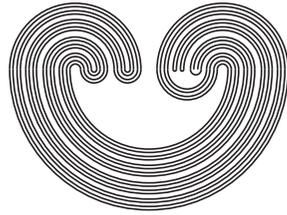


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## ON A NEW SHAPE INVARIANT

by

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## ON A NEW SHAPE INVARIANT

**Karol Borsuk**

### 1. Introduction

The  $n$ -dimensional homology group  $H_n(X, \mathcal{A})$  of a compactum  $X$  over an Abelian group  $\mathcal{A}$  is understood here always in the sense of Vietoris (see, for instance [3], p. 36). Thus the elements of a such group are homology classes  $(\gamma)$  in  $X$ , where  $\gamma$  is a true  $n$ -dimensional cycle in  $X$  with coefficients belonging to  $\mathcal{A}$ . For simplicity, we shall write "cycle" instead of "true cycle" and we may assume that  $X$  is a subset of the Hilbert cube  $Q$ .

Let  $X'$  be another compactum (lying in  $Q$ ) and let  $\underline{f}: X \rightarrow X'$  be a fundamental sequence (concerning this notion, and also other notions of the theory of shape, see [3]). It is well known ([3], p. 70) that  $\underline{f}$  induces a homomorphism

$$\underline{f}_n : H_n(X, \mathcal{A}) \rightarrow H_n(X', \mathcal{A})$$

assigning covariantly to every homology class  $(\gamma) \in H_n(X, \mathcal{A})$  a homology class  $\underline{f}_n((\gamma)) \in H_n(X', \mathcal{A})$ .

By a *power domain*  $(Z, \alpha_k)$  one understands (compare [3], p. 91) a system consisting of a set  $Z$  and of a family of functions  $\alpha_k: Z \rightarrow Z$  assigned to indices  $k = 0, \pm 1, \pm 2, \dots$  and such that  $\alpha_1(z) = z$  and  $\alpha_k \alpha_m(z) = \alpha_{km}(z)$  for every  $z \in Z$  and  $k, m = 0, \pm 1, \pm 2, \dots$

By a *homomorphism* of  $(Z, \alpha_k)$  into another power domain  $(Z', \alpha'_k)$  one understands a function  $\phi: Z \rightarrow Z'$  such that

$$\phi \alpha_k(z) = \alpha'_k \phi(z) \text{ for every } z \in Z \text{ and } k = 0, \pm 1, \pm 2, \dots$$

Two power domains  $(Z, \alpha_k), (Z', \alpha'_k)$  are said to be *isomorphic* if there exists a one-to-one homomorphism  $\phi$  of  $(Z, \alpha_k)$  onto  $(Z', \alpha'_k)$ . It is clear that then the function  $\psi = \phi^{-1}: Z' \rightarrow Z$  is also a homomorphism. If we assume only that there exist two

homomorphisms  $\phi$  of  $(Z, \alpha_k)$  into  $(Z', \alpha'_k)$  and  $\psi$  of  $(Z', \alpha'_k)$  into  $(Z, \alpha_k)$  such that  $\phi\psi$  is the identity, then we say that the power domain  $(Z, \alpha_k)$  *r-dominates* the power domain  $(Z', \alpha'_k)$ .

## 2. Cancellable Cycles

Let  $\Omega$  be a family of  $n$ -dimensional cycles in a compactum  $X$  over an Abelian group  $\mathcal{A}$  and let  $m$  be a non-negative integer. An  $m$ -dimensional cycle  $\gamma$  in  $X$  over  $\mathcal{A}$  is said to be *cancellable* rel.  $\Omega$  provided there exists a fundamental sequence  $\underline{f}: X \rightarrow X$  such that:

$$(2.1) \quad \underline{f}_{-n}(\omega) = \omega \text{ for every cycle } \omega \in \Omega,$$

$$(2.2) \quad \underline{f}_{-m}(\gamma) = 0.$$

Then we say that  $\underline{f}$  realizes the cancellation of  $\gamma$  rel.  $\Omega$ .

It is clear that the cancellability of  $\gamma$  rel.  $\Omega$  depends only on the homology class  $(\gamma)$  of  $\gamma$  and on the collection  $(\Omega)$  of the homology classes of cycles belonging to  $\Omega$ . Consequently we may speak about homology classes *cancellable* rel.  $(\Omega)$ .

Observe that if  $\gamma$  is an  $m$ -dimensional cycle in  $X$  over  $\mathcal{A}$ , cancellable rel.  $\Omega$ , then for every integer  $k$  the cycle  $k \cdot \gamma$  is also cancellable rel.  $\Omega$ . Consequently the collection  $Z$  of all  $m$ -dimensional homology classes in  $X$  over  $\mathcal{A}$ , cancellable rel.  $(\Omega)$ , is a power domain  $(Z, \alpha_k)$ , where the function  $\alpha_k$  is defined by the formula  $\alpha_k((\gamma)) = k(\gamma)$ . Let us denote this power domain by  $\Gamma_m(X, \mathcal{A}, (\Omega))$ . In special case when  $(\Omega) = H_n(X, \mathcal{A})$ , we shall write  $\Gamma_m(X, \mathcal{A}, n)$  instead of  $\Gamma_m(X, \mathcal{A}, (\Omega))$ . In the case when  $\mathcal{A} = \mathcal{N}$  is the group of integers, we shall write  $\Gamma_m(X, n)$  instead of  $\Gamma_m(X, \mathcal{N}, n)$ .

(2.3) *Problem.* Is it true that for every compactum  $X$ , for every  $m \neq n$ , for every Abelian group  $\mathcal{A}$ , and for every  $(\Omega) \subset H_n(X, \mathcal{A})$  the power domain  $\Gamma_m(X, \mathcal{A}, (\Omega))$  is a subgroup of the group  $H_m(X, \mathcal{A})$ ?

### 3. Examples

In order to illustrate the sense of the cancellability, let us give some simple examples:

(3.1) *Example.* Let  $X = X_1 \cup X_2$ , where  $X_1, X_2$  are compacta and  $X_1 \cap X_2 \in AR$ . Then every  $m$ -dimensional cycle  $\gamma$  in  $X_1$  over any Abelian group  $\mathcal{A}$  is cancellable in  $X$  rel. each  $n$ -dimensional cycle  $\omega$  in  $X_2$  over  $\mathcal{A}$ .

In fact, since  $X_1 \cap X_2 \in AR$ , there is a retraction  $r: X_1 \rightarrow X_1 \cap X_2$ . Setting  $f(x) = r(x)$  for  $x \in X_1$  and  $f(x) = x$  for  $x \in X_2$ , we get a retraction  $f: X \rightarrow X_2$ . It is clear that  $f$  realizes the cancellation of  $\gamma$  rel. the family of all  $n$ -dimensional cycles in  $X_2$  over  $\mathcal{A}$ .

(3.2) *Example.* Let  $X = X_1 \cup X_2$ , where  $X_1$  and  $X_2$  are compacta,  $m_1 = \dim X_1$  and  $X_1 \cap X_2 \in AR$ . If  $m \leq m_1 < n$ , then  $\Gamma_m(X, \mathcal{A}, n) \supset H_m(X_1, \mathcal{A})$ .

In order to show this, consider an  $n$ -dimensional cycle  $\omega$  in  $X$  over  $\mathcal{A}$ . Then there exists a cycle  $\omega' \in (\omega)$  of the form

$$\omega' = \kappa_1 - \kappa_2,$$

where  $\kappa_\nu$  is an infinite  $n$ -dimensional chain in  $X_\nu$  over  $\mathcal{A}$ , for  $\nu = 1, 2$ . Since  $X_1 \cap X_2 \in AR$ , there exists in  $X_1 \cap X_2$  an infinite  $n$ -dimensional chain  $\mu$  over  $\mathcal{A}$  such that  $\partial\mu = \partial\kappa_1 = \partial\kappa_2$ . Then  $\kappa_1 - \mu$  is an infinite  $n$ -dimensional chain in  $X_1$  over  $\mathcal{A}$ . Since  $\dim X_1 = m_1 < n$ , there exists in  $X_1$  an infinite  $(n+1)$ -dimensional chain over  $\mathcal{A}$  having  $\kappa_1 - \mu$  as its boundary. It follows that

$$\omega' = (\kappa_1 - \mu) - (\kappa_2 - \mu) \sim \mu - \kappa_2 \text{ in } X.$$

But  $\mu - \kappa_2$  lies in  $X_2$  and we infer by Example (3.1) that each  $n$ -dimensional cycle  $\gamma$  over  $\mathcal{A}$  is cancellable rel.  $\mu - \kappa_2$ , hence also cancellable relatively  $\omega$  in  $X$ .

(3.3) *Example.* Let  $T$  be the surface of a torus. We may

consider  $T$  as the Cartesian product of two circles  $S^1$ , that is every point  $x \in T$  may be represented in the form  $(x_1, x_2)$ , where  $x_1, x_2 \in S^1$ .

Consider a point  $a \in S^1$  and let  $X_1$  denote the circle consisting of all points of the form  $(a, x_2)$ . Let  $\gamma$  be a 1-dimensional cycle in  $X_1$  over the group of integers  $\mathcal{N}$ , such that the homology class  $(\gamma)$  is a generator of the Betti group  $H_1(X_1)$ . Moreover, let  $\omega$  be a 2-dimensional cycle in  $T$  over  $\mathcal{N}$  such that  $(\omega)$  be a generator of the cyclic infinite Betti group  $H_2(T)$ . Let us show that  $\gamma$  is not cancellable rel.  $\omega$  in  $T$ .

Consider a fundamental sequence  $\underline{f}: T \rightarrow T$  such that

$$(3.4) \quad \underline{f}_2((\omega)) = (\omega).$$

Since  $T \in \text{ANR}$ , the fundamental sequence  $\underline{f}$  is generated by a map  $f: T \rightarrow T$  and we infer that  $f(\omega)$  is a 2-dimensional cycle homologous to  $\omega$  in  $T$ . Then  $f$  maps the oriented circle  $X_1$  onto a loop in  $T$ .

Suppose that this loop is homologous to zero in  $T$ . Since the 1-dimensional fundamental group of  $T$  is Abelian (see, for instance [8], p. 149) this loop is homotopic in  $T$  to a constant and we infer that the map  $f$  is homotopic to a map  $f'$  by which the circle  $X_1$  passes onto one point. It follows easily that  $f'$  may be represented in the form  $\psi\phi$ , where  $\phi$  maps  $T$  onto a set  $A$  which is the union of a 2-dimensional sphere  $S^2$  and of one of its diameters. But it is known (see [1], p. 526) that every map of  $S^2$  into  $T$  is homotopic to a constant. Consequently the map  $\psi$  is homotopic to a map of  $A$  onto a 1-dimensional subset of  $T$  and we infer that  $\psi\phi(\omega) \neq \omega$  in  $T$ , which contradicts (3.4). Hence the cycle  $\gamma$  is not cancellable rel.  $\omega$  in  $T$ .

*Remark.* The fact considered in Example (3.3) is a direct consequence of the following theorem, due to A. Bojanowska [2]:

(3.5) *Theorem.* Let  $M_n$  be a closed, compact and connected

$n$ -dimensional orientable manifold and let  $\omega$  be an  $n$ -dimensional cycle in  $M_n$  such that  $(\omega)$  is a generator of the Betti group  $H_n(M_n)$ . Then no  $m$ -dimensional cycle in  $M_n$  over any Abelian group  $\mathcal{A}$  is cancellable rel.  $\omega$  in  $M_n$ .

**4. Shape Invariance of**

$\Gamma_m(X, \mathcal{A}, (\Omega))$ . Let us prove the following

(4.1) *Theorem.* Let  $X, X'$  be compacta,  $\mathcal{A}$  be an Abelian group,  $\underline{q}: X \rightarrow X'$  be a fundamental sequence and  $\Omega$  be a collection of  $n$ -dimensional cycles in  $X$  over  $\mathcal{A}$ . Then:

(I) If there exists a fundamental sequence  $\hat{q}: X' \rightarrow X$  such that  $\hat{q} \underline{q} \approx i_X$ , then the homomorphism  $\underline{q}_m / \Gamma_m(X, \mathcal{A}, (\Omega))$  is a right inverse of the homomorphism  $\hat{q}_m / \Gamma_m(X', \mathcal{A}, \underline{q}_n((\Omega)))$ .

(II) If there exists a fundamental sequence  $\underline{q}: X' \rightarrow X$  such that  $\hat{q} \underline{q} \approx i_X$  and  $\underline{q} \hat{q} \approx i_{X'}$ , then  $\underline{q}_m / \Gamma_m(X, \mathcal{A}, (\Omega))$  is an isomorphism.

*Proof.* Assume that there exists a fundamental sequence  $\hat{q}: X' \rightarrow X$  such that  $\hat{q} \underline{q} \approx i_X$ . The fundamental sequences  $\underline{q}$  and  $\hat{q}$  induce homomorphisms:

$$\begin{aligned} \underline{q}_m : H_m(X, \mathcal{A}) &\rightarrow H_m(X', \mathcal{A}) , & \underline{q}_n : H_n(X, \mathcal{A}) &\rightarrow H_n(X', \mathcal{A}) , \\ \hat{q}_m : H_m(X', \mathcal{A}) &\rightarrow H_m(X, \mathcal{A}) , & \hat{q}_n : H_n(X', \mathcal{A}) &\rightarrow H_n(X, \mathcal{A}) \end{aligned}$$

such that

$$\hat{q}_m \underline{q}_m \approx i_{H_m(X, \mathcal{A})} , \quad \hat{q}_n \underline{q}_n \approx i_{H_n(X, \mathcal{A})} .$$

Consider an  $m$ -dimensional cycle  $\gamma$  in  $X$  over  $\mathcal{A}$  such that  $(\gamma) \in \Gamma_m(X, \mathcal{A}, (\Omega))$  and let  $\omega$  be a cycle belonging to  $\Omega$ . Setting

$$(4.2) \quad (\gamma') = \underline{q}_m((\gamma)) \quad \text{and} \quad (\omega') = \underline{q}_n((\omega)) \in \underline{q}_n((\Omega)) ,$$

we infer by  $\hat{q} \underline{q} \approx i_X$  that

$$(4.3) \quad \hat{q}_m((\gamma')) = \hat{q}_m \underline{q}_m((\gamma)) , \quad \hat{q}_n((\omega')) = \hat{q}_n \underline{q}_n((\omega)) = (\omega) .$$

Since  $\gamma \in \Gamma_m(X, \mathcal{A}, \Omega)$  and  $\omega \in \Omega$ , there exists a fundamental

sequence  $\underline{f}: X \rightarrow X$  such that the homomorphisms  $\underline{f}_m$  and  $\underline{f}_n$  satisfy the conditions:

$$(4.4) \quad \underline{f}_m((\gamma)) = 0 \quad \text{and} \quad \underline{f}_n((\omega)) = (\omega), \quad \text{for every } \omega \in \Omega.$$

Setting

$$\hat{\underline{f}} = \underline{g} \underline{f} \hat{\underline{g}}: X' \rightarrow X',$$

we infer by (4.2), (4.3) and (4.4) that

$$\hat{\underline{f}}_m((\gamma')) = \underline{g}_m \underline{f}_m \hat{\underline{g}}_m \underline{g}_m((\gamma)) = \underline{g}_m \underline{f}_m((\gamma)) = 0$$

and

$$\begin{aligned} \hat{\underline{f}}_n((\omega')) &= \underline{g}_n \underline{f}_n \hat{\underline{g}}_n \underline{g}_n((\omega)) = \underline{g}_n \underline{f}_n((\omega)) = \underline{g}_n((\omega)) \\ &= (\omega'). \end{aligned}$$

Thus we have shown that the fundamental sequence  $\hat{\underline{f}}$  realizes the cancellability of the cycle  $\gamma'$  relatively the  $n$ -dimensional cycle  $\omega'$ . Hence the homomorphism  $\underline{g}_m$  assigns to every element  $(\gamma)$  of  $\Gamma_m(X, \mathcal{A}, (\Omega))$  an element  $(\gamma')$  of  $\Gamma_m(X', \mathcal{A}, \underline{g}_n((\Omega)))$ . Moreover the homomorphism  $\hat{\underline{g}}_m$  assigns to  $(\gamma') = \underline{g}_m((\gamma))$  the element  $(\gamma)$  of  $\Gamma_m(X, \mathcal{A}, (\Omega))$ . It follows that the power domain  $\Gamma_m(X', \mathcal{A}, \underline{g}_n((\Omega)))$   $r$ -dominates the power domain  $\Gamma_m(X, \mathcal{A}, (\Omega))$  and the proof of proposition (I) is finished.

If the hypotheses of (II) are satisfied, then one shows in the same way that the homomorphism  $\hat{\underline{g}}_m$  assigns to each element  $(\gamma')$  of  $\Gamma_m(X', \mathcal{A}, \underline{g}_n((\Omega)))$  an element  $(\gamma)$  of  $\Gamma_m(X, \mathcal{A}, (\Omega))$  and that both relations

$$\hat{\underline{g}}_m \underline{g}_m = i_{H_m}(X, \mathcal{A}) \quad \text{and} \quad \underline{g}_m \hat{\underline{g}}_m = i_{H_m}(X', \mathcal{A})$$

hold true. It follows that the power domain  $\Gamma_m(X, \mathcal{A}, (\Omega))$  is isomorphic to the power domain  $\Gamma_m(X', \mathcal{A}, \underline{g}_n((\Omega)))$ . Thus the proof of (II) is finished and Theorem (4.1) is established.

(4.5) *Corollary.* If  $\text{Sh}(X) = \text{Sh}(X')$ , then the power domain  $\Gamma_m(X, \mathcal{A}, n)$  is isomorphic to the power domain  $\Gamma_m(X', \mathcal{A}, n)$ .

In fact, the relation  $\text{Sh}(X) = \text{Sh}(X')$  implies that there exist two fundamental sequences  $\underline{g}: X \rightarrow X'$  and  $\hat{\underline{g}}: X' \rightarrow X$  satisfying the conditions:

$$\hat{g} \underline{g} \approx \underline{i}_X \quad \text{and} \quad \underline{g} \hat{g} \approx \underline{i}_{X'}.$$

Then the induced homomorphism  $\underline{g}_n : H_n(X, \mathcal{A}) \rightarrow H_n(X', \mathcal{A})$  is an isomorphism. It follows that if  $\Omega$  denotes the collection of all  $n$ -dimensional cycles in  $X$  over  $\mathcal{A}$  and  $\Omega'$  denotes the collection of all  $n$ -dimensional cycles in  $X'$  over  $\mathcal{A}$ , then  $\underline{g}_n((\Omega)) = (\Omega')$  and consequently:

$$\begin{aligned} \Gamma_m(X, \mathcal{A}, (\Omega)) &= \Gamma_m(X, \mathcal{A}, n) \quad \text{and} \\ \Gamma_m(X', \mathcal{A}, \underline{g}_n((\Omega))) &= \Gamma_m(X', \mathcal{A}, n). \end{aligned}$$

It suffices to apply Theorem (4.1), (II) in order to infer that  $\Gamma_m(X, \mathcal{A}, n)$  is isomorphic to  $\Gamma_m(X', \mathcal{A}, n)$ .

Thus the power domain  $\Gamma_m(X, \mathcal{A}, n)$  is a shape invariant of  $X$ .

### 5. Application

The shape invariance of  $\Gamma_m(X, \mathcal{A}, n)$  allows us to prove the following

(5.1) *Theorem.* Let  $X$  be a continuum satisfying the following conditions:

- 1°  $X$  is movable,
- 2° The number  $n = \text{Fd}(X)$  is finite,
- 3° The Betti group  $H_n(X)$  is a cyclic infinite group,
- 4°  $X$  is approximatively 1-connected,
- 5°  $\Gamma_m(X, n) = 0$ , for  $m < n$ .

Then for every point  $a \in X$ , the shape  $\text{Sh}(X, a)$  is simple.

We shall use in the proof of this theorem the following, well-known proposition:

(5.2) *If  $X$  is a movable, approximatively 1-connected continuum with  $\text{Fd}(X) \leq n$  and if the Betti groups  $H_m(X)$  vanish for  $m = 1, 2, \dots, n$ , then  $\text{Sh}(X)$  is trivial.*

In order to see it, consider a point  $a \in X$ . It follows by the well-known modified Hurewicz theorem (due to K. Kuperberg [6], p. 26) that the fundamental groups  $\pi_m(X, a)$  vanish for

$m = 1, 2, \dots, n$ . Using the modified theorem of Whitehead, transferred into theory of shape by M. Moszyńska ([7], p. 260), see also J. Keesling ([5], p. 248), we infer that setting

$$f_k(x) = a \text{ for every point } x \in Q \text{ and for } k = 1, 2, \dots,$$

one obtains a fundamental sequence  $\underline{f} = \{f_k, X, (a)\}$  which is a fundamental equivalence. Hence  $\text{Sh}(X) = \text{Sh}(a)$ , i.e.  $\text{Sh}(X)$  is trivial.

*Proof of Theorem (5.1).* Otherwise there would exist two continua  $X_1, X_2$  with non-trivial shapes such that

$$X_1 \cap X_2 = (a) \quad \text{and} \quad \text{Sh}(X) = \text{Sh}(X_1 \cup X_2).$$

It is clear that  $(X_1, a)$  and  $(X_2, a)$  are retracts of  $(X, a)$  and we infer by 1°, 2° and 4° that  $X_1, X_2$  are movable,  $\text{Fd}(X_1), \text{Fd}(X_2) \leq n$  and that  $X_1$  and  $X_2$  are approximatively 1-connected. Moreover 3° implies that at least one of the groups  $H_n(X_1), H_n(X_2)$  vanishes. We may assume that  $H_n(X_1) = 0$ .

It is clear that every cycle lying in  $X_1$  is cancellable rel. the family of all cycles lying in  $X_2$  and that every  $n$ -dimensional cycle  $\omega$ , with integers as coefficients, lying in  $X$  is homologous (in  $X$ ) to the sum  $\omega_1 + \omega_2$  of two cycles lying in  $X_1$  and  $X_2$  respectively. Since  $H_n(X_1) = 0$ , we infer that  $\omega_1 \sim 0$  in  $X_1$  and consequently  $\omega$  is homologous to a cycle lying in  $X_2$ . It follows that every  $m$ -dimensional cycle  $\gamma$  lying in  $X_1$ , with  $m < n$ , is cancellable relatively every  $n$ -dimensional cycle lying in  $X$  and we infer by 5° that  $\gamma \sim 0$  in  $X$ . Since  $X_1$  is a retract of  $X$ , it is also  $\gamma \sim 0$  in  $X_1$ . Thus  $H_m(X_1) = 0$  for  $m = 1, 2, \dots, n$ . It follows by (5.2) that  $\text{Sh}(X_1)$  is trivial, contrary to our supposition. Thus the proof of Theorem (5.1) is finished.

Using Theorems (3.5) and (4.1), we get the following

(5.3) *Corollary.* If  $M_n$  is a closed, compact, connected, 1-connected  $n$ -dimensional manifold and if  $a \in M_n$ , then  $\text{Sh}(M_n, a)$

is simple.

In order to obtain this corollary from Theorem (5.1), let us notice that  $X = M_n$  satisfies the conditions 1°, 2°, 3°, 4°. Also condition 5° is satisfied, because of Theorem (3.5).

The problem if the hypothesis that  $M_n$  be 1-connected is essential for Corollary (5.3) remains open.<sup>1</sup> Let us only mention, that in the case  $n=2$  this hypothesis may be omitted, as it has been shown recently by A. Kadlof [4].

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<sup>1</sup>R. Sher has informed me, in an oral communication, that the hypothesis that the manifold  $M_n$  is 1-connected is superfluous. This result will appear in a joint paper of R. Sher and J. Hollingsworth.