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ON THE TRANSFINITE DIMENSION DIM AND ESSENTIAL MAPPINGS

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0. Throughout this note we shall consider only metrizable spaces with a countable base. By C we denote the Cantor set and by I^n we denote the n -dimensional cube. The terminology and notations follow [1].

Let X be a space and dim be the Lebesgue covering dimension.

It is well-known that

- a) $\text{dim}X = \text{dim}X \times C$;
- b) $\text{dim}X \geq n$ iff X admits an essential map onto I^n .

From a) and b) one can easily note that

- c) $\text{dim}X \geq n$ iff $X \times C$ admits an essential map onto I^n .

In [2,3] P.Borst considered a transfinite extension of the covering dimension dim , namely the transfinite dimension dim and extended statements a) and c) as follows (here we shall denote Borst's dimension by trdim).

Let X be a locally compact space and α be a countable ordinal number, then

- a)_{tr} $\text{trdim}X = \text{trdim}X \times C$;
- c)_{tr} $\text{trdim}X \geq \alpha$ iff $X \times C$ admits an essential map onto H^α

(where $H^\alpha, \alpha < \omega_1$, are Henderson's cubes).

Remind that for every metrizable space X we have either $trdim X = \infty$ or $trdim X < \omega_1$ (see [4] or [5]). By definition we have $\infty > \alpha$ for every ordinal number α .

Besides, P. Borst [3] constructed a compact space X with $trdim X = \omega + 1$, which admitted no essential map onto $H^{\omega+1}$. Hence the extension of statement b) to infinite ordinal numbers is impossible.

In [3, 6] P.Borst asked

Can the condition "locally compact" for $a)_{tr}$ and $c)_{tr}$ be weakened?

We shall prove the following statements

Theorem 1. *Let X be a space and $trdim X \times C \geq \omega^2$. Then*
 $trdim X = trdim X \times C$.

Theorem 2. *Let X be a space and α be a countable ordinal number $\geq \omega^2$. Then*

$trdim X \geq \alpha$ iff $X \times C$ admits an essential map onto H^α .

1. Recall some definitions and propositions.

A finite sequence $\{(A_i, B_i)_{i=1}^m\}$ of pairs of disjoint closed sets in space X is called inessential if we can find open sets $O_i, i = 1, \dots, m$ such that

$$A_i \subset O_i \subset \bar{O}_i \subset X \setminus B_i \quad \text{and} \quad \bigcap_{i=1}^m Fr O_i = \emptyset.$$

Otherwise it is called essential.

We have the following characterization of the dimension dim [1]:

$dim X \leq n$ iff every sequence $\{(A_i, B_i)_{i=1}^{n+1}\}$ of pairs of disjoint closed sets in X is inessential.

Let L be an arbitrary set. By $Fin L$ we shall denote the collection of all finite, non-empty subsets of L . Let M be a subset of $Fin L$. For $\sigma \in \{\emptyset\} \cup Fin L$ we put

$$M^\sigma = \{\tau \in Fin L \mid \sigma \cup \tau \in M \text{ and } \sigma \cap \tau = \emptyset\}.$$

Let $M^a = M^{\{a\}}$.

Define [2] the ordinal number $OrdM$ inductively as follows

$$OrdM = 0 \quad \text{iff} \quad M = \emptyset,$$

$$OrdM \leq \alpha \quad \text{iff} \quad \text{for every } a \in L \quad OrdM^a < \alpha,$$

$OrdM = \alpha$ iff $OrdM \leq \alpha$ and $OrdM < \alpha$ is not true, and

$OrdM = \infty$ iff $OrdM > \alpha$ for every ordinal number α .

Let X be a space. Put

$$L(X) = \{(A, B) \mid A, B \subset X, \text{ closed, disjoint} \}$$

and

$$M_{L(X)} = \{\sigma \in FinL(X) \mid \sigma \text{ is essential in } X \}.$$

Define [2]

$$trdimX = OrdM_{L(X)}.$$

A space X is called S-weakly infinite-dimensional [1] if for every sequence $\{(A_i, B_i)_{i=1}^\infty\}$ of pairs of disjoint closed sets in space X we can find open sets $O_i, i = 1, \dots,$ such that

$$A_i \subset O_i \subset \bar{O}_i \subset X \setminus B_i \quad \text{and} \quad \bigcap_{i=1}^m FrO_i = \emptyset \text{ for some } m.$$

Otherwise, X is S-strongly infinite-dimensional. If X is compact and S-weakly (strongly) infinite-dimensional then X is said to be weakly (strongly) infinite-dimensional.

Let us recall [2] that

$$trdimX \neq \infty \quad \text{iff} \quad X \text{ is S-weakly infinite-dimensional.}$$

Henderson's cubes and the essential maps are defined [7] as follows.

Let $H^1 = I^1, \delta H^1 = \delta I = \{0, 1\}, p_1 = \{0\}$, and assume that for every $\beta < \alpha$ the compacta H^β , their "boundaries" δH^β , and the points $p_\beta \in \delta H^\beta$ have already been defined. If $\alpha = \beta + 1$, then we set

$$H^{\beta+1} = H^\beta \times I, \quad \delta H^{\beta+1} = (\delta H^\beta \times I) \cup (H^\beta \times \delta I)$$

and $p_{\beta+1} = (p_\beta, p_1)$. If α is a limit ordinal number, then K_β is the union of H^β and a half-open arc A_β such that $A_\beta \cap H^\beta = p_\beta = \{\text{endpoint of the arc } A_\beta\}$, $\beta < \alpha$. Let us define H^α as the one-point compactification of the free sum $\bigoplus_{\beta < \alpha} K_\beta$,

$$\delta H^\alpha = H^\alpha \setminus \bigcup_{\beta < \alpha} (H^\beta \setminus \delta H^\beta),$$

and let p_α be the compactifying point.

A map $f : X \rightarrow H^\alpha$ is called essential if every continuous extension to X of the restriction $f|_{f^{-1}\delta H^\alpha}$ maps X onto H^α , $\alpha < \omega_1$.

2. We need some lemmas in order to prove theorems 1, 2.

Lemma 1. [2, 3]. *Let X be a space.*

1) $\text{trdim} X = \infty$ iff $\text{trdim} X \times C = \infty$.

2) let A be a closed subset of X , then

$$\text{trdim} A \leq \text{trdim} X.$$

Lemma 2. [8]. *Let X be a S -weakly infinite-dimensional space. Then there exists a weakly infinite-dimensional compact space Y such that $Y \supset X$ and*

$$\text{trdim} Y \leq \text{trdim} X.$$

Lemma 3. *Let X, Y be S -weakly infinite-dimensional spaces such that $X \subset Y$ and $\text{trdim} X \geq \omega^2$. Then*

$$\text{trdim} X \leq \text{trdim} Y.$$

Proof: In [4] Y.Hattori (see also [5]) proved the following statement

(*) Let X, Y be S -weakly infinite-dimensional spaces such that $X \subset Y$ then $\text{trdim} X \leq \omega + \text{trdim} Y$. Moreover, as D.Malyhin remarked in [5], if $\text{trdim} Y \geq \omega^2$, then $\text{trdim} X \leq \text{trdim} Y$.

Note that since $trdim X \geq \omega^2$, then by first inequality of (*) we have $trdim Y \geq \omega^2$. The lemma follows the second inequality of (*).

Proof of theorem 1.

Due to lemma 1.1) we need to consider only case when $trdim X \neq \infty$. In this case the spaces X and $X \times C$ are S-weakly infinite-dimensional. By lemma 2 there exists weakly infinite-dimensional compact space Y such that $Y \supset X$ and $trdim Y \leq trdim X$. Since $Y \times C \supset X \times C$ and $trdim X \times C \geq \omega^2$, then by lemma 3 we have $trdim Y \times C \geq trdim X \times C \geq \omega^2$. It remains to put together the following chain of inequalities

$$trdim X \times C \geq trdim X \text{ (lemma 1)} \geq trdim Y = trdim Y \times C \\ \text{(statement a)}_{tr} \geq trdim X \times C.$$

The theorem is proved.

Lemma 4. [3]. *Let X be a space and α be a countable ordinal number.*

1) *If $trdim X \geq \alpha$, then $X \times C$ admits an essential map onto H^α .*

2) *If $f : X \rightarrow H^\alpha$ is an essential map of X onto H^α , then $trdim X \geq \alpha$.*

Proof of theorem 2:

Lemma 4.1) contains the necessity. Let us prove the sufficiency. If there exists an essential map $f : X \times C \rightarrow H^\alpha$, then by lemma 4.2) we have $trdim X \times C \geq \alpha \geq \omega^2$. By theorem 1 we get

$$trdim X \times C = trdim X.$$

Hence $trdim X \geq \alpha$. The theorem is proved.

Remark 1. (see [6]). There exists a compactum Y with $trdim Y = \omega_0$ containing a subspace X with $trdim X = \omega_0 + 1$.

Question 1. Can one drop the condition $trdim X \times C \geq \omega^2$ from theorem 1 ?

Note (see [3]) that if X is a space and α is a limit ordinal number $< \omega_1$ then

$trdim X \geq \alpha$ iff X admits an essential map onto H^α .

Question 2. Is it true that if X is a space and α is an ordinal number $\geq \omega^2$ then

$trdim X \geq \alpha$ iff X admits an essential map onto H^α .

Remark 2. In lemma 2 in the case $trdim X \geq \omega^2$ we have $trdim X = trdim Y$.

Remark 3. In theorems 1, 2 the condition “with a countable base” can be omitted.

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