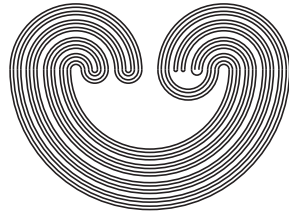


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# TOPOLOGY PROCEEDINGS



Volume 3, 1978

Pages 301–312

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## SOME PROPERTIES OF WHITNEY CONTINUA

by

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**ISSN:** 0146-4124

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## SOME PROPERTIES OF WHITNEY CONTINUA

E. Abo-Zeid <sup>1, 2</sup>

### 1. Introduction

A *continuum* is a compact connected metric space. The letter  $X$  will always denote a continuum with metric  $d$ , and  $C(X)$  is the hyperspace of nonempty subcontinua of  $X$  metrized by the Hausdorff metric  $H$ . For basic facts about hyperspaces, see [12]. If  $A \in C(X)$ , then  $C(A) = \{Y \in C(X) \mid Y \subseteq A\}$  and  $\hat{A} = \{\{a\} \mid a \in A\}$ . A continuous map  $\mu: C(X) \rightarrow \mathbb{R}$  is called a *Whitney map* if it satisfies: (1)  $\mu(\{x\}) = 0$  for each  $x \in X$ , and (2) if  $A \subseteq B$  and  $A \neq B$ , then  $\mu(A) < \mu(B)$ . Whitney [16] has shown that such maps always exist. Throughout this paper,  $\mu$  will stand for an arbitrary Whitney map on  $C(X)$ . It is known [4] that  $\mu$  is monotone, i.e.,  $\mu^{-1}(t)$  is a subcontinuum of  $C(X)$  for each  $t$ . The continua  $\mu^{-1}(t)$  are called the *Whitney continua*. Notice that if  $A \in C(X)$ , then  $C(A) \cap \mu^{-1}(t)$  is a continuum since it is a Whitney continuum in  $C(A)$ .

A topological property  $P$  is said to be a *Whitney property* provided that whenever a continuum  $X$  has property  $P$ , so does  $\mu^{-1}(t)$  for each Whitney map  $\mu$  for  $C(X)$  and each  $t$  with  $0 < t < \mu(X)$ . Whitney properties were investigated by several authors (see [8], [14], [15], and, for a summary of results, see [12]). Nadler [12] defines a topological

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<sup>1</sup>This work is part of the author's doctoral dissertation done at the University of Saskatchewan, Saskatoon, Sask.

<sup>2</sup>This work was partially supported by N.R.C. (Canada) grant #A8205.

property  $P$  to be a *strong Whitney-reversible* property (resp., *Whitney-reversible property*) provided that whenever  $X$  is a continuum such that  $\mu^{-1}(t)$  has property  $P$  for some Whitney map (resp., all Whitney maps)  $\mu$  for  $C(X)$ , and all  $t$  with  $0 < t < \mu(X)$ , then  $X$  has property  $P$ . Nadler ([12], [13]) has shown that some topological properties are Whitney-reversible and he asked [12, (14.57)] if certain other properties are Whitney-reversible. In section 2 we show that hereditary decomposability, hereditary arcwise connectedness, and  $C^*$ -smoothness are strong Whitney-reversible properties.

In section 3 we study the relation between convexity of the Whitney continua and that of the underlying continuum.

The author wishes to thank Professor S. B. Nadler, Jr. for suggesting the topic of this paper and for many helpful discussions.

## 2. Whitney-Reversible Properties

A continuum is said to be *decomposable* provided that it is the union of two proper subcontinua. It is said to be *indecomposable* provided that it is not decomposable. A property  $P$  of a continuum  $X$  is said to be *hereditary* provided that each subcontinuum of  $X$  has  $P$ . We will denote by  $\sigma$  the union function  $\sigma: C(C(X)) \rightarrow C(X)$  defined by  $\sigma(\alpha) = \bigcup\{A \mid A \in \alpha\}$ , and by  $\hat{i}$  the function  $\hat{i}: C(X) \rightarrow C(C(X))$  defined by  $\hat{i}(A) = \hat{A}$ . It is known that  $\sigma$  is continuous [6], and that  $\hat{i}$  is an isometry [12, (16.6)].

It is known [12, p. 413] that indecomposability is not a Whitney property. However, this result shows that indecomposability of  $X$  is reflected in  $\mu^{-1}(t)$ .

2.1. *Theorem.* Let  $X$  be an indecomposable continuum. Let  $\mu$  be a Whitney map for  $C(X)$ . Then for each  $t \in (0, \mu(X))$  there exists an indecomposable continuum  $\beta_t \subseteq \mu^{-1}(t)$  such that  $\sigma(\beta_t) = X$ .

*Proof.* Let  $t \in (0, \mu(X))$  be fixed. It follows by the continuity of the union function  $\sigma$  and Brouwer's reduction theorem that  $\mu^{-1}(t)$  contains a continuum  $\beta_t$  which is irreducible with respect to the property that  $\sigma(\beta_t) = X$ . We show that  $\beta_t$  is indecomposable. For, if  $\beta_t$  were the union of two proper subcontinua  $\beta_1$  and  $\beta_2$ , then  $\sigma(\beta_1)$  and  $\sigma(\beta_2)$  would be proper subcontinua of  $X$  such that  $X = \sigma(\beta_1) \cup \sigma(\beta_2)$ . This contradicts the fact that  $X$  is indecomposable.

It is known (see [12, p. 454]) that decomposability is not a Whitney property.

2.2. *Theorem.* Assume there is a sequence  $\{t_n\}_{n \in \omega}$  such that  $t_n \rightarrow 0$  as  $n \rightarrow \infty$ , and  $\mu^{-1}(t_n)$  is hereditarily decomposable for each  $n = 1, 2, 3, \dots$ , then  $X$  is hereditarily decomposable. Hence, hereditary decomposability is a strong Whitney-reversible property.

*Proof.* Suppose on the contrary that  $X$  contains an indecomposable continuum  $Y$ . It follows easily from the continuity of  $\mu$ , and the hypothesis of the theorem that there exists  $t_0 \in \{t_n \mid n \in \omega\}$  such that  $C(Y) \cap \mu^{-1}(t_0)$  is a non-degenerate subcontinuum of  $\mu^{-1}(t_0)$ . Then, by 2.1, there exists an indecomposable continuum  $\beta \subseteq C(Y) \cap \mu^{-1}(t_0)$ . This contradicts the fact that  $\mu^{-1}(t_0)$  is hereditarily decomposable.

The result just proved answers one of the questions in [12, (14.57)].

A continuum  $X$  is *unicoherent* provided that  $A \cap B$  is connected whenever  $A$  and  $B$  are subcontinua of  $X$  such that  $A \cup B = X$ . A *trioid* is a continuum  $M$  which contains a subcontinuum  $N$  such that the complement of  $N$  in  $M$  is the union of three nonempty mutually separated sets. A continuum is *a-trioidic* provided it contains no trioid. A continuum  $X$  is *chainable* provided that for each  $\epsilon > 0$ , there exists a continuous function  $f: X \rightarrow \mathbb{R}$  such that  $\text{diam}(f^{-1}(r)) < \epsilon$  for each  $r \in f(X)$ .

Nadler has proved the following result (see [12, (14.46), (14.49-51)]).

2.3. *Theorem [Nadler]. Assume there is a sequence  $\{t_n\}_{n \in \omega}$  such that  $t_n \rightarrow 0$  as  $n \rightarrow \infty$  and  $\mu^{-1}(t_n)$  is unicoherent (or, respectively, a-trioidic, an arc, a circle), then  $X$  is unicoherent (or, respectively, a-trioidic, an arc, a circle).*

The following two results provide partial answers to the question of whether chainability is a Whitney-reversible property.

2.4. *Theorem. Assume there is a sequence  $\{t_n\}_{n \in \omega}$  such that  $t_n \rightarrow 0$  as  $n \rightarrow \infty$  and  $\mu^{-1}(t_n)$  is an hereditarily decomposable chainable continuum for each  $n = 1, 2, 3, \dots$ , then  $X$  is an hereditarily decomposable chainable continuum.*

*Proof.* It follows by 2.2 that  $X$  is hereditarily decomposable. Since a chainable continuum is hereditarily unicoherent and a-trioidic, it follows by 2.3 that  $X$  is hereditarily

unicoherent and  $\alpha$ -triodic. Bing [2, Theorem 11] has proved that an hereditarily decomposable continuum is chainable if and only if it is  $\alpha$ -triodic and hereditarily unicoherent.

A continuum  $X$  is said to have *property* $[\kappa]$  provided that for each  $\epsilon > 0$ , there exists  $\delta > 0$  such that if  $a, b \in X$ ,  $d(a, b) < \delta$ , and  $a \in A \in C(X)$ , then there exists  $B \in C(X)$  such that  $b \in B$ , and  $H(A, B) < \epsilon$ . It is known [6] that if  $X$  has *property* $[\kappa]$ , then the function  $F_\mu : X \times [0, \mu(X)] \rightarrow C(C(X))$  defined by  $F_\mu(x, t) = \{A \in \mu^{-1}(t) \mid x \in A\}$  is continuous.

2.5. *Theorem.* Let  $X$  be a continuum which has *property* $[\kappa]$ . Assume there is a sequence  $\{t_n\}_{n \in \omega}$  such that  $t_n \rightarrow 0$  as  $n \rightarrow \infty$ , and  $\mu^{-1}(t_n)$  is chainable for each  $n = 1, 2, \dots$ , then  $X$  is chainable.

*Proof.* Let  $\epsilon > 0$  be given. By the continuity of  $\mu$ , and the hypothesis of the theorem, there exists  $t_0 \in \{t_n \mid n \in \omega\}$  such that  $\text{diam}(M) < \epsilon/2$  for each  $M \in \mu^{-1}(t_0)$ . Since  $\mu^{-1}(t_0)$  is chainable, there exists a continuous map  $g: \mu^{-1}(t_0) \xrightarrow{\text{onto}} [0, 1]$  such that  $\text{diam}(g^{-1}(r)) < \epsilon/2$  for each  $r \in [0, 1]$ . Define  $f: X \rightarrow [0, 1]$  by  $f(x) = \text{centre}(g(F_\mu(x, t_0)))$ . Since  $X$  has *property* $[\kappa]$ ,  $f$  is continuous. Let  $r \in f(X)$ , and let  $a, b \in f^{-1}(r)$ . Then there exist  $A \in F_\mu(a, t_0)$  and  $B \in F_\mu(b, t_0)$  such that  $r = g(A) = g(B)$ . Since  $g$  is an  $\epsilon/2$ -map,  $H(A, B) < \epsilon/2$ . Thus,  $d(a, b) < \epsilon$ . This shows that  $f$  is an  $\epsilon$ -map. Hence,  $X$  is chainable.

It is known (see [12, (14.48)]) that arcwise connectedness is not a Whitney-reversible property. Let us note the following:

2.6. *Theorem.* Assume that  $\mu^{-1}(t)$  is hereditarily arcwise connected for each  $t \in (0, \mu(X))$ , then  $X$  is an arc or a circle. Hence, hereditary arcwise connectedness is a strong Whitney-reversible property.

*Proof.* It is known [9, p. 212] that each arcwise connected continuum is decomposable. Thus, each  $\mu^{-1}(t)$  is hereditarily decomposable for each  $t \in (0, \mu(X))$ . Then, by 2.2,  $X$  is hereditarily decomposable. It follows by [8, (3.3)] that  $X$  is a-triodic. Now, we show that  $C(X) \setminus \{E\}$  is arcwise connected for each proper subcontinuum  $E$  of  $X$ . Let  $E$  be an arbitrary but fixed subcontinuum of  $X$ . We may assume that  $E$  is non-degenerate. To prove that  $C(X) \setminus \{E\}$  is arcwise connected, it suffices from the arc structure of  $C(X)$  to show that if  $A$  is a proper subcontinuum of  $E$ , then  $A$  and  $X$  can be joined by an arc in  $C(X) \setminus \{E\}$ . Let  $t > 0$  be chosen such that  $\mu(A) \leq t < \mu(E)$ . Let  $B \in \mu^{-1}(t)$  such that  $A \subseteq B$ , and let  $\alpha_1$  be an order arc from  $A$  to  $B$  (see [12]). Let  $g \in X \setminus E$ , and let  $G \in \mu^{-1}(t)$  such that  $g \in G$ . Since  $\mu^{-1}(t)$  is arcwise connected, there exists an arc  $\alpha_2$  joining  $B$  and  $G$  in  $\mu^{-1}(t)$ . Let  $\alpha_3$  be an order arc from  $G$  to  $X$ . It follows that  $\alpha_1 \cup \alpha_2 \cup \alpha_3$  is an arc joining  $A$  and  $X$  in  $C(X) \setminus \{E\}$ . This shows that  $C(X) \setminus \{E\}$  is arcwise connected. Since  $X$  is a-triodic and hereditarily decomposable, it follows by [12, (11.16)] that  $X$  is chainable or circle-like.

If  $X$  is chainable, then since the property of being a chainable continuum is a Whitney property [7], each  $\mu^{-1}(t)$  is chainable,  $0 < t < \mu(X)$ . Since each arcwise connected chainable continuum is an arc, each  $\mu^{-1}(t)$  is an arc. Then, by 2.3,  $X$  is an arc. On the other hand, if  $X$  is circle-like

and not chainable (i.e., proper circle-like), then since the property of being a proper circle-like continuum is a Whitney property [7], each  $\mu^{-1}(t)$  is a proper circle-like continuum,  $0 < t < \mu(X)$ . Thus, each  $\mu^{-1}(t)$  is an hereditarily arcwise connected circle-like continuum. By [11, Theorem 6], each  $\mu^{-1}(t)$  is a circle. Thus, by 2.3,  $X$  is a circle.

A continuum  $X$  is said to be  $C^*$ -smooth provided that the function  $C^*: C(X) \rightarrow C(C(X))$  defined by  $C^*(A) = C(A)$  is continuous [12, (15.5)].

We denote by  $H^2$  the Hausdorff metric on  $C(C(X))$  corresponding to  $H$  as a metric on  $C(X)$ , and by  $H^3$  the Hausdorff metric on  $C(C(C(X)))$  corresponding to  $H^2$  as a metric on  $C(C(X))$ .

2.7. *Lemma.* For each  $\epsilon > 0$  there exists  $\delta > 0$  such that if  $t < \delta$ ,  $A$  is any subcontinuum of  $X$ ,  $\mu^{-1}(t)$  is hereditarily unicoherent, and  $\beta$  is any subcontinuum of  $\mu^{-1}(t)$  such that  $\sigma(\beta) = A$ , then  $H^3(C(\hat{A}), C(\beta)) \leq \epsilon$ .

*Proof.* Let  $\epsilon > 0$  be given. By the continuity of  $\mu$  and the compactness of  $C(X)$ , there exists  $\delta > 0$  such that if  $0 < t < \delta$ , and  $M \in \mu^{-1}(t)$ , then  $\text{diam}(M) < \epsilon$ . Assume that  $\mu^{-1}(t)$  is hereditarily unicoherent for some  $t < \delta$ . Let  $A \in C(X)$ , and let  $\beta \subset C(\mu^{-1}(t))$  such that  $\sigma(\beta) = A$ . Now, 
$$H^3(C(\hat{A}), C(\beta)) = \max\left\{ \sup_{M \in C(\beta)} \left( \inf_{N \in C(\hat{A})} H^2(M, N) \right), \sup_{N \in C(\hat{A})} \left( \inf_{M \in C(\beta)} H^2(M, N) \right) \right\}.$$

If  $M \in C(\beta)$ , let  $N = (\hat{\sigma}M)$ . Then it is easy to see that  $H^2(M, N) < \epsilon$ . On the other hand, if  $N \in C(\hat{A})$ , let  $X(\sigma(N), \mu, t) = \{G \in \mu^{-1}(t) \mid G \cap \sigma(N) \neq \emptyset\}$ . Then, by [8, (3.2)],  $X(\sigma(N), \mu, t)$  is a subcontinuum of  $\mu^{-1}(t)$ . Let



$M = X(\sigma(N), \mu, t) \cap \beta$ . Since  $\mu^{-1}(t)$  is hereditarily unicoherent,  $M$  is a continuum, and once again  $H^2(M, N) < \varepsilon$ . This shows that  $H^3(C(\hat{A}), C(\beta)) \leq \varepsilon$ .

2.8. *Example.* The following example shows that the assumption that  $\mu^{-1}(t)$  is hereditarily unicoherent cannot be dropped from Lemma 2.7. Let  $X$  be the unit circle, and let  $\mu$  be any Whitney map for  $C(X)$ . Note that  $\mu^{-1}(t)$  is a circle for each  $t \in (0, \mu(X))$  [7]. Let  $\varepsilon = 1/10$ . We show that for any  $t \in (0, \mu(X))$ , there exists a subcontinuum  $\beta \subseteq \mu^{-1}(t)$  such that  $\sigma(\beta) = X$ , and  $H^3(C(\hat{X}), C(\beta)) > 1/10$ . Let  $t \in (0, \mu(X))$  be arbitrary but fixed. It suffices to assume that  $\text{diam}(M) < 1/4$  for each  $M \in \mu^{-1}(t)$ . Let  $\ell > 0$  such that  $\text{diam}(M) > \ell$  for each  $M \in \mu^{-1}(t)$ . Let  $S$  be an open interval of  $X$  of length  $\ell$ , and let  $X_1 = X \setminus S$ . Let  $\beta = \{M \in \mu^{-1}(t) \mid M \cap X_1 \neq \emptyset\}$ . Then  $\beta$  is a subcontinuum of  $\mu^{-1}(t)$  such that  $\sigma(\beta) = X$ . Let  $N$  be the arc of  $X$  of length  $= 1$  which contains  $S$  in its middle. It is easy to see that  $H^2(N, \gamma) > 1/10$  for each subcontinuum  $\gamma \subseteq \beta$ , and consequently  $H^3(C(\hat{X}), C(\beta)) > 1/10$ .

2.9. *Theorem.* Assume there is a sequence  $\{t_n\}_{n \in \omega}$  such that  $t_n \rightarrow 0$  as  $n \rightarrow \infty$ , and  $\mu^{-1}(t_n)$  is  $C^*$ -smooth for each  $n = 1, 2, \dots$ . Then,  $X$  is  $C^*$ -smooth. Hence,  $C^*$ -smoothness is a strong Whitney-reversible property.

*Proof.* Let  $\{A_n\}_{n \in \omega}$  be a sequence in  $C(X)$  such that  $\lim_{n \rightarrow \infty} A_n = A$ . To prove that  $X$  is  $C^*$ -smooth, it suffices to show that if  $\{C(A_{n_j})\}_{j \in \omega}$  is any convergent subsequence of the sequence  $\{C(A_n)\}_{n \in \omega}$ , then  $\lim_{j \rightarrow \infty} C(A_{n_j}) = C(A)$ . We may assume that  $A$  is non-degenerate. Let  $\Lambda = \lim_{j \rightarrow \infty} C(A_{n_j})$ , and let  $\varepsilon > 0$

be arbitrary. Let  $\delta > 0$  be chosen as in Lemma 2.7 with  $\epsilon$  replaced by  $\epsilon/3$ . Let  $t \in \{t_n | n \in \omega\}$  such that  $t < \delta$ , and such that  $C(A) \cap \mu^{-1}(t)$  is a non-degenerate continuum. Then, by [5, (2.1)],  $\lim_{j \rightarrow \infty} (C(A_{n_j}) \cap \mu^{-1}(t)) = \Lambda \cap \mu^{-1}(t)$ . Since  $\mu^{-1}(t)$  is  $C^*$ -smooth, there exists a natural number  $N$  such

that for each  $j \geq N$ ,

$$H^3(C(C(A_{n_j}) \cap \mu^{-1}(t)), C(\Lambda \cap \mu^{-1}(t))) < \epsilon/3. \quad (1)$$

We may assume that for each  $j \geq N$ ,  $\sigma(C(A_{n_j}) \cap \mu^{-1}(t)) = A_{n_j}$ .

Since each  $C^*$ -smooth continuum is hereditarily unicoherent [5], it follows by 2.7 that

$$H^3(C(A_{n_j}) \cap \mu^{-1}(t), C(\hat{A}_{n_j})) \leq \epsilon/3. \quad (2)$$

Since the union function  $\sigma$  is continuous,  $A = \sigma(\Lambda \cap \mu^{-1}(t))$ .

Hence, by 2.7

$$H^3(C(\hat{A}), C(\Lambda \cap \mu^{-1}(t))) \leq \epsilon/3. \quad (3)$$

It follows from (1), (2), and (3) and the triangle inequality that  $H^3(C(\hat{A}), C(\hat{A}_{n_j})) < \epsilon$  for each  $j \geq N$ . Since for each

$M \in C(\hat{A})$ , and each  $N \in C(\hat{A}_{n_j})$ ,  $H^2(M, N) = H(\sigma(M), \sigma(N))$ , it follows that  $H^2(C(A), C(A_{n_j})) < \epsilon$  for each  $j \geq N$ . Consequently,

$H^2(C(A), \Lambda) < \epsilon$ . Since  $\epsilon$  is arbitrary,  $\Lambda = C(A)$  and the proof is complete.

2.10. *Remark.* In contrast with 2.9, let us show that  $C^*$ -smoothness is not a Whitney property. By [12, (15.11)] a locally connected continuum is  $C^*$ -smooth if and only if it is a dendrite. Let  $X$  be a simple triod (a continuum homeomorphic to  $\{(0, y) \in \mathbb{R}^2 | 0 \leq y \leq 1\} \cup \{(x, 1) \in \mathbb{R}^2 | -1 \leq x \leq 1\}$ ). Then  $X$  is  $C^*$ -smooth. It follows by [12, (14.9)] that  $\mu^{-1}(t)$  is a

locally connected continuum for each  $t \in (0, \mu(X))$ . It is easy to see that  $\mu^{-1}(t)$  contains a 2-cell for each  $t \in (0, \mu(X))$ , and, therefore,  $\mu^{-1}(t)$  is not  $C^*$ -smooth.

### 3. Convexity

A continuum  $X$  is said to be convex provided that for each pair of points  $x, y \in X$ , there exists a point  $z \in X \setminus \{x, y\}$  such that  $d(x, z) + d(z, y) = d(x, y)$ . It is known that if  $X$  is convex, then each pair of points of  $X$  can be joined by a segment in  $X$ .

Let us note the following theorem for which we will show the converse is false.

**3.1. Theorem.** *Assume there is a sequence  $\{t_n\}_{n \in \omega}$  such that  $t_n \rightarrow 0$  as  $n \rightarrow \infty$ , and  $\mu^{-1}(t_n)$  is convex (with respect to the Hausdorff metric), then  $X$  is convex (with respect to the original metric  $d$  on  $X$ ).*

*Proof.* Since  $\mu$  is an open map [4], and  $\lim_{n \rightarrow \infty} t_n = 0$ ,  $\lim_{n \rightarrow \infty} \mu^{-1}(t_n) = \hat{X}$ . Since each  $\mu^{-1}(t_n)$  is convex, it follows by [3, (4.8)] that  $\hat{X}$  is convex, and consequently  $X$  is convex.

**3.2. Example.** The following is an example of a convex arc  $X$ , and a Whitney map  $\mu$  for  $C(X)$ , such that  $\mu^{-1}(t)$  is not convex for any  $t \in (0, 1]$ . Let  $X = [0, 3]$  with the Euclidean metric. Define a homeomorphism  $f: [0, 3] \rightarrow [0, 6]$  as follows:

$$f(x) = \begin{cases} x, & \text{if } x \in [0, 1] \\ x^2, & \text{if } x \in [1, 2] \\ 2x, & \text{if } x \in [2, 3]. \end{cases}$$

Define  $\mu: C(X) \rightarrow [0, \infty)$  by  $\mu([a, b]) = f(b) - f(a)$ . Then,  $\mu$  is a Whitney map for  $C(X)$ . We show that  $\mu^{-1}(t)$  is not convex. Let  $t \in (0, 1]$  be fixed. Let  $A = [0, t]$ ,  $B = [3-t/2, 3]$ , and  $D = [1, \sqrt{1+t}]$ . Then  $A$ ,  $B$  and  $D \in \mu^{-1}(t)$ . It is known that  $\mu^{-1}(t)$  is an arc [7]. Note that  $A$  and  $B$  are the end points of  $\mu^{-1}(t)$ . It is easy to see that  $H(A, D) = 1$ ,  $H(D, B) = 3 - \sqrt{1+t}$ , and  $H(A, B) = 3 - t/2$ . Thus,  $H(A, B) \neq H(A, D) + H(D, B)$ . This shows that  $\mu^{-1}(t)$  is not convex.

3.3. *Remark.* It is known [1] that a convex continuum is locally connected, and that local connectedness is a Whitney property [12, (14.9)]. Bing [1] and Moise [10] have shown independently that every locally connected continuum admits a convex metric. In view of these facts, we see that if  $X$  is a convex continuum,  $\mu^{-1}(t)$  admits a convex metric. However, as 3.2 shows, it may happen that  $\mu^{-1}(t)$  is not convex with respect to the Hausdorff metric.

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