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by

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## A STUDY OF MONOTONE MAPS

**Carlos R. Borges**

Our study consists of two parts. In the first part we determine very general conditions under which the following concepts are equivalent: (i)  $f$  is a closed map, (ii)  $f$  is a compact map, (iii)  $f$  is a quotient map and (iv)  $f$  is a compact-covering map. These results not only improve known results but also settle a variety of appealing conjectures.

In the second part we study the preservation of topological properties by monotone maps. These results improve some results of Hanai.

### 1. Behavior of Monotone Functions

Many a beautiful and important theorem concerning the preservation of topological properties by continuous functions has been proved. Generally, one must consider continuous functions  $f$  which satisfy additional properties. The following properties are very frequently used:

- (a)  $f$  is a *closed* map,
- (b)  $f$  is a *quotient* map,
- (c)  $f$  is *compact* (i.e.  $f^{-1}(C)$  is compact whenever  $C$  is compact),
- (d)  $f$  is *compact-covering* (i.e. for each compact set  $B$  there exists a compact set  $A$  such that  $f(A) = B$ ).

These four concepts are surprisingly different. However, under surprisingly mild restrictions, they are also equivalent. Indeed we can easily prove the following results:

*Theorem 1.1.* Let  $f: X \rightarrow Y$  be a continuous monotone (i.e.  $f^{-1}(p)$  is connected for each  $p \in Y$ ) map from the locally peripherally compact Hausdorff space  $X$  onto the Hausdorff  $k$ -space  $Y$ .

If  $f^{-1}(p)$  is compact for each  $p \in Y$ , then the following are equivalent:

- (a)  $f$  is compact,
- (b)  $f$  is a closed map,
- (c)  $f$  is a quotient map,
- (d)  $f$  is compact-covering.

*Proof.* It is well-known that (b) implies (a) (See, for example, the introduction of Whyburn [9]). Also, by Lemma 3.4 of [1], (c) implies (b). Lemma 11.2 of [6] proves that (d) implies (c). Clearly (a) implies (d), which completes the proof.

*Theorem 1.2.* Let  $f: X \rightarrow Y$  be a continuous monotone map from the locally peripherally compact Hausdorff space  $X$  onto the Hausdorff  $k$ -space  $Y$ . If  $\text{bdry } f^{-1}(p)$  is compact for each  $p \in Y$ , then the following are equivalent:

- (a)  $f$  is a quotient map,
- (b)  $f$  is a closed map,
- (c)  $f$  is a compact-covering map.

*Proof.* By Lemma 3.4 of [1], (a) implies (b). To see that (b) implies (c), let  $X' = X - \bigcup \{ [f^{-1}(p)]^{\circ} \mid p \in Y \}$  and note that  $f|X'$  is closed and has compact point inverses. Therefore  $f|X'$  is compact (see introduction of [9]) which implies that  $f$  is compact-covering, as required. Lemma 11.2 of [6] proves that (c) implies (a). (Indeed this last implication is the only one which requires that  $Y$  be a  $k$ -space!)

Note that Hausdorff  $k$ -spaces are exactly the quotient spaces of locally compact Hausdorff spaces (see Theorem 9.4 on p. 248 of [4]). Therefore, Theorem 1.2 automatically yields substantial improvements of Corollaries 2.61 and 2.62 of [9] and of Theorem 9 of [5].

The following two simple examples clearly show that none

of the hypotheses of Theorems 1.1 and 1.2 are superfluous.

*Example 1.3.* There exists a  $\sigma$ -compact metric space  $X$ , a compact metric space  $Y$  and a compact-covering quotient map  $f$  from  $X$  onto  $Y$  such that

(a)  $f^{-1}(p)$  is compact and connected for each  $p \in Y$ .

(b)  $f$  is not closed (and hence not compact, by Theorem 1.2).

*Proof.* Let  $E$  be the euclidean plane with the usual topology and let  $A_n = \{(np, p) \in E \mid n^{-2} \leq p \leq n\}$ , for each positive integer  $n$  (i.e.  $A_n$  is a certain line segment of the line  $x = ny$ ). We now let  $X$  and  $Y$  be the following subspaces of  $E$ :

$$X = \{(0,0)\} \cup \bigcup_{n=1}^{\infty} A_n, \quad Y = \{(0,0)\} \cup \{(n^{-2}, n^{-1})\}_{n=1}^{\infty}.$$

Finally we define  $f: X \rightarrow Y$  by  $f(0,0) = (0,0)$ ,  $f(A_n) = (n^{-2}, n^{-1})$ , for each  $n$ . All our requirements are clearly satisfied.

*Example 1.4.* There exists a locally compact metric space  $X$ , a compact metric space  $Y$  and a quotient map  $f$  from  $X$  onto  $Y$  such that

(a)  $f^{-1}(p)$  is compact for each  $p \in Y$ ,

(b)  $f$  is not closed (and hence not compact, by Theorem 1.2).

*Proof.* Exactly the same as the proof of Example 1.3, except that  $A_n = \{(np, p) \in E \mid p = n^{-2} \text{ or } n^{-1} \leq p \leq n\}$ , for each positive integer  $n$ .

## 2. Monotone Quotient Images

We will prove the following result, which is an improvement of Theorem 9 in Hanai [6]:

*Theorem 2.1.* If  $f: X \rightarrow Y$  is a monotone quotient map from a metrizable locally separable (locally compact) space  $X$  onto a regular first countable space  $Y$ , then  $Y$  is a metrizable locally separable (locally compact) space.

Surprisingly, this result becomes false if "metrizable" is everywhere replaced by "stratifiable" (see Example 2.4).

Indeed we will first prove the stronger result.

*Theorem 2.2.* *If  $f: X \rightarrow Y$  is a monotone quotient map from the paracompact locally Lindelöf (locally separable) space  $X$  onto the regular space  $Y$ , then  $Y$  is paracompact and locally Lindelöf (locally separable).*

*Proof.* We will prove this result for a paracompact locally Lindelöf space  $X$  (for paracompact locally separable spaces the proof is similar and simpler): Let  $u$  be a locally finite open cover of  $X$  such that the closure of each element of  $u$  is Lindelöf and let  $v$  be an open cover of  $X$  such that  $\{\text{st}(\text{st}(x, v), v) \mid x \in X\}$  is a refinement of  $u$ .

For  $V \in v$ , let  $V_0 = \text{st}(V, v)$  and, for each integer  $n \geq 1$ , let  $V_n = \text{st}(V_{n-1}, v)$ . Then the set  $V_* = \bigcup_{n=1}^{\infty} V_n$  is clopen (i.e. open and closed). (Clearly  $V_*$  is open. Let  $x \in V_*^-$ ; then  $\text{st}(x, v) \cap V_* \neq \emptyset$  and thus  $\text{st}(x, v) \cap V_n \neq \emptyset$  for some  $n$ ; hence  $x \in V_{n+1} \subset V_*$ . Thus  $V_*$  is closed.) Furthermore  $V_*$  is Lindelöf: Since  $V^-$  is Lindelöf and  $\{\text{st}(x, v) \cap V^- \mid x \in V^-\}$  is an open cover of  $V^-$  there exists a sequence  $\{x_n\}_{n=1}^{\infty}$  of points of  $V^-$  such that  $V^- \subset \bigcup_{n=1}^{\infty} \text{st}(x_n, v)$ . Thus  $V_0^- = \text{st}(V, v)^- \subset \text{st}(\bigcup_{n=1}^{\infty} \text{st}(x_n, v), v)^- = (\bigcup_{n=1}^{\infty} \text{st}(\text{st}(x_n, v), v))^-$ . On the other hand, there exists a  $U_n \in u$  such that  $U_n^- \supset \text{st}(\text{st}(x_n, v), v)^-$  for each  $n$ . Hence  $V_0^- \subset \bigcup_{n=1}^{\infty} U_n^-$  is Lindelöf. By induction,  $V_n^- (n=0, 1, 2, \dots)$  is Lindelöf. Since  $V_* = \bigcup_{n=0}^{\infty} V_n^-$ , we get that  $V_*$  is Lindelöf.

Thus, by the construction of  $V_*$ , we easily see that  $X$  is covered by a pairwise disjoint family  $\{X_{\alpha}\}_{\alpha \in A}$  of clopen Lindelöf subsets.

We show  $\{f(X_\alpha)\}_{\alpha \in A}$  is a disjoint family of clopen Lindelöf subsets of  $Y$  which covers  $Y$ : Clearly each  $f(X_\alpha)$  is Lindelöf and  $\{f(X_\alpha)\}_{\alpha \in A}$  covers  $Y$ . Since  $f$  is monotone,  $X_\alpha = f^{-1}(f(X_\alpha))$  for each  $\alpha \in A$  and thus  $\{f(X_\alpha)\}_{\alpha \in A}$  is a disjoint family of subsets of  $Y$ . Since  $f$  is a quotient map and  $X_\alpha = f^{-1}(f(X_\alpha))$ , each  $f(X_\alpha)$  is clopen.

Therefore  $Y$  is the union of a pairwise disjoint family of open regular Lindelöf (hence, paracompact) subsets. This completes the proof.

*Proof of Theorem 2.1.* By the method of proof of Theorem 2.2 we get that  $X$  is covered by a pairwise disjoint family  $\{X_\alpha\}_{\alpha \in A}$  of clopen separable subsets. Thus, by the Corollary on page 695 of [8],  $Y$  is covered by the family  $\{f(X_\alpha)\}_{\alpha \in A}$  of open separable metrizable subsets. Hence  $Y$  is clearly metrizable and locally separable. The "local compactness" follows from Lemma 1 in [8].

Example 6.1 in Stone [8] shows that Theorem 3.1 is false if  $f$  is not monotone, and the following example shows that Theorem 2.1 is false if  $X$  is not locally separable. Thus none of the hypothesis in Theorem 2.1 is superfluous.

*Example 2.3.* There exist topological spaces  $X$  and  $Y$  such that  $X$  is metrizable and not locally separable,  $Y$  is hereditarily paracompact, first countable and not metrizable, and an onto monotone quotient map  $f: X \rightarrow Y$  with  $f^{-1}(y)$  compact for each  $y \in Y$  (furthermore,  $f$  is pseudo-open).

*Proof.* Let the set of irrational numbers  $A = \bigcup_{n=1}^{\infty} A_n$  such that the  $A_n$  are uncountable, disjoint and dense in the euclidean line. (This can easily be done!) Let

$X = \{(x,y) \mid 0 \leq y \leq 1, y \geq 1/n \text{ for } x \in A_n, y = 0 \text{ for } x \text{ rational}\}$ , with the following topology: A neighborhood of  $(x,0) \in X$  is the intersection of  $X$  with an open disk, in the plane, centered about  $(x,0)$ ; a neighborhood of  $(x,z) \in X$ , with  $x$  irrational, is an open interval (intersected with  $X$ ) of the vertical line  $x = z$  containing  $(x,z)$ .

Let  $Y$  be the set of real numbers and define  $f: X \rightarrow Y$  by  $f(x,w) = X$ , for each  $(x,w) \in X$ . Give  $Y$  the quotient topology with respect to  $f$ . It is easily seen that all our claims are satisfied.

*Example 2.4.* There exist separable first countable topological spaces  $X$  and  $Y$  such that  $X$  is an  $M_1$ -space,  $Y$  is not monotonically normal, and an onto monotone quotient map  $f: X \rightarrow Y$  such that each  $f^{-1}(y)$  is compact.

*Proof.* (We modify an example of van Douwen [3].) Let  $Z = P \cup Q$ , where  $P = \{(x,0) \mid x \text{ irrational}\}$  and  $Q = \{(x,y) \mid x,y \text{ rational, } y > 0\}$ . Let  $(x,y) = n_{xy}$  be a one-to-one correspondence between  $Q$  and  $\{1 - 1/n \mid n \text{ is a positive integer}\}$ .

Let  $Y$  be the set  $Z$  with the following topology: A neighborhood of  $p = (x,0)$  is of the form

$$B(p,\epsilon) = \{(s,t) \in Z \mid t \leq |x - s| < \epsilon\}$$

for any  $\epsilon > 0$ . A neighborhood of  $(x,y) \in Q$  is an ordinary euclidean neighborhood. In Example 2.4 of [3], it is proved that  $Y$  is not monotonically normal.

Let  $X = Z \times I$ , where  $I$  is the closed unit interval. Define a topology on  $X$ , as follows: A neighborhood of  $((x,0),0) = (p,0)$  is of the form  $S(p,\epsilon) = B(p,\epsilon) \times [0, 1/n]$ , for some positive integer  $n$  and  $\epsilon > 0$ .

A neighborhood of  $((x,y),n_{xy})$  with  $x,y$  rational, is of the form  $U \times V$  such that  $U$  is an ordinary euclidean neighborhood of

$(x,y)$  and  $V$  is an open interval (intersected with  $I$ ) centered at  $n_{xy}$ . A neighborhood of any other  $((x,y),t)$  is of the form  $\{(x,y)\} \times N_t$  such that  $N_t$  is an open interval centered at  $t$  (intersected with  $I$ ). It is easily seen that  $X$  is an hereditarily  $M_1$ -space, because of Example 2.3 of [3]. Clearly, both  $X$  and  $Y$  are separable first countable spaces.

Let  $f:X \rightarrow Y$  be defined by  $f((x,w),t) = (x,w)$ . It is easily seen that  $f$  is a monotone quotient map.

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