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by

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## AN ALGEBRAIC CHARACTERIZATION OF THE FREUDENTHAL COMPACTIFICATION FOR A CLASS OF RIMCOMPACT SPACES

Melvin Henriksen

### 1. Introduction

Throughout  $C(X)$  will denote the ring of all continuous real-valued functions on a Tychonoff space  $X$ , and  $C^*(X)$  will denote the subring of bounded elements of  $C(X)$ . The real line is denoted by  $R$ , and  $N$  denotes the (discrete) subspace of positive integers. A subset  $S$  of  $X$  such that the map  $f \rightarrow f|_S$  is an epimorphism of  $C(X)$  (resp.  $C^*(X)$ ) is said to be *C-embedded* (resp. *C\*-embedded*) in  $X$ . As is well-known, every  $f \in C^*(X)$  has a unique continuous extension  $\beta f$  over its Stone-Cech compactification  $\beta X$  [GJ, Chapter 6]. That is,  $X$  is *C\*-embedded* in  $\beta X$ .

In [NR], L. Nel and D. Riordan introduced the subset  $C^\#(X)$  of  $C(X)$  consisting of all  $f$  such that for every maximal ideal  $M$  of  $C(X)$ , there is an  $r \in R$  such that  $(f-r) \in M$ , and they noted that  $C^\#(X)$  is a subalgebra and sublattice of  $C(X)$  containing the constant functions. They show how  $C^\#(X)$  determines a compactification of  $X$  in a number of cases and leave the impression that it always does. In [Cl], E. Choo notes that this is true if  $X$  is locally compact and seems to conjecture that it need not be the case otherwise. In [SZ 1], O. Stefani and A. Zanardo show that every  $f \in C^\#(R^\omega)$  is a constant function, where  $R^\omega$  denotes a countably infinite product of copies of  $R$ . In [SZ 2] they show that  $C^\#(X)$

determines a compactification of  $X$  in case  $X$  is locally compact, pseudo compact, or zero-dimensional, and they describe the compactifications so determined when  $X$  is realcompact [GJ, Chapter 8].

In this paper, I show that under certain restrictions on  $X$ , the ring  $C^\#(X)$  determines the Freudenthal compactification of  $X$  [Il, pp. 109-120], I observe that, at least in disguised form,  $C^\#(X)$  has been considered by a number of authors other than those named above, and some conditions are given that are either necessary or sufficient for  $X$  to determine a compactification of  $X$ . In particular, it is shown that if  $X$  is realcompact, and  $C^\#(X)$  determines a compactification of  $X$ , then  $X$  is rimcompact and it determines the Freudenthal compactification  $\phi X$  of  $X$ . There are realcompact rimcompact spaces  $X$  for which  $C^\#(X)$  does not determine a compactification of  $X$ , but  $C^\#(X)$  does determine  $\phi X$  if every point of  $x$  has either a compact neighborhood, or a base of open and closed neighborhoods. Other sufficient conditions are given for  $C^\#(X)$  to determine  $\phi X$ . I close with some remarks and open problems.

## 2. Using $C^\#(X)$ to Compactify $X$

We will make use of the following characterization of  $C^\#(X)$  due to a number of authors. Recall that  $Z(f) = \{x \in X: f(x) = 0\}$  and  $\nu X$  denotes the Hewitt real compactification of  $X$ .

2.1 *Theorem.* *If  $f \in C(X)$ , then the following are equivalent.*

- (a)  $f \in C^\#(X)$ .

- (b)  $f \in C^*(X)$  and  $f[D]$  is closed (and hence finite) for every  $C$ -embedded copy  $D$  of  $N$ .
- (c)  $f \in C^*(X)$  and  $f[Z]$  is closed for every zero-set  $Z$  in  $X$ .
- (d)  $f \in C^*(X)$  and for every  $r \in \mathbb{R}$ ,  $\text{Cl}_{\beta X} Z(f-r) = Z(\beta f-r)$ .
- (e)  $f \in C^*(X)$  and for every  $p \in \beta X \setminus \cup X$ , there is a neighborhood of  $p$  in  $\beta X$  on which  $\beta f$  is constant.

The equivalence of (a) and (b) seems to appear first in [NR]. The equivalence of (a), (b), (c), (d) appears in [Cl], and that of (a), (b), (d), and (e) in [SZ 2]. Mappings that satisfy (d) are a special case of what are called WZ-maps by T. Isiwata, who showed that any map that sends zero-sets to closed sets in a WZ-map, and that a WZ-map on a normal space is closed [I 2], [W, p. 215]. More important for this paper is the following result. For any subset  $S$  of  $X$ , let  $\text{Fr } S = \text{Cl } S \cap \text{Cl}(X \setminus S)$  denote the *boundary* (or *frontier*) of  $S$ .

2.2 *Theorem.* If  $X$  is realcompact and  $f \in C^\#(X)$ , then  $\text{Fr } Z(f-r)$  is compact for every  $r \in \mathbb{R}$ , and  $f$  is a closed mapping.

By Theorem 2.1 (d,e) if  $r \in \mathbb{R}$ , then either  $Z(f-r)$  is compact or  $\text{Fr } Z(\beta f-r) \subset X$ . In the latter case,  $\text{Fr } Z(f-r) = \text{Fr } Z(\beta f-r)$ . In either case  $\text{Fr } Z(f-r)$  is compact. In [I.2, 1.3], T. Isiwata shows that a WZ-map with this latter property is closed, so the theorem is proved.

Recall that a space  $X$  is called *rimcompact* if it has a base of open sets with compact boundaries.  $X$  is said to be *zero-dimensional* at  $x$  if  $x$  has a base of neighborhoods with

empty boundaries, and  $X$  is called *zero-dimensional* if it is zero-dimensional at each of its points. It is shown in [M3] that every rimcompact space has a compactification  $\phi X$  such that  $\phi X \setminus X$  is zero-dimensional, and whenever  $\gamma X$  is a compactification of  $X$  with  $\gamma X \setminus X$  zero-dimensional, there is a continuous map of  $\phi X$  onto  $\gamma X$  leaving  $X$  pointwise fixed.  $\phi X$  is called the *Freudenthal compactification* of  $X$ .

In [D], R. Dickman shows that if  $X$  is rimcompact, then every  $f \in C^*(X)$  such that  $\text{Fr } Z(f-r)$  is compact for every  $r \in \mathbb{R}$  has a (unique) extension in  $C(\phi X)$ . Hence the following is an immediate consequence of Theorem 2.2.

2.3 *Corollary.* *If  $X$  is rimcompact and realcompact, then every  $f \in C^\#(X)$  has a (unique) extension  $\phi f \in C(\phi X)$ .*

Suppose  $S$  is a subring of  $C^*(X)$  that contains the constant functions and  $\gamma X$  is a compactification of  $X$  such that every  $f \in S$  has an extension  $\gamma f \in C(\gamma X)$  and  $S^Y = \{\gamma f: f \in S\}$  separates the points of  $\gamma X$ . (That is if  $x_1, x_2 \in \gamma X$  and  $x_1 \neq x_2$ , there is an  $f \in S$  such that  $\gamma f(x_1) = 0$  and  $\gamma f(x_2) = 1$ ). Then by the Stone-Weierstrass Theorem,  $S^Y$  is dense in  $C(\gamma X)$  in its uniform topology [GJ, 16.4], and we say that  $S$  *determines* the compactification  $\gamma X$  of  $X$ . Note that  $S$  determines a compactification of  $X$  if points can be separated from disjoint closed sets by functions in  $S$ .

If  $\gamma_1 X$  and  $\gamma_2 X$  are compactifications of  $X$  for which there is a homeomorphism of  $\gamma_1 X$  onto  $\gamma_2 X$  keeping  $X$  pointwise fixed, then we write  $\gamma_1 X = \gamma_2 X$ .

For any space  $X$ , let  $C_\#(\beta X) = \{\beta f: f \in C^\#(X)\}$  and note that  $C_\#(\beta X)$  and  $C^\#(X)$  are isomorphic. Similarly, if  $X$  is

realcompact and rimcompact, then by Corollary 2.3,  $C^\#(X)$  is isomorphic to  $C_\#(\Phi X) = \{\phi f: f \in C^\#(X)\}$ .

A subring  $A$  of  $C^*(X)$  is called *algebraic* if it contains the constant functions and those members  $f \in C^*(X)$  such that  $f^2 \in A$ . If, in addition,  $A$  is closed under uniform convergence, then  $A$  is called an *analytic* subring of  $C^*(X)$ . The closure in the uniform topology of a subset  $B$  of  $C^*(X)$  will be denoted by  $uB$ . It is noted in [GJ, 16.29], that if  $A$  is an algebraic subring of  $C^*(X)$ , then  $uA$  is an analytic subring.

If  $B \subset C^*(X)$ , then a *maximal stationary set*  $S$  of  $B$  is a subset of  $X$  maximal with respect to the property that every  $f \in B$  is constant on  $S$ . In [GJ, 16.29-16.32], the following is established.

2.4 *If  $X$  is compact and  $A$  is an algebraic subring of  $C^*(X)$ , then every maximal stationary set of  $A$  is connected and  $uA = \{f \in A: f \text{ is constant on every connected stationary set of } A\}$ .*

If  $X$  is rimcompact and realcompact, then, by the above  $C_\#(\Phi X)$  is an algebraic subring of  $C^*(\Phi X)$ . Next, I make use of the above to establish:

2.5 *Theorem. If  $X$  is a realcompact space and  $C^\#(X)$  determines a compactification  $\gamma X$  of  $X$ , then  $X$  is rimcompact and  $\gamma X = \Phi X$ .*

*Proof.* Suppose  $x \in X$  and  $V$  is an open neighborhood of  $x$ . By assumption there is an  $f \in C^\#(X)$  such that  $f(x) = 0$  and  $f(X \setminus V) = 1$ . If  $g = (f - \frac{1}{2}) \vee 0$ , then, by Theorem 2.2  $Z(g)$  is a neighborhood of  $x$  with compact boundary that is

contained in  $V$ . Hence  $X$  is rimcompact, and so  $A = C_{\#}(\phi X)$  is an algebraic subring of  $C^*(\phi X)$ . Assume without loss of generality that  $X$  is not compact, let  $S$  denote a maximal stationary set of  $A$ , and suppose  $S$  has more than one point. Since  $A$  determines a compactification of  $X$ , it follows that  $S \subset \phi X \setminus X$ . Since the remainder of  $X$  in  $\phi X$  is totally disconnected,  $S$  reduces to a point and Theorem 2.5 is established.

Next, I give an example to show that  $C_{\#}(X)$  need not determine a compactification of a realcompact and rimcompact space. For any space  $X$ , let  $R(X)$  denote the set of points of  $X$  which fail to have a compact neighborhood. Clearly  $R(X)$  is closed since  $X \setminus R(X)$  is open.

2.6 *Example.* A realcompact rimcompact space  $S$  for which  $R(X)$  is a compact connected maximal stationary set.

Let  $W^*$  denote the space of ordinals that do not exceed the first uncountable ordinal  $\omega_1$ , and let  $W = W^* \setminus \{\omega_1\}$ . It is well known that  $W^*$  is compact and every  $f \in C(W)$  is eventually constant [GJ, 5.13]. Let  $X = [0,1] \times W^*$  with the topology obtained by adding to the product topology every subset of  $[0,1] \times W$ . Clearly  $X$  is rimcompact and  $R(X) = [0,1] \times \{\omega_1\}$ . Moreover,  $X$  is the union of a realcompact discrete space and the compact space  $R(X)$ , so  $X$  is realcompact [GJ, 8.16]. Suppose  $0 \leq r < s \leq 1$  and  $g \in C^*(X)$  is such that  $g(r, \omega) \neq g(s, \omega)$ . Since  $[0,1]$  is connected, since every  $f \in C(W)$  is eventually constant, and since  $W$  has no countable cofinal subset, there is an  $\alpha > \omega_1$ , and an increasing sequence  $\{x_n\}$  of real numbers between  $r$  and  $s$  such that  $g(x_n, \alpha) \neq g(x_m, \alpha)$  if  $n \neq m$ . Thus  $g$  assumes infinitely many

values on a closed discrete subspace of  $X$  and hence cannot be in  $C^\#(X)$  by Theorem 2.1(b). So  $R(X)$  is a maximal stationary set of  $C^\#(X)$ .

It is clear that  $C^\#(X)$  always contains both the subring  $C_K(X)$  of all functions with compact support and the subring  $C_F(X)$  of functions with finite range. Clearly any point of  $X \setminus R(X)$  can be separated from any disjoint closed set by some element of  $C_K(X)$ , and if  $X$  is zero-dimensional at a point  $x$ , then  $x$  can be separated from any disjoint closed set by some element of  $C_F(X)$ . This together with 2.4 and Theorem 2.5 proves:

2.7 *Theorem.* *If  $X$  is a rimcompact, realcompact space that is zero-dimensional at each point of  $R(X)$ , then  $C^\#(X)$  determines  $\phi X$ ; that is,  $u C_\#(\phi X) = C(\phi X)$ .*

Along these lines we have also:

2.8 *Theorem.* *If  $X$  is a rimcompact and realcompact space such that  $cl_{\phi X}(\phi X \setminus X)$  is zero-dimensional, then  $u C_\#(\phi X) = C(\phi X)$ .*

*Proof.* By the remarks preceding the proof of Theorem 2.7, if  $S$  is a maximal stationary set for  $C_\#(\phi X)$  with more than one point, then  $S \subset cl_{\phi X}(\phi X \setminus X)$ . Since the latter set is zero-dimensional,  $S$  reduces to a point and the conclusion follows.

In [11, Theorem 36, p. 114], it is shown that if  $\phi X \setminus X$  is a Lindelöf space, then the Lebesgue dimension of  $\phi X \setminus X$  is zero. In [P, Corollary 5.8] it is shown that if  $F$  is a closed subset of a normal space  $Y$ , then the Lebesgue dimension



of  $Y$  does not exceed the Lebesgue dimensions of  $A$  or  $(Y \setminus A)$ . It follows that if  $R(X)$  is compact and zero-dimensional, then  $c\lambda_{\Phi X}(\Phi X \setminus X) = (\Phi X \setminus X) \cup R(X)$  is zero-dimensional, for these two notions of dimensionality coincide at 0 if  $X$  is compact; see [P, pp. 156-157]. Note also that  $\Phi X \setminus X$  is a Lindelöf space if and only if every compact subset of  $X$  is contained in a compact subset with a countable base of neighborhoods; in which case we will say that  $X$  is of *countable type*. [I1, p. 119]. Thus we have established:

2.8 *Corollary.* *If  $X$  is a rimcompact, realcompact space of countable type, and  $R(X)$  is compact and zero-dimensional, then  $u C_{\#}(\Phi X) = C(\Phi X)$ .*

### 3. Remarks and Open Problems

- A. In [N], the ring of all closed  $f \in C(X)$  is considered for  $X$  locally compact and weakly *paracompact* (= *metacompact*). For  $X$  realcompact this latter ring coincides with  $C^{\#}(X)$  by Theorem 2.2. Recall also that W. Moran showed in [M3] that if every closed discrete subspace of a normal metacompact space  $X$  is realcompact, then so is  $X$ . Also, examination of Example 3 of [N] shows that this latter need not hold if  $X$  fails to be normal.
- B. In a private communication S. Willard notes that if  $f \in C^*(X)$  and  $f$  is a closed mapping, then  $Z(f)$  has a countable base of neighborhoods in  $X$ . (I.e.,  $Z(f) = \bigcap_{i=1}^{\infty} f^{-1}(-1/i, 1/i)$ ). It would be of great interest to characterize the zero-sets of elements of  $C^{\#}(X)$  at least in case  $X$  is rimcompact and realcompact. To determine which such spaces determine  $X$ , it would probably be

enough to characterize zero-sets of restrictions to  $X$  of  $u \in C_{\#}(\Phi X)$ .

- C. Willard notes also that if  $S$  is a countable subset of  $X$  and  $c\ell_{\Phi X} S$  is connected, then  $S$  is a stationary set for  $C_{\#}(X)$ . It follows from a theorem of McCartney [M1, Proposition 3.12] that if  $Y = [0,1] \times (0,1] \cup Z$ , where  $Z = \{(q,0) : 0 \leq q \leq 1 \text{ and } q \text{ is rational}\}$ , then  $\Phi Y = [0,1] \times [0,1]$ . Hence, by the latter remark of Willard cited above,  $Z$  is a stationary set for  $C_{\#}(Y)$ , so  $Y$  is a separable, metrizable rimcompact space such that  $C_{\#}(Y)$  does not determine a compactification of  $Y$ .
- D. Suppose  $X = [0,1] \times \mathbb{Q} \cap [0,1]$ , where the open sets of  $X$  and those in the product topology together with any subset of  $\{(a,b) \in X : b > 0\}$ . Then  $R(X) = \{(a,b) \in X : b = 0\}$  is compact and connected,  $X$  is rimcompact, realcompact, and determines  $\Phi X$ . So the hypotheses of Theorem 2.7 or 2.8 are not necessary for  $X$  to determine  $\Phi X$ .

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