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# SUBMETACOMPACTNESS OF $\beta$ -SPACES

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#### Abstract

It is proved that a  $\beta$ -space X is submetacompact if and only if every well-monotone open cover of X has a  $\sigma$ -closure-preserving closed refinement. We also show that this is not true without the assumption of  $\beta$ -spaces.

### **1** Introduction

Worrell and Wicke [10] introduced the concept of submetacompactness, which is a generalization of metacompactness and

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subparacompactness. First, it seems that submetacompactness had been investigated as a sufficient condition in the study of generalized metric spaces. After that, Junnila [6, 7] gave several nice characterizations for submetacompactness (and metacompactness). In particular, our motivation of this paper comes from the following.

**Theorem 1.1** [6, 7] The following are equivalent for a space X.

- (a) X is submetacompact (metacompact).
- (b) Every well-monotone open cover of X has a  $\theta$ -sequence of open refinements (a point-finite open refinement).
- (c) Every interior-preserving directed open cover of X has a  $\sigma$ -closure-preserving (a closure-preserving) closed refinement.
- (d) Every directed open cover of X has a  $\sigma$ -closure-preserving (a closure-preserving) closed refinement.

Observe that every well-monotone open cover is (interiorpreserving and) directed. However, as is shown in the last section, a space X is not necessarily submetacompact even if every well-monotone open cover of X has a  $\sigma$ -closure-preserving closed refinement. So it seems to be natural to ask the following question:

(†) If every well-monotone open cover of a space X has a  $\sigma$ -closure-preserving closed refinement, when is X submeta-compact?

Considering that submetacompactness plays important roles in the study of generalized metric spaces, we consider the class of  $\beta$ -spaces which is a class of generalized metric spaces containing the classes of  $\Sigma$ -spaces and semi-stratifiable spaces (see [3], Theorem 7.8 (i)). Our main result is to give an answer to the question (†) under the assumption of X being a  $\beta$ -space. Moreover, as another remarkable result for submetacompactness, Jiang [5] proved that strict *p*-spaces are submetacompact. This is called the solution of the strict *p*-space problem, where one observes submetacompactness is a necessary condition different from others. Here we use the technique due to Jiang in the proof of our main result.

In the next section, we consider the following question:

(‡) Characterize spaces whose every well-monotone open cover has a  $(\sigma$ -)closure- preserving closed refinement.

We give an answer to the question  $(\ddagger)$  by the (sub)orthocompactness of certain products, which is an analogue of a result for  $\mathcal{B}$ -property by Yasui [14].

Throughout this paper, no separation axiom is assumed without special mention. We use the following notations: Let A be a set. |A| denotes the cardinality of A.  $[A]^{<\omega}([A]^n)$ denotes the collection of finite subsets (of cardinality n) in A. Moreover,  $A^{<\omega}$  denotes the collection of finite sequences of members of A. Let X be a space and  $\mathcal{U}$  an open cover of X. Top(X) denotes the topology of X. For each  $x \in X$ , let  $\mathcal{U}(x) = \{U \in \mathcal{U} : x \in U\}$ . Moreover, let  $\operatorname{ord}(x,\mathcal{U}) = |\mathcal{U}(x)|$ and let  $\operatorname{St}(x,\mathcal{U}) = \bigcup \mathcal{U}(x)$ . For each  $Y \subset X$ , let  $\mathcal{U} \upharpoonright Y =$  $\{U \cap Y : U \in \mathcal{U}\}$ . The letter  $\kappa$  denotes an infinite cardinal.

#### 2 Main result

Let X be a space and  $\mathcal{U}$  a cover of X. A cover  $\mathcal{V}$  of X is a refinement (point-star refinement) of  $\mathcal{U}$  if each member of  $\mathcal{V}$  (each  $\operatorname{St}(x, \mathcal{V}), x \in X$ ,) is contained in some member of  $\mathcal{U}$ . A collection  $\mathcal{W}$  of (open) subsets of X is a partial (open) refinement of  $\mathcal{U}$  if each member of  $\mathcal{W}$  is contained in some member of  $\mathcal{U}$ , where  $\mathcal{W}$  is not necessarily a cover of X.

Recall that an open cover  $\mathcal{V}$  of X is *interior-preserving* if  $\bigcap \mathcal{V}'$  is open in X for each  $\mathcal{V}' \subset \mathcal{V}$ .

**Lemma 2.1** [6] An interior-preserving open cover  $\mathcal{U}$  of a space X has a closure-preserving closed refinement if and only if  $\mathcal{U}$  has an interior-preserving point-star open refinement.

The proof was done in that of ([6], Lemma 2.3).

A space X is called a  $\beta$ -space if there is a function  $g : X \times \omega \to \text{Top}(X)$ , satisfying

- (i)  $x \in \bigcap_{n \in \omega} g(x, n)$ ,
- (ii) if  $x \in g(x_n, n)$  for each  $n \in \omega$ , then  $\{x_n\}$  has a cluster point in X.

Such a function g is called a  $\beta$ -function of X.

A cover  $\mathcal{U} = \{U_{\alpha} : \alpha \in A\}$  of X is well-monotone if the index set A is well-ordered by < such that  $U_{\beta} \subset U_{\alpha}$  if  $\beta < \alpha$ .

The following was essentially proved by Jiang. However, we state the proof here for reader's convenience.

**Lemma 2.2** [5] Let X be a  $\beta$ -space and  $\mathcal{U}$  a well-monotone open cover of X. If  $\mathcal{H}$  is an open refinement of  $\mathcal{U}$ , there is a sequence  $\{\mathcal{G}_{\mathcal{H},s} : s \in \omega^{<\omega}\}$  of partial open refinements of  $\mathcal{U}$ , satisfying

- (1)  $\mathcal{G}_{\mathcal{H},s} \subset \mathcal{G}_{\mathcal{H},s'}$  for  $s \subset s'$ ,
- (2) if  $x \in X$  with  $ord(x, \mathcal{H}) \leq n$ , then  $x \in \bigcup \mathcal{G}_{\mathcal{H},s}$  for each  $s \in \omega^{n+1}$ ,
- (3) for each  $x \in X$ , there is some  $\sigma \in \omega^{\omega}$  such that  $ord(x, \mathcal{G}_{\mathcal{H}, (\sigma \upharpoonright n)}) < \omega$  for each  $n \in \omega$ .

**Proof:** Let  $\mathcal{U} = \{U_{\alpha} : \alpha \in \kappa\}$  be such that  $\alpha' < \alpha$  implies  $U_{\alpha'} \subset U_{\alpha}$ . Let g be a  $\beta$ -function of X. Let  $\alpha(x) = \min\{\alpha \in \kappa : x \in U_{\alpha}\}$  for each  $x \in X$ . Let  $U_{x,n} = U_{\alpha(x)} \cap g(x,n)$  for each  $x \in X$  and  $n \in \omega$ . Then each  $U_{x,n}$  is an open neighborhood of x in X.

Let  $\mathcal{G}_{\mathcal{H},\emptyset} = \emptyset$ . Take any  $s \in \omega^{n+1}$ . Assume that  $\mathcal{G}_{\mathcal{H},(s \upharpoonright n)}$  has been already constructed. For each  $\eta \in [\mathcal{H}]^n$ , let

$$G_{\eta,s} = \bigcup \{ U_{x,s(n)} : \eta = \mathcal{H}(x) \text{ and } x \in X \setminus \bigcup \mathcal{G}_{\mathcal{H},(s \upharpoonright n)} \}.$$

Here we put  $\mathcal{G}_{\mathcal{H},s} = \mathcal{G}_{\mathcal{H},(s \upharpoonright n)} \cup \{G_{\eta,s} : \eta \in [\mathcal{H}]^n\}$ . Thus we have constructed a sequence  $\{\mathcal{G}_{\mathcal{H},s} : s \in \omega^{<\omega}\}$  of collections of open sets in X. We show this is a desired one.

As in ([5], p.312), it is easy to see that each  $\mathcal{G}_{\mathcal{H},s}$  is a partial open refinement of  $\mathcal{U}$ . Clearly, (1) is satisfied. From the choice of  $\mathcal{G}_{\mathcal{H},s}$ , it is also easily verified by induction that (2) is satisfied.

Pick  $x \in X$ . Assume  $\sigma \upharpoonright n$  has been defined. Let  $s_i = (\sigma \upharpoonright n)^{(i)}$  for each  $i \in \omega$ . Assume that  $\operatorname{ord}(x, \mathcal{G}_{\mathcal{H}, s_i}) \ge \omega$  for each  $i \in \omega$ . There are distinct members  $\eta_0, \eta_1, \dots \in [\mathcal{H}]^n$  such that  $x \in G_{\eta_i, s_i}$  for each  $i \in \omega$ . For each  $i \in \omega$ , we can choose  $x_i \in X \setminus \bigcup \mathcal{G}_{\mathcal{H}, (\sigma \upharpoonright n)}$  such that  $\eta_i = \mathcal{H}(x_i)$  and  $x \in U_{x_i, i}$ . There is a cluster point y of  $\{x_i\}$  in X. Now, assume  $\operatorname{ord}(y, \mathcal{H}) \ge n$ . Take some  $\eta^* \in [\mathcal{H}]^n$  with  $y \in \bigcap \eta^*$ . Find  $k, j \in \omega$  with  $k \neq j$  and  $x_k, x_j \in \bigcap \eta^*$ . Since  $\eta^* \subset \mathcal{H}(x_k) \cap \mathcal{H}(x_j) = \eta_k \cap \eta_j$ , we have  $\eta^* = \eta_k = \eta_j$ . This contradicts that  $\eta_k$  and  $\eta_j$  are distinct. Hence we obtain  $\operatorname{ord}(y, \mathcal{H}) < n$ . So it follows from (2) that  $y \in \bigcup \mathcal{G}_{\mathcal{H}, (\sigma \upharpoonright n)}$ . On other hand, by the choices of  $x_i$ 's and y, we have  $y \notin \bigcup \mathcal{G}_{\mathcal{H}, (\sigma \upharpoonright n)}$ . This is a contradiction.  $\Box$ 

Recall that a sequence  $\{\mathcal{V}_n\}$  of open covers of a space X is a  $\theta$ -sequence if for each  $x \in X$  there is  $n \in \omega$  such that  $\mathcal{V}_n$  is point-finite at x.

A basic idea for the proof of the following is also due to Jiang [5].

**Lemma 2.3** Let X be a  $\beta$ -space and  $\mathcal{U}$  a well-monotone open cover of X. If  $\mathcal{U}$  has a closure-preserving closed refinement, then it has a  $\theta$ -sequence of open refinements.

**Proof:** Let  $\mathcal{U} = \{U_{\alpha} : \alpha \in \kappa\}$  be such that  $\alpha < \alpha'$  implies  $U_{\alpha} \subset U_{\alpha'}$ . Let g be a  $\beta$ -function of X such that  $g(x, n+1) \subset$ 

g(x,n) for each  $x \in X$  and  $n \in \omega$ . It follows from the assumption and Lemma 2.1 that there is an interior-preserving point-star open refinement  $\mathcal{V}$  of  $\mathcal{U}$ . Let  $\beta(x) = \min\{\alpha \in \kappa : \operatorname{St}(x,\mathcal{V}) \subset U_{\alpha}\}$  for each  $x \in X$ . Let  $W_{x,n} = (\bigcap \mathcal{V}(x)) \cap g(x,n)$  for each  $x \in X$  and  $n \in \omega$ . Then each  $W_{x,n}$  is an open neighborhood of x in X.

Let  $\Theta_0 = \{\mathcal{U}\}$ . Assume that we have already constructed a sequence  $\Theta_i$  of open refinements of  $\mathcal{U}$  with  $\Theta_{i-1} \subset \Theta_i$  for each  $i \leq m$ . Take an  $\mathcal{H} \in \Theta_m$ . It follows from Lemma 2.2 that there is a sequence  $\{\mathcal{G}_{\mathcal{H},s} : s \in \omega^{<\omega}\}$  of partial open refinements of  $\mathcal{U}$ , satisfying (1), (2) and (3) of Lemma 2.2. Let  $\Xi_{m+1} = [\Theta_m \times \omega^{<\omega}]^{<\omega}$ . Let  $\mathcal{G}_{\xi} = \bigcup \{\mathcal{G}_{\mathcal{H},s} : (\mathcal{H},s) \in \xi\}$  for each  $\xi \in \Xi_{m+1}$ . Let

$$H_{\xi,\alpha} = \bigcup \{ W_{x,m+1} : x \in X \setminus \bigcup \mathcal{G}_{\xi} \text{and } \beta(x) = \alpha \}$$

for each  $\xi \in \Xi_{m+1}$  and  $\alpha \in \kappa$ . Here we set  $\mathcal{H}_{\xi} = \mathcal{G}_{\xi} \cup \{H_{\xi,\alpha} : \alpha \in \kappa\}$  for each  $\xi \in \Xi_{m+1}$ . Moreover, we set  $\Theta_{m+1} = \Theta_m \cup \{\mathcal{H}_{\xi} : \xi \in \Xi_{m+1}\}$ . It is easy to verify  $H_{\xi,\alpha} \subset U_{\alpha}$  for each  $\alpha \in \kappa$ . So  $\mathcal{H}_{\xi}$  is an open refinement of  $\mathcal{U}$ . Thus we have constructed  $\{\Theta_m : m \in \omega\}$  by induction. Then  $\Theta = \bigcup_{m \in \omega} \Theta_m$  is a sequence of open refinements of  $\mathcal{U}$ .

Now, to show that  $\Theta$  is a  $\theta$ -sequence, assume the contrary. Let

$$Y = \{x \in X : \operatorname{ord}(x, \mathcal{H}) < \omega \text{ for some } \mathcal{H} \in \Theta\}.$$

We pick some  $p \in X \setminus Y$  with  $\beta(p) = \min\{\beta(x) : x \in X \setminus Y\}$ . Let  $\Theta_i = \{\mathcal{H}_{i,j} : j \in \omega\}$  for each  $i \in \omega$ . Let  $\mathcal{H}_{\xi_0} = \mathcal{U} \in \Theta_0$ . By (3) in Lemma 2.2, for each  $i, j \in \omega$ , there is  $\sigma_{ij} \in \omega^{\omega}$  such that  $\operatorname{ord}(p, \mathcal{G}_{\mathcal{H}_{ij},(\sigma_{ij} \restriction n)}) < \omega$  for each  $n \in \omega$ . Take  $m \in \mathbb{N}$ , where  $\mathbb{N} = \omega \setminus \{0\}$ . Let  $\xi_m = \{(\mathcal{H}_{ij}, \sigma_{ij} \restriction m) : i, j < m\}$ . Then  $\mathcal{H}_{\xi_m} = \mathcal{G}_{\xi_m} \cup \{H_{\xi_m,\alpha} : \alpha \in \kappa\} \in \Theta_m$ . By the choice of p, we have  $\operatorname{ord}(p, \{H_{\xi_m,\alpha} : \alpha \in \kappa\}) \geq \omega$ . By  $\mathcal{G}_{\xi_m} \subset \mathcal{G}_{\xi_{m+1}}$  and  $W_{x,m+1} \subset W_{x,m}$ , note that  $H_{\xi_{m+1},\alpha} \subset H_{\xi_m,\alpha}$  for each  $\alpha \in \kappa$ . So we can choose  $\beta_1 < \beta_2 < \cdots < \kappa$  such that  $p \in H_{\xi_m,\beta_m}$  for each  $m \in \mathbf{N}$ . For each  $m \in \mathbf{N}$ , we can pick  $x_m \in X \setminus \bigcup \mathcal{G}_{\xi_m}$ such that  $\beta(x_m) = \beta_m$  and  $p \in W_{x_m,m}$ . Then there is a cluster point y of  $\{x_m\}$  in X.

Claim.  $\beta(y) < \beta(p)$ .

*Proof:* First, assume that there is  $k \in \mathbf{N}$  with  $\beta_k > \beta(p)$ . Since  $\operatorname{St}(x_k, \mathcal{V}) \not\subset U_{\beta(p)}$ , we can find  $V_0 \in \mathcal{V}$  such that  $x_k \in V_0$  and  $V_0 \not\subset U_{\beta(p)}$ . Then we have

$$p \in W_{x_k,k} \subset \bigcap \mathcal{V}(x_k) \subset V_0 \subset \operatorname{St}(p,\mathcal{V}) \subset U_{\beta(p)}$$

This is a contradiction. Hence  $\beta_m < \beta(p)$  for each  $m \in \mathbb{N}$ . Since  $\bigcap \mathcal{V}(y)$  is an open neighborhood of y, it contains some  $x_{\ell}$ . By  $\mathcal{V}(y) \subset \mathcal{V}(x_{\ell})$ , we have  $\operatorname{St}(y, \mathcal{V}) \subset \operatorname{St}(x_{\ell}, \mathcal{V}) \subset U_{\beta_{\ell}}$ . Therefore,  $\beta(y) \leq \beta_{\ell} < \beta(p)$ .

By Claim, we have  $y \in Y$ . There is  $\mathcal{H}^* \in \Theta$  with  $\operatorname{ord}(y, \mathcal{H}^*) < \omega$ . There is some  $i_0, j_0, n_0 \in \omega$  such that  $\mathcal{H}^* = \mathcal{H}_{i_0, j_0}$  and  $\operatorname{ord}(y, \mathcal{H}^*) = n_0$ . Let  $m_0 = \max\{i_0, j_0, n_0\} + 1$ . Let  $s^* = \sigma_{i_0, j_0} \upharpoonright m_0$ . Since  $(\mathcal{H}^*, s^*) \in \xi_{m_0}$ , it follows from (1) and (2) in Lemma 2.2 that  $y \in \bigcup \mathcal{G}_{\mathcal{H}^*, s^*} \subset \bigcup \mathcal{G}_{\xi_{m_0}}$ . So we can find  $k_0 \in \omega$  with  $k_0 \geq m_0$  and  $x_{k_0} \in \bigcup \mathcal{G}_{\xi_{m_0}}$ . Then we have  $x_{k_0} \in \bigcup \mathcal{G}_{\xi_{k_0}}$ . This contradicts the choice of  $x_{k_0}$ .  $\Box$ 

Recall that a space X is submetacompact if every open cover of X has a  $\theta$ -sequence of open refinements.

Now, we obtain a main result.

**Theorem 2.4** A  $\beta$ -space X is submetacompact if and only if every well-monotone open cover of X has a  $\sigma$ -closure-preserving closed refinement.

**Proof:** The "only if" part immediately follows from Theorem 1.1. We show the "if" part. Let  $\mathcal{U} = \{U_{\alpha} : \alpha \in \kappa\}$  be a wellmonotone open cover of X such that  $\alpha' < \alpha$  implies  $U_{\alpha'} \subset U_{\alpha}$ . There is a  $\sigma$ -closure-preserving closed refinement  $\bigcup_{n \in \omega} \mathcal{F}_n$  of  $\mathcal{U}$ . Let  $X_n = \bigcup \mathcal{F}_n$  for each  $n \in \omega$ . Pick  $n \in \omega$ . Then  $X_n$  is a closed set in X and  $\mathcal{F}_n$  is a closure-preserving closed refinement of  $\mathcal{U} \upharpoonright X_n$ . Since  $\mathcal{U} \upharpoonright X_n$  is a well-monotone open cover of the  $\beta$ -space  $X_n$ , it follows from Lemma 2.3 that there is a  $\theta$ -sequence  $\{\mathcal{V}_{n,k}\}$  of open refinements of  $\mathcal{U} \upharpoonright X_n$ . For each  $V \in \mathcal{V}_{n,k}$ , choose  $\alpha(V) \in \kappa$  with  $V \subset U_{\alpha(V)} \cap X_n$ , and let  $V^* = (V \cup (X \setminus X_n)) \cap U_{\alpha(V)}$ . Then  $V^*$  is an open set in X with  $V^* \cap X_n = V$  and  $V^* \subset U_{\alpha(V)}$ . Here we set  $\mathcal{V}_{n,k}^* = \{V^* : V \in \mathcal{V}_{n,k}\} \cup \mathcal{U} \upharpoonright (X \setminus X_n)$  for each  $n, k \in \omega$ . Then it is easy to see that  $\mathcal{V}_{n,k}^*$  is a  $\theta$ -sequence of open refinements of  $\mathcal{U}$ . Hence it follows from Theorem 1.1 that X is submetacompact.  $\Box$ 

Remark. It follows from ([13], Theorem 3.3) that each regular space whose every well-monotone open cover has a  $\sigma$ -closurepreserving closed refinement is isocompact (that is, each countably compact closed subspace in it is compact). So, if every well-monotone open cover of a regular  $\Sigma$ -space X has such a refinement, then X is a strong  $\Sigma$ -space, hence X is subparacompact (see [3], Theorem 4.14). On the other hand, each semi-stratifiable space is always subparacompact (see [3], Theorem 5.11).

#### **3** Another result

Here we discuss the property of such a space that every wellmonotone open cover has a  $(\sigma$ -)closure-preserving closed refinement.

**Lemma 3.1** [4, 13] For a space X, the following are equivalent.

- (a) For every well-monotone open cover  $\{U_{\alpha} : \alpha \in \kappa\}$  of X, there is a well-monotone closed cover  $\{F_{\alpha} : \alpha \in \kappa\}$  of X such that  $F_{\alpha} \subset U_{\alpha}$  for each  $\alpha \in \kappa$ .
- (b) Every well-monotone open cover of X has a cushioned refinement.

(c) Every infinite open cover  $\mathcal{U}$  of X has an open refinement  $\mathcal{V}$  with  $ord(x, \mathcal{V}) < |\mathcal{U}|$  for each  $x \in X$ .

This was first proved in ([4], Theorem 3.1), and after that, it was restated in ([13], Theorem 2.4).

**Lemma 3.2** [9] Assume that a space Y has a sequence  $\{y_{\alpha} : \alpha \in \kappa\}$  of length  $\kappa$  and its cluster point z such that  $z \notin \operatorname{Cl}\{y_{\beta} : \beta < \alpha\}$  for each  $\alpha \in \kappa$ . If  $X \times Y$  is orthocompact, then every open cover  $\mathcal{U}$  of X with  $|\mathcal{U}| = \kappa$  has an open refinement  $\mathcal{V}$  such that  $\operatorname{ord}(x, \mathcal{V}) < \kappa$  for each  $x \in X$ .

The proof is similar to that of ([9], Lemma 1.3).

Recall that a space X is orthocompact if every open cover of X has an interior-preserving open refinement.

**Lemma 3.3** [5, 6, 7] Let X be an orthocompact space and let  $\mathcal{U}$  be an open cover of X. Then  $\mathcal{U}$  has a  $(\sigma$ -)closure-preserving closed refinement if and only if it has a  $(\sigma$ -)cushioned refinement.

For a space X, we denote by L(X) the Lindelöf degree of X. For an ordinal  $\lambda$ , we denote by  $(\lambda + 1)'$  the space of all ordinals  $\leq \lambda$  with the topology such that the point  $\lambda$  has a neighborhood base in the usual order topology and all other points are isolated.

Using the product  $X \times (\lambda + 1)'$ , we can obtain an analogue of ([14], Theorem) as follows.

**Theorem 3.4** For an orthocompact space X, the following are equivalent.

- (a) Every well-monotone open cover of X has a closurepreserving closed refinement.
- (b)  $X \times (\kappa + 1)'$  is orthocompact for each cardinal  $\kappa \leq L(X)$ .

(c)  $X \times (\lambda + 1)'$  is orthocompact for each ordinal  $\lambda$ .

**Proof:** (b) $\Rightarrow$ (a): Let  $\mathcal{U}$  be an open cover of X with  $|\mathcal{U}| = \kappa$ . We may assume  $\omega \leq \kappa \leq L(X)$ . Since the space  $(\kappa + 1)'$  satisfies the condition of Y in Lemma 3.2, it follows that  $\mathcal{U}$  has an open refinement  $\mathcal{V}$  such that  $\operatorname{ord}(x, \mathcal{V}) < \kappa$  for each  $x \in X$ . So Lemmas 3.1 and 3.3 assure this implication.

 $(c) \Rightarrow (b)$ : Obvious.

(a) $\Rightarrow$ (c): Let  $\mathcal{G} = \{G_{\xi} : \xi \in \Xi\}$  be an open cover of  $X \times (\lambda + 1)'$ . We show that there is an interior-preserving (in  $X \times (\lambda + 1)'$ ) partial open refinement  $\mathcal{H}$  of  $\mathcal{G}$ , covering  $X \times \{\lambda\}$ . For each  $\mu < \lambda$  and  $\xi \in \Xi$ , let

$$U_{\mu,\xi} = \bigcup \{ U : U \text{ is open in } X \text{ with } U \times (\mu, \lambda] \subset G_{\xi} \}.$$

Then  $\{U_{\mu,\xi} : \langle \mu, \xi \rangle \in \lambda \times \Xi\}$  is an open cover of X. Since X is orthocompact, there is an interior-preserving open cover  $\{V_{\mu\xi}:$  $\langle \mu, \xi \rangle \in \lambda \times \Xi$  of X such that  $V_{\mu,\xi} \subset U_{\mu,\xi}$  for each  $\langle \mu, \xi \rangle \in$  $\lambda \times \Xi$ . Well-order  $\lambda \times \Xi$  by  $\prec$  such that, for each  $\langle \mu', \xi' \rangle, \langle \mu, \xi \rangle \in$  $\lambda \times \Xi, \mu' < \mu \text{ implies } \langle \mu', \xi' \rangle \prec \langle \mu, \xi \rangle.$  Since  $\{\bigcup_{\langle \mu', \xi' \rangle \prec \langle \mu, \xi \rangle} V_{\mu', \xi'} : U_{\mu', \xi'} \}$  $\langle \mu, \xi \rangle \in \lambda \times \Xi$  is a well-monotone open cover of X, there is a closure-preserving closed cover  $\{F_{\mu,\xi}: \langle \mu, \xi \rangle \in \lambda \times \Xi\}$  of X such that  $F_{\mu,\xi} \subset \bigcup_{\langle \mu',\xi' \rangle \prec \langle \mu,\xi \rangle} V_{\mu',\xi'}$  for each  $\langle \mu,\xi \rangle \in \lambda \times \Xi$ . We may assume that  $\{F_{\mu,\xi} : \langle \mu,\xi \rangle \in \lambda \times \Xi\}$  is well-monotone by  $\prec$  on  $\lambda \times \Xi$ . Let  $W_{\mu,\xi} = V_{\mu,\xi} \setminus F_{\mu,\xi}$  for each  $\langle \mu, \xi \rangle \in \lambda \times \Xi$ , and let  $\mathcal{W} = \{W_{\mu,\xi} : \langle \mu, \xi \rangle \in \lambda \times \Xi\}$ . Then it is easy to see that  $\mathcal{W}$  is an interior-preserving open cover of X. Let  $\mathcal{H} = \{W_{\mu,\xi} \times (\mu, \lambda] : \langle \mu, \xi \rangle \in \lambda \times \Xi\}$ . Then  $\mathcal{H}$  is a partial open refinement of  $\mathcal{G}$ , covering  $X \times \{\lambda\}$ . For each  $\langle x, \eta \rangle \in X \times (\lambda+1)'$ with  $\eta < \lambda$ ,  $\bigcap \mathcal{H}(x, \eta)$  contains  $(\bigcap \mathcal{W}(x)) \times \{\eta\}$ . Pick  $x \in X$ . Take a  $\langle \mu_0, \xi_0 \rangle \in \lambda \times \Xi$  with  $x \in F_{\mu_0, \xi_0}$ . By the choice of  $W_{\mu, \xi}$ 's, we have  $x \notin \bigcup_{(\mu,\xi) \succ (\mu_0,\xi_0)} W_{\mu,\xi}$ . Then we have

$$\bigcap \mathcal{H}(x,\lambda) = \bigcap \{ W_{\mu,\xi} \times (\mu,\lambda] : x \in W_{\mu,\xi} \text{ and } \langle \mu,\xi \rangle \preceq \langle \mu_0,\xi_0 \rangle \},$$
  
$$\supset \bigcap \{ W_{\mu,\xi} \times (\mu_0,\lambda] : x \in W_{\mu,\xi} \},$$

$$= (\bigcap \mathcal{W}(x)) \times (\mu_0, \lambda].$$

Since  $\mathcal{W}$  is an interior-preserving open cover of X, we have shown  $z \in \text{Int}(\bigcap \mathcal{H}(z))$  for each  $z \in X \times (\lambda + 1)'$ . Hence  $\mathcal{H}$  is interior-preserving in  $X \times (\lambda + 1)'$ .

Note that  $X \times \{\mu\}$  is closed-open in  $X \times (\lambda + 1)'$  for each  $\mu \in \lambda$ . Since X is orthocompact, there is an interior-preserving open refinement  $\mathcal{H}_{\mu}$  of  $\mathcal{G} \upharpoonright (X \times \{\mu\})$ . Let  $\mathcal{O} = \mathcal{H} \cup (\bigcup_{\mu \in \lambda} \mathcal{H}_{\lambda})$ . It is easy to check that  $\mathcal{O}$  is an interior-preserving open refinement of  $\mathcal{G}$ . Hence  $X \times (\lambda + 1)'$  is orthocompact.  $\Box$ 

A space X is suborthocompact [12] if every open cover  $\mathcal{U}$  of X has a sequence  $\{\mathcal{V}_n\}$  of open refinements such that, for each  $x \in X$ , there is  $n \in \omega$  with  $x \in \operatorname{Int} \bigcap \mathcal{V}_n(x)$ .

Using this, we can obtain

**Theorem 3.5** Let X be a suborthocompact space.

- (1) If every well-monotone open cover of X has a  $\sigma$ -closurepreserving closed refinement, then  $X \times (\lambda + 1)'$  is suborthocompact for each ordinal  $\lambda$ .
- (2) If  $X \times (\lambda + 1)'$  is suborthocompact for each cardinal  $\kappa \leq L(X)$ , then every well-monotone open cover of X has a  $\sigma$ -cushioned refinement.

The proof of (1) in the above is similar to that of  $(a) \Rightarrow (c)$  in Theorem 3.4. However, the former is more complicated than the latter. Modifying Lemmas 3.1 and 3.2, we can easily get (2) in the above as well as  $(b) \Rightarrow (a)$  in Theorem 3.4.

By Lemma 3.3 and Theorem 3.5, we immediately have

**Corollary 3.6** For an orthocompact space X, the following are equivalent.

(a) Every well-monotone open cover of X has a  $\sigma$ -closurepreserving closed refinement.

- (b)  $X \times (\kappa + 1)'$  is suborthocompact for each cardinal  $\kappa \leq L(X)$ .
- (c)  $X \times (\lambda + 1)'$  is suborthocompact for each ordinal  $\lambda$ .

#### 4 Example

A function V from a space X into Top(X) is a *neighbornet* if  $x \in V(x)$  for each  $x \in X$ . A neighbornet V of X is *co-countable* (*co-finite*) if  $\{y \in X : x \in V(y)\}$  is at most countable (finite) for each  $x \in X$ .

**Lemma 4.1** [8, 11] A space X has a closure-preserving closed cover by countable (finite) sets if and only if X has a cocountable (co-finite) neighbornet.

The following example shows that our Theorem 2.4 is not true without the assumption of X being a  $\beta$ -space.

**Example 4.2** There is a normal orthocompact space X which is not submetacompact, but which is such that every well-monotone open cover has a closure-preserving closed refinement.

The example is a modification of Bing's example in [1], which is seen in ([2], Example 4.9 (iii)). We restate it here for the reader's convenience.

Let  $\mathcal{P}(\omega_1)$  be the family of all subsets of  $\omega_1$ . Let  $\mathcal{P}(\omega_1)^2$  be the set of all functions from  $\mathcal{P}(\omega_1)$  into  $\{0,1\}$ . For each  $\alpha \in \omega_1$ , we define  $\hat{\alpha} \in \mathcal{P}^{(\omega_1)} 2$  by  $\hat{\alpha}(Q) = 1$  for  $\alpha \in Q$  and  $\hat{\alpha}(Q) =$ 0 for  $\alpha \notin Q$ . Let  $E = \{\hat{\alpha} : \alpha \in \omega_1\}$ . For each  $\alpha \in \omega_1$ and each  $r \in [\mathcal{P}(\omega_1)]^{<\omega}$ , let  $U(\hat{\alpha}, r) = \{f \in \mathcal{P}^{(\omega_1)} 2 : f(Q) =$  $\hat{\alpha}(Q)$  for each  $Q \in r\}$ . Let Y be the space  $\mathcal{P}^{(\omega_1)}^2$  with the topology defined such that each  $\hat{\alpha} \in E$  has a neighborhood base  $\{U(\hat{\alpha}, r) : r \in [\mathcal{P}(\omega_1)]^{<\omega}\}$  and each  $f \in \mathcal{P}^{(\omega_1)} 2 \setminus E$  is an isolated point. Now, we take the subspace X of Y defined by

$$X = E \cup \{x \in Y : \{Q \in \mathcal{P}(\omega_1) : x(Q) = 1\} \text{ is at most countable}\}.$$

Then it is known that X is normal, but not submetacompact (see [2], Example 4.9 (iii)). Since E is closed discrete in X and  $X \setminus E$  is open discrete in X, it is easy to check that X is orthocompact. For each  $x \in X$ , we take the neighbornet V of X defined by  $V(\hat{\alpha}) = U(\hat{\alpha}, \{\{\alpha\}\})$  for each  $\alpha \in \omega_1$  and V(x) = $\{x\}$  for each  $x \in X \setminus E$ . Then  $V(\hat{\alpha}) \cap E = \{\hat{\alpha}\}$  for each  $\alpha \in \omega_1$ . Now, we need to verify the following two facts. Fact 1. V is a co-countable neighbornet of X.

If  $\hat{\alpha} \in V(y)$ , then y must be  $\hat{\alpha}$ . If  $x \in V(y)$  and  $y \in X \setminus E$ , then y = x. Pick  $x \in X \setminus E$ . Let  $A = \{\alpha \in \omega_1 : x \in V(\hat{\alpha})\}$ . Then  $x(\{\alpha\}) = \hat{\alpha}(\{\alpha\}) = 1$  for each  $\alpha \in A$ . Hence we have  $|\{Q \in \mathcal{P}(\omega_1) : x(Q) = 1\}| \ge |A|$ . However, by  $x \in X \setminus E$ , we also have  $|\{Q \in \mathcal{P}(\omega_1) : x(Q) = 1\}| \le \omega$ . Hence  $\{y \in X : x \in V(y)\}$  is at most countable.

Fact 2. X is countably metacompact.

Let  $\{U_n : n \in \omega\}$  be a well-monotone countable open cover of X. Let  $E_n = E \cap (U_n \setminus U_{n-1})$  for each  $n \in \omega$ , where  $U_{-1} = \emptyset$ . Then  $\{E_n : n \in \omega\}$  is a discrete collection of closed sets in X. Since X is normal, there is a discrete collection  $\{V_n : n \in \omega\}$  of open sets in X such that  $E_n \subset V_n \subset U_n$  for each  $n \in \omega$ . Then  $\{V_n : n \in \omega\} \cup \{\{x\} : x \in X \setminus \bigcup_{n \in \omega} V_n\}$  is a point-finite open refinement of  $\{U_n : n \in \omega\}$ .

It follows from Fact 1 and Lemma 4.1 that X has a closurepreserving closed cover by countable sets. So, when  $cf(\kappa) > \omega$ , every well-monotone open cover of X with cardinality  $\kappa$  has a closure-preserving closed refinement (by countable sets). Moreover, by Fact 2, every well-monotone countable open cover of X has a well-monotone countable closed refinement. Therefore, every well-monotone open cover of X has a closure-preserving closed refinement.  $\Box$ 

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