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## THE HOMOGENEITY OF SMALL MANIFOLDS

by

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## THE HOMOGENEITY OF SMALL MANIFOLDS

Stephen Watson\*

### 1. Introduction

A separable space  $X$  is said to be *countable dense homogeneous* if, for any two countable dense subsets  $A$  and  $B$  in  $X$ , there is an autohomeomorphism  $f$  of  $X$  such that  $f(A) = B$ .

A classical result (see [3]) is that any Euclidean space  $\mathbb{R}^n$  is countable dense homogeneous.

R. Bennett [1] and, independently, C. Bessaga and A. Pelczynski [2] showed that any manifold of countable weight is countable dense homogeneous (a manifold is any connected topological space for which there is an integer  $n$  and an open cover of homeomorphs of  $\mathbb{R}^n$ ). If  $X$  is a separable manifold, then  $\aleph_0 \leq w(X) \leq 2^{\aleph_0}$ . In [4], Steprans and Zhou constructed, by diagonalization, a separable manifold of weight  $2^{\aleph_0}$  which is not countable dense homogeneous and observed that the results of [1] and [2] needed only that  $w(X) < b$  where  $b$  is the least cardinality of an unbounded family in  $\omega^\omega$  (mod finite). In an early version of [4], Steprans and Zhou conjectured that separable manifolds of weight less than continuum might have to be countable dense homogeneous. The purpose of this paper is to construct a separable manifold of

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weight  $\aleph_1$  which is not countable dense homogeneous by means of  $\aleph_1$  Cohen reals added to the universe. The use of forcing to define neighborhoods in a manifold is perhaps the most interesting part of the paper.

## 2. The Construction

Let  $A$  be the unit open disc.

Let  $\partial A$  be the boundary of  $A$  in  $\mathbb{R}^2$ .

Let  $D$  and  $E$  be disjoint countable dense subsets of  $A$ .

For each  $x \in \partial A$ , let  $L_x : [0,1] \rightarrow A \cup \{x\}$  be a continuous injection such that  $\text{rng}(L_x \cap E) = \emptyset$  and  $L_x(0) = x$  and  $L_x(\frac{1}{n}) \in D$ . We shall define  $M_x : [-1,1] \times [0,1] \rightarrow A \cup \{x\}$  to be a continuous mapping such that

1.  $M_x[([-1,1] \times (0,1))] is an injection$
2.  $M_x[\{0\} \times [0,1]] \simeq L_x$  in the canonical way
3.  $M_x[([-1,1] \times \{0\})] \equiv x$

$M_x$  will be defined using  $f_x : [0,1] \rightarrow [0,1]$  which is a continuous mapping such that  $f_x^{-1}(0) = \{0\}$ . The counterexample is  $X = A \cup ([0,1] \times Y)$  where  $Y \subset \partial A$ .  $A$  is an open subspace of  $X$  with the Euclidean topology.  $[0,1] \times Y$  as a subspace is the free union of copies of  $[0,1]$  with the Euclidean topology.

We need to define how basic open neighborhoods of  $[0,1] \times Y$  intersect  $A$ .

Let  $x \in Y$  and  $(r,s) \subset (0,1)$ . We declare  $((r,s) \times \{x\}) \cup M_x(((r,s) \cup (-s,-r)) \times (0,s-r))$  to be open.

Let  $x \in Y$  and  $[0,r) \subset [0,1]$ . We declare  $([0,r) \times \{x\}) \cup M_x((-r,r) \times (0,r))$  to be open

This defines a topology on  $X$  which depends on the choice of function  $f_x$ .

We shall add  $\aleph_1$  Cohen reals to the universe  $V$ .  $Y$  is any set of cardinality  $\aleph_1$  in  $V$ . Each  $d_x, L_x$  is an element of  $V$  but  $M_x$  is not an element of  $V$ . Any Cohen real added to the universe adds canonically an increasing continuous function  $f: (0,1] \rightarrow (0,1]$  such that if  $g: (0,1] \rightarrow (0,1]$  and  $g < f$  then  $g \notin V$ . List the Cohen reals with index set  $Y$  and list the associated increasing functions as  $\{f_x: x \in Y\}$ . Let  $P_x: [-1,1] \times [0,1] \rightarrow A \cup \partial A$  be a homeomorphism such that  $P_x[\{0\} \times [0,1]] \simeq L_x$  (in the canonical way). Actually we need  $L_x(1) \in \partial A$  to do this but do not mention it earlier as it is used only to simplify the proof.

Let  $\alpha_n \searrow 0$  where  $\alpha_0 = 1$ . Find a continuous  $p: [-1,1] \times [0,1] \rightarrow [-1,1]$  such that  $p(\{0\} \times [0,1]) \equiv 0$  and  $p([-1,1] \times [\alpha_{n+1}, \alpha_n]) \subset [-f_x(\alpha_n), f_x(\alpha_n)]$ . Define  $M_x$  by  $M_x(\alpha, \beta) = P_x(p(\alpha, \beta), \beta)$ .

### 3. Geometric Details

Reading descriptions of manifolds can be difficult because writing out details of evident geometric facts can turn into masses of notation. Why can  $L_x$  be defined?

Let  $\{O_n: n \in \omega\}$  be a sequence of open sets converging to  $x$  such that the line segment  $L$  between any point in  $O_n$  and any point  $a$  in  $O_{n+1}$  "approaches"  $x$ . That is, if  $l$  and  $m$  are points in  $L$  and  $l$  is closer to  $a$  than  $m$  then  $l$  is closer to  $x$  than  $m$ .

Choose  $L_x(\frac{1}{2n}) \in O_n \cap D$ . For each  $n \in \omega$ , let  $R$  be a copy of  $[0,1]$  perpendicular to the line segment between  $L_x(\frac{1}{2n})$  and  $L_x(\frac{1}{2n+2})$ . For each  $r \in R$ , let  $T(r)$  be the union of the line segment between  $L_x(\frac{1}{2n})$  and  $r$  and the line segment between  $L_x(\frac{1}{2n+2})$  and  $r$ .

$\{T(r) : r \in R\}$  is a disjoint family except for the points  $L_x(\frac{1}{2n})$  and  $L_x(\frac{1}{2n+2})$ . At least one of these, say  $T(r_0)$  is disjoint from  $E$ . Let  $r_0 = L_x(\frac{1}{2n+1})$  and let  $L_x[(\frac{1}{2n+2}, \frac{1}{2n})]$  be a one-to-one mapping onto  $T_{r_0}$ .

#### 4. $D$ cannot be mapped onto $E$

Let  $h: X \rightarrow X$  be an autohomeomorphism in the generic extension  $V[G]$  by all  $\aleph_1$  Cohen reals such that  $h(D) = E$ . Now  $h[D]$  is a countable subset of  $D \times E$  which determines  $h$  and so there is an intermediate generic extension  $V[H]$  by countably many Cohen reals such that  $h \in V[H]$ . Let  $x \in Y$  be such that the  $x$ th Cohen real is generic over  $V[H]$ .

Let  $y = h^{-1}(x)$ . There is a sequence  $S \subset D$  in  $V[H]$  which converges to  $y$  since  $D$  is dense. By continuity,  $h(S) \subset E$  must converge to  $x$ . The problem is that no infinite subset of  $E$  in  $V[H]$  is even contained in any of the basic open sets about  $X$ .

To see this, let  $h(S) = \{P_x(\delta_n, r_n) : n \in \omega\}$ . Note that  $|\delta_n| > 0$  and that this definition takes place in  $V[H]$ . If  $P_x(\delta_n, r_n)$  lies in any basic open set and  $r_n \in [\alpha_{m(n)+1}, \alpha_{m(n)}]$  then  $|\delta_n| < f(\alpha_{m(n)})$ . Define  $g \in V[H]$  by extending  $g(r_n) = |\delta_{n+1}|$  to a continuous

increasing function from  $(0,1]$  to  $(0,1]$ . Consider any  $\beta \in (0,1]$ . Find  $n \in \omega$  such that  $r_{n+1} < \beta \leq r_n$ . We can calculate  $g(\beta) \leq g(r_n) = |\delta_{n+1}| < |f(\alpha_{m(n+1)})| < |f(\alpha_{m(n)+1})| < f(r_{n+1}) < f(\beta)$ . We see that  $g(\beta) < f(\beta)$  for any  $\beta$ . This contradicts  $g \in V[H]$ .

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