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# TOPOLOGY PROCEEDINGS



Volume 8, 1983

Pages 29–36

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## CAPACITY SPACES

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### Topology Proceedings

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**ISSN:** 0146-4124

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## CAPACITY SPACES

**Harold R. Bennett, Wayne Lewis and Mladen Luksic**

In 1976 E. V. Scepina [S<sub>1</sub>] defined a capacity on a T<sub>3</sub>-space X to be a function  $\epsilon$  (called the capacity) from  $X \times \mathcal{F}$  (where  $\mathcal{F}$  is the class of all closed subsets of X) into the set of non-negative real numbers such that

(c-1)  $\epsilon(x, F) > 0$  if and only if  $x \in \text{Int}(F)$  (Interior of F)

(c-2) if  $F_1 \subseteq F_2$ , then  $\epsilon(x, F_1) \leq \epsilon(x, F_2)$

(c-3) if F is fixed, then  $\epsilon$  is continuous in the first variable, and

(c-4) if  $\{F_\alpha\}$  is a family of closed sets linearly ordered by set inclusion, then  $\epsilon(x, \bigcap F_\alpha) = \inf_\alpha \{\epsilon(x, F_\alpha)\}$ , where  $x \in X$  and each  $F_\alpha \in \mathcal{F}$ .

A space with a capacity is called a capacity space. If  $(X, d)$  is a metric space then a capacity may be defined on X by

$$\epsilon(x, F) = d(x, X-F)$$

Thus a capacity space is a generalization of a metric space.

Recall that a closed subset A of space X is regularly closed if  $A = \text{Cl}(\text{Int}(A))$  and the complement of a regularly closed set is called a regularly open set (where  $\text{Cl}(A)$  is the closure of A in X). Also, recall that a space is perfectly  $\kappa$ -normal if any two non-intersecting regularly closed sets have non-intersecting neighborhoods and every regularly closed set is the countable intersection of regularly open sets. Capacity spaces were evidently introduced as a tool to study perfectly  $\kappa$ -normal spaces.

Using (c-1) and (c-3) it can be shown that each capacity space is perfectly  $\kappa$ -normal.

It can be shown that Heath's sticker space, Example 1 of [H], is not perfectly  $\kappa$ -normal (and hence not a capacity space). It can also be shown that the Moore plane [W, exercise 4B] does have a capacity. Thus the property of having a capacity is a differentiating feature for the class of Moore spaces.

In  $[S_1]$  there were nine theorems (Theorems 5-13) involving capacity spaces that were given without proof. One of these theorems asserted that a LOTS (=linearly ordered topological space) with a capacity is metrizable. In [BL] this result is obtained as a corollary to the more general result.

*Theorem 1. A GO-space (=generalized ordered space) with a capacity has a  $G_\delta$ -diagonal and is perfect (=closed sets are  $G_\delta$ -sets).*

The question of what subspaces of a capacity space have a capacity naturally arises.

*Theorem 2. Let  $Y$  be a subspace of a capacity space  $X$ . If  $Y$  is either a regularly closed, or open subset of  $X$ , then  $Y$  is a capacity space.*

*Proof.* Let  $\varepsilon$  be a capacity on  $X$ . If  $Y$  is a regularly closed subspace of  $X$  define

$$\eta(x, F) = \varepsilon(x, F \cup \text{Cl}(X-F))$$

for  $x \in Y$  and  $F$  closed in  $Y$ .

If  $Y$  is an open subspace of  $X$  define

$$\eta(x, F) = \varepsilon(x, F \cup (X-F))$$

for  $x \in Y$  and  $f$  closed in  $Y$ . In either case it is not difficult to verify that  $\eta$  is a capacity in  $Y$ .

It will be shown later that closed subspaces of capacity spaces need not have a capacity. To solve the dense subspace problem we need the following definition.

*Definition.* A capacity for a space  $X$  is *faithful* if (c-5)  $F_1, F_2 \in \mathcal{F}$  such that  $\text{Int } F_1 = \text{Int } F_2$ , then  $\varepsilon(x, F_1) = \varepsilon(x, F_2)$ .

*Theorem 3.* If  $Y$  is a dense subspace of a faithful capacity space  $X$ , then  $Y$  is a faithful capacity space.

*Proof.* Let  $\varepsilon$  be a faithful capacity on  $X$ . Define  $\eta(x, F) = \varepsilon(x, \text{Cl}(F, X))$  where  $\text{Cl}(F, X)$  is the closure of  $F$  in  $X$ . It is routine to verify that  $\eta$  is a faithful capacity on  $Y$ .

The condition (c-5) leads to the following question.

*Question 1.* Does there exist a capacity space that *does not* have a faithful capacity?

The following example gives a non-faithful capacity of  $[0,1]$  with the usual topology. Since this space is metric it also has a faithful capacity.

*Example.* For a closed set  $F$  in  $X = [0,1]$  with the usual topology let  $\varepsilon(x, F) = d(x, X-F) \cdot m^*(F)$  where  $d$  is the usual metric on  $[0,1]$  and  $m^*(F)$  is the outer Lebesgue measure of  $F$ .

It is straight forward to verify that this is a non-faithful capacity on  $[0,1]$ .

In 1980 in another paper  $[S_2]$  by Scepin, the notion of a  $\kappa$ -metric on a completely regular space  $X$  is introduced. Let  $\mathcal{C}$  be the class of all regularly closed subsets of  $X$ . Then a nonnegative real-valued function  $\rho$  with domain  $X \times \mathcal{C}$  is a  $\kappa$ -metric on  $X$  if

(k-1)  $\rho(x, C) = 0$  if and only if  $x \in C$ ,

(k-2) if  $C_1$  and  $C_2$  are in  $\mathcal{C}$  and  $C_1 \subset C_2$ , then  $\rho(x, C_1) \geq \rho(x, C_2)$

(k-3) if  $C$  is fixed, then  $\rho$  is continuous in the first variable, and

(k-4) if  $\{C_\alpha\}$  is a transfinite increasing collection of elements of  $\mathcal{C}$ , then  $\rho(x, \text{Cl}(\bigcup_{\alpha} C_\alpha)) = \inf_{\alpha} \{\rho(x, C_\alpha)\}$ .

In  $[S_2]$  proofs for the theorems in  $[S_1]$  are indicated except for Theorem 12 of  $[S_1]$  which is stated as an open problem in  $[S_2]$ . These proofs are given in the  $\kappa$ -metrizable setting rather than the capacity setting. This is due, perhaps, to the unproven statement that spaces with a capacity "are identical with  $\kappa$ -metrizable spaces," ( $[S_2]$ , p. 411).

The following theorem indicates that this may not be true and if the answer to Question 1 is yes then the theorems in  $[S_1]$  do need to be addressed in a capacity space setting.

*Theorem 4. A completely regular space  $X$  has a faithful capacity if and only if  $X$  is  $\kappa$ -metrizable.*

*Proof.* Let  $X$  have a faithful capacity  $\varepsilon$ . For each

$C \in \mathcal{C}$  let

$$\rho(x, C) = \varepsilon(x, Cl(X-C))$$

Conditions (k-1), (k-2), and (k-3) are readily obtainable. To see that (k-4) is true, let  $\{C_\alpha\}$  be an increasing collection of elements of  $\mathcal{C}$ . Then  $\rho(x, Cl(UC_\alpha)) = \varepsilon(x, Cl(X-Cl(UC_\alpha)))$ . On the other hand

$$\inf_\alpha \{\rho(x, C_\alpha)\} = \inf_\alpha \{\varepsilon(x, Cl(X-C_\alpha))\} = \varepsilon(x, \cap Cl(X-C_\alpha))$$

since  $\{Cl(X-C_\alpha)\}$  is a decreasing collection of closed sets.

Since  $\varepsilon$  is faithful capacity we must show

$$Int(Cl(X-Cl(UC_\alpha))) = Int(\cap Cl(X-C_\alpha))$$

To this end let  $z \in Int(Cl(X-Cl(UC_\alpha)))$ . Then there is an open set  $U$  containing  $z$  such that  $U \subset Cl(X-Cl(UC_\alpha))$ . Since each  $C_\alpha$  is regularly closed and  $\{C_\alpha\}$  is an increasing collection,  $U \cap C_\alpha = \emptyset$  for each  $\alpha$ . Thus  $U \subset X-C_\alpha \subset Cl(X-C_\alpha)$ . Hence  $U \subset \cap Cl(X-C_\alpha)$  and it follows that  $z \in Int(\cap Cl(X-C_\alpha))$ .

If  $z \in Int(\cap Cl(X-C_\alpha))$  then there is an open set  $U$  containing  $z$  such that  $U \subset \cap Cl(X-C_\alpha)$ . Hence for each  $\alpha$ ,  $U \subset Cl(X-C_\alpha)$  and, since  $C_\alpha$  is regularly closed,  $U \cap C_\alpha = \emptyset$ . Thus  $U \cap (UC_\alpha) = \emptyset$ . It follows that  $U \cap Cl(UC_\alpha) = \emptyset$ . Thus  $U \subset X-Cl(UC_\alpha)$ . Hence  $z \in Int(Cl(X-Cl(UC_\alpha)))$ . Hence  $Int(Cl(X-Cl(UC_\alpha))) = Int(\cap Cl(X-C_\alpha))$  and, since  $\varepsilon$  is faithful, we have  $\varepsilon(x, Cl(X-Cl(UC_\alpha))) = \varepsilon(x, \cap Cl(X-C_\alpha))$  from which it follows that  $\rho(x, Cl(UC_\alpha)) = \inf\{\rho(x, C_\alpha)\}$ .

Conversely, let  $\rho$  be a  $\kappa$ -metric on  $X$ . For each  $x \in X$  and closed set  $F$  in  $X$  let  $\varepsilon(x, F) = \rho(x, Cl(X-F))$ . Notice that  $\varepsilon$  is well-defined since if  $F$  is closed then  $Cl(X-F)$  is regularly closed. Conditions (c-1), (c-2) and (c-3) are readily obtainable.

To see that (c-4) is true let  $\{F_\alpha\}$  be a decreasing sequence of closed sets. Then

$$\varepsilon(x, \cap F_\alpha) = \rho(x, \text{Cl}(X - \cap F_\alpha))$$

and

$$\inf_\alpha \{\varepsilon(x, F_\alpha)\} = \inf_\alpha \{\rho(x, \text{Cl}(X - F_\alpha))\} = \rho(x, \text{Cl}(\cup \text{Cl}(X - F_\alpha))).$$

Thus, it must be shown that

$$\text{Cl}(X - \cap F_\alpha) = \text{Cl}(\cup \text{Cl}(X - F_\alpha)).$$

To this end let  $z \in \text{Cl}(X - \cap F_\alpha) = \text{Cl}(\cup (X - F_\alpha))$ . For each open set  $U$  containing  $z$ , it follows that  $U \cap (\cup (X - F_\alpha)) = \emptyset$ .

Hence

$$z \in \text{Cl}(\cup (X - F_\alpha)) \subseteq \text{Cl}(\cup \text{Cl}(X - F_\alpha)).$$

Let  $z \in \text{Cl}(\cup \text{Cl}(X - F_\alpha))$ . Then for each open set  $U$  containing  $z$  there is a member  $M(U)$  of the well-ordered indexing set to which  $\alpha$  belongs, such that if  $M(U)$  precedes  $\alpha$  in the well-ordering, then  $U \cap \text{Cl}(X - \cap F_\alpha) \neq \emptyset$  and  $z \in \text{Cl}(X - \cap F_\alpha)$ .

Hence  $\varepsilon$  is a capacity for  $X$ .

If  $F_1$  and  $F_2$  are closed sets such that  $\text{Int } F_1 = \text{Int } F_2$ , then  $\text{Cl}(X - F_1) = \text{Cl}(X - F_2)$ . Thus, if  $x \in X$ ,

$$\rho(x, \text{Cl}(X - F_1)) = \rho(x, \text{Cl}(X - F_2)).$$

From this it follows that

$$\varepsilon(x, F_1) = \varepsilon(x, F_2)$$

and  $\varepsilon$  is a faithful capacity on  $X$ .

In  $[S_2]$  it is shown that the product of  $\kappa$ -metrizable spaces is a  $\kappa$ -metrizable space. This fact is used in the next example.

*Example.* There is a  $\kappa$ -metrizable space  $X$  with a closed subspace  $Y$  that does not have a capacity.

Let  $Z$  be an uncountable subset of  $[0,1]$  whose only compact (with regard to the usual topology) subsets are countable (see [K]). Let  $Y = \text{Cl}(Z, [0,1])$ . Topologize  $Y$  with a finer topology  $\tau$  than the relative Euclidean topology by letting points of  $Y-Z$  be discrete. It follows that  $(Y, \tau)$  is a quasi-developable,  $[B_1]$ , GO-space which is not metrizable. If  $(Y, \tau)$  had a capacity, then, by Theorem 1,  $(Y, \tau)$  would be perfect and, hence, developable  $[B_1]$ . Since  $(Y, \tau)$  is a GO-space it would be metrizable. From this contradiction it follows that  $(Y, \tau)$  cannot have a capacity.

It is not difficult to prove that  $(Y, \tau)$  is a Lindelöf space and, hence, realcompact. Thus  $(Y, \tau)$  can be closed embedded in a product of real lines. Let  $X = \prod_{\alpha} R_{\alpha}$  (where each  $R_{\alpha}$  is a copy of the real line) contain a homeomorphic copy of  $(Y, \tau)$  as a closed subset. Since each  $R_{\alpha}$  is  $\kappa$ -metrizable it follows that  $X$  is  $\kappa$ -metrizable [S<sub>2</sub>, pg. 408]. Hence closed subspaces of  $\kappa$ -metrizable spaces need not have a capacity.

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