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## WALLMAN COMPACTIFICATIONS AND TYCHONOFF'S COMPACTNESS THEOREM IN ZF

by

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## WALLMAN COMPACTIFICATIONS AND TYCHONOFF'S COMPACTNESS THEOREM IN ZF

KYRIAKOS KEREMEDIS AND ELEFTHERIOS TACHTSIS

ABSTRACT. We show that the following statements are pairwise equivalent in ZF:

- (1) The axiom of choice (AC).
- (2) For every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ , the set  $\mathcal{W}(X, \mathcal{C})$  of all  $\mathcal{C}$ -ultrafilters when endowed with the Wallman topology  $T_{\mathcal{W}(X, \mathcal{C})}$  (definitions are provided in section 1) is a compactification of  $X$ .
- (3) For every  $T_1$  space  $(X, T)$ , for every  $T_1$  base  $\mathcal{C}$  of  $X$ , every filter base  $\mathcal{G} \subset \mathcal{C}$  extends to a  $\mathcal{C}$ -ultrafilter  $\mathcal{F}$ .
- (4) "For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  (here  $\mathcal{C} = \mathcal{K}(X)$ , the family of all closed subsets of  $X$ ) is a compactification of  $X$ " and "For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i)$  and  $\prod_{i \in \omega} \mathcal{W}(X_i)$  are topologically homeomorphic."

We also show that "For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ " implies that every infinite set has a countably infinite subset.

In addition, we show that "For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ " if and only if  $\text{CFE}_1$  (= every filter base  $\mathcal{G}$  of closed subsets of a  $T_1$  space  $(X, T)$  extends to a closed ultrafilter  $\mathcal{F}$ ).

### 1. NOTATION AND TERMINOLOGY

Let  $\{(X_i, T_i) : i \in I\}$  be a family of topological spaces and let  $X = \prod_{i \in I} X_i$  be their Tychonoff product. A closed subset  $F$  of  $X$  is called *basic closed* in case

$$F = \cup \{ \pi_q^{-1}(F_q) : q \in Q \} \text{ where } Q \in [I]^{<\omega} \text{ and for all } q \in Q, F_q^c \in T_q.$$

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We shall denote the collection of all basic closed subsets of  $X$  by  $\mathcal{C}(X)$ .  $\mathcal{C}(X)$  is a *base* for the closed subsets of  $X$  (every closed subset of  $X$  can be expressed as an intersection of members of  $\mathcal{C}(X)$ ). A closed set  $F$  of  $X$  is called *restricted closed* if there is a finite set  $Q \subseteq I$  such that  $F = V \times \prod_{i \in Q^c} X_i$ , with  $V \subset Y_Q = \prod_{i \in Q} X_i$  a closed set. Note that for a given restricted closed set  $F$  in  $X$ , there is not a unique set  $Q$  satisfying the aforementioned condition. (Let  $F$ ,  $V$ , and  $Q$  be as in the latter definition, and (for our convenience) suppose that  $|I \setminus Q| \geq 2$  and let  $i_0 \in I \setminus Q$ . Then  $F = V' \times \prod_{i \in (Q')^c} X_i$ , where  $Q' = Q \cup \{i_0\}$  and  $V' = V \times X_{i_0}$ ).

However, it is fairly easy to see that to every nonempty proper restricted closed subset  $F$  of  $X$ , there corresponds a finite set  $Q_F \subseteq I$  which is the  $\subseteq$ -smallest set  $Q$  in  $[I]^{<\omega}$  with respect to the above condition on  $Q$ . (If  $F$  is a nonempty (proper) restricted closed set in  $X$ , then the  $\subseteq$ -smallest set  $Q_F \subseteq I$  can be defined so that there is a closed set  $V_F$  in  $Y_{Q_F}$  such that  $F = V_F \times \prod_{i \in (Q_F)^c} X_i$ ,  $V_F = \cap \{Z_j : j \in J\}$ , where

$Z_j = \cup \{\pi_q^{-1}(G_{qj}) : q \in Q_F\} \subset Y_{Q_F}$  and for all  $q \in Q_F$ , for all  $j \in J$ ,  $G_{qj}^c \in T_q$ , and for each  $q \in Q_F$ , there exists an index  $j \in J$  such that  $G_{qj} \neq \emptyset, X_q$ . Then any  $Q \in [I]^{<\omega}$  for which  $F = V \times \prod_{i \in (Q)^c} X_i$  with

$V$  closed in  $Y_Q$  is such that  $Q \supseteq Q_F$ ; recall that  $V$  is expressible as an intersection of basic closed subsets  $Z'_j = \cup \{\pi_q^{-1}(G'_{qj}) : q \in Q\}$ ,  $j \in J'$ , of  $Y_Q$ . Then discard those  $q \in Q$ , each being such that for every  $j \in J'$ ,  $G'_{qj}$  is either empty or all of  $X_q$ .) The set  $Q_F$  is called the set of *restricted coordinates of  $F$* . The collection of all restricted closed subsets of  $X$  shall be denoted by  $\mathcal{C}_R(X)$ .

Clearly,  $\mathcal{C}(X)$  is closed under finite unions but *not* closed under finite intersections. However, the collection  $\mathbf{C}(X)$  consisting in all sets of the form

$$(1.1) \quad G = \cap \{ \cup \{ \pi_q^{-1}(F_{qi}) : q \in Q_i \} : i \in n \}$$

where  $n \in \mathbb{N}$  and for all  $i \in n$ ,  $Q_i \in [I]^{<\omega}$ , and for all  $q \in Q_i$ ,  $F_{qi}^c \in T_q$ , or, equivalently,

$$(1.2) \quad G = \cup \{ \cap \{ f(i) : i \in n \} : f \in \prod_{i \in n} \{ \pi_q^{-1}(F_{qi}) : q \in Q_i \} \},$$

is easily seen to be a base for the closed subsets of  $X$ , closed under finite unions *and* finite intersections. Likewise,  $\mathcal{C}_R(X)$  is a larger base for the closed subsets of  $X$  which is also closed under finite unions and finite intersections.

For every  $S \subset I$ ,  $p_S : X \rightarrow Y_S = \prod_{i \in S} X_i$  will denote the projection of  $X$  onto  $Y_S$ . In particular, if  $S = \{i\}$  for some  $i \in I$ , then we will denote the projection  $p_S$  by  $\pi_i$ .  $\mathcal{C}_1(X)$  denotes the collection of all 1-basic closed subsets of  $X$ , i.e.,  $\mathcal{C}_1(X) = \{\pi_i^{-1}(F) : i \in I, F^c \in T_i\}$ .

Let  $(X, T)$  be a topological space.  $X$  is compact if every open cover  $\mathcal{U}$  of  $X$  has a finite subcover  $\mathcal{V}$ . Equivalently,  $X$  is compact if and only if for every family  $\mathcal{G}$  of closed subsets of  $X$  having the finite intersection property (fip)  $\bigcap \mathcal{G} \neq \emptyset$ .

Let  $X$  be a nonempty set and let  $\mathcal{E}$  be a collection of subsets of  $X$  which is closed under finite intersections. A nonempty subcollection  $\mathcal{F}$  of  $\mathcal{E} \setminus \{\emptyset\}$  is an  $\mathcal{E}$ -filter if and only if

- (i) if  $F_1, F_2 \in \mathcal{F}$ , then  $F_1 \cap F_2 \in \mathcal{F}$ .
- (ii) if  $F \in \mathcal{F}$ ,  $F' \in \mathcal{E}$  and  $F \subseteq F'$ , then  $F' \in \mathcal{F}$ .

If  $\mathcal{E} = \mathcal{P}(X)$ , then an  $\mathcal{E}$ -filter is called a filter on  $X$ . If  $\mathcal{E}$  is the collection of all closed subsets of a topological space, then we say that  $\mathcal{F}$  is a closed filter. A nonempty collection  $\mathcal{H} \subseteq \mathcal{E} \setminus \{\emptyset\}$  is called an  $\mathcal{E}$ -filter base if, for every  $H_1, H_2 \in \mathcal{H}$ , there is an  $H_3 \in \mathcal{H}$  such that  $H_3 \subseteq H_1 \cap H_2$ . A filter base  $\mathcal{H} \subseteq \mathcal{E} \setminus \{\emptyset\}$  is called free if  $\bigcap \mathcal{H} = \emptyset$ .

A maximal, with respect to inclusion,  $\mathcal{E}$ -filter is called an  $\mathcal{E}$ -ultrafilter.

An  $\mathcal{E}$ -filter  $\mathcal{F}$  is called countably closed if it satisfies the condition: If  $\{F_i : i \in \omega\} \subseteq \mathcal{F}$ , then  $\bigcap \{F_i : i \in \omega\} \in \mathcal{F}$ .

An  $\mathcal{E}$ -filter  $\mathcal{F}$  is called countably prime if it satisfies the condition: If  $\{F_i : i \in \omega\} \subset \mathcal{E}$  and  $\bigcup \{F_i : i \in \omega\} \in \mathcal{F}$ , then there exists  $i \in \omega$  such that  $F_i \in \mathcal{F}$ .

Let  $(X, T)$  be a  $T_1$  space and let  $\mathcal{B}$  be a base for the closed subsets of  $X$ . Then  $\mathcal{B}$  is called a  $T_1$  base for  $X$  if it satisfies the following.

- (i)  $\emptyset \in \mathcal{B}$ .
- (ii) If  $B_1, B_2 \in \mathcal{B}$ , then  $B_1 \cap B_2 \in \mathcal{B}$  and  $B_1 \cup B_2 \in \mathcal{B}$ .
- (iii) If  $x \notin B \in \mathcal{B}$ , then there is  $B_x \in \mathcal{B}$  such that  $x \in B_x$  and  $B_x \cap B = \emptyset$ .

If  $\{(X_i, T_i) : i \in I\}$  is a family of  $T_1$  topological spaces, then  $\mathcal{C}_R(X)$  and  $\mathbf{C}(X)$  are  $T_1$  bases for the product  $X = \prod_{i \in I} X_i$ . Note that  $\mathcal{C}(X)$  is not a  $T_1$  base for  $X$ .

If  $X = \prod_{i \in I} X_i$  is a product of topological spaces and  $\mathcal{F}$  is an  $\mathcal{E}$ -ultrafilter, where  $\mathcal{C}(X) \subset \mathcal{E} \subseteq \mathcal{K}(X)$  (= the family of all closed subsets of  $X$ ), then for every  $i \in I$ ,  $\mathcal{F}_i$  denotes the family of all closed subsets of  $X_i$  whose inverse image under  $\pi_i$  is a member of  $\mathcal{F}$ , i.e.,

$$(1.3) \quad \mathcal{F}_i = \{F \subseteq X_i : F^c \in T_i, \pi_i^{-1}(F) \in \mathcal{F}\}.$$

Let  $(X, T)$  be a  $T_1$  topological space and let  $\mathcal{C}$  be a  $T_1$  base for  $X$ .  $\mathcal{W}(X, \mathcal{C})$  denotes the set  $\{\mathcal{F} \subset \mathcal{C} : \mathcal{F} \text{ is a } \mathcal{C}\text{-ultrafilter}\}$ . In particular, for  $\mathcal{C} = \mathcal{K}(X)$ , we shall denote  $\mathcal{W}(X, \mathcal{K}(X))$  by  $\mathcal{W}(X)$ .

The topology  $T_{\mathcal{W}(X, \mathcal{C})}$  on  $\mathcal{W}(X, \mathcal{C})$ , having as a base for the closed sets the family

$$\mathcal{B} = \{A^* : A \in \mathcal{C}\}, \quad A^* = \{\mathcal{F} \in \mathcal{W}(X, \mathcal{C}) : A \in \mathcal{F}\},$$

is called the *Wallman topology corresponding to the base  $\mathcal{C}$* . Since for every  $A \in \mathcal{C}$ ,  $(A^*)^c = \{\mathcal{F} \in \mathcal{W}(X, \mathcal{C}) : \exists F \in \mathcal{F} \text{ such that } F \cap A = \emptyset\}$ , it follows that

$$(1.4) \quad \mathcal{U} = \{U^* : U^c \in \mathcal{C}\} \text{ and} \\ U^* = \{\mathcal{F} \in \mathcal{W}(X, \mathcal{C}) : \exists F \in \mathcal{F} \text{ such that } F \subseteq U\}$$

is a base for  $\mathcal{W}(X, \mathcal{C})$ . In the sequel,  $\mathcal{W}(X, \mathcal{C})$  will denote the topological space  $(\mathcal{W}(X, \mathcal{C}), T_{\mathcal{W}(X, \mathcal{C})})$ . We shall be referring to  $\mathcal{W}(X, \mathcal{C})$  as the *Wallman space of  $X$  corresponding to the base  $\mathcal{C}$* .

A  $T_1$ -compactification of  $X$  is a compact  $T_1$  space  $(Y, Q)$  such that  $X$  embeds in  $Y$  as a dense subspace. We recall (See [14, Theorem IV.3, p. 138]) that, in ZFC, a *Wallman compactification* of  $(X, T)$  is the topological space  $\mathcal{W}(X, \mathcal{C})$ .

If  $(X, T)$  and  $(Y, P)$  are topological spaces and  $f : X \rightarrow Y$  is a  $1 : 1$ , onto continuous and open mapping, then we will write  $X \simeq Y$  and say that  $X$  and  $Y$  are *homeomorphic*.

**BPI:** Every Boolean algebra has a prime ideal. (It is known that BPI is equivalent in ZF to each one of the statements: “The Tychonoff product of compact  $T_2$  spaces is compact” (see [15]) and “ $2^X$  is compact for every infinite set  $X$ ” (see [13]).)

**CFE** (Axiom of Closed Filter Extendability): For every topological space  $(X, T)$ , every filter base  $\mathcal{G}$  of closed subsets of  $X$  extends to a closed ultrafilter  $\mathcal{F}$ .

**CFE<sub>1</sub>:** CFE restricted to  $T_1$  spaces.

**TCT** (Tychonoff Compactness Theorem): For every family  $\{(X_i, T_i) : i \in I\}$  of compact topological spaces, the Tychonoff product  $X = \prod_{i \in I} X_i$  is compact. (It is known that TCT if and only if TCT restricted to  $T_1$  spaces (see [8]).)

**AC(I):** For every family  $\mathcal{A} = \{A_i : i \in I\}$  of nonempty sets there exists a function  $f : \mathcal{A} \rightarrow \bigcup \mathcal{A}$  such that  $f(A_i) \in A_i$  for all  $i \in I$ .

**AC** (Axiom of Choice): For every set  $I$ , **AC(I)**.

**AC<sub>fin</sub>:** **AC** restricted to families of nonempty finite sets.

**CAC** (Countable Axiom of Choice): **AC**( $\omega$ ). It is known (see [7]) that **CAC** is equivalent to its partial version **PCAC**; i.e., every countably infinite family of nonempty sets has an infinite subfamily with a choice function.

## 2. Introduction and Some Known Results

If  $(X, T)$  is a topological space, then one cannot prove the existence of a closed ultrafilter of  $X$  in ZF. In fact, Horst Herrlich [3] has shown the following.

**Theorem 2.1.** *Every topological space has a closed ultrafilter if and only if AC.*

However, if  $(X, T)$  is a  $T_1$  space, then one can always prove in ZF the existence of a closed ultrafilter. Indeed, for every  $x \in X$ ,

$$(2.1) \quad \mathcal{F}(x) = \{F \subseteq X : x \in F, F^c \in T\}$$

is easily seen to be a closed ultrafilter of  $X$ . More generally, if  $\mathcal{C}$  is a  $T_1$  base for  $X$ , then  $\mathcal{F}(x) = \{F \in \mathcal{C} : x \in F\}$  is a  $\mathcal{C}$ -ultrafilter. (This follows from condition (iii) in the definition of a  $T_1$  base; see section 1.) It follows that we can rephrase  $\text{CFE}_1$  as “every free filter base  $\mathcal{G}$  of closed subsets of a  $T_1$  space  $(X, T)$  extends to a closed ultrafilter  $\mathcal{F}$ ” and “For every  $T_1$  space  $(X, T)$ , for every  $T_1$  base  $\mathcal{C}$  of  $X$ , every filter base  $\mathcal{G} \subset \mathcal{C}$  extends to a  $\mathcal{C}$ -ultrafilter  $\mathcal{F}$ ” as “for every  $T_1$  space  $(X, T)$  for every  $T_1$  base  $\mathcal{C}$  of  $X$ , every free filter base  $\mathcal{G} \subset \mathcal{C}$  extends to a  $\mathcal{C}$ -ultrafilter  $\mathcal{F}$ .”

CFE was introduced in [6] where Herrlich and Juris Steprāns established the following.

**Theorem 2.2.** *AC if and only if CFE + CAC.*

They asked whether CFE implies CAC. In [10, Theorem 2], it was shown that the answer to this question is in the affirmative. If the readers go through the proof of Theorem 2.2 as is given in [6, Proposition 2, p. 700], they will realize that they can use the same proof in order to establish the following.

**Theorem 2.3.** *AC if and only if  $\text{CFE}_1$  + CAC.*

Unlike the case of Theorem 2.2, one cannot use the proof of Theorem 2 in [10] to show that  $\text{CFE}_1$  implies CAC. The space involved in that proof satisfies the  $T_0$  axiom but not the  $T_1$ . In fact, this is an intriguing open problem we shall be concerned with in this paper.

**Theorem 2.4.** (i) *AC implies “For every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ ” implies “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ .”*

(ii) *For every  $T_1$  space  $(X, T)$  and for every  $T_1$  base  $\mathcal{C}$  of  $X$ , every filter base  $\mathcal{G} \subset \mathcal{C}$  extends to a  $\mathcal{C}$ -ultrafilter  $\mathcal{F}$  if and only if for every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ .*

(iii)  $\text{CFE}_1$  if and only if “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ .”

(iv)  $\text{CFE}_1$  implies  $\text{BPI}$  implies  $\text{AC}_{fin}$ .

*Proof.* (i) For the first implication, see the proof of Theorem IV.3, p. 138, in [14]. The proof of the second implication is straightforward.

(ii) ( $\rightarrow$ ) Let  $(X, T)$  be a  $T_1$  space and let  $\mathcal{C}$  be a  $T_1$  base for  $X$ . To prove that  $\mathcal{W}(X, \mathcal{C})$  is compact, we start with a family  $\mathcal{G} = \{G_i^* : i \in I\}$ , where for all  $i \in I$ ,  $G_i \in \mathcal{C}$ , having the *fi*p. Then  $\mathcal{H} = \{G_i : i \in I\} \subset \mathcal{C}$  is a family with the *fi*p. By our hypothesis,  $\mathcal{H}$  extends to a  $\mathcal{C}$ -ultrafilter  $\mathcal{F}$ . Clearly,  $\mathcal{F} \cap \{G_i^* : i \in I\} \neq \emptyset$ , and consequently  $\mathcal{W}(X, \mathcal{C})$  is compact.

In addition to being compact, see [14, Theorem IV.3, p. 138],  $\mathcal{W}(X, \mathcal{C})$  is  $T_1$  and the mapping

$$(2.2) \quad \varphi : X \rightarrow \mathcal{W}(X, \mathcal{C}), \quad \varphi(x) = \mathcal{F}(x),$$

where  $\mathcal{F}(x) = \{F \in \mathcal{C} : x \in F\}$ , is a topological embedding such that  $\overline{\varphi(X)} = \mathcal{W}(X, \mathcal{C})$ . Thus,  $\mathcal{W}(X, \mathcal{C})$  is a  $T_1$  compactification of  $X$ .

(ii) ( $\leftarrow$ ) Let  $(X, T)$  be a  $T_1$  space,  $\mathcal{C}$  a  $T_1$  base for  $X$ , and  $\mathcal{H}$  a free filter base of  $\mathcal{C}$ . Clearly,  $\{H^* : H \in \mathcal{H}\}$  is a family of closed subsets of  $\mathcal{W}(X, \mathcal{C})$  having the *fi*p. Hence, by our hypothesis,  $K = \bigcap \{H^* : H \in \mathcal{H}\} \neq \emptyset$ . Clearly, any  $\mathcal{F} \in K$  extends  $\mathcal{H}$ .

(iii) Follow the proof of (i) with  $\mathcal{K}(X)$  in place of  $\mathcal{C}$ .

(iv) The first implication is straightforward and the second is well known; see [7].  $\square$

At this point, one may ask the following questions.

**Question 2.5.** Does “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” imply  $\text{AC}$ ?

**Question 2.6.** Does “For every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ ” imply  $\text{AC}$ ?

**Question 2.7.** Does “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” imply “For every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ ”?

Taking into consideration Theorem 2.4 and Theorem 2.3, Question 2.5 reduces to the one introduced after the statement of Theorem 2.3. Namely,

**Question 2.8.** Does  $\text{CFE}_1$  imply  $\text{CAC}$ ?

With regard to Question 2.6, we prove in Theorem 4.1 that the answer is in the affirmative. Hence, Question 2.7 is simply a rewording of Question 2.5.

Regarding Question 2.8, the best we could get is stated in Theorem 4.3. Namely,  $\text{CFE}_1$  implies *every infinite set has a countably infinite subset*. We conjecture that the answer to Question 2.8 is in the negative.

Before we set out proving our results, let us list a few results which we are going to use in the sequel.

**Proposition 2.9** ([9]). *Let  $\{(X_i, T_i) : i \in I\}$  be a family of topological spaces and let  $X = \prod_{i \in I} X_i$  be their product. Then the following hold.*

- (i) *A closed subset  $F$  of  $X$  is restricted closed if and only if there exists a finite set  $Q \subseteq I$  such that  $F = \bigcap \{\cup \{\pi_q^{-1}(F_{jq}) : q \in Q\} : j \in J\}$ , where for  $j \in J$  and  $q \in Q$ ,  $F_{jq}^c \in T_q$ .*
- (ii) *The union (intersection) of finitely many restricted closed sets is a restricted closed set. In particular, if each  $X_i$  is  $T_1$ , then  $\mathcal{C}_R(X)$  is a  $T_1$  base for  $X$ .*
- (iii) *Every closed subset of  $X$  can be expressed as an intersection of fewer than  $|I|^{<\omega}$ -many restricted closed sets.*

**Proposition 2.10** (ZF). (i) *The product of finitely many compact spaces is compact.*

(ii) *Let  $(X, T)$  be a topological space and let  $(Y, Q)$  be a compact space. Then the projection  $\pi_X : X \times Y \rightarrow X$  of  $X \times Y$  onto  $X$  is a closed map.*

(iii) *Let  $(X, T)$  be a topological space and  $\mathcal{B}$  a base for  $X$ . Then  $X$  is compact if and only if every open cover  $\mathcal{U} \subset \mathcal{B}$  has a finite subcover if and only if every family  $\mathcal{H} \subset \{B^c : B \in \mathcal{B}\}$  having the fip has a nonempty intersection.*

*Proof.* The proof of (i) is well known and it is demonstrated in any standard textbook, such as [12] and [18]. For the proof of (ii), see [12, Exercise 8, p. 172]. Finally, the proof of (iii) is well known and straightforward.  $\square$

**Proposition 2.11.** (i) *AC if and only if TCT.*

(ii) *TCT restricted to countable families of compact topological spaces implies CAC.*

*Proof.* (i)  $\text{TCT} \rightarrow \text{AC}$  has been proved in [8]. There is a plethora of proofs of the implication  $\text{AC} \rightarrow \text{TCT}$ ; see [9, Theorem 2.1] for a recent one. In fact, in every book of general topology there is such a proof. The following proof is the shortest one attributing the necessary appreciation to  $\text{CFE}_1$ .

Fix  $\{(X_i, T_i) : i \in I\}$  a family of compact  $T_1$  spaces. We show that  $X = \prod_{i \in I} X_i$  is compact. Fix, by Proposition 2.10(iii),

$$(2.3) \quad \mathcal{H} = \{\cup \{\pi_q^{-1}(F_{jq}) : q \in Q_j\} : j \in J\} \subset \mathcal{C}(X),$$

where for all  $j \in J$ ,  $Q_j \in [I]^{<\omega}$ , and for all  $j \in J$  and  $q \in Q_j$ ,  $F_{jq}^c \in T_q$ , a family with the fip and, by AC, let  $\mathcal{F}$  be a closed ultrafilter extending  $\mathcal{H}$ .



For every  $i \in I$ , let  $A_i = \bigcap \mathcal{F}_i$  where  $\mathcal{F}_i$  is given by (1.3). Since  $X_i \in \mathcal{F}_i$  and  $X_i$  is compact, it follows that  $A_i \neq \emptyset$ . By AC, fix  $a_i \in A_i$ ,  $i \in I$ , and let  $a \in X$  satisfy  $a(i) = a_i$  for all  $i \in I$ . Since  $\mathcal{F}$  is maximal, it follows that, for every  $j \in J$ , there is  $q_j \in Q_j$  with  $\pi_{q_j}^{-1}(F_{jq}) \in \mathcal{F}$ . Hence, for every  $j \in J$ ,  $a \in \pi_{q_j}^{-1}(F_{jq})$ , and consequently  $a \in \bigcap \mathcal{H}$  and  $X$  is compact as required.

(ii) Use the proof of TCT  $\rightarrow$  AC given in [8]. □

**Remark 2.12.** Regarding Question 2.8, we may conclude from the proof of Proposition 2.11 that if  $\text{CFE}_1$  implies for every  $i \in I$ ,  $\mathcal{F}_i$  is a closed ultrafilter, as is the case when  $\mathcal{F}$  is a  $\mathbf{C}(X)$ -ultrafilter or a  $\mathcal{C}_R(X)$ -ultrafilter (see [9, Theorem 3.2]), then  $\text{CFE}_1$  is equivalent to AC.

In the spirit of Proposition 2.11 we could not resist giving a very simple and notably short proof of the following characterization of the Boolean Prime Ideal Theorem (BPI) provided by Eric Schechter [16].

**Proposition 2.13.** BPI if and only if the Tychonoff product of spaces each endowed with the cofinite topology is compact.

*Proof.* ( $\rightarrow$ ) Let  $\{(X_i, T_i) : i \in I\}$  be a family of spaces such that  $T_i$  is the cofinite topology on  $X_i$  for every  $i \in I$ . Let  $X$  be its Tychonoff product. If  $X = \emptyset$ , then there is nothing to show. So let  $x = (x_i)_{i \in I} \in X$ . Let  $\mathcal{H}$  be given by (2.3), and, by BPI, let  $\mathcal{F}$  be an ultrafilter of  $X$  including  $\mathcal{H}$ . For every  $i \in I$ , let  $A_i = \bigcap \mathcal{F}_i$ , where  $\mathcal{F}_i$  is given by (1.3). Clearly,  $A_i \neq \emptyset$  for all  $i \in I$ . Furthermore, as  $A_i$  is closed in  $X_i$  and  $T_i$  is the cofinite topology, we have that either  $A_i = X_i$  or  $A_i$  is finite. In the second case, it easily follows from the maximality of  $\mathcal{F}$  that  $A_i$  is a singleton, say  $A_i = \{a_i\}$ . Now define a function  $f \in X$  by requiring  $f(i) = x_i$  if  $A_i = X_i$ , and  $f(i) = a_i$  otherwise. As in the proof of Proposition 2.11, one may verify that  $f \in \bigcap \mathcal{H} \neq \emptyset$ . Thus,  $X$  is compact as required.

( $\leftarrow$ ) The hypothesis readily implies the equivalent form of BPI “for every infinite set  $X$ ,  $2^X$  is compact.” □

### 3. Some Preliminary Results

**Proposition 3.1.** Let  $(X, T)$  be a  $T_1$  space and let  $\mathcal{C}$  be a  $T_1$  base for  $X$ . If  $X$  has no free  $\mathcal{C}$ -ultrafilters, then  $X \simeq \mathcal{W}(X, \mathcal{C})$ . In particular, if  $X$  is compact, then  $X \simeq \mathcal{W}(X, \mathcal{C}) \simeq \mathcal{W}(X)$ .

*Proof.* The proof follows at once from the observation that  $\mathcal{W}(X, \mathcal{C}) = \{\mathcal{F}(x) : x \in X\}$ , where  $\mathcal{F}(x) = \{F \in \mathcal{C} : x \in F\}$ , and the proof of Theorem IV.3, p. 138, in [14]. (The function  $\varphi : X \rightarrow \mathcal{W}(X, \mathcal{C})$ ,  $\varphi(x) = \mathcal{F}(x)$ , is an onto topological embedding.) □

**Remark 3.2.** (i) If  $T$  is the discrete topology on the infinite set  $X$  and  $X \simeq \mathcal{W}(X)$ , then  $X$  has no free ultrafilters. (If  $h : X \rightarrow \mathcal{W}(X)$  is a homeomorphism and  $\mathcal{F}$  is a free ultrafilter of  $X$ , then  $\mathcal{F} = h(x)$  for some  $x \in X$ . Since  $\{x\} \in T$ , it follows that  $\{\mathcal{F}\}$  is open in  $\mathcal{W}(X)$ . Hence, there exists  $A \subset X$  with  $(A^*)^c = \{\mathcal{F}\}$ . Clearly,  $A \neq X$ , so let  $y \in A^c$ . Since  $\mathcal{F}$  is free,  $\{y\} \notin \mathcal{F}$ . Consider the ultrafilter  $\mathcal{G} = \{G \subset X : y \in G\}$  of  $X$ . Since  $\{y\} \cap A = \emptyset$ ,  $\mathcal{G} \in (A^*)^c$  and  $\mathcal{G} \neq \mathcal{F}$ . This is a contradiction; hence,  $X$  has no free ultrafilters.)

(ii) Let  $(X, T)$  be a  $T_1$  space and  $h : X \rightarrow \mathcal{W}(X)$  be a homeomorphism. If  $\mathcal{F}$  is a free closed ultrafilter of  $X$ , then  $X$  has a countably infinite subset. Indeed,  $\mathcal{F} = h(x_1)$  for some unique  $x_1 \in X$  and by a straightforward induction, there exists (unique)  $x_{n+1} \in X \setminus \{x_1, \dots, x_n\}$  such that  $h(x_{n+1}) = \mathcal{F}_{x_n} = \{F \subset X : F^c \in T \text{ and } x_n \in F\}$ .

Clearly, in products  $X = \prod_{i \in I} X_i$ , projections of members of  $\mathcal{C}(X)$  are closed sets. We show next, as expected, that the members of  $\mathbf{C}(X)$  share the same property. This property of the base  $\mathbf{C}(X)$  turns out to be very useful in the sequel.

**Proposition 3.3.** *Let  $\{(X_i, T_i) : i \in I\}$  be a family of topological spaces and let  $X = \prod_{i \in I} X_i$  be their Tychonoff product. For every  $F \in \mathbf{C}(X)$  and for every  $i \in I$ ,  $\pi_i(F)$  is a closed set.*

*Proof.* Fix  $F \in \mathbf{C}(X)$ . By (1.2), express  $F$  as

$$F = \cup\{\cap\{f(v) : v \leq n\} : f \in Y = \prod_{v \leq n} \{\pi_q^{-1}(F_{qv}) : q \in Q_v\}\}.$$

Since  $|Y| < \aleph_0$  and for all  $f \in Y$ ,  $\pi_i(\cap\{f(v) : v \leq n\})$  is a closed set, it follows that  $\pi_i(F)$  is a closed set as required.  $\square$

**Proposition 3.4.** *Let  $\{(X_i, T_i) : i \in I\}$  be a family of topological spaces with Tychonoff product  $X \neq \emptyset$  and let  $\mathcal{F}$  and  $\mathcal{H}$  be  $\mathbf{C}(X)$ -ultrafilters. Then*

(i)  $\mathbf{F} = \mathcal{F} \cap \mathcal{C}_1(X)$  is a maximal subfamily of  $\mathcal{C}_1(X)$  with the fip, and for every  $i \in I$ ,  $\mathcal{F}_i = \pi_i(\mathbf{F}) = \{\pi_i(K) : K \in \mathbf{F}\}$  is a closed ultrafilter, where  $\mathcal{F}_i$  is given by (1.3). Furthermore, the  $\mathbf{C}(X)$ -filter  $\mathcal{G}$  generated by  $\mathbf{F}$  coincides with  $\mathcal{F}$ . In particular, if  $\mathcal{F} \neq \mathcal{H}$ , then there exists  $i \in I$  with  $\mathcal{F}_i \neq \mathcal{H}_i$ , where for all  $i \in I$ ,  $\mathcal{F}_i$  and  $\mathcal{H}_i$  are given by (1.3).

(ii) If, for every  $i \in I$ ,  $\mathcal{F}_i$  is a closed ultrafilter of  $X_i$ , then the  $\mathbf{C}(X)$ -filter  $\mathcal{F}$  generated by  $\{\pi_i^{-1}(F) : i \in I, F \in \mathcal{F}_i\}$  is a  $\mathbf{C}(X)$ -ultrafilter.

*Proof.* (i)  $\mathbf{F}$  is maximal with the fip and  $\pi_i(\mathbf{F}) = \{\pi_i(K) : K \in \mathbf{F}\}$  is a closed ultrafilter follow at once from [9, Theorem 3.2(i)]. (Note that

the compactness of the spaces  $(X_i, T_i)$  is not used in Theorem 3.2(i);  $\mathbf{F} = (\mathcal{F} \cap \mathcal{C}(X)) \cap \mathcal{C}_1(X)$  and  $\mathcal{F} \cap \mathcal{C}(X)$  is a maximal subfamily of  $\mathcal{C}(X)$  with the *fi*p.)  $\mathcal{F}_i = \pi_i(\mathbf{F})$  follows from Proposition 3.3 and the fact that  $\mathcal{F}$  is a  $\mathbf{C}(X)$ -filter.

Regarding the equality  $\mathcal{F} = \mathcal{G}$ , the inclusion  $\mathcal{G} \subseteq \mathcal{F}$  is obvious. To see  $\mathcal{F} \subseteq \mathcal{G}$ , fix  $F \in \mathcal{F}$  and, by (1.1), express  $F$  as

$$(3.1) \quad F = \bigcap \{Z_i : i \in n\},$$

where  $n \in \mathbb{N}$ ; for all  $i \in n$ ,  $Z_i = \bigcup \{\pi_q^{-1}(F_{qi}) : q \in Q_i\}$ ,  $Q_i \in [I]^{<\omega}$ ; and for all  $q \in Q_i$ ,  $F_{qi}^c \in T_q$ . By the maximality of  $\mathcal{F}$ , fix, for every  $i \in n$ , a  $q_i \in Q_i$  with  $\pi_{q_i}^{-1}(F_{q_i i}) \in \mathcal{F}$  and let  $G = \bigcap \{\pi_{q_i}^{-1}(F_{q_i i}) : i \in n\}$ . As  $F \supseteq G \in \mathcal{G}$ , we see that  $F \in \mathcal{G}$  and  $\mathcal{F} \subseteq \mathcal{G}$  as required.

(ii) Fix  $F \in \mathbf{C}(X)$  such that  $\{F\} \cup \mathcal{F}$  has the *fi*p. We show that  $F \in \mathcal{F}$ . Express  $F$  in the form of (3.1). Clearly, for every  $i \in n$ ,  $\{Z_i\} \cup \mathcal{F}$  has the *fi*p. Hence, for every  $i \in n$ , there is a  $q_i \in Q_i$  such that  $\pi_{q_i}^{-1}(F_{q_i i}) \in \mathcal{F}_i$ . (If not, then there is an  $i \in n$  such that for every  $q \in Q_i$ , there exists, by the maximality of  $\mathcal{F}_i$ , a closed subset  $B_q$  of  $X_q$  such that  $B_q \in \mathcal{F}_q$  and  $\pi_q^{-1}(B_q) \cap \pi_q^{-1}(F_{q_i i}) = \emptyset$ . Hence,  $K \cap Z_i = \emptyset$ ,  $K = \bigcap \{\pi_q^{-1}(B_q) : q \in Q_i\} \in \mathcal{F}$ , contradicting the *fi*p of  $\{Z_i\} \cup \mathcal{F}$ .) Since  $F \supseteq \bigcap \{\pi_{q_i}^{-1}(F_{q_i i}) : i \in n\} \in \mathcal{F}$ , it follows that  $F \in \mathcal{F}$  as required.  $\square$

In the next proposition we extract the essence of the proof of Proposition 2.11(i) in order to use it in the rest of the paper.

**Proposition 3.5.** (i) *Let  $\{(X_i, T_i) : i \in I\}$  be a family of topological spaces with Tychonoff product  $X$ . Assume that  $\mathcal{F}$  is an  $\mathcal{E}$ -ultrafilter, where  $\mathcal{C}(X) \subset \mathcal{E} \subseteq \mathcal{K}(X)$ . Then  $\prod_{i \in I} (\bigcap \mathcal{F}_i) \subseteq \bigcap \mathcal{F}$ , where  $\mathcal{F}_i$  is given by (1.3).*

*Hence, if  $\prod_{i \in I} (\bigcap \mathcal{F}_i) \neq \emptyset$ , then  $\mathcal{F}$  is not free. In particular, if each  $X_i$  is compact and  $T_1$ , then  $X$  has no free  $\mathbf{C}(X)$ -ultrafilters. Hence, TCT if and only if “For every non-compact  $T_1$  space  $(X, T)$  and for every  $T_1$  base  $\mathcal{C}$  of  $X$ , there is a free  $\mathcal{C}$ -ultrafilter  $\mathcal{F}$ .”*

(ii) *Every non-compact  $T_1$  topological space has a free closed ultrafilter implies BPI.*

*Proof.* (i) Let  $x = (x_i)_{i \in I} \in \prod_{i \in I} (\bigcap \mathcal{F}_i)$ . We show that  $x \in \bigcap \mathcal{F}$ . To this end, fix  $F \in \mathcal{F}$ . Since  $\mathcal{C}(X)$  is a base for the closed sets of  $X$ , we express  $F$  as

$$F = \bigcap \{Z_j : j \in J\},$$

where for all  $j \in J$ ,  $Z_j = \bigcup \{\pi_q^{-1}(F_{qj}) : q \in Q_j\}$ ,  $Q_j \in [I]^{<\omega}$ , and for all  $q \in Q_j$ ,  $F_{qj}^c \in T_q$ . Since  $\mathcal{F}$  is a filter and  $F \in \mathcal{F}$ , it follows that for every  $j \in J$ ,  $Z_j \in \mathcal{F}$ .

We shall show that  $x \in Z_j$  for all  $j \in I$ ; hence,  $x \in F$ . To this end, fix an index  $j_0 \in J$ . As  $Q_{j_0}$  is finite and  $\mathcal{F}$  is an  $\mathcal{E}$ -ultrafilter, it follows that there is a  $q_* \in Q_{j_0}$  such that  $\pi_{q_*}^{-1}(F_{q_*j_0}) \in \mathcal{F}$ . Then

$$F_{q_*j_0} = \pi_{q_*}(\pi_{q_*}^{-1}(F_{q_*j_0})) \in \mathcal{F}_{q_*}.$$

Thus,  $\bigcap \mathcal{F}_{q_*} \subseteq F_{q_*j_0}$  and since  $x(q_*) \in \bigcap \mathcal{F}_{q_*}$ , we have that  $x(q_*) \in F_{q_*j_0}$ . Hence,

$$x \in \pi_{q_*}^{-1}(F_{q_*j_0}) \subset \cup \{ \pi_q^{-1}(F_{qj_0}) : q \in Q_{j_0}, F_{qj_0}^c \in T_q \} = Z_{j_0}.$$

Thus,  $x \in Z_{j_0}$  and since  $j_0$  was arbitrary, it follows that  $x \in \bigcap \{Z_j : j \in J\} = F$ . Therefore,  $x \in \bigcap \mathcal{F}$  as required.

To see the last assertion, fix  $\mathcal{F}$  a  $\mathbf{C}(X)$ -ultrafilter. Then, by Proposition 3.4, each  $\mathcal{F}_i$  is a closed ultrafilter of  $X_i$ . For all  $i \in I$ , let  $x_i$  be the unique element of  $\bigcap \mathcal{F}_i$ . (Recall that  $X_i$  is compact. Thus, all closed ultrafilters of  $X_i$  are not free and singletons are closed since  $X_i$  is a  $T_1$  space.) By (i), the element  $x \in X$ , satisfying for all  $i \in I$ ,  $x(i) = x_i$ , is in  $\bigcap \mathcal{F}$ . Hence,  $\mathcal{F}$  is not free as required.

(ii) If for some  $X$ ,  $2^X$  is not compact, then, by our hypothesis,  $2^X$  has a free closed ultrafilter  $\mathcal{F}$ . As each  $\mathcal{F}_i$  is clearly a closed ultrafilter (canonical projections of closed subsets of  $2^X$  are closed), it follows, similarly to the proof of (i), that  $\mathcal{F}$  is not free. This is a contradiction showing that  $2^X$  is compact.  $\square$

**Proposition 3.6.** *Let  $\{(X_i, T_i) : i \in I\}$  be a family of compact topological spaces with Tychonoff product  $X$ .*

- (i) *If  $\mathcal{F}$  is a  $\mathcal{C}_R(X)$ -ultrafilter, then  $\mathbf{F} = \mathcal{F} \cap \mathbf{C}(X)$  is a  $\mathbf{C}(X)$ -ultrafilter.*
- (ii) *If  $\mathcal{F}$ ,  $\mathcal{H}$ , and  $\mathcal{F} \neq \mathcal{H}$  are  $\mathcal{C}_R(X)$ -ultrafilters, then  $\mathbf{F} \neq \mathbf{H} = \mathcal{H} \cap \mathbf{C}(X)$ .*
- (iii) *If  $\mathbf{F}$  is a  $\mathbf{C}(X)$ -ultrafilter, then the filter  $\mathcal{F}$  generated by the collection  $\mathcal{S} = \{ \bigcap \mathcal{F}_Q : Q \in [I]^{<\omega} \text{ and } Q \text{ is a set of restricted coordinates of some member of } \mathbf{F} \}$ , where  $\mathcal{F}_Q = \{ F \in \mathbf{F} : Q_F = Q \}$ , is a  $\mathcal{C}_R(X)$ -ultrafilter. (Note that  $\bigcap \mathcal{F}_Q \neq \emptyset$  by the compactness of  $\prod_{i \in Q} X_i$  for a finite subset  $Q$  of  $I$ .)*

*Proof.* (i) This follows at once from [9, Proposition 3.2(ii)].

(ii) Since  $\mathcal{F} \neq \mathcal{H}$ , there exist  $F \in \mathcal{F}$  and  $H \in \mathcal{H}$  with  $F \cap H = \emptyset$ . By Proposition 2.9, express  $F$  and  $H$  as

$$(3.2) \quad \begin{aligned} F &= \bigcap \{ \cup \{ \pi_q^{-1}(F_{jq}) : q \in Q_F \} : j \in J \} \text{ and} \\ H &= \bigcap \{ \cup \{ \pi_q^{-1}(F_{vq}) : q \in Q_H \} : v \in V \}, \end{aligned}$$

where for each  $j \in J$  and  $q \in Q_F$ ,  $F_{jq}^c \in T_q$ , and for each  $v \in V$  and  $q \in Q_H$ ,  $F_{vq}^c \in T_q$ . Let  $Q = Q_F \cup Q_H$ . By the compactness of  $Y_Q = \prod_{q \in Q} X_q$ , there exist finite subsets  $\mathcal{K}$  of  $\{\cup\{\pi_q^{-1}(F_{jq}) : q \in Q_F\} : j \in J\}$  and  $\mathcal{W}$  of  $\{\cup\{\pi_q^{-1}(F_{vq}) : q \in Q_H\} : v \in V\}$  such that  $\cap(\mathcal{K} \cup \mathcal{W}) = (\cap\mathcal{K}) \cup (\cap\mathcal{W}) = \emptyset$ . Otherwise,  $F \cap H \neq \emptyset$ , contradicting the hypothesis  $F \cap H = \emptyset$ . Since  $\cap\mathcal{K} \in \mathbf{F}$  and  $\cap\mathcal{W} \in \mathbf{H}$ , we see that  $\mathbf{F} \neq \mathbf{H}$  as required.

(iii) We note, by the compactness of  $Y_Q = \prod_{q \in Q} X_q$  for finite  $Q$ , that  $\mathcal{S}$  has the *fp*. Assume that  $F \in \mathcal{C}_R(X)$  meets non-trivially each member of  $\mathcal{F}$ . We show that  $F \in \mathcal{F}$ . By Proposition 2.9, express  $F$  in the form of (3.3). Since  $\mathbf{F}$  is a  $\mathbf{C}(X)$ -ultrafilter, it follows that  $\{\cup\{\pi_q^{-1}(F_{jq}) : q \in Q_F\} : j \in J\} \subset \mathcal{F}_{Q_F}$ , and consequently  $F = \cap\{\cup\{\pi_q^{-1}(F_{jq}) : q \in Q_F\} : j \in J\} \supseteq \cap\mathcal{F}_{Q_F}$ . Hence,  $F \in \mathcal{F}$  and  $\mathcal{F}$  is a  $\mathcal{C}_R(X)$ -ultrafilter as required.  $\square$

#### 4. On the Existence of Wallman Compactifications of $T_1$ Spaces and the Axiom of Choice

Our first result in this section shows that the existence of Wallman compactifications of  $T_1$  spaces is equivalent to AC.

**Theorem 4.1.** *In ZF, the following statements are pairwise equivalent.*

- (i) AC.
- (ii) *For every  $T_1$  space  $(X, T)$  and for every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ .*
- (iii) *For every  $T_1$  space  $(X, T)$  and for every  $T_1$  base  $\mathcal{C}$  of  $X$ , every filter base  $\mathcal{G} \subset \mathcal{C}$  extends to a  $\mathcal{C}$ -ultrafilter  $\mathcal{F}$ .*
- (iv) TCT.
- (v) *For every non-compact  $T_1$  space  $(X, T)$  and for every  $T_1$  base  $\mathcal{C}$  of  $X$ , there is a free  $\mathcal{C}$ -ultrafilter  $\mathcal{F}$ .*

*Proof.* The implications (i)  $\rightarrow$  (ii)  $\leftrightarrow$  (iii) are established in Theorem 2.4.

(iii)  $\rightarrow$  (iv) has been established in [9, Theorem 5.1].

(iv)  $\leftrightarrow$  (i) is Proposition 2.11(i) and (iv).

(iv)  $\rightarrow$  (v) is straightforward.

Finally, by Proposition 3.5(i), we have (v)  $\leftrightarrow$  (iv).  $\square$

Combining Theorem 2.3 and Theorem 4.1, we get the following straightforward corollary.

**Corollary 4.2.** *In ZF, the following statements are pairwise equivalent.*

- (i) AC.

- (ii) For every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ .
- (iii) “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” + CAC.
- (iv)  $\text{CFE}_1$  + CAC.
- (v) “Every non-compact  $T_1$  topological space has a free closed ultrafilter” + CAC.

In particular, under CAC, the statements  $\text{CFE}_1$ , “Every non-compact  $T_1$  topological space has a free closed ultrafilter,” “For every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ ,” and “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” are pairwise equivalent.

*Proof.* We only show that (v) implies (i). Fix  $\mathcal{A} = \{A_i : i \in I\}$  a pairwise disjoint family of nonempty sets. For every  $i \in I$ , let  $X_i = A_i \cup \{*_i\}$ ,  $*_i \notin A_i$  and  $T_i = \{\{*_i\}, \emptyset\} \cup \{Z \subseteq X_i : |Z^c| < \aleph_0\}$ . Clearly, for every  $i \in I$ ,  $(X_i, T_i)$  is a compact  $T_1$  space. Put  $X = \prod_{i \in I} X_i$ . Follow now the proof of

Proposition 2 in [6] in order to verify that canonical projections of closed subsets of  $X$  are closed. (We indicate here that this is the only point where CAC is needed in the proof of (v)  $\rightarrow$  (i).) It follows, by Proposition 3.5 and our hypothesis, that  $X$  is compact. Since  $\mathcal{B} = \{\pi_i^{-1}(A_i) : i \in I\}$  is a family of closed subsets of  $X$  with the fip, it follows that any  $f \in \bigcap \mathcal{B}$  is a choice function of  $\mathcal{A}$ , finishing the proof of the corollary.  $\square$

In the next theorem we show that “Every non-compact  $T_1$  topological space has a free closed ultrafilter” implies “every infinite set has a countably infinite subset” which is known to be a consequence of CAC; see [7].

**Theorem 4.3.** “Every non-compact  $T_1$  topological space has a free closed ultrafilter”; hence,  $\text{CFE}_1$ , implies every infinite set has a countably infinite subset.

*Proof.* Assume the contrary and let  $A$  be an infinite set without countably infinite subsets. For every  $i \in \mathbb{N}$ , let  $Y_i = Z_i \cup \{*\}$ , where  $Z_i = \{f \in A^i : f \text{ is } 1 : 1\}$  and  $* \notin \cup\{Z_i : i \in \mathbb{N}\}$ . Clearly, for each  $i \in \mathbb{N}$ ,  $(Y_i, Q_i)$ , where

$$Q_i = \{\{*\}, \emptyset\} \cup \{Z \subseteq Y_i : |Z^c| < \aleph_0\},$$

is a compact  $T_1$  space. Put  $Y = \prod_{i \in \mathbb{N}} Y_i$ . If  $Y$  is compact, then  $\mathcal{S} =$

$\{\pi_i^{-1}(Z_i) : i \in \mathbb{N}\}$  is a family of closed subsets of  $Y$  with the fip; hence,  $\bigcap \mathcal{S} \neq \emptyset$  and any element in the latter intersection easily yield an injection from  $\mathbb{N}$  into  $A$ , which contradicts our assumption. So we may assume that  $Y$  is not compact. Furthermore, as  $A$  has no countably infinite subsets,

any infinite subfamily of  $\mathcal{S}$  has empty intersection. By our hypothesis, let  $\mathcal{V}$  be a free closed ultrafilter of  $Y$ . We assert that canonical projections of elements of  $\mathcal{V}$  are closed sets. Assume not. Then there is an  $i \in \mathbb{N}$  and a  $V \in \mathcal{V}$  such that  $\pi_i(V)$  is infinite and  $Z_i \setminus \pi_i(V) \neq \emptyset$ . Express  $V$  as

$$V = \cup\{V_n : n \in \mathbb{N}\}, \quad V_n = \{x \in V : \forall m \geq n, x(m) = *\}$$

Note that for every  $n \in \mathbb{N}$ ,  $V_n$  is a closed subset of  $Y$ . Indeed, let  $n \in \mathbb{N}$  and let  $x \in V_n^c$ . If  $x \in V^c$ , then  $V^c$  is a neighborhood of  $x$  avoiding  $V_n$ . If  $x \in V \setminus V_n$ , then there is  $m \geq n$  such that  $x(m) \in Z_m$ . Clearly,  $\pi_m^{-1}(Z_m)$  is a neighborhood of  $x$  missing  $V_n$ .

For every  $n \in \mathbb{N}$ ,  $\pi_i(V_n)$  is a closed subset of  $Y_i$ . Indeed, fix  $n \in \mathbb{N}$ . If  $i \geq n$ , then  $\pi_i(V_n) = \{*\}$  which is closed in  $Y_i$ . Assume that  $i < n$  and let  $S = \{1, 2, \dots, n-1\}$ . Since  $V_n$  is closed in  $Y$ , it follows that  $V_n$  is closed in the subspace  $Y_1 \times Y_2 \times \dots \times Y_{n-1} \times \prod_{j \geq n} \{*\}$  of  $Y$  which is topologically homeomorphic to the compact space  $W_S = \prod_{j \in S} Y_j$ . Thus, the projection  $p_S(V_n)$  of  $V_n$  onto  $W_S$  is a closed set in  $W_S$ . As  $\prod_{j \in S \setminus \{i\}} Y_j$  is compact, it follows that the projection  $\pi_i$  of  $Y_i \times \prod_{j \in S \setminus \{i\}} Y_j$  (which is homeomorphic to  $W_S$ ) onto  $Y_i$  is a closed map (see Proposition 2.10 (ii)). Hence,  $\pi_i(p_S(V_n))$  is closed in  $Y_i$ . Since  $\pi_i(V_n) = \pi_i(p_S(V_n))$ , we have that  $\pi_i(V_n)$  is closed in  $Y_i$  as asserted.

Since for all  $n \in \mathbb{N}$ ,  $Z_i \neq \pi_i(V_n)$  and  $\pi_i(V_n)$  is closed in  $Y_i$ , it follows that  $\pi_i(V_n) \cap Z_i$  is finite. Therefore,

$$\pi_i(V) \cap Z_i = \pi_i(\cup\{V_n : n \in \mathbb{N}\}) \cap Z_i = \cup\{\pi_i(V_n) \cap Z_i : n \in \mathbb{N}\}$$

is an infinite subset of  $Z_i$  which is expressible as a countable union of finite sets. Since our hypothesis implies  $\text{AC}_{\text{fin}}$  (see Proposition 3.5 and Theorem 2.4), we have that  $\pi_i(V) \cap Z_i$  is a countably infinite subset of  $Z_i$ , say  $\pi_i(V) \cap Z_i = \{f_n : n \in \mathbb{N}\}$ . Clearly,  $|\cup\{\text{Ran}(f_n) : n \in \mathbb{N}\}| = \aleph_0$ , and consequently  $A$  has a countably infinite subset, contradicting our assumption on  $A$ .

Thus, canonical projections of elements of  $\mathcal{V}$  are closed sets and we may follow the proof of Corollary 4.2 in order to verify that  $Y$  is compact. This contradicts our assumption that  $Y$  is not compact. Therefore,  $A$  has a countably infinite subset, finishing the proof of the theorem.  $\square$

**Remark 4.4.** From Theorem 4.3, we conclude that in ZF, BPI implies neither  $\text{CFE}_1$  nor “Every non-compact  $\text{T}_1$  topological space has a free closed ultrafilter.” Indeed, in the basic Cohen model  $\mathcal{M}_1$  in [7], BPI is true, whereas the set of the added Cohen reals has no countably infinite subsets. Thus, “Every non-compact  $\text{T}_1$  topological space has a free closed ultrafilter”; hence,  $\text{CFE}_1$  also fails in  $\mathcal{M}_1$ .

### 5. Wallman Compactifications of Tychonoff Products

In [9, Theorem 5.1] it is shown that the statement “for every family  $\{(X_i, T_i) : i \in I\}$  of compact topological spaces, every family  $\mathcal{H} \subset \mathcal{C}(X), X = \prod_{i \in I} X_i$ , with the *fi*p extends to a maximal family  $\mathcal{F} \subset \mathcal{C}(X)$  with the *fi*p” (or, equivalently, “for every family  $\{(X_i, T_i) : i \in I\}$  of compact topological spaces, every  $\mathbf{C}(X)$ -filter  $\mathcal{H}$  of  $X = \prod_{i \in I} X_i$  extends to a  $\mathbf{C}(X)$ -ultrafilter”) and the proposition “for every family  $\{(X_i, T_i) : i \in I\}$  of compact topological spaces, every  $\mathcal{C}_R(X)$ -filter  $\mathcal{H}$  of  $X = \prod_{i \in I} X_i$  extends to a  $\mathcal{C}_R(X)$ -ultrafilter” are both equivalent to TCT. Hence, for every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces,  $\mathcal{W}(X, \mathbf{C}(X)), X = \prod_{i \in I} X_i$ , is compact if and only if  $\prod_{i \in I} X_i$  is compact if and only if  $\mathcal{W}(X, \mathcal{C}_R(X))$  is compact. It is straightforward to see that TCT implies that for every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces with product  $X$ ,

$$(5.1) \quad \mathcal{W}(X, \mathbf{C}(X)) \simeq \mathcal{W}(X, \mathcal{C}_R(X)) \simeq \prod_{i \in I} \mathcal{W}(X_i) \simeq \prod_{i \in I} X_i.$$

We show in the forthcoming Theorem 5.2 that for a Tychonoff product  $\prod_{i \in I} X_i$  of compact  $T_1$  spaces, (5.1) is actually a ZF-result.

Our first result in this section shows that “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ” is a consequence of the countable axiom of choice CAC.

**Theorem 5.1.** (i) *For any index set  $I$ ,  $\text{AC}(I)$  implies “For every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in I} X_i) \simeq \prod_{i \in I} \mathcal{W}(X_i)$ .”*

(ii) *“For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ” does not imply “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” in ZF.*

(iii) *CAC + “In a countable product of compact  $T_1$  spaces, every filter of closed sets extends to a closed ultrafilter” if and only TCT restricted to countable families of compact topological spaces.*

(iv) *“For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” + “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ” can be added to the list of Corollary 4.2.*

*Proof.* (i) Fix a set  $I$  and let  $\{(X_i, T_i) : i \in I\}$  be a family of compact  $T_1$  spaces with product  $X = \prod_{i \in I} X_i$ . Let  $\mathcal{F}$  be a closed ultrafilter of  $X$ . For



every  $i \in I$ , let  $A_i = \bigcap \mathcal{F}_i$  where  $\mathcal{F}_i$  is given by (1.3). Since  $X_i$  is compact, it follows that  $A_i \neq \emptyset$ . Put  $\mathcal{A} = \{A_i : i \in I\}$  and, by AC(I), let  $x$  be a choice function of  $\mathcal{A}$ . By Proposition 3.5,  $x \in \bigcap \mathcal{F}$ , and consequently  $\mathcal{F}$  is not free. Hence,  $X$  has no free closed ultrafilters and, by Proposition 3.1,  $\mathcal{W}(X) \simeq X$ .

Since for every  $i \in I$ ,  $X_i$  is compact, the function  $\varphi_i : X_i \rightarrow \mathcal{W}(X_i)$ ,  $\varphi_i(x) = \mathcal{F}(x)$  where  $\mathcal{F}(X)$  is given by (2.6), is a homeomorphism. Thus,  $X = \prod_{i \in \omega} X_i \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$  under the mapping  $\phi : \prod_{i \in \omega} X_i \rightarrow \prod_{i \in \omega} \mathcal{W}(X_i)$ ,  $\phi(x)(i) = \varphi_i(x(i))$ . Hence,  $\mathcal{W}(X) \simeq X = \prod_{i \in I} X_i \simeq \prod_{i \in I} \mathcal{W}(X_i)$  as required.

(ii) It is known that in Model  $\mathcal{M}47(n,M)$  in [7], there exists a family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces whose product  $X$  is not compact (it is known that BPI, and hence by Theorem 2.4 “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” also, fails in  $\mathcal{M}47(n,M)$ ) but CAC holds. However, by (i), “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ” holds in  $\mathcal{M}47(n,M)$ .

(iii) It suffices to show  $(\rightarrow)$  as the other implication is straightforward. Let  $\{(X_i, T_i) : i \in \omega\}$  and  $X$  be as in (i). Let  $\mathcal{G}$  be a family of closed subsets of  $X$  with the fip. Let  $\mathcal{F}$  be a closed ultrafilter which includes  $\mathcal{G}$ . As in the proof of (i), we may show that  $\bigcap \mathcal{F} \neq \emptyset$ ; hence,  $\bigcap \mathcal{G} \neq \emptyset$  and  $X$  is compact as required.

(iv) First note that our hypothesis implies TCT restricted to countable families of compact topological spaces ( $X = \prod_{i \in \omega} X_i \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ; hence, by our hypothesis,  $\mathcal{W}(X) \simeq X$  and by “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ,”  $\mathcal{W}(X)$ , hence  $X$ , is compact). The conclusion now follows from Theorem 2.4(iii) and Corollary 4.2.  $\square$

The next theorem indicates a link between Wallman compactifications and Tychonoff’s compactness theorem.

**Theorem 5.2.** (i) (ZF) For every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces with product  $X$ ,  $\mathcal{W}(X, \mathbf{C}(X)) \simeq \prod_{i \in I} \mathcal{W}(X_i) \simeq \prod_{i \in I} X_i$ . In particular, “For every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ ” if and only if TCT.

(ii) (ZF) For every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces with product  $X$ ,  $\mathcal{W}(X, \mathcal{C}_R(X)) \simeq \mathcal{W}(X, \mathbf{C}(X))$ .

(iii) Assume that for every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  topological spaces, for every closed ultrafilter  $\mathcal{F}$  of  $X = \prod_{i \in I} X_i$ , and for every  $i \in I$ ,  $\mathcal{F}_i$ , given by (1.3), is a closed ultrafilter of  $X_i$ . Then  $\mathcal{W}(X) \simeq$

$\prod_{i \in I} \mathcal{W}(X_i) \simeq \prod_{i \in I} X_i$ . In addition, if we add to our hypothesis, “Every non-compact  $T_1$  topological space has a free closed ultrafilter,” then TCT holds.

(iv) AC if and only if “Every non-compact  $T_1$  topological space has a free closed ultrafilter” + “for every family  $\{(X_i, T_i) : i \in I\}$  of infinite compact  $T_1$  topological spaces, for every closed ultrafilter  $\mathcal{F}$  of  $X = \prod_{i \in I} X_i$ , and for every  $i \in I$ ,  $|\mathcal{F}_i| > 2$ , where  $\mathcal{F}_i$  is given by (1.3).”

*Proof.* (i) Fix  $\{(X_i, T_i) : i \in I\}$  a family of compact  $T_1$  spaces and let  $X = \prod_{i \in I} X_i$  be their product. As in the proof of Theorem 5.1(i),  $\prod_{i \in I} \mathcal{W}(X_i) \simeq \prod_{i \in I} X_i$ . Let  $\mathcal{F}$  be a  $\mathbf{C}(X)$ -ultrafilter of  $X$ . By Proposition 3.4, it follows that for all  $i \in I$ , the collection  $\mathcal{F}_i$  given by (1.3) is a closed ultrafilter and since  $X_i$  is a compact  $T_1$  space,  $\cap \mathcal{F}_i$  is a singleton, say  $\{x_i\}$ ,  $i \in I$ . By Proposition 3.5,  $(x_i)_{i \in I} \in \cap \mathcal{F}$ . Thus,  $X$  has no free  $\mathbf{C}(X)$ -ultrafilters, and by Proposition 3.1,  $\mathcal{W}(X, \mathbf{C}(X)) \simeq \prod_{i \in I} X_i$ .

(ii) Let  $h : \mathcal{W}(X, \mathcal{C}_R(X)) \rightarrow \mathcal{W}(X, \mathbf{C}(X))$  be the mapping given by  $h(\mathcal{F}) = \mathbf{F} = \mathcal{F} \cap \mathbf{C}(X)$ . By (i), (ii), and (iii) of Proposition 3.6,  $h$  is well defined,  $1 : 1$ , and onto. We show now that  $h$  is a closed mapping. Let  $A \in \mathcal{C}_R(X)$ . Then by (i) of Proposition 2.9, there is a  $Q \in [I]^{<\omega}$  such that  $A = \cap \{U_j : j \in J\}$ , where for all  $j \in J$ ,  $U_j = \cup \{\pi_q^{-1}(F_{jq}) : F_{jq}^c \in T_q, q \in Q\}$ . It follows that  $h(A^*) = h(\{\mathcal{F} \in \mathcal{W}(X, \mathcal{C}_R(X)) : A \in \mathcal{F}\}) = \cap \{\mathcal{F} \in \mathcal{W}(X, \mathbf{C}(X)) : U_j \in \mathcal{F}\} = \cap \{U_j^* : j \in J\}$ . Thus,  $h(A^*)$  is closed in  $\mathcal{W}(X, \mathbf{C}(X))$ , being an intersection of closed subsets of  $\mathcal{W}(X, \mathbf{C}(X))$ , and consequently  $h$  is closed as required.

Similarly, one may show that  $h$  is continuous. Hence,  $h$  is a homeomorphism.

(iii) As in part (i), our hypothesis implies that  $X$  has no free closed ultrafilters. Hence, by Proposition 3.1,  $\mathcal{W}(X) \simeq \prod_{i \in I} \mathcal{W}(X_i) \simeq \prod_{i \in I} X_i$ .

Since  $X$  has no free closed ultrafilters, our second hypothesis implies that  $X$  is compact.

(iv) It suffices to show  $(\leftarrow)$  as the other implication is straightforward. To this end, it suffices, in view of Corollary 4.2(v), to show that CAC holds. Fix  $\mathcal{A} = \{A_i : i \in \omega\}$  a family of pairwise disjoint nonempty sets. for every  $i \in \omega$ , let  $X_i, T_i, X$ , and  $\mathcal{B}$  be as in the proof of Corollary 4.2. If  $X$  is compact, then any  $f \in \cap \mathcal{B}$  is a choice function of  $\mathcal{A}$ . If  $X$  is not compact, then  $X$  has a free closed ultrafilter  $\mathcal{F}$ . For every  $i \in \omega$ , let  $\mathcal{F}_i$  be given by (1.3). Clearly, for every  $i \in \omega$ ,  $V_i = \cap \mathcal{F}_i \neq \emptyset$ . If  $|\mathcal{B} \cap \mathcal{F}| < \aleph_0$ , then for all  $\infty i \in \omega$ ,  $V_i = \{*_i\}$  ( $X_i = A_i \cup \{*_i\}$  and by the maximality of  $\mathcal{F}$ , either  $\pi_i^{-1}(A_i) \in \mathcal{F}$  or  $\pi_i^{-1}(\{*_i\}) \in \mathcal{F}$ ). For every  $i \in \omega$  with  $V_i \neq \{*_i\}$ , fix  $a_i \in V_i$  and let  $a \in X$  satisfy  $a(i) = a_i$  if  $V_i \neq \{*_i\}$

and  $a(i) = *_{i}$  otherwise. As in Proposition 3.5, it follows that  $a \in \cap \mathcal{F}$ . Hence,  $\mathcal{F}$  is not free. This is a contradiction showing that  $|\mathcal{B} \cap \mathcal{F}| = \aleph_0$ . In view of the equivalence between PCAC and CAC, we may assume, for our convenience, that  $\mathcal{B} \subset \mathcal{F}$ . Since  $|\mathcal{F}_i| > 2$  for all  $i \in \omega$ , it follows easily that each  $V_i$  is a finite nonempty subset of  $A_i$ . Hence, by “Every non-compact  $T_1$  topological space has a free closed ultrafilter,” Proposition 3.5(ii), and Theorem 2.4(iv),  $\{V_i : i \in \omega\}$  has a choice function  $f$ . Clearly,  $f$  is also a choice function of  $\mathcal{A}$ , finishing the proof of (iv) and the proof of the theorem.  $\square$

The question which arises at this point is whether the compactness requirement in Theorem 5.2(i) can be dropped. We show next that this can be done.

**Theorem 5.3 (ZF).** *For every family  $\{(X_i, T_i) : i \in I\}$  of  $T_1$  spaces, their product  $X$  satisfies  $\mathcal{W}(X, \mathbf{C}(X)) \simeq \prod_{i \in I} \mathcal{W}(X_i)$ . In particular, TCT implies “for every family  $\{(X_i, T_i) : i \in I\}$  of  $T_1$  spaces,  $\prod_{i \in I} \mathcal{W}(X_i)$  is a Wallman compactification of the product  $\prod_{i \in I} X_i$ ,” a special case of a more general ZFC result established in [11].*

*Proof.* We show that the mapping  $h : \mathcal{W}(X, \mathbf{C}(X)) \rightarrow \prod_{i \in I} \mathcal{W}(X_i)$  (given by  $h(\mathcal{F}) = (\mathcal{F}_i)_{i \in I}$ , where for all  $i \in I$ ,  $\mathcal{F}_i$  is given by (1.3)) is a homeomorphism.

By Proposition 3.4,  $h$  is well defined, 1 : 1, and onto.

Additionally,  $h$  is continuous. Fix  $\pi_i^{-1}(A^*)$ ,  $A^c \in T_i$  a subbasic closed set of  $\prod_{i \in I} \mathcal{W}(X_i)$ . Since  $\pi_i^{-1}(A^*) = \{(\mathcal{F}_q)_{q \in I} \in \prod_{q \in I} \mathcal{W}(X_q) : A \in \mathcal{F}_i\}$ , we see that  $h^{-1}(\pi_i^{-1}(A^*)) = \{\mathcal{F} \in \mathcal{W}(X, \mathbf{C}(X)) : \pi_i^{-1}(A) \in \mathcal{F}\} = (\pi_i^{-1}(A))^*$  is a closed subset of  $\mathcal{W}(X, \mathbf{C}(X))$ .

To complete the proof of the theorem, it suffices to show that  $h$  is closed. Fix  $(\pi_i^{-1}(A))^*$  and  $A^c \in T_i$  a subbasic closed set of  $\mathcal{W}(X, \mathbf{C}(X))$ . Since  $(\pi_i^{-1}(A))^* = \{\mathcal{F} \in \mathcal{W}(X, \mathbf{C}(X)) : \pi_i^{-1}(A) \in \mathcal{F}\}$ , we see that  $h((\pi_i^{-1}(A))^*) = \{(\mathcal{F}_q)_{q \in I} \in \prod_{q \in I} \mathcal{W}(X_q) : A \in \mathcal{F}_i\} = \pi_i^{-1}(A^*)$  is a closed subset of  $\prod_{i \in I} \mathcal{W}(X_i)$  as required.  $\square$

**Question 5.4.** (i) Do “For every  $T_1$  space  $(X, T)$ ” and “ $\mathcal{W}(X)$  is a compactification of  $X$ ” imply “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ”?

(ii) Do “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces” and “ $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ” imply CAC?

(iii) Is “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ” provable in ZF?

(iv) Does CAC imply the statement, “In a countable product of compact  $T_1$  spaces, every filter of closed sets extends to a closed ultrafilter”?

### 6. Countably Closed and Countably Prime Closed Ultrafilters in $T_1$ Spaces

The proof of Theorem 4.3 indicates that if the closed ultrafilter  $\mathcal{V}$  is countably prime, then there exists  $V \in \mathcal{V}$  which has finite projections on some coordinate spaces. Hence, the existence of countably prime closed ultrafilters in products of compact  $T_1$  spaces might lead to compactness of their product. We prove in this section that this is the case.

**Proposition 6.1.** (i) *Let  $(X, T)$  be a compact topological space. Then every closed ultrafilter  $\mathcal{F}$  of  $X$  is countably closed and countably prime.*

(ii) *Assume that for every family  $\mathcal{A} = \{A_i : i \in \omega\}$  of nonempty sets, there exists a family  $\mathcal{B} = \{B_i : i \in \omega\}$  of countable nonempty sets such that  $B_i \subseteq A_i$  for all  $i \in \omega$ . Let  $(X, T)$  be a topological space and let  $\mathcal{F}$  be a closed ultrafilter of  $X$  which is countably closed. Then  $\mathcal{F}$  is countably prime.*

*Proof.* (i) Let  $\mathcal{F}$  be a closed ultrafilter of  $X$ . Since  $X$  is compact,  $\bigcap \mathcal{F}$  is a nonempty closed subset of  $X$  and, as  $\mathcal{F}$  is a closed ultrafilter,  $\bigcap \mathcal{F} \in \mathcal{F}$ . It follows that  $\mathcal{F}$  is countably closed.

To see that  $\mathcal{F}$  is countably prime, fix  $\{G_i : i \in \omega\}$  a countable family of nonempty closed subsets of  $X$  such that  $G = \bigcup \{G_i : i \in \omega\} \in \mathcal{F}$ . We show that  $G_i \in \mathcal{F}$  for some  $i \in \omega$ . Assume the contrary. Then, for all  $i \in \omega$ ,  $\{F \in \mathcal{F} : F \cap G_i = \emptyset\} \neq \emptyset$ . For every  $i \in \omega$ , put

$$F_i = \bigcap \{F \in \mathcal{F} : F \cap G_i = \emptyset\}.$$

Since  $F_i \supseteq \bigcap \mathcal{F} \in \mathcal{F}$ , it follows that for every  $i \in \omega$ ,  $F_i \in \mathcal{F}$ . Hence,  $F = \bigcap \{F_i : i \in \omega\} \in \mathcal{F}$  and  $F \cap G \in \mathcal{F}$ . But

$$\begin{aligned} F \cap G &= F \cap (\bigcup \{G_i : i \in \omega\}) = \\ &= \bigcup \{F \cap G_i : i \in \omega\} \subseteq \bigcup \{F_i \cap G_i : i \in \omega\} = \emptyset \end{aligned}$$

and  $F \cap G \notin \mathcal{F}$ . This is a contradiction finishing the proof of (i).

(ii) Fix  $\{G_i : i \in \omega\}$  a family of nonempty closed subsets of  $X$  satisfying  $G = \bigcup \{G_i : i \in \omega\} \in \mathcal{F}$ . We show that there exists  $i \in \omega$  with  $G_i \in \mathcal{F}$ . Assume on the contrary that for every  $i \in \omega$ ,  $G_i \notin \mathcal{F}$ . It follows, by the

maximality of  $\mathcal{F}$ , that for every  $i \in \omega$ ,  $\emptyset \neq A_i = \{F \in \mathcal{F} : G_i \cap F = \emptyset\}$ . By our hypothesis and the fact that  $\mathcal{F}$  is countably closed, it is easy to see that there exists a choice function  $f$  of the family  $\{A_i : i \in \omega\}$ . Put  $F = \cap\{f(i) : i \in \omega\}$ . Since  $\mathcal{F}$  is countably closed, it follows that  $F \in \mathcal{F}$ , and consequently  $F \cap G \neq \emptyset$ . Similarly to the proof of (i), we may show that  $F \cap G = \emptyset$ , a contradiction. This completes the proof of (ii) and the proof of the proposition.  $\square$

**Remark 6.2.** Clearly, in ZFC,  $T = \{(x, +\infty) : x \in \mathbb{R}\} \cup \{\emptyset, \mathbb{R}\}$  is a topology on the real line  $\mathbb{R}$  and  $\mathcal{F} = \{(-\infty, x] : x \in \mathbb{R}\} \cup \{\mathbb{R}\}$  is a countably prime closed ultrafilter of  $(\mathbb{R}, T)$  which fails to be countably closed. Thus, in ZFC,  $\mathcal{F}$  is countably prime  $\nrightarrow$   $\mathcal{F}$  is countably closed.

**Theorem 6.3.** *In ZF, the following are equivalent.*

- (i) AC.
- (ii) *For every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces, every closed filter  $\mathcal{H}$  of the product  $X = \prod_{i \in I} X_i$  extends to a closed ultrafilter  $\mathcal{F}$  which is countably closed and countably prime.*
- (iii) *For every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces, every closed filter  $\mathcal{H}$  of the product  $X = \prod_{i \in I} X_i$  extends to a closed ultrafilter  $\mathcal{F}$  which is countably closed.*

*Proof.* (i)  $\rightarrow$  (ii). Let  $X = \prod_{i \in I} X_i$  be the product of the compact  $T_1$  spaces  $X_i$ . By AC,  $X$  is compact and every closed filter is extended to a closed ultrafilter. The conclusion follows from Proposition 6.1.

(ii)  $\rightarrow$  (iii) is straightforward.

(iii)  $\rightarrow$  (i). We show first that CAC holds. Fix  $\mathcal{A} = \{A_i : i \in \omega\}$  a family of nonempty sets. For every  $i \in \omega$ , let  $X_i = A_i \cup \{*_i\}$ ,  $*_i \notin A_i$ , and  $T_i = \{\{*_i\}, \emptyset\} \cup \{Z \subseteq X_i : |Z^c| < \aleph_0\}$ . Clearly, for every  $i \in \omega$ ,  $(X_i, T_i)$  is a compact  $T_1$  space. Put  $X = \prod_{i \in \omega} X_i$  and let  $\mathcal{H}$  be the closed filter generated by the family  $\mathcal{S} = \{\pi_i^{-1}(A_i) : i \in \omega\}$ . Let  $\mathcal{F}$  be a closed, countably closed ultrafilter extending  $\mathcal{H}$ . Since  $\mathcal{S} \subset \mathcal{F}$ , it follows that  $\cap \mathcal{S} \in \mathcal{F}$ . Hence,  $\cap \mathcal{S} \neq \emptyset$ , and consequently  $\mathcal{A}$  has a choice function as required.

We show now that AC holds. Fix  $\mathcal{A} = \{A_i : i \in I\}$  a family of nonempty sets. For every  $i \in I$ , let  $X_i, T_i, X, \mathcal{S}$ , and  $\mathcal{F}$  be as in the first part of this proof. In view of the proof of Proposition 2 in [6], it suffices, to show that canonical projections of closed sets are closed. Since CAC holds, the proof of Proposition 2 in [6] shows that we further need to establish that there is a free ultrafilter on  $\omega$ . However, following the proof of Proposition 2.13, we have that (iii) of the present theorem implies BPI,

which in turn implies that there is a free ultrafilter on  $\omega$ . Now, as in the proof of Proposition 2 of [6], we may define a choice function of  $\mathcal{A}$ . This completes the proof of the theorem.  $\square$

**Corollary 6.4.** *The following are equivalent.*

- (i) AC.
- (ii) For every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces, every filter  $\mathcal{H}$  of closed subsets of the product  $X = \prod_{i \in \omega} X_i$  extends to a closed ultrafilter  $\mathcal{F}$  which is countably closed and countably prime.
- (iii) For every family  $\{(X_i, T_i) : i \in I\}$  of compact  $T_1$  spaces, every filter  $\mathcal{H}$  of closed subsets of the product  $X = \prod_{i \in \omega} X_i$  extends to a closed ultrafilter  $\mathcal{F}$  which is countably prime.

*Proof.* Since (i) and (ii) of the corollary coincide with (i) and (ii), respectively, of Theorem 6.3, it suffices to show that (iii)  $\rightarrow$  (i). Furthermore, since (iii) implies BPI (as in the proof of Theorem 6.3), we only show that CAC holds. To see this, fix  $\mathcal{A} = \{A_i : i \in \omega\}$  a disjoint family of infinite sets. Assume that  $\mathcal{A}$  has no partial choice sets. For every  $i \in \omega$ , let  $X_i, T_i$ , and  $X$  be as in the proof of Theorem 6.3 and let  $\mathcal{F}$  be a countably prime closed ultrafilter of  $X$  which extends the closed filter  $\mathcal{H}$  generated by the family  $\mathcal{S} = \{\pi_i^{-1}(A_i) : i \in \omega\}$ .

CLAIM. For every  $i \in \omega$ , there is a unique  $a_i \in A_i$  such that  $\pi_i^{-1}(\{a_i\}) \in \mathcal{F}$ .

*Proof of the claim.* Fix  $i \in \omega$  and let  $G_i = \{F \in \mathcal{F} : A_i \setminus \pi_i(F) \neq \emptyset\}$ . Clearly,  $G_i \neq \emptyset$ . (If  $G_i = \emptyset$ , then for every  $a \in A_i$ ,  $\mathcal{F} \cup \{\pi_i^{-1}(\{a\})\}$  has the fip, and consequently, by the maximality of  $\mathcal{F}$ ,  $\pi_i^{-1}(\{a\}) \in \mathcal{F}$ , which is a contradiction.) Fix  $F \in G_i$  and express  $F$  as  $F = \cup\{F_n : n \in \omega\}$ ,

$$(6.1) \quad F_n = \{f \in F : \forall m \geq n, f(m) = *_{m}\}.$$

Since each  $F_n$  is closed (as in the proof of Theorem 4.3),  $F \in \mathcal{F}$ , and  $\mathcal{F}$  is countably prime, it follows that for some  $n \in \omega$ ,  $F_n \in \mathcal{F}$ . Since  $K_i = \pi_i(F_n) \cap A_i$  is a nonempty closed proper subset of  $A_i$ , it follows that  $K_i$  is a finite subset of  $A_i$  and  $\pi_i^{-1}(K_i) \in \mathcal{F}$ . Thus, by the maximality of  $\mathcal{F}$ , there exists a unique  $a_i \in A_i$  with  $\pi_i^{-1}(\{a_i\}) \in \mathcal{F}$ , finishing the proof of the claim.

For every  $i \in \omega$ , let  $a_i$  be the unique element of  $A_i$  which is guaranteed by the claim. Clearly,  $\{a_i : i \in \omega\}$  is a choice set of  $\mathcal{A}$ , contradicting our hypothesis on  $\mathcal{A}$  having no partial choice sets. Therefore, CAC holds and the proof of the corollary is complete.  $\square$

### 7. Open Questions and Directions for Further Study

Below, we summarize the open problems mentioned throughout the paper.

- (1) Does “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” imply AC? Equivalently (see Theorem 2.4), does  $\text{CFE}_1$  imply AC? Equivalently (see Theorem 4.1), does “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” imply “For every  $T_1$  space  $(X, T)$  and every  $T_1$  base  $\mathcal{C}$  for  $X$ ,  $\mathcal{W}(X, \mathcal{C})$  is a compactification of  $X$ ”?
- (2) Does “For every  $T_1$  space  $(X, T)$ ,  $\mathcal{W}(X)$  is a compactification of  $X$ ” imply “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ”?
- (3) Does “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ” imply CAC?
- (4) Is “For every family  $\{(X_i, T_i) : i \in \omega\}$  of compact  $T_1$  spaces,  $\mathcal{W}(\prod_{i \in \omega} X_i) \simeq \prod_{i \in \omega} \mathcal{W}(X_i)$ ” provable in ZF?
- (5) Does CAC imply the statement, “In a countable product of compact  $T_1$  spaces, every filter of closed sets extends to a closed ultrafilter”?

For Wallman-type compactifications and their relationship to AC or to certain weak forms of AC, the reader is referred to the following related papers: [1], [2], [4], [5].

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