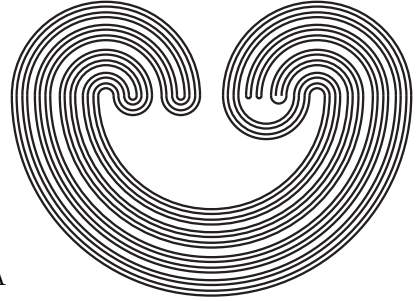


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**A SPACE  $X$  WITH  $\text{trind } X = 1$  EVERY  
COMPACTIFICATION OF WHICH HAS NO  
TRIND**

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**ABSTRACT.** In this paper we construct a space  $X$  with the properties indicated in the title.

1. INTRODUCTION.

All spaces are assumed to be Tychonoff. Our terminology and notations follow [3].

In this paper we study the compactification theorem for the small transfinite dimension trind. It is well-known that every separable metrizable space  $X$  has metrizable compactification  $\alpha X$  with  $\text{ind } \alpha X = \text{ind } X$ . However Luxemburg [5] constructed a separable metrizable space  $X$  such that  $\text{trind } \alpha X > \text{trind } X$  for every metrizable compactification  $\alpha X$  of  $X$ . On the other hand it is known (see [3, p. 154]) that every separable metrizable space having trind has a metrizable compactification having trind. Thus it is natural to ask whether all spaces having trind have a compactification having trind .

Applying a technique in van Douwen and Przymusiński [1], and using an example in Pol and Pol [7], van Mill and Przymusiński [6] constructed a space  $X$  with  $\text{ind } X = 1$  every compactification of which has no ind. However, their proof shows that  $\text{ind } \alpha X \not\leq n$  for every  $n < \omega$ . Thus we have  $\text{ind } \alpha X = \infty$ , however,  $\text{trind } \alpha X \geq \omega$ . It seems therefore there is a possibility that  $\text{trind } \alpha X < \infty$  for some compactification  $\alpha X$  of  $X$ .

In this paper, modifying a method of van Mill and Przytycki [6], and using an example of Dowker [2], we construct a space  $X$  with  $\text{trind } X = 1$  every compactification of which has no trind.

Our space  $X$  is not normal. thus the following problem still remains open. Does there exist a normal (or metrizable) space  $X$  having trind such that every compactification of  $X$  has no trind ?

## 2. PRELIMINARIES.

For the sake of completeness we begin with the construction of an example of Dowker [2].

We denote by  $\omega_1$  and  $\mathfrak{c}$  the first uncountable ordinal number and the continuum cardinal number, respectively. We also regard  $\mathfrak{c}$  as the set of all ordinal numbers  $\alpha$  with  $\alpha < \mathfrak{c}$ .

**2.1. Construction.** Let  $I$  be the unit closed interval  $[0, 1]$ . For  $x, y \in I$  we set

$$x \sim y \text{ if and only if } x - y \text{ is a rational number.}$$

Obviously,  $\sim$  is an equivalent relation on  $I$ . Let  $\{Q_\alpha : \alpha < \mathfrak{c}\}$  be the set of all equivalent classes with respect to  $\sim$ . Let us set

$$I_\alpha = I - \cup\{Q_\beta : \alpha < \beta < \omega_1\} \text{ for every } \alpha < \omega_1, \text{ and} \\ M = \cup\{\{\alpha\} \times I_\alpha : \alpha < \omega_1\}.$$

Suppose that  $M$  is the subspace of  $\omega_1 \times I$ . This space  $M$  is an example of Dowker [2].

Let us set

$$A = (\omega_1 \times \{0\}) \cap M \text{ and } B = (\omega_1 \times \{1\}) \cap M.$$

Let  $\pi_\alpha : M^\mathfrak{c} = \prod_{\alpha < \mathfrak{c}} M_\alpha \rightarrow M_\alpha$  be the  $\alpha$ -th projection, where  $M_\alpha$  is a copy of  $M$  for every  $\alpha < \mathfrak{c}$ . Let us set

$$A_\alpha = \pi_\alpha^{-1}(A) \text{ and } B_\alpha = \pi_\alpha^{-1}(B)$$

for every  $\alpha < \mathfrak{c}$ .

For two elements  $\alpha = (\alpha_i)_{i < n}$  and  $\beta = (\beta_i)_{i < n}$  of the Cartesian product  $\omega_1^n$  of  $n$  copies of  $\omega_1$  we set

$$\alpha < \beta \text{ if and only if } \alpha_i < \beta_i \text{ for every } i < n.$$

A subset  $C$  of  $\omega_1^n$  is *cofinal* in  $\omega_1^n$  if for every  $\alpha \in \omega_1^n$  there exists  $\beta \in C$  such that  $\alpha < \beta$ .

A collection  $\{(A_i, B_i) : i < n\}$  of pairs of disjoint closed subsets of a space  $X$  is called *essential* if for every collection  $\{L_i : i < n\}$ , where  $L_i$  is a partition in  $X$  between  $A_i$  and  $B_i$ , we have  $\bigcap \{L_i : i < n\} \neq \emptyset$ .

Dowker [2] proved that  $\text{ind } M = \text{locdim } M = \text{locInd } M = 0$  and  $\text{dim } M = \text{Ind } M = 1$ . To prove our main theorem we need the following lemma. From this lemma it follows that  $\text{dim } M^n \geq n$  for every  $n < \omega$ . The proof is essentially due to Dowker.

**2.2. Lemma.** *For every finite subset  $\Lambda$  of  $c$  the collection  $\{(A_\alpha, \beta_\alpha) : \alpha \in \Lambda\}$  is essential in  $M^c$ .*

*Proof:* It suffices to prove that  $\{(A_i, B_i) : i < n\}$  is essential in  $M^n$  for every  $n < \omega$ . We suppose on the contrary. Then there exist a closed subset  $L_i$  of  $M^n$  and open subsets  $U_i$  and  $V_i$  of  $M^n$  for every  $i < n$  such that  $M^n - L_i = U_i \cup V_i$ ,  $U_i \cap V_i = \emptyset$ ,  $A_i \subset U_i$ ,  $B_i \subset V_i$  and  $\bigcap \{L_i : i < n\} = \emptyset$ . For every  $\alpha = (\alpha_i)_{i < n} \in \omega_1^n$  let us set

$$\begin{aligned} T_\alpha &= \prod_{i < n} [\alpha_i, \omega_1) \\ U'_i &= \{x \in I^n : \{x\} \times T_\alpha \subset U_i \text{ for some } \alpha \in \omega_1^n\} \text{ and} \\ V'_i &= \{x \in I^n : \{x\} \times T_\alpha \subset V_i \text{ for some } \alpha \in \omega_1^n\}. \end{aligned}$$

**Claim 1.**  *$U'_i$  and  $V'_i$  are open in  $I^n$  for every  $i < n$ .*

It suffices to prove that for every  $x \in U'_i$  there exist  $\epsilon > 0$  and  $\beta \in \omega_1^n$  such that  $(B(x, \epsilon) \times T_\beta) \cap M^n \subset U_i$ , where  $B(x, \epsilon)$  is the  $\epsilon$ -neighborhood of  $x$  in  $I^n$ . We suppose on the contrary. Then for every  $j < \omega$  there exist  $x_j \in I^n$  and  $\gamma_j \in \omega_1^n$  such that  $(x_j, \gamma_j) \in M^n - U_i$ ,  $x_j \rightarrow x$  and  $\alpha < \gamma_j < \gamma_{j+1}$ . Let  $\gamma = \lim_{j \rightarrow \omega} \gamma_j$ . Then we have  $(x, \gamma) \in M^n - U_i$ . On the other hand, since  $\alpha < \gamma$ , we have  $(x, \gamma) \in \{x\} \times T_\alpha \subset U_i$ . This is a

contradiction. Hence  $U'_i$  is open in  $I^n$ . Similarly,  $V'_i$  is open in  $I^n$ .

For every  $i < n$  let us set

$$A'_i = \{(x_j) \in I^n : x_i = 0\} \text{ and}$$

$$B'_i = \{(x_j) \in I^n : x_i = 1\}.$$

**Claim 2.**  $A'_i \subset U'_i$  and  $B'_i \subset V'_i$  for every  $i < n$ .

Let  $p : M^n \rightarrow I^n$  be the projection. Then for every  $x \in A'_i$  we have  $(\{x\} \times T_0) \cap M^n = p^{-1}(x) \subset p^{-1}(A'_i) = A_i \subset U_i$ . Since  $\cup\{I_\alpha : \alpha < \omega_1\} = I$ , there exists  $\alpha \in \omega_1^n$  such that  $\{x\} \times T_\alpha \subset M^n$ . Thus we have  $\{x\} \times T_\alpha \subset U'_i$ . Similarly, we have  $B'_i \subset V'_i$ .

**Claim 3.**  $\cup\{U'_i \cup V'_i : i < n\} = I^n$ .

We suppose on the contrary. Then we take a point  $x \in I^n - \cup\{U'_i \cup V'_i : i < n\}$ . Then

(\*)  $\{\alpha \in \omega_1^n : (x, \alpha) \in p^{-1}(x) - W\}$  is cofinal in  $\omega_1^n$ , where  $U = U_i$  or  $V_i$ ,  $i < n$ .

We enumerate  $\{U_i : i < n\} \cup \{V_i : i < n\}$  as  $\{W_k : k < 2n\}$ .

Let us set

$$D_0 = p^{-1}(x) - W_0,$$

$$D_k = D_{k-1} - W_k \text{ for every } 1 \leq k < 2n, \text{ and}$$

$$D'_k = \{\alpha \in \omega_1^n : (x, \alpha) \in D_k\} \text{ for every } k < 2n.$$

Obviously,  $D_k = \{x\} \times D'_k$  for every  $k < 2n$ . We show, inductively,  $D'_k$  is cofinal in  $\omega_1^n$  for every  $k < 2n$ . By (\*),  $D'_0$  is cofinal in  $\omega_1^n$ . Let  $k < 2n - 1$  and assume that  $D'_k$  is cofinal in  $\omega_1^n$ . Suppose that  $D'_{k+1}$  is not cofinal in  $\omega_1^n$ . Then we can take  $\alpha \in \omega_1^n$  such that  $\{x\} \times (T_\alpha \cap D'_k) \subset W_{k+1}$ . On the other hand, by (\*),  $\{\alpha \in \omega_1^n : (x, \alpha) \in p^{-1}(x) - W_{k+1}\}$  is cofinal in  $\omega_1^n$ . Thus for every  $j < \omega$  we can take  $(x, \gamma_j) \in p^{-1}(x) - W_{k+1}$  and  $\delta_j \in T_\alpha \cap D'_k$  such that  $\gamma_j < \delta_j < \gamma_{j+1}$ . Let  $\beta = \lim_{j \rightarrow \omega} \gamma_j = \lim_{j \rightarrow \omega} \delta_j$ . Since  $p^{-1}(x) - W_{k+1}$  and  $\{x\} \times (T_\alpha \cap D'_k)$  are closed in  $M^n$ , we have

$$\begin{aligned} (x, \beta) &\in (p^{-1}(x) - W_{k+1}) \cap (\{x\} \times (T_\alpha \cap D'_k)) \\ &\subset (p^{-1}(x) - W_{k+1}) \cap W_{k+1} \\ &= \emptyset. \end{aligned}$$

This is a contradiction. Hence  $D'_k$  is cofinal in  $\omega_1^n$  for every  $k < 2n$ . This implies that  $D'_{2n-1} \neq \emptyset$ . However, we have

$$D_{2n-1} = p^{-1}(x) - \cup\{W_k : k < 2n\} = \emptyset.$$

This is a contradiction. Hence we have  $\cup\{U'_i \cup V'_i : i < n\} = I^n$ .

Let us set  $L'_i = I^n - (U'_i \cup V'_i)$  for every  $i < n$ . Then, by Claims 1 and 2,  $L'_i$  is a partition in  $I^n$  between  $A'_i$  and  $B'_i$ . Since  $\{(A'_i, B'_i) : i < n\}$  is essential in  $I^n$ , we have  $\cap\{L'_i : i < n\} \neq \emptyset$ . However, by Claim 3, we have  $\cap\{L'_i : i < n\} = \emptyset$ . This is a contradiction. Hence  $\{(A_i, B_i) : i < n\}$  is essential in  $M^n$ . This completes the proof of Lemma 2.2.

### 3. AN EXAMPLE.

**3.1. Example.** *There exists a space  $X$  having trind every compactification of which has no trind .*

**3.2. Construction.** Let  $M$  be an example of Dowker described in section 2, and  $C$  be the standard Cantor set in  $I$ . We take a countable dense subset  $D$  of  $C$ . Let  $\varphi : C \rightarrow \mathfrak{c}$  be a bijection. For  $\alpha < \mathfrak{c}$  and  $n < \omega$  let us set

$$F(\alpha, n) = \pi_\alpha^{-1}((\omega_1 \times [1/n, 1]) \cap M),$$

where  $\pi_\alpha : M^\mathfrak{c} = \prod_{\alpha < \mathfrak{c}} M_\alpha \rightarrow M_\alpha$  is the  $\alpha$ -th projection, and  $M_\alpha$  is a copy of  $M$ . For every  $t \in C$  we take a countable subset  $Q(t) = \{q_n(t) : n < \omega\}$  of  $D - \{t\}$  such that  $q_n(t) \rightarrow t$ . Let us set

$$X = (D \times M^\mathfrak{c}) \cup (C \times \{\theta\}),$$

where  $\theta \notin M^\mathfrak{c}$ .

For every  $x = (t, y) \in D \times M^\mathfrak{c}$  let us set

$$B(x) = \{\{t\} \times U : U \text{ is an open neighborhood of } y \text{ in } M^\mathfrak{c}\}.$$

For every  $x = (t, \theta) \in C \times \{\theta\}$  let us set

$$V_n(t) = ((B(t, 1/n) \times (M^c \cup \{\theta\})) - ((\{t\} \times M^c) \cup (Q(t) \times F(\varphi(t), n)))) \cap X$$

for every  $n < \omega$ , and

$$\mathcal{B}(x) = \{V_n(t) : n < \omega\}.$$

We give  $X$  the topology induced by  $\{\mathcal{B}(x) : x \in X\}$  as a neighborhood base system.

**3.3. Lemma.** *The space  $X$  is a Tychonoff space.*

*Proof:* It is easy to see that every point  $x \in D \times M^c$  and any closed subset  $F$  of  $X$  with  $x \notin F$  are completely separated. Thus it suffices to show that for every  $x = (t, \theta) \in C \times \{\theta\}$  and any  $V_n(t)$  there exists a continuous mapping  $f : X \rightarrow I$  such that  $f(x) = 1$  and  $f(X - V_n(t)) \subset \{0\}$ . Let  $g : M^c \rightarrow I$  be the mapping defined by

$$g(y) = \max \{1 - n \cdot p_{\varphi(t)}(y), 0\},$$

where  $p_{\alpha} : M^c \rightarrow I_{\alpha} = I$  is the projection. Obviously,  $g$  is continuous. We take a continuous mapping  $h : C \rightarrow I$  such that  $h(t) = 1$  and  $h(C - B(t, 1/n)) \subset \{0\}$ . Let  $f : X \rightarrow I$  be the mapping defined by

$$f(s, y) = \begin{cases} h(s)g(y) & \text{if } s \in Q(t) \text{ and } y \neq \theta \\ h(s) & \text{if } s \notin Q(t) \cup \{t\} \\ h(s) & \text{if } s \in Q(t) \text{ and } y = \theta \\ 0 & \text{if } s = t \text{ and } y \neq \theta \\ 1 & \text{if } s = t \text{ and } y = \theta \end{cases}$$

Then  $f$  has the all required properties. Hence  $X$  is a Tychonoff space.

The proof of the following fact is straightforward, so we omit the proof.

**3.4. Fact.** *trind  $X = 1$*

The following lemma which is proved by Fedorcuk [4] is needed to prove Theorem 3.6.

**3.5. Lemma.** *Every compact space having  $\text{trInd}$  has  $\text{trInd}$ .*

**3.6. Theorem.** *Every compactification  $\alpha X$  of the space  $X$  constructed in Construction 3.2 has no  $\text{trInd}$ .*

*Proof:* Since every space having  $\text{trInd}$  is weakly infinite-dimensional, by Lemma 3.5, it suffices to prove that  $\alpha X$  is not weakly infinite-dimensional. for every  $t \in C$  we take open subsets  $U$  and  $W$  in  $\alpha X$  such that  $V_1(t) = U \cap X$  and  $(t, \theta) \in W \subset \text{Cl}_{\alpha X} W \subset U$ . Then we have  $V_n(t) \subset W$  for some  $n < \omega$ . Since  $q_n(t) \rightarrow t$ , we can take  $y_t \in Q(t) \cap B(t, 1/n)$ . Let  $\psi : C \rightarrow D$  be the mapping defined by

$$\psi(t) = y_t \text{ for every } t \in C.$$

Since

$$\begin{aligned} \text{Cl}_{\alpha X}(\{\psi(t)\}) \times A_{\varphi(t)} &\subset \text{Cl}_{\alpha X} V_n(t) \subset \text{Cl}_{\alpha X} W \subset U \text{ and} \\ \text{Cl}_{\alpha X}(\{\psi(t)\}) \times B_{\varphi(t)} &\subset \alpha X - U, \end{aligned}$$

we have

$$\text{Cl}_{\alpha X}(\{\psi(t)\}) \times A_{\varphi(t)} \cap \text{Cl}_{\alpha X}(\{\psi(t)\}) \times B_{\varphi(t)} = \emptyset.$$

Since  $D$  is countable, we can take  $t \in D$  such that  $\psi^{-1}(t)$  is infinite. Let  $\{t_i : i < \omega\}$  be a countably infinite subset of  $\psi^{-1}(t)$ . Assume that  $\alpha X$  is weakly infinite-dimensional. Then  $\text{Cl}_{\alpha X}(\{t\} \times M^c)$  is also weakly infinite-dimensional. Thus there exists a partition  $L_i$  in  $\text{Cl}_{\alpha X}(\{t\} \times M^c)$  between  $\text{Cl}_{\alpha X}(\{t\} \times A_{\varphi(t_i)})$  and  $\text{Cl}_{\alpha X}(\{t\} \times B_{\varphi(t_i)})$  for every  $i < \omega$  such that  $\cap\{L_i : i < n\} = \emptyset$  for some  $n < \omega$ . Let us set

$$L'_i = p(L_i \cap (\{t\} \times M^c)) \text{ for every } i < \omega,$$

where  $p : D \times M^c \rightarrow M^c$  is the projection. Then we have  $\cap\{L'_i : i < n\} = \emptyset$ . Obviously,  $L'_i$  is a partition in  $M^c$  between  $A_{\varphi(t_i)}$  and  $B_{\varphi(t_i)}$ . Thus by Lemma 2.2, we have  $\cap\{L'_i : i < n\} \neq \emptyset$ . This is a contradiction. Theorem 3.6 has been proved.



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