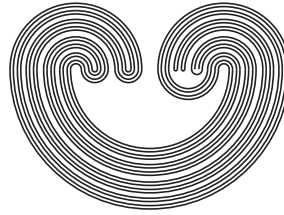


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PARTIALLY PERIPHERAL HYPERBOLIC LINKS AND SPATIAL GRAPHS

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ABSTRACT. The partially peripheral property of links and spatial graphs in 3-manifolds indicates a certain level of complexity which shows the similarity with links and spatial graphs in S^3 in some peripheral property of the exteriors. The aim of this paper is to prove that every closed connected orientable 3-manifold contains infinitely many partially peripheral hyperbolic links and spatial graphs.

1. INTRODUCTION

The knot theory has provided various tools and ideas mainly from the study of knots in S^3 . However, in many cases it is a difficult problem to find a generalizing method which makes them applicable to sufficiently many 3-manifolds not contained in S^3 . The author defined in [3] a new class of 3-manifolds, called partially peripheral 3-manifolds, with a certain peripheral property similar to the exteriors of knots in S^3 , and studied the case of graph manifolds. Moreover, the partially peripheral property plays an important role in the study of symmetries of 3-manifolds (see [4]), which is applied to the study of symmetries of spatial graphs in 3-manifolds (see [5]). In this paper, we show that any closed orientable 3-manifold contains infinitely many links and spatial graphs whose exteriors are partially peripheral hyperbolic 3-manifolds.

We grade 3-manifolds on their peripheral properties as follows. We say that a 3-manifold M is *peripheral* if the boundary contains a compact

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connected surface F with a surjective inclusion-induced homomorphism $\pi_1 F \rightarrow \pi_1 M$. We say that M is *totally peripheral* if every loop in M is freely homotopic into ∂M . We say that M is *partially peripheral* if every loop in M is a band sum of a finite number of loops freely homotopic into the boundary. Brin, Johannson and Scott [1] proved that compact orientable totally peripheral 3-manifolds are peripheral, and therefore they are compression bodies. However, partially peripheral 3-manifolds form a sufficiently large class of 3-manifolds containing the exteriors of links in S^3 . This is verified by considering the Wirtinger presentations of the link groups.

Several properties of partially peripheral 3-manifolds M are easily verified. Suppose that a loop l in M is the band sum of loops l_1, \dots, l_n freely homotopic into ∂M along bands $\beta_1, \dots, \beta_{n-1}$. For each l_i there exists a continuous map f_i of the annulus $S^1 \times I$ into M which takes $S^1 \times \{0\}$ onto l_i homeomorphically and $S^1 \times \{1\}$ into ∂M . Then f_1, \dots, f_n extend by using $\beta_1, \dots, \beta_{n-1}$ to a continuous map f of a compact planar surface with boundary components b_0, \dots, b_n into M which takes b_0 onto l homeomorphically and $b_1 \cup \dots \cup b_n$ into ∂M . We call f a *P-map* for l . Since the inclusion induced homomorphism $H_1(M) \rightarrow H_1(M, \partial M)$ is the zero map, the duality $H_1(M, \partial M) \cong H^2(M)$ implies that every closed surface in M separates M into two pieces. Every compact submanifold N of M is partially peripheral, since the *P-map* for a loop $L \subset N$ has a restriction in N connecting L and ∂N . Thus the partially peripheral property of a compact 3-manifold survives the boundary compression, the prime factorization and the JSJ decomposition [6, 7]. In addition, partially peripheral graph manifolds are characterized in [3].

Let M be a compact connected 3-manifold with several boundary components, and F a compact connected surface of Euler characteristic χ properly embedded in M which meets $2 - \chi$ components of ∂M . Suppose either F is separating or every component of ∂F but one is a separating loop on the corresponding component of ∂M . Suppose that splitting M along F yields M_1 and M_2 (possibly $M_1 = M_2$). Then M is called the *P₁-sum* of M_1 and M_2 . We first prove the following theorem, which provides a method for constructing partially peripheral 3-manifolds.

Theorem 1.1. *The P₁-sum of one or two partially peripheral 3-manifolds is partially peripheral.*

Let F be a compact connected surface properly embedded in M . Suppose either F is separating or there is an oriented link on ∂M intersecting F algebraically once. Suppose that splitting M along F yields M_1 and M_2 (possibly $M_1 = M_2$). Then M is called the *P₂-sum* of M_1 and M_2 . We prove the following theorem.

Theorem 1.2. *The P_2 -sum M of one or two partially peripheral 3-manifolds along a surface F is partially peripheral, if every loop in $M - F$ admits a P -map whose image avoids F .*

We say that a spatial graph G in a closed 3-manifold M is *partially peripheral* if the exterior $E(G) = M - \text{int}N(G)$ is partially peripheral. Theorems 1.1 and 1.2 are useful for constructing partially peripheral hyperbolic links and spatial graphs in closed connected orientable 3-manifolds, if the gluing surface has negative Euler characteristic. By starting with the exterior of hyperbolic spatial graph in S^3 and applying the P_1 - and P_2 -sum operations, we prove the following theorem.

Theorem 1.3. *For any integer $\chi \leq 0$, every closed connected orientable 3-manifold contains infinitely many partially peripheral hyperbolic spatial graphs, each of whose components has Euler characteristic χ .*

Note that the case $\chi = 0$ implies the existence of infinitely many partially peripheral hyperbolic links. The possibly not partially peripheral version of Theorem 1.3 is known as a result of Myers [11]. But the proof of Theorem 1.3 takes a different approach from Myers' argument.

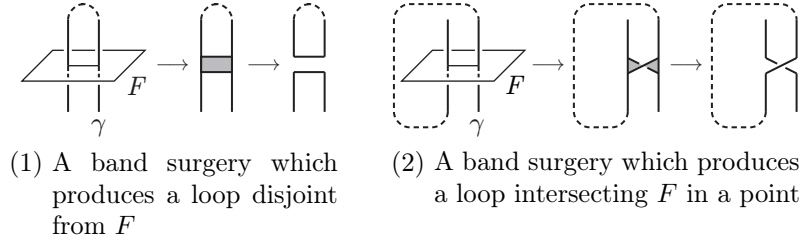
This paper is arranged as follows. In Section 2, we study the P_1 - and P_2 -sum of partially peripheral 3-manifolds to prove Theorems 1.1 and 1.2. In Section 3, we apply these theorems to some operations on spatial graphs like vertex connected sum, and prove Theorem 1.3.

2. CONSTRUCTION OF PARTIALLY PERIPHERAL 3-MANIFOLDS

In this section, we prove Theorems 1.1 and 1.2 to show that the P_1 - and P_2 -sum operations are useful for constructing partially peripheral hyperbolic 3-manifolds. We start with the proof of Theorem 1.1.

Proof of Theorem 1.1. Let M be a compact connected 3-manifold which is the P_1 -sum of one or two partially peripheral 3-manifolds along a compact connected surface F of Euler characteristic χ . Then F is a genus zero surface with $2 - \chi$ boundary components.

We first consider the case where F splits M into two partially peripheral 3-manifolds M_1 and M_2 . For any loop γ in M which meets F in $2n$ points x_1, \dots, x_{2n} in order, a system of n disjoint arcs connecting x_{2i-1} and x_{2i} for $1 \leq i \leq n$ is found on F , and induces a band surgery of γ into n loops disjoint from F , as illustrated in Figure 1 (1). Since γ is the band sum of these loops, it is enough without loss of generality to consider the case $\gamma \subset \text{int}M_1$.

FIGURE 1. Band surgeries of γ along arcs on F .

By the partially peripheral property of M_1 , there is a P -map f for γ into M_1 . Suppose that f is a continuous map of a compact planar surface bounded by loops b_0, \dots, b_m into M_1 which takes b_0 onto γ homeomorphically and $b_1 \cup \dots \cup b_m$ into ∂M_1 . Since $\pi_1 F$ is generated by loops homotopic into ∂F , for each $f(b_i)$ lying on F there is a P -map whose image lies on F . By extending f by using such P -maps, f can be modified so that each $f(b_i)$ meets F in a system of arcs a_1, \dots, a_k , where $f^{-1}(a_1), \dots, f^{-1}(a_k)$ appear in order as we go along b_i . Since F intersects distinct $2 - \chi$ components of ∂M , the end point of a_j and the start point of a_{j+1} , where $1 \leq j < k$, lie on the same component of ∂F . Therefore, a_j and a_{j+1} are connected by an arc τ_j on ∂F . Similarly, an arc τ_k connecting a_k and a_1 is found on ∂F . Let L_i be the closed path on F which traverses $a_1, \tau_1, a_2, \tau_2, \dots, a_{k-1}, \tau_{k-1}, a_k, \tau_k$ in order. Then L_i admits a P -map f_i whose image lies on F . Therefore, f extends by using f_1, \dots, f_m to a P -map for γ into M .

Next, we consider the case where M is the P_1 -sum of a single partially peripheral 3-manifold M' . Let F_1 and F_2 be two disjoint copies of F lying on $\partial M'$, where the P_1 -sum is performed. Then each F_i connects distinct $2 - \chi$ components of $\partial M' - \text{int}(F_1 \cup F_2)$, where exactly one of them connects F_1 and F_2 . The argument presented for the previous case implies that any loop in M' admits a P -map whose image avoids $F_1 \cup F_2$. Therefore, it is enough to show that any loop γ in M admits a P -map if it meets F transversally in $n \geq 1$ points. By considering band surgeries along arcs on F illustrated in Figure 1, we may assume $n = 1$. Take a loop L on ∂M intersecting F transversally in a point, and an arc α on F connecting γ and L . Then a band β along α induces a band surgery of $\gamma \cup L$ into a loop L' in M' . The partially peripheral property of M' implies that L' admits a P -map into M' , which can be modified so that the image avoids $F_1 \cup F_2$ as before. Then we obtain a P -map for γ induced from the P -map for L' and the band β . \square

Corollary 2.1. *A compact connected 3-manifold M is partially peripheral if M is a connected sum or a boundary connected sum of two partially peripheral 3-manifolds.*

Proof. We can see M as a P_1 -sum of the two partially peripheral 3-manifolds along a sphere or a disk. Hence, the conclusion is immediate from Theorem 1.1. \square

Proof of Theorem 1.2. The case where F is separating follows from the argument presented in the proof of Theorem 1.1, since every loop γ in $M - F$ admits a P -map whose image avoids F . We therefore assume that M is the P_2 -sum of a single partially peripheral 3-manifold M' along F . Let F^- and F^+ be two disjoint copies of F lying on $\partial M'$, where the P_2 -sum is performed.

Let γ be a loop in M . We are going to construct a P -map for γ . By the assumption, every loop in M' admits a P -map whose image avoids $F^- \cup F^+$. Therefore, by considering band surgeries of γ along arcs on F illustrated in Figure 1, it is enough to consider the case where γ intersects F transversally in a point. There is an n -component oriented link $l_1 \cup \cdots \cup l_n$ on ∂M intersecting F algebraically once. Denote by ν_i the algebraic intersection number of l_i and F . Then $\nu_1 + \cdots + \nu_n = 1$. By the argument similar to the above, we can take the link so that each l_i intersects F in exactly $|\nu_i| \neq 0$ points. Without loss of generality, $\nu_i > 0$ for $1 \leq i \leq k$ and $\nu_i < 0$ for $k < i \leq n$.

Let $v_i \in l_i \cap F$ for $1 \leq i \leq n$. Connect v_i and v_{i+1} by a path α_i on F for $1 \leq i < n$. Denote by α_0 the path which traverses $\alpha_1, \dots, \alpha_{n-1}$ in order. Let \widetilde{M} be the infinite cyclic covering of M obtained from infinite copies (M'_i, F_i^+, F_i^-) of (M', F^+, F^-) for $i \in \mathbb{Z}$ by gluing each F_i^+ to F_{i+1}^- . Denote by $p: \widetilde{M} \rightarrow M$ the projection map and by $\tau: \widetilde{M} \rightarrow \widetilde{M}$ the covering transformation which takes M'_i to M'_{i+1} . Let $s_0 = 0$ and $s_i = \sum_{j=1}^i \nu_j$ for $0 \leq i \leq n$. Then $s_n = 1$. Take the lifts \tilde{l}_i of l_i connecting $F_{s_{i-1}}^-$ and $F_{s_i}^-$ for $1 \leq i \leq n$, the lift $\tilde{\alpha}_0$ of α_0 on F_0^- , and the lifts $\tilde{\alpha}_i$ of α_i on $F_{s_i}^-$ for $1 \leq i < n$. Let L be the path in \widetilde{M} which traverses $\tilde{\alpha}_0, \tilde{l}_1, \tilde{\alpha}_1, \tilde{l}_2, \dots, \tilde{\alpha}_{n-1}, \tilde{l}_n$ in order. Then L induces a closed path in M which is homotopic to a band sum λ of l_1, \dots, l_n along arcs $\alpha_1, \dots, \alpha_{n-1}$ on F . Note that λ admits a P -map, since l_1, \dots, l_n lie on ∂M .

Let $\tilde{\gamma}$ be the lift of γ connecting F_0^- and F_1^- through M'_0 . Take a path β on F_1^- connecting L and $\tilde{\gamma}$. The path L' which traverses $L, \beta, \tilde{\gamma}, \tau^{-1}(\beta)$ in order induces a closed path in M which is homotopic to a band sum λ' of λ and γ along $p(\beta)$ (see Figure 2). We can see L' as a band sum of loops in M'_0, \dots, M'_{s_k-1} along bands in $N(\bigcup_{i=1}^{s_k-1} F_i^-)$. Since each summand loop in M'_i admits a P -map whose image avoids F_i^\pm , these P -maps and the

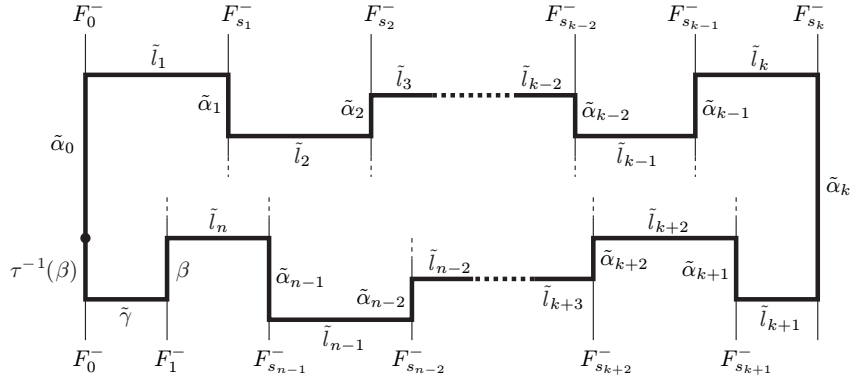


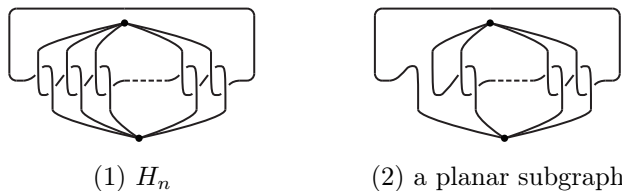
FIGURE 2. The closed path L' in \widetilde{M} .

bands induce a P -map for λ' . Then the P -map for λ' extends to a P -map for γ by using $p(\beta)$ and the P -map for λ , as required. \square

Proposition 2.2 (Myers [11]). *Let M be a compact connected 3-manifold with non-empty boundary, and F a compact proper surface of negative Euler characteristic in M bounded by essential loops on ∂M . Then M is an irreducible atoroidal anannular 3-manifold with incompressible boundary if so is each piece obtained by splitting M along F .*

Proof. Assume that M is not an irreducible atoroidal anannular 3-manifold with incompressible boundary. There exists an essential surface S in M which is either a sphere, a disk, an annulus, or a torus. Then $S \cap F$ is not empty. Since the exterior $E(F)$ has incompressible boundary, F is incompressible and boundary-incompressible. Therefore, the irreducibility of $E(F)$ implies that S can be isotoped so as to intersect F in essential loops and essential arcs on S . Then S is a torus or an annulus which meets $E(F)$ in a system of disks or annuli. Since S is essential and F is neither a disk nor an annulus, some component of $S \cap E(F)$ is not parallel into $\partial E(F)$. However, this contradicts the assumption that $E(F)$ is an anannular 3-manifold with incompressible boundary. \square

Remark 2.3. Let M be a compact connected irreducible atoroidal anannular 3-manifold with incompressible boundary. Then Thurston's hyperbolization theorem [10] implies that M admits a complete hyperbolic structure of finite volume so that the toral components of ∂M correspond to the cusps and the others are totally geodesic surfaces.

FIGURE 3. The spatial graphs H_n and a planar subgraph.

3. HYPERBOLIC LINKS AND SPATIAL GRAPHS

Let G be a spatial graph in a closed connected 3-manifold M . Suppose that a sphere S in M intersects G in n points and splits M into M_1 and M_2 (possibly $M_1 = M_2$). By collapsing each sphere on ∂M_i to a point, $G \cap M_i$ is deformed to a spatial graph G_i in a closed 3-manifold \overline{M}_i , where the collapsed points v_1 and v_2 are n -valent vertices of G_1 and G_2 respectively. We say that G is a *vertex connected sum* of G_1 and G_2 at v_1 and v_2 . In particular, $M = \overline{M}_1 \# \overline{M}_2$ if $\overline{M}_1 \neq \overline{M}_2$, and $M = \overline{M}_1 \# S^2 \times S^1$ otherwise. The vertex connected sum operation glues $E(G_1)$ and $E(G_2)$ along compact planar surfaces on the boundaries, where the gluing surface F in $E(G)$ lies on S .

Assume that G_1 and G_2 are hyperbolic spatial graphs, and that F has negative Euler characteristic. Then Proposition 2.2 implies that $E(G)$ is an irreducible atoroidal annular 3-manifold. Therefore, Thurston's hyperbolization theorem [10] implies that G is hyperbolic.

Paoluzzi and Zimmermann [12] proved that the spatial θ_n -curve H_n in S^3 illustrated in Figure 3 (1) is hyperbolic for $n \geq 3$. Ushijima [14, 2] showed that H_n is an example of hyperbolic spatial graphs which are $n/2$ -fold cyclic branched coverings of strongly invertible knots. Suzuki [13] showed that any proper subgraph of H_n is planar. An example of a planar subgraph of H_n is illustrated in Figure 3 (2). Moreover, H_n is partially peripheral, since $\pi_1(E(H_n))$ admits the Wirtinger presentation.

Figure 4 illustrates an example of a vertex connected sum L of H_3 at the two vertices. A disk D bounded by the 0-framed trivial knot corresponds to a sphere in $S^2 \times S^1$ on which the gluing surface F lies. Note that ∂F consists of meridians of L . Since L has a component which meets D once, Theorem 1.2 implies that L is a partially peripheral hyperbolic link in $S^2 \times S^1$.

Proposition 3.1. *Every closed connected orientable 3-manifold contains infinitely many partially peripheral hyperbolic links.*

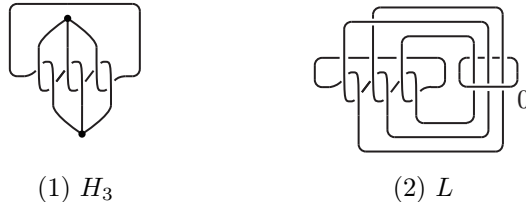


FIGURE 4. A vertex connected sum L of H_3 realizing a P_2 -sum of $E(H_3)$.

Proof. Let M be a closed connected orientable 3-manifold. Since S^3 contains infinitely many partially peripheral hyperbolic links such as non-torus 2-bridge links (see [9]), it is enough to consider the case $M \neq S^3$. The Lickorish-Wallace Theorem [8, 15] states that M is obtained by performing ± 1 -Dehn surgery on a link $L = L_1 \cup \cdots \cup L_\mu$ in S^3 . Take an unknotting tunnel system \mathcal{T} for L so that $\Gamma = L \cup \mathcal{T}$ is a connected planar trivalent graph in S^3 . Suppose that Γ has Euler characteristic $2 - n$ where $n \geq \mu + 1$, i.e. Γ has $n - 2$ edges not lying on L . Then a planar θ_n -curve T_n is obtained from Γ by sliding some edges. For any integer $m > 2n - 2$, we consider T_n to be a subgraph of H_m .

We first deform H_m in S^3 to a 4-valent spatial graph containing Γ . Slide some edges of H_m so as to realize the converse deformation of T_n to Γ . Then we obtain Γ with $m - n$ additional edges attached. Slide the $m - n$ attached edges to obtain a 4-valent spatial graph H'_m so that $n - 2$ of them and the unknotting tunnels in Γ form $n - 2$ cycle graphs of length two, and that the other $m - 2n + 2$ are deformed to loop edges with new vertices on L . Note that every vertex of H'_m lies on L , and that each component L_i of L has at least one vertex which is incident to either a loop edge or an edge connected to another component. For example, Figure 5 illustrates the case where $n = 2$, $m = 6$, and Γ , which is highlighted with thick lines, consists of a trefoil knot and an unknotting tunnel.

Now we perform the Dehn surgery on L . Then $E(H'_m)$ can be regarded as the exterior of a spatial graph H_m^M in M isomorphic to H'_m . Let $L^M = L_1^M \cup \cdots \cup L_\mu^M$ be the link on H_m^M where each L_i^M corresponds to L_i . Then $N(H_m^M)$ is obtained from $N(L^M)$ by adding $m - 2$ 1-handles corresponding to the edges not lying on L^M . Let D_1, \dots, D_{2t} be the disks on $\partial N(L_i^M)$ along which 1-handles are attached, where D_{2k-1} and D_{2k} correspond to the same vertex on L_i^M for $1 \leq k \leq t$.

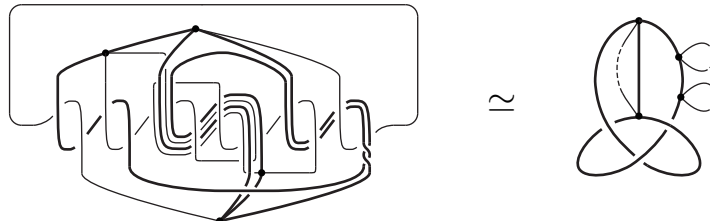


FIGURE 5. A spatial graph H'_6 including a trefoil knot L and an unknotting tunnel \mathcal{T} .

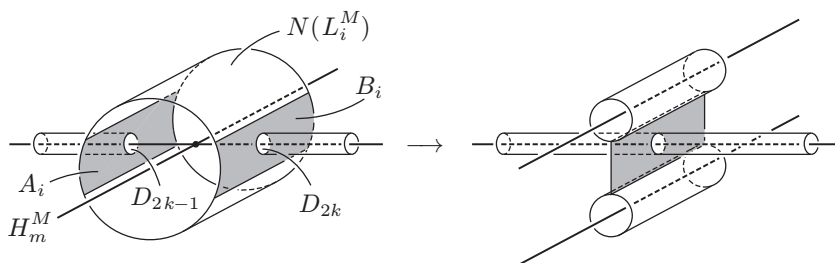
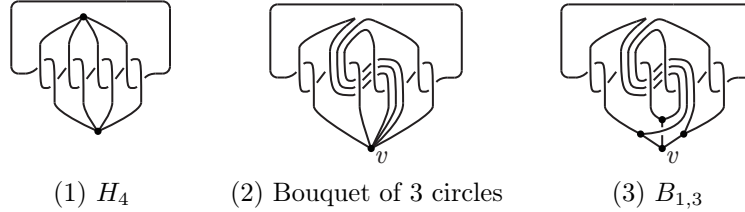


FIGURE 6. Modification in $N(L_i^M)$.

Next we perform a P_2 -sum of $E(H_m^M)$ to obtain a hyperbolic link in M . Take a pair of disjoint annuli A_i and B_i on $\partial N(L_i^M)$ bounded by the loops originated from meridians of L_i so that $D_{2k-1} \subset \text{int}A_i$ and $D_{2k} \subset \text{int}B_i$ for $1 \leq k \leq t$. Isotope $E(L_i^M)$ so as to move A_i toward B_i through $N(L_i^M)$ and glue them by a homeomorphism which takes D_{2k-1} onto D_{2k} for $1 \leq k \leq t$. The torus $\partial N(L_i^M)$ is deformed to two tori connected by the glued annulus $A_i = B_i$ (see Figure 6). Note that there is a cycle subgraph of H_m^M of length one or two which meets the glued surface once. Then a P_2 -sum of $E(H_m^M)$ gluing $A_i \cap \partial N(H_m^M)$ and $B_i \cap \partial N(H_m^M)$ is performed. Applying the similar argument to all L_i^M , we obtain a 3-manifold $E(L'_{\mu+m-n}) \subset M$ which is the exterior of a $(\mu + m - n)$ -component link $L'_{\mu+m-n}$ in M . Note that $L'_{\mu+m-n}$ consists of μ components originated from L , $n - 2$ components corresponding to the edges in \mathcal{T} , and $m - 2n + 2$ components originated from the loop edges of H_m^M . Theorem 1.2 implies that $E(L'_{\mu+m-n})$ is partially peripheral. Since the Euler characteristic of $A_i \cap \partial N(H_m^M)$ is negative, Proposition 2.2 implies that $E(L'_{\mu+m-n})$ is an irreducible atoroidal anannular 3-manifold with

FIGURE 7. Modification of H_4 to $B_{1,3}$.

incompressible boundary. Therefore, Thurston's hyperbolization theorem [10] implies that $E(L'_{\mu+m-n})$ admits a complete hyperbolic structure of finite volume in its interior. Consequently, we obtain an infinite sequence $L'_{\mu+n-1}, L'_{\mu+n}, \dots$ of partially peripheral hyperbolic links in M , which are distinguished by the number of components. Hence, the conclusion follows. \square

Proof of Theorem 1.3. Let $c \geq 1$ and $s \geq 3$ be integers. The spatial graph H_{cs+1} can be deformed by sliding $cs - 1$ edges along another edge to a bouquet of cs circles centered at a vertex v . By a further deformation, we obtain a graph $B_{c,s}$ with $s + 1$ vertices consisting of a star graph centered at v with s leaves w_1, \dots, w_s and s copies of a bouquet of c circles centered at each w_i . We say v is the *central vertex* of $B_{c,s}$. For example, Figure 7 illustrates the case $(c, s) = (1, 3)$.

It is enough by Proposition 3.1 to consider the case $\chi < 0$. Let M be a closed connected orientable 3-manifold. Suppose that M is obtained by ± 1 -Dehn surgery on a link L in S^3 , and that \mathcal{T} is an unknotting tunnel system for L consisting of $n - 2 \geq 0$ unknotting tunnels. Let $s \geq \max\{3, -n/\chi\}$ be any integer. Then $1 - s\chi > n$. By the argument similar to that presented in the proof of Proposition 3.1, a hyperbolic spatial graph $H'_{1-s\chi}$ in S^3 of Euler characteristic $1 + s\chi$ is obtained so as to contain $\Gamma = L \cup \mathcal{T}$ as a subgraph. By performing the Dehn surgery, we obtain a spatial graph $H^M_{1-s\chi}$ in M isomorphic to $H'_{1-s\chi}$ whose exterior is homeomorphic to $E(H_{1-s\chi})$. Deform $H^M_{1-s\chi}$ by sliding some edges to a spatial $\theta_{1-s\chi}$ -curve, and deform it further to a spatial graph $B^M_{-\chi,s}$ in M as we deformed $H_{1-s\chi}$ to $B_{-\chi,s}$. Denote by v the vertex of $B^M_{-\chi,s}$ corresponding to the central vertex of $B_{-\chi,s}$. The vertex connected sum of $B^M_{-\chi,s}$ and $B_{1,s}$ at v and the central vertex of $B_{1,s}$ yields a graph G_s in M with s components each of which has Euler characteristic χ . Then the glued surface F is a separating sphere which meets each component of G_s in a point. Therefore, the vertex connected sum realizes

the P_1 -sum of $E(B_{-\chi,s}^M)$ and $E(B_{1,s})$. Moreover, $s \geq 3$ implies that the Euler characteristic of $F \cap E(G_s)$ is negative. Therefore, it follows from Theorem 1.1, Proposition 2.2 and Thurston's hyperbolization theorem [10] that G_s is hyperbolic. Consequently, we obtain an infinite sequence $G_{\max\{3,-n/\chi\}}, G_{\max\{3,-n/\chi\}+1}, \dots$ of partially peripheral hyperbolic spatial graphs in M , which are distinguished by the number of components. Hence, the conclusion follows. \square

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