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## A NOTE ON SEPARATION OF DIAGONAL

by

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## A NOTE ON SEPARATION OF DIAGONAL

LIANG-XUE PENG AND JING LI

**ABSTRACT.** In this note, we point out that a  $\Delta$ -paracompact normal space is functionally  $\Delta$ -paracompact. As a corollary, we have that regular  $\Delta$ -paracompact spaces are functionally  $\Delta$ -paracompact and functionally  $\Delta$ -normal. This gives a positive answer to two questions of Burke and Buzyakova, which appear in *Topology and Applications* (**157** (2010), 2261–2270).

In the last part of this note, we show that a space  $X$  is a paracompact  $T_2$ -space if and only if  $X$  is a submetacompact  $\Delta$ -paracompact regular space. We also introduce a concept of  $\Delta$ -metacompact, and point out that  $\Delta$ -metacompactness implies neither metacompactness, nor  $\Delta$ -paracompactness.

### INTRODUCTION

In [2] and [1], it is investigated when and how the diagonal of a space  $X$  can be separated from any closed subset of the square  $X^2$  that lies off the diagonal. Let  $X$  be a space; the diagonal of  $X$  is  $\Delta_X = \{(x, x) : x \in X\}$ .

By conclusions which appear in [2], we know that a paracompact  $T_2$ -space is functionally  $\Delta$ -paracompact, a functionally  $\Delta$ -paracompact space is regular  $\Delta$ -paracompact, and a regular  $\Delta$ -paracompact space is  $\Delta$ -paracompact. In [2] it is also pointed out that there is a  $\Delta$ -paracompact space which is neither functionally  $\Delta$ -paracompact, nor regular  $\Delta$ -paracompact. It is proved in [2] that every functionally  $\Delta$ -paracompact space is functionally  $\Delta$ -normal. The following questions appear in [1].

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*Key words and phrases.* Diagonal of a space,  $\Delta$ -paracompact, Regular  $\Delta$ -paracompact, Functionally  $\Delta$ -paracompact, Functionally  $\Delta$ -normal, Metacompact.

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**Question 1.** Does regular  $\Delta$ -paracompactness imply functional  $\Delta$ -paracompactness?

**Question 2.** Does regular  $\Delta$ -paracompactness imply functional  $\Delta$ -normality?

These questions also appear in Table 1 in [2].

In fact, by some known conclusions we can prove that every  $\Delta$ -paracompact normal space is functionally  $\Delta$ -paracompact, and hence regular  $\Delta$ -paracompactness implies functional  $\Delta$ -paracompactness and functional  $\Delta$ -normality. Thus the two questions of Burke and Buzyakova are answered. In last part of this note, we show that a space  $X$  is a paracompact  $T_2$ -space if and only if  $X$  is a submetacompact  $\Delta$ -paracompact regular space. In this note, we also introduce the concept of  $\Delta$ -metacompact. We point out that  $\Delta$ -metacompactness implies neither metacompactness, nor  $\Delta$ -paracompactness.

All spaces in this note are assumed to be  $T_1$ -spaces. In notation and terminology we will follow [3].

#### MAIN RESULTS

**Definition 1.** ([5]) A space  $X$  is  $\Delta$ -normal if for every  $A \subset X^2 \setminus \Delta_X$  closed in  $X^2$  there exist disjoint open sets  $U$  and  $V$  in  $X^2$  such that  $A \subset U$  and  $\Delta_X \subset V$ .

**Definition 2.** ([2]) A space  $X$  is *functionally  $\Delta$ -normal* if for every  $A \subset X^2 \setminus \Delta_X$  closed in  $X^2$  there exists a continuous function  $f : X^2 \rightarrow [0, 1]$  such that  $f(A) \subset \{1\}$  and  $f(\Delta_X) = \{0\}$ .

**Definition 3.** ([2]) A space  $X$  is  $\Delta$ -paracompact if for every  $A \subset X^2 \setminus \Delta_X$  closed in  $X^2$  there exists a locally finite open cover  $\mathcal{U}$  of  $X$  such that  $\bigcup\{U \times U : U \in \mathcal{U}\}$  does not meet  $A$ .

**Definition 4.** ([2]) A space  $X$  is *regular  $\Delta$ -paracompact* if for every  $A \subset X^2 \setminus \Delta_X$  closed in  $X^2$  there exists a locally finite open cover  $\mathcal{U}$  of  $X$  such that  $\bigcup\{\bar{U} \times \bar{U} : U \in \mathcal{U}\}$  does not meet  $A$ .

**Definition 5.** ([2]) A space  $X$  is *functionally  $\Delta$ -paracompact* if for every  $A \subset X^2 \setminus \Delta_X$  closed in  $X^2$  there exists a locally finite open cover  $\mathcal{U}$  of  $X$  by functionally open sets (i.e. cozero sets) such that  $\bigcup\{U \times U : U \in \mathcal{U}\}$  does not meet  $A$ .

In [2] the author states that all spaces in that paper are Tychonoff. In fact, to get the following Lemma 6, the  $T_1$  separation axiom is enough.

**Lemma 6.** ([2]) *The following holds:*

- (1) *A functionally  $\Delta$ -paracompact space is functionally  $\Delta$ -normal and regular  $\Delta$ -paracompact.*

- (2) A regular  $\Delta$ -paracompact space is  $\Delta$ -normal, normal, and  $\Delta$ -paracompact.

Since every paracompact  $T_2$ -space is normal, we have:

**Lemma 7.** ([2]) A paracompact  $T_2$ -space is functionally  $\Delta$ -paracompact.

**Lemma 8.** ([3, Theorem 1.5.18]) For every point-finite open cover  $\{U_s : s \in S\}$  of a normal space  $X$  there exists an open cover  $\{V_s : s \in S\}$  of  $X$  such that  $\overline{V_s} \subset U_s$  for each  $s \in S$ .

**Theorem 9.** If  $X$  is a  $\Delta$ -paracompact normal space, then  $X$  is functionally  $\Delta$ -paracompact.

*Proof.* Let  $A \subset X^2 \setminus \Delta_X$  be a closed subset of  $X^2$ . The space  $X$  is  $\Delta$ -paracompact, there exists a locally finite open cover  $\mathcal{U}$  of  $X$  such that  $(U \times U) \cap A = \emptyset$  for each  $U \in \mathcal{U}$ . The open cover  $\mathcal{U}$  is locally finite, and hence it is point-finite. If we let  $\mathcal{U} = \{U_s : s \in S\}$ , then there is an open cover  $\mathcal{V} = \{V_s : s \in S\}$  of  $X$  such that  $\overline{V_s} \subset U_s$  for each  $s \in S$  by Lemma 8. By Urysohn's Lemma, we have a continuous function  $f_s : X \rightarrow [0, 1]$  such that  $f_s(X \setminus U_s) \subset \{0\}$  and  $f_s(\overline{V_s}) \subset \{1\}$  for each  $s \in S$ . Thus  $\overline{V_s} \subset f_s^{-1}((0, 1]) \subset U_s$ . If  $\mathcal{U}^* = \{f_s^{-1}((0, 1]) : s \in S\}$ , then  $\mathcal{U}^*$  is a locally finite cover of  $X$  by functionally open sets of  $X$  such that  $\bigcup\{O \times O : O \in \mathcal{U}^*\}$  does not meet  $A$ . Thus  $X$  is functionally  $\Delta$ -paracompact.  $\square$

By Lemma 6 and Theorem 9, we have:

**Corollary 10.** A space  $X$  is functionally  $\Delta$ -paracompact if and only if  $X$  is regular  $\Delta$ -paracompact.

**Corollary 11.** If  $X$  is regular  $\Delta$ -paracompact, then  $X$  is functionally  $\Delta$ -normal.

**Corollary 12.** Let  $X$  be a normal space. The following are equivalent.

- (1)  $X$  is functionally  $\Delta$ -paracompact;
- (2)  $X$  is regular  $\Delta$ -paracompact;
- (3)  $X$  is  $\Delta$ -paracompact.

By Corollary 12, the Theorem 2.15 and Corollary 2.16 which appear in [2] are generalized.

By Theorem 2.5 in [2] we know that every generalized ordered space is  $\Delta$ -paracompact. Recall that every generalized ordered space is monotonically normal ([6]), and hence it is collectionwise normal. The space  $\omega_1$  is a  $\Delta$ -paracompact monotonically normal space which is not paracompact. So we would like to know what property  $\mathcal{P}$  such that a  $\Delta$ -paracompact space which has property  $\mathcal{P}$  is paracompact. In what follows, we will discuss this question.

Recall that a space is called *collectionwise normal* if for every discrete family  $\{F_s : s \in S\}$  of closed subsets of  $X$  there exists a discrete collection  $\{U_s : s \in S\}$  such that  $F_s \subset U_s$  for each  $s \in S$ . In [1, Theorem 2.8], it is proved that if  $X$  is a regular  $\Delta$ -paracompact space then  $X$  is collectionwise normal. By Corollary 2.13 which appears in [2], we know that  $\Delta$ -paracompactness neither implies normality, nor collectionwise normality.

Recall that a space  $X$  is called *submetacompact* if for any open cover  $\mathcal{U}$  of  $X$  there is an open refinement  $\mathcal{V} = \bigcup\{\mathcal{V}_n : n \in \mathbb{N}\}$  such that for each  $x \in X$  there is  $n_x \in \mathbb{N}$  such that  $\text{ord}(x, \mathcal{V}_{n_x}) < \omega$  and  $\bigcup \mathcal{V}_n = X$  for each  $n \in \mathbb{N}$ , where  $\text{ord}(x, \mathcal{V}_{n_x}) = |\{V : x \in V \text{ and } V \in \mathcal{V}_{n_x}\}|$ . We know that metacompact spaces and subparacompact spaces are submetacompact.

**Theorem 13.** *If  $X$  is a submetacompact  $\Delta$ -paracompact regular space, then  $X$  is collectionwise normal.*

*Proof.* Since  $\Delta$ -paracompact normal spaces are regular  $\Delta$ -paracompact by Corollary 12 and every regular  $\Delta$ -paracompact space is collectionwise normal ([1, Theorem 2.8]), it suffices to prove normality of  $X$ .

Let  $F_1$  and  $F_2$  be any two disjoint closed subsets of  $X$ . For each  $x \in F_1$ , there is an open neighborhood  $V_x$  of  $x$  such that  $x \in V_x \subset \overline{V_x} \subset X \setminus F_2$ . If  $\mathcal{U} = \{V_x : x \in F_1\} \cup \{X \setminus F_1\}$ , then  $\mathcal{U}$  is an open cover of  $X$ . Since  $X$  is submetacompact, the open cover  $\mathcal{U}$  has an open refinement  $\mathcal{V} = \bigcup\{\mathcal{V}_n : n \in \mathbb{N}\}$  such that for each  $x \in X$  there is  $n_x \in \mathbb{N}$  such that  $\text{ord}(x, \mathcal{V}_{n_x}) < \omega$  and  $\bigcup \mathcal{V}_n = X$  for each  $n \in \mathbb{N}$ .

For each  $n \in \mathbb{N}$ , denote  $\mathcal{V}_n^* = \{V : V \in \mathcal{V}_n \text{ and } V \cap F_1 \neq \emptyset\} \cup \{X \setminus F_1\}$ . If  $B_n = X^2 \setminus \bigcup\{V \times V : V \in \mathcal{V}_n^*\}$ , then  $B_n$  is a closed subset of  $X^2$  and  $B_n \cap \Delta_X = \emptyset$ . Since  $X$  is  $\Delta$ -paracompact, there is a locally finite open cover  $\mathcal{U}_n$  of  $X$  such that  $(U \times U) \cap B_n = \emptyset$  for each  $U \in \mathcal{U}_n$ . If  $F_{1n} = \{x : x \in F_1 \text{ and } \text{ord}(x, \mathcal{V}_n^*) < \omega\}$  for each  $n \in \mathbb{N}$ , then  $F_1 = \bigcup\{F_{1n} : n \in \mathbb{N}\}$ .

**Claim.** Let  $n \in \mathbb{N}$ . If  $U \in \mathcal{U}_n$  and  $U \cap F_{1n} \neq \emptyset$ , then  $\overline{U} \cap F_2 = \emptyset$ .

*Proof of the Claim.* Suppose there are some  $n \in \mathbb{N}$  and  $U \in \mathcal{U}_n$  such that  $U \cap F_{1n} \neq \emptyset$  and  $\overline{U} \cap F_2 \neq \emptyset$ . Let  $x \in U \cap F_{1n}$ . Thus  $\text{ord}(x, \mathcal{V}_n^*) < \omega$ . If  $V \in \mathcal{V}_n^*$  and  $x \in V$ , then there is some  $y \in F_1$  such that  $V \subset V_y$ . Since  $\overline{V_y} \cap F_2 = \emptyset$ , we have that  $\overline{V} \cap F_2 = \emptyset$ . So  $U \not\subset \overline{V}$  for each  $V \in \{V : x \in V \text{ and } V \in \mathcal{V}_n^*\}$ . Since the family  $\{V : x \in V \text{ and } V \in \mathcal{V}_n^*\}$  is a finite family of  $X$ , we have  $\overline{\bigcup\{V : x \in V, V \in \mathcal{V}_n^*\}} = \bigcup\{\overline{V} : x \in V, V \in \mathcal{V}_n^*\}$ . Since  $\overline{U} \cap F_2 \neq \emptyset$ ,  $\overline{U} \setminus \bigcup\{\overline{V} : x \in V, V \in \mathcal{V}_n^*\} \neq \emptyset$  and hence  $U \setminus \bigcup\{V : x \in V, V \in \mathcal{V}_n^*\} \neq \emptyset$ . So  $U \setminus \bigcup\{\overline{V} : x \in V, V \in \mathcal{V}_n^*\} \neq \emptyset$ .

If  $z \in U \setminus \bigcup\{\overline{V} : x \in V, V \in \mathcal{V}_n^*\}$ , then  $(x, z) \in U \times U$  and  $(x, z) \notin V \times V$  for each  $V \in \mathcal{V}_n^*$ . Thus  $(x, z) \in (U \times U) \cap B_n$ . This is a contradiction with  $(U \times U) \cap B_n = \emptyset$ . So we have proved the Claim.

If  $P_n = \bigcup\{U : U \in \mathcal{U}_n \text{ and } U \cap F_{1n} \neq \emptyset\}$  for each  $n \in \mathbb{N}$ , then  $F_{1n} \subset P_n$  and  $\overline{P_n} \cap F_2 = \emptyset$  by the Claim and locally finite property of  $\mathcal{U}_n$ . Thus we have a countable family  $\{P_n : n \in \mathbb{N}\}$  of open subsets of  $X$  such that  $F_1 \subset \bigcup\{P_n : n \in \mathbb{N}\}$  and  $\overline{P_n} \cap F_2 = \emptyset$  for each  $n \in \mathbb{N}$ .

Similarly, we can get a countable family  $\{Q_n : n \in \mathbb{N}\}$  of open subsets of  $X$  such that  $F_2 \subset \bigcup\{Q_n : n \in \mathbb{N}\}$  and  $\overline{Q_n} \cap F_1 = \emptyset$  for each  $n \in \mathbb{N}$ .

For each  $n \in \mathbb{N}$ , we let  $P_n^* = P_n \setminus \bigcup\{Q_m : m \leq n\}$  and let  $Q_n^* = Q_n \setminus \bigcup\{P_m : m \leq n\}$ . If  $P = \bigcup\{P_n^* : n \in \mathbb{N}\}$  and  $Q = \bigcup\{Q_n^* : n \in \mathbb{N}\}$ , then we can easily prove that  $P$  and  $Q$  are disjoint open subsets of  $X$  such that  $F_1 \subset P$  and  $F_2 \subset Q$ . Thus  $X$  is a normal space.  $\square$

**Corollary 14.** *If  $X$  is a metacompact (or subparacompact)  $\Delta$ -paracompact regular space, then  $X$  is collectionwise normal.*

Since a submetacompact (metacompact or subparacompact) collectionwise normal space is a paracompact space, we have the following theorem by Theorem 13, Lemma 6 and 7.

**Theorem 15.** *A space  $X$  is a paracompact  $T_2$ -space if and only if  $X$  is a submetacompact (metacompact or subparacompact)  $\Delta$ -paracompact regular space.*

Recall that if  $S$  is the Sorgenfrey line, then the space  $S$  is a paracompact space and hence  $S$  is  $\Delta$ -paracompact.

**Corollary 16.**  *$S^2$  is not  $\Delta$ -paracompact.*

*Proof.* The space  $S^2$  is a subparacompact regular space (cf. [7]). Since  $S^2$  is not normal, it is not paracompact. Thus  $S^2$  is not  $\Delta$ -paracompact by Theorem 15.  $\square$

Corollary 16 shows that the product of  $\Delta$ -paracompact spaces (or generalized ordered spaces) may not be  $\Delta$ -paracompact.

By Theorem 15, we know that if  $X$  is a metacompact non-paracompact regular space then  $X$  is not  $\Delta$ -paracompact.

It is pointed out ([Page 266, 4]) that the following space is a metacompact non-paracompact regular space:

$$X = \{(\nu, \delta + 1) : \nu \leq \omega \wedge \delta < \omega_1\} \cup \{(n, \omega_1) : n < \omega\}.$$

The space  $X$  is a regular metacompact space by Theorem 5.1 which appears in [4]. Since  $X$  is not normal,  $X$  is not paracompact. Thus the space  $X$  is a metacompact non-paracompact regular space, and hence the space  $X$  is not  $\Delta$ -paracompact by Theorem 15.

A space  $X$  is  $\Delta$ -metacompact if for every  $A \subset X^2 \setminus \Delta_X$  closed in  $X^2$  there exists a point-finite open cover  $\mathcal{U}$  of  $X$  such that  $\bigcup\{U \times U : U \in \mathcal{U}\}$  does not meet  $A$ .

**Theorem 17.** *Every metacompact space is  $\Delta$ -metacompact*

*Proof.* Let  $A \subset X^2 \setminus \Delta_X$  be a closed subset of  $X^2$ . For each  $x \in X$ , let  $V_x$  be an open neighborhood of  $x$  such that  $(V_x \times V_x) \cap A = \emptyset$ . Since  $X$  is metacompact, the open cover  $\{V_x : x \in X\}$  has a point-finite open refinement  $\mathcal{U}$ . Thus  $(U \times U) \cap A = \emptyset$  for each  $U \in \mathcal{U}$ .  $\square$

It is obvious that every  $\Delta$ -paracompact space is  $\Delta$ -metacompact. The space  $X = \{(\nu, \delta+1) : \nu \leq \omega \wedge \delta < \omega_1\} \cup \{(n, \omega_1) : n < \omega\}$  is metacompact, and hence it is  $\Delta$ -metacompact. But the space  $X$  is not  $\Delta$ -paracompact by Theorem 15. We have mentioned that the space  $\omega_1$  is a  $\Delta$ -paracompact and hence it is  $\Delta$ -metacompact, but it is not metacompact. Thus we have:

**Proposition 18.**  *$\Delta$ -metacompactness implies neither metacompactness, nor  $\Delta$ -paracompactness.*

By Lemma 8 and the proof of Theorem 9, we have:

**Theorem 19.** *Let  $X$  be a normal space. The following are equivalent.*

- (1)  $X$  is a  $\Delta$ -metacompact space;
- (2) For every  $A \subset X^2 \setminus \Delta_X$  closed in  $X^2$  there exists a point-finite open cover  $\mathcal{U}$  of  $X$  by functionally open sets such that  $\bigcup\{U \times U : U \in \mathcal{U}\}$  does not meet  $A$ ;
- (3) For every  $A \subset X^2 \setminus \Delta_X$  closed in  $X^2$  there exists a point-finite open cover  $\mathcal{U}$  of  $X$  such that  $\bigcup\{\bar{U} \times \bar{U} : U \in \mathcal{U}\}$  does not meet  $A$ .

In [1], it is proved that if  $X$  is a  $\Delta$ -paracompact regular space then  $X$  is collectionwise Hausdorff. A space is *collectionwise Hausdorff* ([1]) if for every closed discrete subset  $A \subset X$  there exists a discrete collection  $\{U_a : a \in A\}$  such that  $U_a \cap A = \{a\}$  for each  $a \in A$ . We have the following more general conclusion.

**Theorem 20.** *Let  $X$  be a  $\Delta$ -paracompact regular space. If  $\mathcal{F} = \{F_s : s \in S\}$  is a discrete collection of compact sets of  $X$ , then there is a discrete collection  $\{W_s : s \in S\}$  of open sets of  $X$  such that  $F_s \subset W_s$  for each  $s \in S$ .*

*Proof.* The proof is similar to the proof of Theorem 2.3 which appears in [1]. To assist the reader, we give the proof.

If  $A_s = \bigcup\{F_{s'} : s' \in S \setminus \{s\}\}$ , then  $A_s$  is closed in  $X$  for each  $s \in S$ . By regularity of  $X$  and compactness of  $F_s$ , there is an open set  $U_s$  of  $X$  such that  $F_s \subset U_s \subset \bar{U}_s \subset X \setminus A_s$ . If  $\mathcal{U} = \{U_s : s \in S\} \cup \{X \setminus \bigcup \mathcal{F}\}$ , then  $\mathcal{U}$  is an open cover of  $X$ . Thus the set  $F = X^2 \setminus \bigcup\{U \times U : U \in \mathcal{U}\} \subset X^2 \setminus \Delta_X$  is closed in  $X^2$ .

Since  $X$  is  $\Delta$ -paracompact, there exists a locally finite open cover  $\mathcal{V}$  of  $X$  such that  $\bigcup\{V \times V : V \in \mathcal{V}\}$  misses  $F$ . For each  $s \in S$ , we have  $|\{V : V \in \mathcal{V}, V \cap F_s \neq \emptyset\}| < \omega$  by compactness of  $F_s$  and locally finite property of  $\mathcal{V}$ . For each  $s \in S$ , let  $\mathcal{V}_s = \{V : V \in \mathcal{V}, V \cap F_s \neq \emptyset\}$ .

For each  $V \in \mathcal{V}_s$ , we have  $V \subset U_s$ .

Suppose there is some  $V \in \mathcal{V}_s$  such that  $V \not\subset U_s$ . We let  $x \in V \cap F_s$  and let  $y \in V \setminus U_s$ . Thus the point  $(x, y) \in V \times V$ . Since for each  $U \in \mathcal{U}$ ,  $x \notin U$  if  $U \neq U_s$ , we have  $(x, y) \in F$ . This is a contradiction with  $(V \times V) \cap F = \emptyset$ . Thus  $V \subset U_s$  for each  $V \in \mathcal{V}_s$ , and hence  $\overline{V} \cap A_s = \emptyset$ . So  $\mathcal{V}_{s_1} \cap \mathcal{V}_{s_2} = \emptyset$  if  $s_1 \in S, s_2 \in S$  and  $s_1 \neq s_2$ .

For each  $s \in S$ , let  $O_s = \bigcup \mathcal{V}_s \setminus \overline{\bigcup\{\mathcal{V}_{s'} : s' \in S \setminus \{s\}\}}$ . Since  $\mathcal{V}$  is locally finite, we have  $F_s \subset O_s$ . We can see that  $O_{s_1} \cap O_{s_2} = \emptyset$  if  $s_1 \in S, s_2 \in S$  and  $s_1 \neq s_2$ . For each  $x \in X$ , there is an open neighborhood  $M_x$  of  $x$  such that  $|\{V : V \in \mathcal{V}, V \cap M_x \neq \emptyset\}| < \omega$ . Since  $O_s \subset \bigcup \mathcal{V}_s$  and  $\mathcal{V}_{s_1} \cap \mathcal{V}_{s_2} = \emptyset$  if  $s_1 \in S, s_2 \in S$  and  $s_1 \neq s_2$ , we have  $|\{s : M_x \cap O_s \neq \emptyset, s \in S\}| < \omega$ . Thus  $\{O_s : s \in S\}$  is a locally finite family of open sets of  $X$ . For each  $s \in S$ , there exists an open set  $W_s$  of  $X$  such that  $F_s \subset W_s \subset \overline{W_s} \subset O_s$  by compactness of  $F_s$  and regularity of  $X$ . Thus  $\{W_s : s \in S\}$  is a discrete collection of open sets of  $X$  such that  $F_s \subset W_s$  for each  $s \in S$ .  $\square$

The following question which appears in [1] is still open.

**Question 21.** *Does  $\Delta$ -normality imply functional  $\Delta$ -normality?*

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