$  of X has a point-countable open refinement  $\mathscr{V}$ . Note that each member of  $\mathscr{V}$  is separable and meets only countable others. Define  $V \sim V'$  if there is a sequence  $V_0, V_1, V_2, \ldots, V_n$  of members of  $\mathscr{V}$  such that  $V = V_0, V_n = V'$  and  $V_i \cap V_{i+1} \neq \emptyset$  for each i < n. Then "  $\sim$  " is an equivalence relation and for  $V \in \mathscr{V}$  the equivalence class [V] is countable. Thus,  $\mathscr{V}_P = \{\cup[V] : V \in \mathscr{V}\}$  is a partition of X and each member  $\cup[V]$  of  $\mathscr{V}_P$  is a separable, open and closed subspace of X. Since X satisfies DCCC, the discrete family  $\mathscr{V}_P$  of non-empty open subsets of X is countable and thus, X is separable.

#### 4. Examples

**Example 4.1.** There is a DCCC space which has neither cc-caliber  $(\omega_1, \omega)$  nor first-countability.

Proof: Let  $X = ([0, \omega_1) \times \mathbb{Z}) \cup \{\langle \omega_1, 0 \rangle\}$  be with the linearly ordered topology of the lexicographical order. Then the space X is Lindelöf and thus is DCCC. For the family  $\mathscr{V} = \{\{\alpha\} \times \mathbb{Z} : \alpha < \omega_1\}$ of open subsets of X, if a subset E of X meets infinite members of  $\mathscr{V}$ , then we can take  $\alpha_i < \omega_1, z_i \in \mathbb{Z}, i < \omega$  such that each

 $\langle \alpha_i, z_i \rangle \in E$  and  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . Thus, E has an infinite discrete closed subset  $\{\langle \alpha_i, z_i \rangle : i < \omega\}$ . This shows that E cannot be countably compact. Thus, X does not have cc-caliber  $(\omega_1, \omega)$ . Since the point  $\langle \omega_1, 0 \rangle$  does not have a countable neighborhood base, X is not first countable.

Let  $|A| = \lambda (\geq \omega)$  and  $\mathscr{N} = \{N_s \subset A : |N_s| = \omega, s \in S\}$ , where  $S \cap A = \emptyset$ , be infinite such that  $|N_s \cap N_{s'}| < \omega$  whenever  $s \neq s'$  and that  $\mathscr{N}$  is maximal with respect to the last property. Define a topology  $\tau$  on  $X = A \cup S$  by the neighborhood system  $\{\mathscr{B}(x) : x \in X\}$ , where  $\mathscr{B}(x) = \{\{x\}\}$  if  $x \in A$  and  $\mathscr{B}(x) =$  $\{\{s\} \cup (N_s \setminus F) : F \subset A \text{ and } |F| < \omega\}$  if  $x = s \in S$ . The space  $(X, \tau)$  is denoted by  $\Psi(A)$ .

**Example 4.2.** If  $|A| = \omega$ ,  $\Psi(A)$  has cc-caliber  $\omega_1$  (not countably compact).

*Proof:* Since  $|A| = \omega$  is dense in  $\Psi(A)$ , for any uncountable family  $\mathscr{U}$  of non-empty open sets, there is an  $x_0 \in A$  such that the closed countably compact  $E = \{x_0\} \subset \Psi(A)$  meets uncountable members of  $\mathscr{U}$ . Thus,  $\Psi(A)$  has *cc*-caliber  $\omega_1$ .

**Lemma 4.3.** For  $E \subset \Psi(A)$ , the following are equivalent.

- (1) E is compact.
- (2) E is countably compact.
- (3)  $E \cap S$  is finite and E is closed.
- (4) Both  $E \cap S$  and  $E \setminus (\cup \{N_s : s \in E \cap S\})$  are finite.

*Proof:*  $(1) \Rightarrow (2) \Rightarrow (3)$  is obvious.

To show (3)  $\Rightarrow$  (4), assume that  $E \setminus (\bigcup\{N_s : s \in E \cap S\})$  is infinite, then it contains a subset Z with  $|Z| = \omega$ . By maximality of  $\mathscr{N}$ , there is an  $s' \in S \setminus (E \cap S)$  such that  $N_{s'} \cap Z$  is infinite. Thus, s' is an accumulation point of E and s'  $\notin E$ ; this contradicts the closedness of E.

To show (4)  $\Rightarrow$  (1), let  $\mathscr{U}$  be an open cover of E. For each  $s \in E \cap S$ , take a  $U_s \in \mathscr{U}$  containing s. Since  $E \setminus (\cup \{N_s : s \in E \cap S\})$  is finite  $H = E \setminus (\cup \{U_s : s \in E \cap S\})$  is finite. For each  $h \in H$ , take a  $U_h \in \mathscr{U}$  containing h. Then  $\mathscr{U}' = \{U_s : s \in E \cap S\} \cup \{U_h : h \in H\}$  is a finite subcover of E. Hence, E is compact.  $\Box$ 

**Example 4.4.** (1) If  $|A| = \omega$ ,  $\Psi(A)$  has c-caliber  $\omega_1$  (cc-caliber  $\omega_1$ ) (not countably compact);

(2) If  $|A| > \omega$ ,  $\Psi(A)$  has cc-caliber  $(\omega_1, \omega)$  (c-caliber  $(\omega_1, \omega)$ ) but not cc-caliber  $\omega_1$  (not c-caliber  $\omega_1$ ).

*Proof:* (1) Since  $|A| = \omega$  is dense in  $\Psi(A)$ , for any uncountable family  $\mathscr{U}$  of non-empty open sets, there is an  $x_0 \in A$  such that the closed countably compact  $E = \{x_0\} \subset \Psi(A)$  meets uncountable members of  $\mathscr{U}$ . Thus,  $\Psi(A)$  has *cc*-caliber  $\omega_1$ .

(2) To show that  $\Psi(A)$  has *cc*-caliber  $(\omega_1, \omega)$ , let  $\mathscr{U} = \{U_\alpha : \alpha < \omega_1\}$  be a family of non-empty open subsets of  $\Psi(A)$ . Take  $x_0 \in A \cap U_0$  and put  $H_0 = \{\alpha < \omega_1 : x_0 \in U_\alpha\}$ . If  $|H_0| \ge \omega$ , the proof ends since  $\{x_0\}$  is countably compact. If  $|H_0| < \omega$ , put  $\alpha_0 = max\{\alpha : \alpha \in H_0\}$  and take  $x_1 \in A \cap U_{\alpha_0+1}$ . Let  $H_1 = \{\alpha \in [\alpha_0 + 1, \omega_1) : x_1 \in U_\alpha\}$ . If  $|H_1| \ge \omega$ , the proof ends. If  $|H_1| < \omega$ , put  $\alpha_1 = max\{\alpha : \alpha \in H_1\}$  and take an  $x_2 \in A \cap U_{\alpha_1+1}$ . Thus, by induction, we can choose  $P = \{x_i : i < \omega\}$  with  $|P| = \omega$ . Since  $\{N_s : s \in S\}$  is maximal, there is a closed countably compact  $T = \{s'\} \cup \{N_{s'}\}$   $(s' \in S)$  such that  $T \cap P = \omega$  and thus, T meets infinite members of  $\mathscr{U}$ .

Finally, we show that  $\Psi(A)$  does not have *cc*-caliber  $\omega_1$ . Since  $|A| > \omega$ ,  $\mathscr{U} = \{\{x\} : x \in A\}$  is an uncountable family  $\mathscr{U}$  of nonempty open subsets. If  $E \subset \Psi(A)$  is countably compact, by Lemma 4.3, *E* is countable and thus, *E* meets at most countable members of  $\mathscr{U}$ .

**Example 4.5.** (1) If  $|A| = \omega$ ,  $\Psi(A)$  has c-caliber  $\omega_1$  (not countably compact); (2) if  $|A| > \omega$ ,  $\Psi(A)$  has c-caliber  $(\omega_1, \omega)$  but not c-caliber  $\omega_1$ .

*Proof:* It is from Lemma 4.3, Example 4.2, and Example 4.4.  $\Box$ 

**Example 4.6.** The space  $A(S \times S)$  does not satisfy DCCC, where  $S \times S$  is the product of the Sorgenfrey line S.

*Proof:* Let  $Y = \{\langle x, -x \rangle : x \in S\}$  and  $\mathscr{U} = \{\{\langle y, 1 \rangle\} : y \in Y\}$ . Then  $\mathscr{U}$  is an uncountable discrete family of non-empty open subsets of  $A(S \times S)$ .

Example 4.6 shows that the ten properties in Proposition 3.1 cannot be preserved by the Alexandroff duplicate space A(X) of the space X since  $S \times S$  is separable.

**Example 4.7.** There is a countably compact space Y (hence with cc-caliber  $(\omega_1, \omega)$ ), but without c-caliber  $(\omega_1, \omega)$ .

#### CALIBERS AND DCCC

Proof: Let X be the space in [11, Example 2.12]. Then X is countably compact and any compact subset of it is finite. For each infinite  $E \subset X_0 = \omega$ , take a cluster point  $x_E$  of E and put  $F_0 = \{x_E : E \subset X_0, |E| = \omega\}$ . Then from the proof of Example 2.13 of [11], we can see that  $X_1 = X_0 \cup F_0$ . Let  $\mathscr{V}$  be an almost disjoint family of infinite subsets of  $X_0$ . Then  $|\mathscr{V}| = \mathfrak{c}$ . For  $V \in \mathscr{V}$ , put  $V^* = \overline{V} \setminus \omega$ , then by 2.9(iv) of [11]  $\{V^* : V \in \mathscr{V}\}$  is a disjoint uncountable family of open sets in  $\beta \omega \setminus \omega$  and for each  $V \in \mathscr{V}$  $X_1 \cap V^* \neq \emptyset$ . Since  $X_1 \subset X$ ,  $(X \setminus \omega) \cap V^* \neq \emptyset$  for  $V \in \mathscr{V}$ . Thus,  $X \setminus \omega$  is not CCC. Since X is countably compact,  $X \setminus \omega$  is countably compact. Put  $Y = X \setminus \omega$ . Then Y is not CCC and any compact subset of Y is finite. Hence, Y is without c-caliber  $(\omega_1, \omega)$ .

**Remark 4.8.** Let X be the countably compact space Y in Example 4.7, then A(X) is countably compact (hence with *cc*-caliber  $(\omega_1, \omega)$ ). By Corollary 2.6, A(X) does not have *c*-caliber  $(\omega_1, \omega)$ .

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