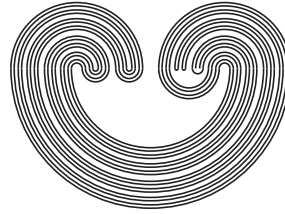

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by

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ABSTRACT. This paper develops an example of a non-H-closed, Urysohn space with the property that for every chain of nonempty sets, the intersection of the θ -closures of the sets is nonempty, every infinite set has a θ -complete accumulation point, and every κ -sequence has a θ -cluster point for every infinite cardinal κ . This shows that three of the characterizations of compactness cannot be extended directly to H-closed spaces.

1. INTRODUCTION

In 1924, Paul Alexandroff and Paul Urysohn [1] established a number of characterizations of compactness including these two: a space is compact if and only if every chain of nonempty closed sets has nonempty intersection if and only if every infinite subset has a complete accumulation point. Also in [1], Alexandroff and Urysohn introduced and characterized the concept of H-closed spaces. The chain characterization plays an important role in proving spaces are compact; for example, one major result connecting compactness and H-closure is that a space is compact if every closed subset is H-closed. Both M. H. Stone [9] and Miroslav Katětov [4] prove this result by showing that every chain of nonempty H-closed sets has nonempty intersection.

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There are two natural paths to extend the chain condition to characterize H-closed spaces. Both extended conditions are satisfied in H-closed spaces. This paper develops a relatively simple example that shows neither extended condition is enough to prove H-closure.

In [1], Alexandroff and Urysohn extended the complete accumulation point characterization of compactness to H-closure by proving that for each infinite subset A of an H-closed space X , there is a point $p \in X$ such that for each neighborhood U of X , $|A \cap cl_X U| = |A|$. In 1960, G. A. Kirtadze [5] showed that the converse is not true. Our simple example also shows the converse is not true.

Recently, in an excellent paper about κ -nets, R. E. Hodel [3] characterized H-closed spaces in terms of κ -nets. Hodel asks if a Hausdorff space X remains H-closed if κ -nets in his H-closed characterization are replaced by a weaker notion of κ -sequence. We show this is not true, too.

All spaces considered in this paper are Hausdorff, and for a space X , let $\tau(X)$ denote the set of open subsets of X .

2. EXAMPLE 1

Recall (see [8, 4.8(e)]) that in a space X , a subset $A \subseteq X$ is *regular closed* if $A = cl_X int_X A$. In 1940, Katětov [4] proved that a Hausdorff space X is *H-closed* if and only if every filter base of regular closed subsets has nonempty intersection. One natural path of extending the chain condition to H-closed spaces would be to determine if a Hausdorff space is H-closed if every chain of nonempty regular closed sets has nonempty intersection. We show this is false with an example of a non-H-closed, Tychonoff, extremally disconnected space \mathbb{B} .

We need some preliminary results.

Lemma 1. *Let $\beta\omega$ be the Stone-Čech compactification of the countable discrete space ω .*

- (a) ([2, 9H.2]) *If A is an infinite closed subset of $\beta\omega$, then $|A| = |\beta\omega| = 2^{\mathfrak{c}}$.*
- (b) ([2, 6S.8]) *If A is a nonempty G_δ subset of $\beta\omega \setminus \omega$, then $int_{\beta\omega \setminus \omega} A \neq \emptyset$.*

Lemma 2. *Let \mathcal{C} be a chain of infinite clopen sets of $\beta\omega$. Then $|\cap \mathcal{C}| = 2^{\mathfrak{c}}$.*

Proof: There is an ordinal β and a cofinal, well-ordered subchain $\mathcal{C}' = \{C_\alpha : \alpha < \beta\} \subseteq \mathcal{C}$ such that $C_\alpha \supset C_{\alpha+1}$ for $\alpha + 1 < \beta$. As \mathcal{C}' is cofinal, $\cap \mathcal{C} = \cap \mathcal{C}'$. If β is not a limit ordinal, then $C_{\beta-1} = \cap \mathcal{C}'$, $|C_{\beta-1}| = 2^c$, and we are done. So, we can assume that β is a limit ordinal. Note that for $\alpha < \beta$, $C_\alpha = cl_{\beta\omega}(C_\alpha \cap \omega) \supset C_{\alpha+1} = cl_{\beta\omega}(C_{\alpha+1} \cap \omega)$. It follows that $C_\alpha \cap \omega \supset C_{\alpha+1} \cap \omega$. Thus, $\{(C_\alpha \setminus C_{\alpha+1}) \cap \omega : \alpha < \beta\}$ is a family of pairwise disjoint subsets of ω . So, β is a countable limit ordinal and $cf(\beta) = \omega$. There is a cofinal subfamily $\mathcal{D} = \{D_n : n \in \omega\}$ of \mathcal{C}' . But $\cap \{D_n \setminus \{n\} : n \in \omega\}$ is a G_δ set in $\beta\omega \setminus \omega$ and has nonempty interior. Hence, $|\cap \{D_n \setminus \omega : n \in \omega\}| = 2^c$. \square

Fact 3. *Let $p \in \beta\omega \setminus \omega$ and $\mathbb{B} = \beta\omega \setminus \{p\}$. If \mathcal{C} is a chain of nonempty regular closed sets in \mathbb{B} , then $\cap \mathcal{C} \neq \emptyset$.*

Proof: First, note that \mathbb{B} is extremally disconnected as \mathbb{B} is a dense subspace of the extremally disconnected space $\beta\omega$. Thus, the regular closed subsets of \mathbb{B} are clopen sets. If $C \in \mathcal{C}$, then $C = cl_{\mathbb{B}}(C \cap \omega) = cl_{\beta\omega}(C \cap \omega) \setminus \{p\}$ and $cl_{\beta\omega}(C \cap \omega) = cl_{\beta\omega}C$ is clopen in $\beta\omega$. Also, $\cap \mathcal{C} = \cap \{cl_{\beta\omega}C : C \in \mathcal{C}\} \setminus \{p\}$. By Lemma 2, $|\cap \{cl_{\beta\omega}C : C \in \mathcal{C}\}| = 2^c$. Thus, $\cap \mathcal{C} \neq \emptyset$. \square

We have shown that the Tychonoff, extremally disconnected space \mathbb{B} has the property that every chain of nonempty regular closed sets has nonempty intersection. Since a regular H-closed space is compact (see [8, 4.8(c)]), \mathbb{B} is not H-closed.

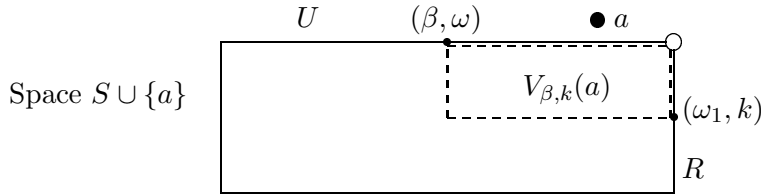
3. EXAMPLE 2

For $A \subseteq X$, let $cl_\theta A = \{p \in X : \text{for } p \in U \in \tau(X), cl_X U \cap A \neq \emptyset\}$; if there is the possibility of confusion, we will denote $cl_\theta A$ by $cl_\theta^X A$. In 1968, N. V. Veličko [10] proved that a space is H-closed if and only if for each filter base \mathcal{F} , $\bigcap_{F \in \mathcal{F}} cl_\theta F \neq \emptyset$. In this section, we develop a Hausdorff, non-H-closed space \mathbb{T} with the property that for every chain \mathcal{C} of nonempty sets, $\bigcap_{C \in \mathcal{C}} cl_\theta C \neq \emptyset$. Surprisingly, the space \mathbb{T} has other nice properties and answers a question by Hodel [3].

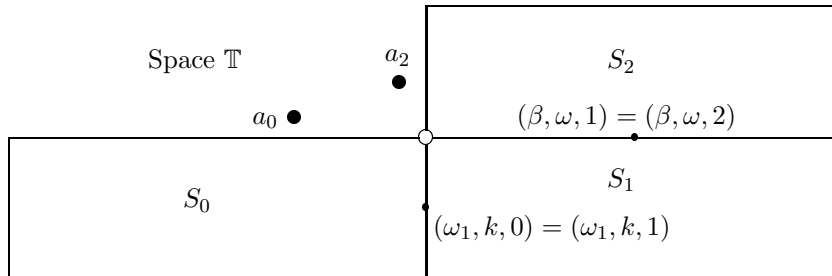
(1) The description of our space starts with a variation of the Tychonoff plank. Let S denote the Tychonoff plank $(\omega_1 + 1) \times (\omega + 1) \setminus \{(\omega_1, \omega)\}$ – the product space without the corner point; S is a zero-dimensional, Tychonoff space. Let $R = \{(\omega_1, n) : n \in \omega\}$ be

the right-hand edge and $U = \{(\alpha, \omega) : \alpha \in \omega_1\}$ be the upper edge. Sometimes, we will need to consider a one-point extension of S , namely $S \cup \{a\}$. The subspace S is dense and open in $S \cup \{a\}$ and $\{V_{\beta,k} : \beta \in \omega_1, k \in \omega\}$ is an open neighborhood base at the point a where $V_{\beta,k}(a) = \{a\} \cup (\beta, \omega_1) \times (k, \omega)$. (Note that this is the same space as enlarging the product topology $(\omega_1 + 1) \times (\omega + 1)$ by adding the set $\{(\omega_1, \omega)\} \cup \omega_1 \times \omega$.) The extension $S \cup \{a\}$ is H-closed (use 4.8(h)(8) in [8]) and Urysohn but not compact.

Note that for $\alpha < \omega_1$, the subspace $(\alpha + 1) \times (\omega + 1)$ of S is compact.



(2) Let Y denote $S \times \{0, 1, 2\}$ ($\{0, 1, 2\}$ has the discrete topology) with these points identified: $(\omega_1, k, 0) = (\omega_1, k, 1)$ for each $k \in \omega$ and $(\beta, \omega, 1) = (\beta, \omega, 2)$ for $\beta \in \omega_1$. For $i \in \{0, 1, 2\}$, let $S_i = S \times \{i\}$, $U_i = U \times \{i\}$, and $R_i = R \times \{i\}$. Finally, let $\mathbb{T} = Y \cup \{a_0, a_2\}$. For $i \in \{0, 2\}$, an open neighborhood base for a_i is $\{V_{\beta,k}(a_i) \times \{i\} : \beta \in \omega_1, k \in \omega\}$. Note that $S_0 \cup \{a_0\}$ and $S_2 \cup \{a_2\}$ are homeomorphic to $S \cup \{a\}$ and are H-closed subspaces of \mathbb{T} and that $cl_\theta(S_0 \cup \{a_0\}) = S_0 \cup \{a_0\}$ and $cl_\theta(S_2 \cup \{a_2\}) = S_2 \cup \{a_2\}$.



Property A. The space \mathbb{T} has the property that for every chain \mathcal{C} of nonempty sets, the chain $\{cl_\theta C : C \in \mathcal{C}\}$ has nonempty intersection.

Proof: Assume there is a chain \mathcal{C} of nonempty sets in \mathbb{T} such that $\bigcap\{cl_\theta C : C \in \mathcal{C}\} = \emptyset$. Let P denote the H-closed subspace $S_0 \cup \{a_0\}$. If $C \cap P \neq \emptyset$ for each $C \in \mathcal{C}$, then $\mathcal{C}_0 = \{C \cap P : C \in \mathcal{C}\}$ is a chain of nonempty sets in the H-closed subspace P . As \mathcal{C}_0 is a filter base on P , it follows from Veličko's result that $\bigcap\{cl_\theta^P(C \cap P) : C \in \mathcal{C}\} \neq \emptyset$. However, $cl_\theta^P(C \cap P) = cl_\theta^\mathbb{T}(C \cap P)$ in \mathbb{T} . This is a contradiction as $\bigcap\{cl_\theta^\mathbb{T} C : C \in \mathcal{C}\} = \emptyset$. So, there is a $C \in \mathcal{C}$ such that $C \cap (S_0 \cup \{a_0\}) = \emptyset$. A similar argument yields there is a $C \in \mathcal{C}$ such that $C \cap (S_2 \cup \{a_2\}) = \emptyset$. We can suppose that for each $C \in \mathcal{C}$, $C \subseteq S_1 \setminus (U_1 \cup R_1) \subseteq \bigcup\{\omega_1 \times \{n\} \times \{1\} : n \in \omega\}$. In particular, $\{a_0, a_2\} \cap cl_\theta^\mathbb{T} C = \emptyset$ for each $C \in \mathcal{C}$. Hence, $cl_\theta^\mathbb{T} C = cl_\mathbb{T} C$ and our assumption becomes $\bigcap\{cl_\mathbb{T} C : C \in \mathcal{C}\} = \emptyset$. Note that for each $n \in \omega$ and $C \in \mathcal{C}$, $cl_\mathbb{T} C \cap ((\omega_1 + 1) \times \{n\} \times \{1\})$ is compact. So, for each $n \in \omega$, there is $C_n \in \mathcal{C}$ such that $cl_\mathbb{T} C_n \cap ((\omega_1 + 1) \times \{n\} \times \{1\}) = \emptyset$ and $C_n \supseteq C_{n+1}$. Thus, $\bigcap\{cl_\mathbb{T} C_n : n \in \omega\} = \emptyset$. For each $n \in \omega$, let $x_n \in C_n$; $\{x_n : n \in \omega\}$ is an infinite set as $\bigcap\{cl_\mathbb{T} C_n : n \in \omega\} = \emptyset$. Also, $\bigcap\{cl_\mathbb{T}\{x_m : m \geq n\} : n \in \omega\} = \emptyset$. There is an $\alpha \in \omega_1$ such that $\{x_n : n \in \omega\} \subseteq (\alpha + 1) \times (\omega + 1) \times \{1\} = Z$. As Z is compact, $cl_\mathbb{T}\{x_m : m \geq n\} \subseteq Z$ for each $n \in \omega$. This shows that $\bigcap\{cl_\mathbb{T}\{x_m : m \geq n\} : n \in \omega\} \neq \emptyset$, a contradiction. \square

Property B. It is easy to verify that the space \mathbb{T} is Urysohn. Consider the open filter base $\mathcal{F} = \{(\alpha, \omega_1) \times (n, \omega)\} \times \{1\} : \alpha \in \omega_1, n \in \omega\}$ on \mathbb{T} . The open filter \mathcal{F} has the property that $\bigcap\{cl_\mathbb{T} F : F \in \mathcal{F}\} = \emptyset$. This shows that \mathbb{T} is not H-closed. Combining these two results with that of Property A, we have that \mathbb{T} is a Urysohn, non-H-closed space with the property that for every chain \mathcal{C} of nonempty sets in \mathbb{T} , $\bigcap\{cl_\theta C : C \in \mathcal{C}\} \neq \emptyset$.

Our next goal is to show that the Urysohn, non-H-closed space \mathbb{T} has the property that for every infinite subset A of \mathbb{T} , there is a point $p \in \mathbb{T}$ such that $|A \cap cl_\theta U| = |A|$ for all $p \in U \in \tau(\mathbb{T})$; we say that p is a θ -complete accumulation point of A . In the following result we will use Alexandroff and Urysohn's result [1] that every infinite subset of an H-closed space has a θ -complete accumulation point.

Property C. Every infinite set A of \mathbb{T} has a θ -complete accumulation point.

Proof: Let A be an infinite subset of \mathbb{T} . If $|A \cap (S_0 \cup \{a_0\})| = |A|$, then, as $S_0 \cup \{a_0\}$ is H-closed, A has a θ -complete accumulation point in $S_0 \cup \{a_0\}$. But a θ -complete accumulation point in $S_0 \cup \{a_0\}$ is also a θ -complete accumulation point in \mathbb{T} . A similar result holds for the H-closed subspace $S_2 \cup \{a_2\}$. We can suppose that $A \subseteq S_1 \setminus (U_1 \cup R_1)$ and, as noted in Property A, $S_1 \setminus (U_1 \cup R_1) \subseteq \bigcup \{\omega_1 \times \{n\} \times \{1\} : n \in \omega\}$. If A is a countable set, there is some $\alpha < \omega_1$ such that A is contained in the compact subspace $(\alpha + 1) \times (\omega + 1) \times \{1\}$. Thus, A has a complete accumulation point in \mathbb{T} . Next, let A be an infinite subset such that $|A| = \omega_1$. There is some $n \in \omega$, such that $|A \cap ((\omega_1 + 1) \times \{n\} \times \{1\})| = \omega_1$. As $(\omega_1 + 1) \times \{n\} \times \{1\}$ is compact for each $n \in \omega$, A has a complete accumulation point in \mathbb{T} . \square

For an infinite cardinal κ and a space Y , a function $f : \kappa^{<\omega} \rightarrow Y$ is called a κ -net (see [3]); the κ -net is denoted as $\langle x_F : F \in \kappa^{<\omega} \rangle$ where $f(F) = x_F$. A point $p \in Y$ is a θ -cluster point of $\langle x_F : F \in \kappa^{<\omega} \rangle$ if for $p \in U \in \tau(Y)$ and $F \in \kappa^{<\omega}$, there is $G \in \kappa^{<\omega}$ such that $F \subseteq G$ and $x_G \in cl_Y U$. The concept of κ -nets fits somewhere between nets and filters. Hodel [3] proves that a Hausdorff space X is H-closed if and only if every κ -net has a θ -cluster point in X . A sequence $\langle x_\alpha : \alpha \in \kappa \rangle$ in a space Y has a θ -cluster point $p \in Y$ if for each $\alpha \in \kappa$ and $p \in U \in \tau(Y)$, there is some $\beta \in \kappa$ such that $\alpha < \beta$ and $x_\beta \in cl_{\mathbb{T}} U$. Let $S(\alpha) = \{\beta \in \kappa : \alpha < \beta\}$. Hodel [3] shows that in an H-closed space, every κ -sequence has a θ -cluster point and asks if a Hausdorff space Y in which every κ -sequence has a θ -cluster point in Y for each κ is necessarily H-closed. We answer this question by showing that our space \mathbb{T} has the property that every κ -sequence has a cluster point. First, notice that a κ -sequence $\langle x_\alpha : \alpha \in \kappa \rangle$ in a space Y has a θ -cluster point $p \in Y$ if and only if $p \in \bigcap \{cl_\theta S(\alpha) : \alpha \in \kappa\}$.

Property D. The non-H-closed space \mathbb{T} has the property that every κ -sequence has a θ -cluster point in \mathbb{T} . Let $\langle x_\alpha : \alpha \in \kappa \rangle$ be a κ -sequence in \mathbb{T} for some infinite cardinal κ . The family of sets $\{S(\alpha) : \alpha \in \kappa\}$ of \mathbb{T} is a chain of nonempty sets. By Property A, there is some point $p \in \bigcap \{cl_\theta S(\alpha) : \alpha \in \kappa\}$. By the above comment, p is a θ -cluster point in \mathbb{T} .

Comment: By Property A, for every chain \mathcal{C} of nonempty sets in \mathbb{T} , $\bigcap\{cl_\theta C : C \in \mathcal{C}\} \neq \emptyset$. If \mathcal{C}' is a chain of nonempty regular closed sets in \mathbb{T} , then for each $C \in \mathcal{C}'$, $cl_\theta int_{\mathbb{T}} C = cl_{\mathbb{T}} int_{\mathbb{T}} C = C$. Thus, $\{int_{\mathbb{T}} C : C \in \mathcal{C}'\}$ is a chain of nonempty open sets. It follows that $\bigcap\{C : C \in \mathcal{C}'\} = \bigcap\{cl_\theta int_{\mathbb{T}} C : C \in \mathcal{C}'\} \neq \emptyset$. That is, the space \mathbb{T} has the chain property of the space \mathbb{B} in section 2. However, the space \mathbb{B} is Tychonoff, whereas the space \mathbb{T} is only Urysohn. If X is a regular space satisfying the property that for every chain \mathcal{C} of nonempty sets in X , $\bigcap\{cl_\theta C : C \in \mathcal{C}\} \neq \emptyset$, then X is compact because in a regular space, $cl_\theta A = cl_X A$ for each subset A of X . For this reason, the space \mathbb{B} is also included.

Note: Another application of the chain characterization of compactness is in [7] where it is shown that an H-closed space in which every closed set is the θ -closure of another set is compact.

Many of the ideas in this paper are connected by this very nice result from [3]. We are indebted to the referee for many helpful comments and for suggesting that this result be added.

Theorem 4 ([3]). *The following are equivalent for any space X and infinite cardinal κ :*

- (1) every λ -sequence $\{x_\alpha : \alpha < \lambda\}$ with $\lambda \leq \kappa$ has a θ -cluster point;
- (2) if $\{F_\alpha : \alpha < \lambda\}$ is a decreasing sequence of nonempty subsets of X with $\lambda \leq \kappa$, then $\bigcap cl_\theta(F_\alpha) \neq \emptyset$;
- (3) if \mathcal{C} is a chain of nonempty subsets of X with $|\mathcal{C}| \leq \kappa$, then $\bigcap cl_\theta(C) \neq \emptyset$; and
- (4) if A is an infinite subset of X with $|A| \leq \kappa$ and $|A|$ regular, then A has a θ -complete accumulation point.

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