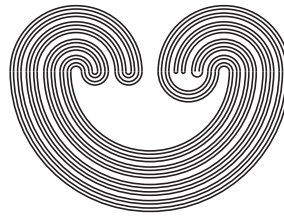


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## COUNTABILITY PROPERTIES OF THE $\sigma$ -COMPACT-OPEN TOPOLOGY ON $C^*(X)$

by

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**COUNTABILITY PROPERTIES OF THE  
 $\sigma$ -COMPACT-OPEN TOPOLOGY ON  $C^*(X)$**

S. KUNDU AND VIPRA PANDEY†

**ABSTRACT.** The main goal of this paper is to study the countability properties, such as the separability, second countability and Lindelöf property of the  $\sigma$ -compact-open topology on  $C^*(X)$ , the set of all bounded real-valued continuous functions on a Tychonoff space  $X$ .

**1. INTRODUCTION**

The set  $C(X)$  of all real-valued continuous functions as well as the set  $C^*(X)$  of all bounded real-valued continuous functions on a Tychonoff space  $X$  has a number of natural topologies. Two commonly used among them are the compact-open topology  $k$  and the topology of uniform convergence  $u$ . While the topology of uniform convergence on  $C(X)$  has been used for more than a century as the proper setting to study uniform convergence of sequences of functions, the compact-open topology on  $C(X)$  made its appearance in 1945 in a paper by Ralph H. Fox [10] and soon after it was developed by Richard F. Arens in [2] and by Arens and James Dugundji in [3]. This topology was shown in [15] to be the proper setting for studying sequences of functions which converge uniformly on compact subsets. But soon, it also turned out to be a natural and interesting locally convex topology on  $C(X)$  from the measure-theoretic viewpoint. In fact, continuous functions and Baire measures on Tychonoff spaces are linked by the process of integration. A number of natural locally convex topologies on spaces of continuous functions have been studied in order to clarify this relationship. For more information on these topologies see [28].

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The compact-open topology and the topology of uniform convergence on  $C(X)$  (or on  $C^*(X)$ ) are equal if and only if  $X$  is compact. Since compactness is such a strong condition, there is a considerable gap between these two topologies. This gap has been especially felt in topological measure theory; consequently in the last five decades, there have been quite a few topologies introduced that lie between  $k$  and  $u$ , such as the strict topology, the  $\sigma$ -compact-open topology, the topology of uniform convergence on  $\sigma$ -compact subsets and the topology of uniform convergence on bounded subsets. (See for example [5], [8], [11], [12], [16], [17], [18], [24], [25]).

The  $\sigma$ -compact-open topology  $\sigma$  is one such natural and interesting locally convex topology on  $C^*(X)$ , from the viewpoint of both topology and measure theory. The space  $C^*(X)$  with the topology  $\sigma$  is denoted by  $C_\sigma^*(X)$ . Actually this topology was first introduced in [12] from the viewpoint of measure theory and functional analysis. According to Gulick himself, his “paper arose from an attempt to define a natural topology which would serve as the Mackey Topology for the strict topology” on  $C^*(X)$ . Later on, this topology which can also be considered as the topology of uniform convergence on  $\sigma$ -compact subsets of  $X$ , has been studied in [17], but on  $C(X)$ , instead of  $C^*(X)$ . In [19], the metrizable and uniform completeness of  $C_\sigma^*(X)$  have been studied in detail. But another important family of properties, the countability properties of  $C_\sigma^*(X)$ , is yet to be studied. In this paper, we plan to do exactly that. More precisely, we would like to study the separability,  $\aleph_0$ -boundedness, countable chain condition, second countability and Lindelöf property of  $C_\sigma^*(X)$ . In section 2 of this paper, in addition to studying separability, we study the possibilities of  $C_\sigma^*(X)$  having the properties of  $\aleph_0$ -boundedness and countable chain condition. In Sections 3 and 4, we study the second countability and Lindelöf property of  $C_\sigma^*(X)$  respectively.

Throughout this paper, all spaces are Tychonoff and  $\mathbb{R}$  denotes the space of real numbers with the usual topology. The constant zero function defined on  $X$  is denoted by  $0$ , more precisely by  $0_X$ . We call it the constant zero function in  $C^*(X)$ . The symbols  $\omega_0$  and  $\omega_1$  denote the first infinite and the first uncountable ordinal respectively. If  $X$  and  $Y$  are two spaces with the same underlying set, then we use  $X = Y$ ,  $X \leq Y$  and  $X < Y$  to indicate, respectively, that  $X$  and  $Y$  have the same topology, that the topology on  $Y$  is finer than or equal to the topology on  $X$  and that the topology on  $Y$  is strictly finer than the topology on  $X$ .

**2. SEPARABILITY,  $\aleph_0$ -BOUNDEDNESS AND COUNTABLE CHAIN CONDITION**

The  $\sigma$ -compact open topology on  $C^*(X)$  can be viewed in three different ways. First we can view the  $\sigma$ -compact open topology as a “set-open” topology in the following manner.

For any subset  $A$  of  $X$  and any open subset  $V$  of  $\mathbb{R}$ , define

$$[A, V] = \{f \in C^*(X) : \overline{f(A)} \subseteq V\}.$$

Now let  $\sigma(X)$  be the family of all  $\sigma$ -compact subsets of  $X$ , and let  $\mathbb{B}$  be the set of all bounded open intervals in  $\mathbb{R}$ . For the  $\sigma$ -compact-open topology on  $C^*(X)$ , we take as a subbase, the family  $\{[A, B] : A \in \sigma(X), B \in \mathbb{B}\}$  and we denote the corresponding space by  $C^*_\sigma(X)$ .

The second way we can view the  $\sigma$ -compact open topology is as a “uniform topology”. For each  $A \in \sigma(X)$  and  $\epsilon > 0$ , let

$$A_\epsilon = \{(f, g) \in C^*(X) \times C^*(X) : |f(x) - g(x)| < \epsilon \forall x \in A\}.$$

Then it can be verified that the collection  $\{A_\epsilon : A \in \sigma(X), \epsilon > 0\}$  is a base for some uniformity on  $C^*(X)$ . This uniformity induces the topology of uniform convergence on  $\sigma$ -compact subsets of  $X$  and is the same as the  $\sigma$ -compact open topology defined earlier.

For each  $f \in C^*(X)$ ,  $A \in \sigma(X)$  and  $\epsilon > 0$ , let  $\langle f, A, \epsilon \rangle = \{g \in C^*(X) : |f(x) - g(x)| < \epsilon \forall x \in A\}$ . Then for each  $f \in C^*(X)$ , the collection  $\{\langle f, A, \epsilon \rangle : A \in \sigma(X), \epsilon > 0\}$  forms a neighborhood base at  $f$  in  $C^*_\sigma(X)$ . Since the topology comes from a uniformity,  $C^*_\sigma(X)$  is completely regular and since the topology  $\sigma$  is finer than the topology of pointwise convergence,  $\sigma$  is Hausdorff. Consequently  $C^*_\sigma(X)$  is a Tychonoff space.

The third way we can view the  $\sigma$ -compact open topology is as a locally convex topology generated by the collection of seminorms  $\{p_A : A \in \sigma(X)\}$  where for each  $A \in \sigma(X)$ , the seminorm  $p_A$  on  $C^*(X)$  is defined by  $p_A(f) = \sup\{|f(x)| : x \in A\}$ . Also for each  $A \in \sigma(X)$  and  $\epsilon > 0$ , let

$$V_{A, \epsilon} = \{f \in C^*(X) : p_A(f) < \epsilon\}.$$

Let  $\mathcal{V} = \{V_{A, \epsilon} : A \in \sigma(X), \epsilon > 0\}$ . It can be easily shown that for each  $f \in C^*(X)$ ,  $f + \mathcal{V} = \{f + V : V \in \mathcal{V}\}$  forms a neighborhood base at  $f$ . Since this topology is generated by a collection of seminorms, it is locally convex.

The uniform topology  $u$  on  $C^*(X)$  is generated by the complete supremum metric  $\rho$ , where for  $f, g \in C^*(X)$ ,  $\rho(f, g) = \sup\{|f(x) - g(x)| : x \in X\}$  and the corresponding topological space is denoted by  $C^*_u(X)$ . We also denote  $\rho(f, g)$  by  $\|f - g\|_\infty$ . Usually we use the notation  $C^*_\infty(X)$  in place of  $C^*_u(X)$ .

We now study a topological property of  $C_\sigma^*(X)$ , which is weaker than separability. The property is known as the countable chain condition. The precise definition follows.

**Definition 2.1.** A space  $X$  is said to have the *countable chain condition* (called ccc in brief) if any family of pairwise disjoint nonempty open subsets of  $X$  is countable. The ccc is also known as the Souslin property.

In the first result of this section, we show that pseudocompactness of  $X$  is a necessary condition for  $C_\sigma^*(X)$  to have ccc.

**Theorem 2.1.** *If  $C_\sigma^*(X)$  has the countable chain condition, then  $X$  is pseudocompact.*

*Proof.* Suppose that  $X$  is not pseudocompact. Then there is a closed C-embedding  $\phi : \mathbb{N} \rightarrow X$ . This function  $\phi$  induces a continuous function  $\phi^* : C_\sigma^*(X) \rightarrow C_\sigma^*(\mathbb{N})$  where  $\phi^*$  is defined by  $\phi^*(f) = f \circ \phi \forall f \in C^*(X)$ . Since a C-embedding is a  $C^*$ -embedding,  $\phi$  is a  $C^*$ -embedding and consequently  $\phi^*$  is a surjection.

We show that  $C_\sigma^*(\mathbb{N})$  does not have the countable chain condition. Then since  $\phi^*$  is a continuous surjection,  $C_\sigma^*(X)$  will also not have the countable chain condition. Let  $U = (0, 1)$  and  $V = (1, 2)$ , and for each  $A$  in the power set  $\mathcal{P}(\mathbb{N})$  of  $\mathbb{N}$ , define

$$S_A = [A, U] \cap [\mathbb{N} \setminus A, V]$$

$$\text{where } [A, V] = \{f \in C^*(X) : \overline{f(A)} \subseteq V\}.$$

Then  $S_A$  is a nonempty basic open set in  $C_\sigma^*(\mathbb{N})$ . Suppose that  $A, B \in \mathcal{P}(\mathbb{N})$  with  $A \neq B$ . Without loss of generality, say there is some  $x \in A \setminus B$ . If  $f \in S_A$ , then  $f(x) \in U$ ; but if  $f \in S_B$ , then  $f(x) \in V$ . Since  $U \cap V = \emptyset$ ,  $S_A \cap S_B = \emptyset$ . Therefore  $\{S_A : A \in \mathcal{P}(\mathbb{N})\}$  is an uncountable pairwise disjoint family of non-empty open subsets of  $C_\sigma^*(\mathbb{N})$ .  $\square$

**Remark 2.1.** If a space  $X$  has a dense subspace having ccc, then  $X$  itself has ccc. In [17], it has been shown that if  $C_\sigma(X)$  (the space  $C(X)$  equipped with the  $\sigma$ -compact-open topology) has ccc, then  $X$  is pseudocompact. From this result, Theorem 2.1 immediately follows. Actually the proof of Theorem 2.1 is the same as the proof of this result.

**Theorem 2.2.** *For a space  $X$ , the following are equivalent.*

- (a)  $C_\infty^*(X)$  is separable.
- (b)  $C_\sigma^*(X)$  is separable.
- (c)  $X$  is compact and metrizable.

*Proof.* (a)  $\Rightarrow$  (b) This is immediate since  $C_\sigma^*(X) \leq C_\infty^*(X)$ .

(b)  $\Rightarrow$  (c) If  $C_\sigma^*(X)$  is separable, then  $C_k^*(X)$  is also separable since  $C_k^*(X) \leq C_\sigma^*(X)$ . But  $C^*(X)$  is dense in  $C_k(X)$  (the space  $C(X)$  equipped

with the compact open topology). Hence  $C_k(X)$  is separable. But then by Theorem 5 in [27],  $X$  is submetrizable. Again,  $C_\sigma^*(X)$  being separable, has ccc and hence by Theorem 2.1,  $X$  is pseudocompact. But a pseudocompact submetrizable space is compact and metrizable, see Lemma 4.3 in [17].

(c)  $\Rightarrow$  (a) Suppose  $X$  is compact and metrizable. Let  $d$  be a compatible metric inducing the topology of  $X$ . Since  $(X, d)$  is compact, it is separable. Let  $\{x_1, x_2, \dots, x_n, \dots\}$  be a countable dense subset of  $X$ . For each  $n$ , let  $f_n : X \rightarrow \mathbb{R}$  be the function defined by  $f_n(x) = d(x, x_n)$  for each  $x \in X$ . Each  $f_n$  is continuous. Since  $X$  is compact, each  $f_n$  is bounded and hence  $f_n \in C^*(X)$ . It can be easily shown that if  $x, y \in X$  with  $x \neq y$ , then there exists  $n \in \mathbb{N}$  such that  $f_n(x) \neq f_n(y)$ . But this implies that the algebra generated by  $\{1_X, f_1, f_2, \dots\}$  separates the points of  $X$ . Here  $1_X$  denotes the constant function 1 defined on  $X$  that is  $1_X(x) = 1 \forall x \in X$ . Now by the Stone-Weierstrass theorem (see Theorem 11.5, page 89 in [1]), this algebra must be dense in  $C_\infty^*(X)$ . Now, consider the countable collection  $\mathcal{C}$  of all finite products of the countable collection  $\{1_X, f_1, f_2, \dots\}$ . Let  $\mathcal{C} = \{g_1, g_2, \dots\}$ . It can be verified that the finite linear combinations of  $\{1, g_1, g_2, \dots\}$  with rational coefficients form a countable dense subset of  $C_\infty^*(X)$ .  $\square$

**Example 2.1.** Let  $X = \mathbb{R}$ . Then since  $\mathbb{R}$  is not compact,  $C_\sigma^*(\mathbb{R})$  is not separable.

**Example 2.2.** Let  $X = \mathbb{R}_l$ , the real line equipped with the lower limit topology. We also call this space the Sorgenfrey line. In  $\mathbb{R}_l$ , since every compact subset is countable,  $\mathbb{R}_l$  is not even  $\sigma$ -compact and consequently  $C_\sigma^*(\mathbb{R}_l)$  is not separable.

**Example 2.3.** Since  $[0, \omega_1)$  is countably compact, but not compact,  $[0, \omega_1)$  is not metrizable. Hence neither  $C_\sigma^*([0, \omega_1))$  nor  $C_\sigma^*([0, \omega_1])$  is separable.

Now we would like to study another topological property of  $C_\sigma^*(X)$ , which is weaker than separability. The property is known as being  $\aleph_0$ -bounded. The precise definition follows.

**Definition 2.2.** Let  $G$  be a topological group (under addition). Then  $G$  is said to be  $\aleph_0$ -bounded provided that for each neighborhood  $U$  of the identity element in  $G$ , there exists a countable subset  $S$  of  $G$  such that  $G = S + U = \{s + u : s \in S, u \in U\}$ .

Arhangel'skii studied  $\aleph_0$ -bounded topological groups in the Section 9 of [4] in a more general setting of  $\tau$ -bounded topological groups. According to Arhangel'skii, the  $\tau$ -bounded topological groups were first studied

by Guran in [14]. We would like to state the following interesting and significant results on  $\aleph_0$ -bounded topological groups mentioned in [4].

- (a) The product of any family of  $\aleph_0$ -bounded topological groups is  $\aleph_0$ -bounded.
- (b) Any subgroup of an  $\aleph_0$ -bounded topological group is  $\aleph_0$ -bounded.
- (c) A topological group having a dense  $\aleph_0$ -bounded topological subgroup is itself  $\aleph_0$ -bounded.
- (d) The image of an  $\aleph_0$ -bounded topological group under a continuous homomorphism is  $\aleph_0$ -bounded.
- (e) The class of  $\aleph_0$ -bounded groups contains all subgroups of compact Hausdorff groups.
- (f) A topological group is  $\aleph_0$ -bounded if and only if it is topologically isomorphic to a subgroup of a topological group having ccc. Then obviously a topological group having ccc is itself  $\aleph_0$ -bounded.
- (g) A Lindelöf topological group is  $\aleph_0$ -bounded.
- (h) A metrizable  $\aleph_0$ -bounded space is separable.

In [21], an  $\aleph_0$ -bounded topological group has been called totally  $\aleph_0$ -bounded. Here we would like to put a note of caution. In [7], Arhangel'skii has used the term ' $\aleph_0$ -bounded' for an entirely different concept. In [7], a topological space has been called  $\aleph_0$ -bounded if the closure of every countable subset of  $X$  is compact.

The next result gives a necessary condition for  $C_\sigma^*(X)$  to be  $\aleph_0$ -bounded.

**Theorem 2.3.** *For a space  $X$ , assume that  $C_\sigma^*(X)$  is  $\aleph_0$ -bounded. Then every  $C^*$ -embedded  $\sigma$ -compact subset of  $X$  is metrizable and compact.*

*Proof.* Let  $A$  be a  $C^*$ -embedded  $\sigma$ -compact subset of  $X$ . Now first we will show that  $C_\sigma^*(A)$  is  $\aleph_0$ -bounded. Since  $A$  is  $\sigma$ -compact,  $C_\sigma^*(A)$  is metrizable. To avoid confusion, let us denote for each  $f \in C^*(A)$  and  $\epsilon > 0$

$$\langle f, A, \epsilon \rangle_A = \{g \in C^*(A) : |f(x) - g(x)| < \epsilon \forall x \in A\}.$$

Then for each  $f$  in  $C^*(A)$ , the collection  $\{\langle f, A, \epsilon \rangle_A : \epsilon > 0\}$  forms a neighborhood base of  $f$  in  $C_\sigma^*(A)$ . Let  $\langle 0_A, A, \epsilon \rangle_A$  be a basic neighborhood of the zero function  $0_A$  in  $C_\sigma^*(A)$ . Now  $\langle 0_X, A, \epsilon \rangle$  is a basic neighborhood of the zero function  $0_X$  in  $C_\sigma^*(X)$ . Since  $C_\sigma^*(X)$  is  $\aleph_0$ -bounded, there exists a countable set  $B$  in  $C_\sigma^*(X)$  such that  $C_\sigma^*(X) = B + \langle 0_X, A, \epsilon \rangle$ . Now let  $B_A = \{f|_A : f \in B\}$ . Let  $f \in C^*(A)$ . Since  $A$  is  $C^*$ -embedded in  $X$ , there exists a continuous extension  $f^*$  of  $f$  to  $X$ . Then  $f^* = h + g$  where  $h \in B$  and  $g \in \langle 0_X, A, \epsilon \rangle$ . Then  $h|_A \in B_A$ ,  $g|_A \in \langle 0_A, A, \epsilon \rangle$  and  $f = g|_A + h|_A$ . Thus  $C_\sigma^*(A)$  is  $\aleph_0$ -bounded. Now,  $\aleph_0$ -bounded metrizable groups are separable. Therefore,  $C_\sigma^*(A)$  is separable. By Theorem 2.2,  $A$  is metrizable and compact.  $\square$

**Corollary 2.4.** *If  $C_\sigma^*(X)$  is either Lindelöf or has ccc, then every  $C^*$ -embedded  $\sigma$ -compact subset of  $X$  is metrizable and compact.*

**Corollary 2.5.** *If  $X$  is  $\sigma$ -compact and  $C_\sigma^*(X)$  is Lindelöf or has ccc, then  $X$  is compact and metrizable.*

By using Theorem 2.2, an alternate proof of Corollary 2.5 can be given as follows. If  $X$  is  $\sigma$ -compact, then  $C_\sigma^*(X) = C_\infty^*(X)$  and consequently  $C_\sigma^*(X)$  is metrizable. So in addition, if  $C_\sigma^*(X)$  is Lindelöf, then  $C_\sigma^*(X)$  would be separable and consequently by Theorem 2.2,  $X$  would be metrizable and compact.

### 3. SECOND COUNTABILITY

In this section, we study the second countability of  $C_\sigma^*(X)$ . We begin this study by first observing that  $C_\sigma^*(X)$  is second countable if and only if  $C_\infty^*(X)$  is so.

**Theorem 3.1.** *For a space  $X$ ,  $C_\sigma^*(X)$  is second countable if and only if  $C_\infty^*(X)$  is second countable. Moreover, in either case  $C_\sigma^*(X) = C_\infty^*(X)$ .*

*Proof.* If either  $C_\sigma^*(X)$  or  $C_\infty^*(X)$  is second countable, then it is separable and consequently by Theorem 2.2,  $X$  is compact and metrizable. Hence in this case,  $C_\sigma^*(X) = C_\infty^*(X)$ .  $\square$

Theorem 3.1 can be strengthened further as follows. In particular, the next result shows that  $C_\sigma^*(X)$  is separable if and only if it is second countable. Recall that a space  $X$  is called cosmic if it has a countable network. A space  $X$  is called almost cosmic if it has a dense cosmic subspace. Also by Proposition 10.2 of [22], a space  $X$  is cosmic if and only if it is a continuous image of a separable metric space. In particular, a separable metric space is cosmic and a cosmic space is separable.

For the next result on the second countability of  $C_\sigma^*(X)$ , we need the definition of  $\pi$ -base.

**Definition 3.1.** A family of nonempty open sets in a space  $X$  is called a  $\pi$ -base for  $X$  if every nonempty open set in  $X$  contains a member of this family.

The routine proof of the following lemma is omitted.

**Lemma 3.2.** *Let  $D$  be a dense subset of a space  $X$ . Then  $D$  has a countable  $\pi$ -base if and only if  $X$  has a countable  $\pi$ -base.*

**Theorem 3.3.** *For a space  $X$ , the following assertions are equivalent.*

- (a)  $C_\sigma^*(X)$  contains a dense subspace which has a countable  $\pi$ -base.
- (b)  $C_\sigma^*(X)$  has a countable  $\pi$ -base.



- (c)  $C_\sigma^*(X)$  is second countable.
- (d)  $C_\infty^*(X)$  is second countable.
- (e)  $C_\infty^*(X)$  is separable.
- (f)  $C_\sigma^*(X)$  is separable.
- (g)  $C_\sigma^*(X)$  is cosmic.
- (h)  $C_\sigma^*(X)$  is almost cosmic.
- (i)  $X$  is compact and metrizable.

*Proof.* By Lemma 3.2, (a)  $\Leftrightarrow$  (b). By Theorem 2.2, (e)  $\Leftrightarrow$  (f)  $\Leftrightarrow$  (i) and by Theorem 3.1, (c)  $\Leftrightarrow$  (d).

(d)  $\Rightarrow$  (e) and (g)  $\Rightarrow$  (h). These are immediate.

(b)  $\Rightarrow$  (c). If  $C_\sigma^*(X)$  has a countable  $\pi$ -base, then by Theorem 2.4 in [19],  $C_\sigma^*(X)$  is metrizable. But a space having a countable  $\pi$ -base is separable and a separable metrizable space is second countable.

(h)  $\Rightarrow$  (f). If  $C_\sigma^*(X)$  is almost cosmic, that is, if it has a dense cosmic subspace, then  $C_\sigma^*(X)$  has a dense separable subspace. Consequently  $C_\sigma^*(X)$  itself would be separable.

(i)  $\Rightarrow$  (g) and (i)  $\Rightarrow$  (b). If  $X$  is compact and metrizable, then  $C_\sigma^*(X) = C_\infty^*(X)$  is metrizable and separable. But a separable metrizable space is cosmic as well as second countable.  $\square$

**Corollary 3.4.** *If  $X$  is pseudocompact, then  $C_\sigma^*(X)$  is second countable if and only if  $X$  is second countable.*

*Proof.* If  $C_\sigma^*(X)$  is second countable, then by Theorem 3.3,  $X$  is compact and metrizable. But a compact metrizable space is second countable. Conversely, If  $X$  is second countable, then  $X$  is metrizable. But a pseudocompact metrizable space is compact. Hence by Theorem 3.3,  $C_\sigma^*(X)$  is second countable.  $\square$

#### 4. LINDELÖF PROPERTY

In the last section of this paper, we study the situations when possibly  $C_\sigma^*(X)$  can be Lindelöf. Since  $C_p^*(X) \leq C_k^*(X) \leq C_\sigma^*(X)$ , any necessary condition for either  $C_p^*(X)$  to be Lindelöf or  $C_k^*(X)$  to be Lindelöf also becomes necessary for  $C_\sigma^*(X)$  to be Lindelöf. Therefore it becomes expedient to search for criteria in terms of topological properties of  $X$  so that  $C_p^*(X)$  becomes Lindelöf. But here we should mention that though many well-known mathematicians have researched and studied several such criteria for  $C_p(X)$  to be Lindelöf, no satisfactory intrinsic characterisation of the space  $X$ , for which  $C_p(X)$  is Lindelöf is yet to emerge. Likewise it appears that the situations when possibly  $C_p^*(X)$  can be Lindelöf are difficult to find. In the literature there has hardly been any direct reference

to the situation when  $C_p^*(X)$  is Lindelöf. Consequently, we have not been able much to make significant contribution to the study of the situations for  $C_\sigma^*(X)$  to be Lindelöf. But in addition to giving one necessary condition for  $C_\sigma^*(X)$  to be Lindelöf in terms of tightness of  $X$ , we show that  $C_j^*(X)$  ( $j=p, k, \sigma$ ) is Lindelöf if and only if  $C_j(X, [0, 1])$  is Lindelöf. Here  $C_j(X, [0, 1])$  is the space  $C(X, [0, 1])$  equipped with the topology  $j$  ( $j=p, k, \sigma$ ) and  $C(X, [0, 1]) = \{f \in C(X) : f(X) \subseteq [0, 1]\}$ . In general, for a closed interval  $[a, b]$  in  $\mathbb{R}$ ,  $C_j(X, [a, b])$  is the space  $C(X, [a, b])$  equipped with the topology  $j=p, k, \sigma$  and  $C(X, [a, b]) = \{f \in C(X) : f(X) \subseteq [a, b]\}$ . Note  $C(X, [a, b]) = C^*(X, [a, b])$ . To be precise, here the topologies  $p, k$  and  $\sigma$  on  $C^*(X, [a, b])$  denote the topology of pointwise convergence, the topology of uniform convergence on compact subsets of  $X$  and the topology of uniform convergence on  $\sigma$ -compact subsets of  $X$  respectively.

At the end of this section we give several examples in relation to the Lindelöf property of  $C_\sigma^*(X)$ . The first result of this section is the key step towards establishing the equivalence of Lindelöfness of  $C_\sigma^*(X)$  and  $C_\sigma^*(X, [0, 1])$ .

**Theorem 4.1.** *For any space  $X$ ,  $C_\sigma^*(X, [a, b])$  is homeomorphic to  $C_\sigma^*(X, [0, 1])$ .*

*Proof.* Let  $\phi : [a, b] \rightarrow [0, 1]$  be the homeomorphism given by  $\phi(\alpha) = \frac{\alpha-a}{b-a} \forall \alpha \in [a, b]$ . Note that both  $\phi$  and  $\phi^{-1}$  are uniformly continuous. Define  $\widehat{\phi} : C_\sigma^*(X, [a, b]) \rightarrow C_\sigma^*(X, [0, 1])$  by  $\widehat{\phi}(f) = \phi \circ f \forall f \in C^*(X, [a, b])$ . It is easy to see that  $\widehat{\phi}$  is a bijection and  $(\widehat{\phi})^{-1} = \widehat{\phi}^{-1}$ . Hence in order to check that  $\widehat{\phi}$  is a homeomorphism, it is enough to show that  $\widehat{\phi}$  is continuous. Let  $(f_\lambda)_{\lambda \in \Lambda}$  be a net converging to  $f$  in  $C_\sigma^*(X, [a, b])$ . Choose any  $\epsilon > 0$  and any  $A \in \sigma(X)$ . Since  $\phi$  is uniformly continuous,  $\exists \delta > 0$  ( $\delta$  depends on  $\epsilon$  only) such that if for any  $\alpha, \beta \in [a, b]$ ,  $|\alpha - \beta| < \delta$ , then  $|\phi(\alpha) - \phi(\beta)| < \epsilon$ . Since  $f_\lambda \rightarrow f$  in  $C_\sigma^*(X, [a, b])$ ,  $\exists \lambda_0 \in \Lambda$  such that  $|f_\lambda(x) - f(x)| < \delta$  whenever  $x \in A$  and  $\lambda \geq \lambda_0$ . Hence  $|\phi(f_\lambda(x)) - \phi(f(x))| < \epsilon$  whenever  $x \in A$  and  $\lambda \geq \lambda_0$ , that is,  $|\widehat{\phi}(f_\lambda)(x) - \widehat{\phi}(f)(x)| < \epsilon$  whenever  $x \in A$  and  $\lambda \geq \lambda_0$ . But this precisely means  $\widehat{\phi}(f_\lambda) \rightarrow \widehat{\phi}(f)$  uniformly on  $A$ . Since  $A \in \sigma(X)$  was chosen arbitrarily,  $\widehat{\phi}(f_\lambda) \rightarrow \widehat{\phi}(f)$  in  $C_\sigma^*(X, [0, 1])$ . Hence  $\widehat{\phi}$  is continuous.  $\square$

In a manner similar to Theorem 4.1, the following result can be proved.

**Theorem 4.2.** *For any space  $X$ ,  $C_j^*(X, [a, b])$  ( $j=p, k$ ) is homeomorphic to  $C_j^*(X, [0, 1])$ .*

**Theorem 4.3.** *For any space  $X$ ,  $C_j^*(X)$  ( $j=p, k, \sigma$ ) is Lindelöf if and only if  $C_j^*(X, [0, 1])$  is Lindelöf.*

*Proof.* Note that  $C^*(X) = \bigcup_{n=1}^{\infty} C^*(X, [-n, n])$  and each  $C^*(X, [-n, n])$  is closed in  $C_j^*(X)$  ( $j = p, k, \sigma$ ). If  $C_j^*(X)$  is Lindelöf, then each  $C_j^*(X, [-n, n])$  is Lindelöf. Consequently, by Theorem 4.1 or by Theorem 4.2 (depending on whether  $j = \sigma$  or  $j = p, k$ )  $C_j^*(X, [0, 1])$  is Lindelöf. Conversely, if  $C_j^*(X, [0, 1])$  is Lindelöf, then by Theorem 4.1 or Theorem 4.2, each  $C_j^*(X, [-n, n])$  is Lindelöf. But a countable union of Lindelöf subsets is again Lindelöf. Hence  $C_j^*(X)$  is Lindelöf.  $\square$

**Corollary 4.4.** *If  $X$  is a metric space with a separable derived set, then  $C_k^*(X)$  is Lindelöf.*

*Proof.* If  $X$  is a metric space with a separable derived set, then by Theorem 1 of [23],  $C_k^*(X, [0, 1])$  is Lindelöf.  $\square$

Recall that a space  $X$  is said to have *countable tightness* if for each  $x \in X$  and  $A \subseteq X$  such that  $x \in \overline{A}$ , there exists a countable subset  $C$  of  $A$  such that  $x \in \overline{C}$ .

**Proposition 4.5.** *If  $C_\sigma^*(X)$  is Lindelöf, then  $X$  has countable tightness.*

*Proof.* Suppose  $C_\sigma^*(X)$  is Lindelöf, then  $C_p^*(X)$  is also Lindelöf. Hence by Theorem 4 of [20],  $X$  has countable tightness.  $\square$

**Theorem 4.6.** *The space  $C_\sigma^*(X)$  is not Lindelöf whenever either of the following is true:*

- (i)  $X$  is almost  $\sigma$ -compact, but not compact;
- (ii)  $X$  is compact, but not metrizable.

*Proof.* (i) If  $X$  is almost  $\sigma$ -compact, then  $C_\sigma^*(X) = C_\infty^*(X)$  and  $C_\sigma^*(X)$  is metrizable. If  $C_\sigma^*(X)$  is Lindelöf, then it would be separable. But then by Theorem 2.2,  $X$  would be compact and metrizable. We arrive at a contradiction. Hence  $C_\sigma^*(X)$  is not Lindelöf.

(ii) If  $X$  is compact and  $C_\sigma^*(X)$  is Lindelöf, then  $C_\sigma^*(X)$  would be separable and consequently again by Theorem 2.2,  $X$  would be metrizable. Hence  $C_\sigma^*(X)$  is not Lindelöf.  $\square$

**Corollary 4.7.** *Let  $X$  be almost  $\sigma$ -compact. Then  $C_\sigma^*(X)$  is Lindelöf if and only if  $X$  is compact and metrizable.*

The hypothesis that  $X$  be almost  $\sigma$ -compact cannot be omitted from Corollary 4.7. This can be seen by taking  $X = [0, \omega_1)$ , as in Example 4.4 below.

**Example 4.1.** (Example 3 in [20]). Let  $X$  be the interval  $[0, 1)$  with the Sorgenfrey topology. It has been shown in [23] that  $C_p^*(X, [0, 1])$  is not normal and consequently  $C_p^*(X, [0, 1])$  is not Lindelöf. Hence by Theorem 4.3,  $C_p^*(X)$  is not Lindelöf. Since  $C_p^*(X) \leq C_\sigma^*(X)$ ,  $C_\sigma^*(X)$  is not Lindelöf

either. Yet  $X$  is a Lindelöf space. But since  $X$  is first countable,  $X^n$  has countable tightness for each  $n \in \mathbb{N}$ . In particular, this example shows that the converse of Proposition 4.5 need not to be true. Also note that for this space  $X$ ,  $C_p^*(X) < C_k^*(X) < C_\sigma^*(X) = C_\infty^*(X)$ . Note that  $X$ , being separable, is almost  $\sigma$ -compact, but not compact. So by Theorem 4.6(i), we can also immediately conclude that  $C_\sigma^*(X)$  is not Lindelöf.

**Example 4.2.** The “double arrow” space  $X$  is first countable and compact, but it is not metrizable. In literature, this space is also called “two arrows” space. For details on this space, see exercise 3.10C, page 212 in [9]. Also see page 30 in [6]. Note for this space  $X$ ,  $C(X) = C^*(X)$  and  $C_p^*(X) < C_k^*(X) = C_\sigma^*(X) = C_\infty^*(X)$ . Since  $X$  is not metrizable, by Theorem 4.6(ii),  $C_\sigma^*(X)$  is not Lindelöf. Here again, since  $X$  is first countable,  $X^n$  has countable tightness for each  $n \in \mathbb{N}$ . So again this example shows that the converse of Proposition 4.5 need not be true.

**Example 4.3.** Let  $X$  be the ordinal space  $[0, \omega_1]$ . Since  $X$  does not have countable tightness, by Proposition 4.5,  $C_\sigma^*(X)$  is not Lindelöf. Also by Theorem 4.6(ii), we can immediately conclude that  $C_\sigma^*(X)$  is not Lindelöf. Note for this space  $X$ ,  $C(X) = C^*(X)$  and  $C_p^*(X) < C_k^*(X) = C_\sigma^*(X) = C_\infty^*(X)$ .

**Example 4.4.** Let  $X$  be the ordinal space  $[0, \omega_1)$ . Since  $X$  is countably compact,  $C(X) = C^*(X)$ . Each  $\sigma$ -compact subset of  $X$  has compact closure, but  $X$  does not contain a dense  $\sigma$ -compact subset. Hence  $C_p^*(X) < C_k^*(X) = C_\sigma^*(X) < C_\infty^*(X)$ . But since  $X$  is first countable,  $X^n$  has countable tightness for each  $n \in \mathbb{N}$ . So Proposition 4.5 cannot be used to check if  $C_k^*(X) = C_\sigma^*(X)$  is Lindelöf. But in [20], it has been shown that  $C_k^*(X)$  is indeed Lindelöf. Here we reproduce the arguments given in [20] to justify that  $C_k^*(X)$  is Lindelöf. An implication of Theorem 2 of [13] says that if  $X$  is an invariant subspace of a  $\Sigma$ -product of separable metric spaces, then  $C_k(X)$  is Lindelöf. Since  $[0, \omega_1)$  can be embedded as a closed subspace of the  $\Sigma$ -product of  $\omega_1$  copies of  $\mathbb{R}$  by means of the diagonal product map of  $\{f_\alpha\}$  where  $f_\alpha(\beta) = 0$  if  $\beta \leq \alpha$  and  $f_\alpha(\beta) = 1$  if  $\beta > \alpha$ , it follows that  $C_k^*([0, \omega_1)) = C_\sigma^*([0, \omega_1))$  is Lindelöf.

**Example 4.5.** (Example 25 in [26]) The Fortissimo space  $F$ , does not have countable tightness and consequently by Proposition 4.5,  $C_\sigma^*(F)$  is not Lindelöf.

**Example 4.6.** (Example 4 in [20]) The Tychonoff plank  $T$  is defined to be  $[0, \omega_1] \times [0, \omega_0]$  where both ordinal spaces are given the order topology. The subspace  $T_\infty = T - \{(\omega_1, \omega_0)\}$  is called the deleted Tychonoff Plank. The space  $T_\infty$  is not normal, but it is pseudocompact. Hence  $C(T_\infty) = C^*(T_\infty)$ . For more details on  $T_\infty$ , see Example 87 in [26].

It has been shown in [20] that  $C_p(T_\infty) = C_p^*(T_\infty)$  is not Lindelöf. Hence  $C_\sigma^*(T_\infty)$  is not Lindelöf either. We know that  $T_\infty$  is almost  $\sigma$ -compact. Since  $T_\infty$  is not normal, it is not compact. So by Theorem 4.6(i), we can also immediately conclude that  $C_\sigma^*(T_\infty)$  is not Lindelöf.

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