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A SHORT ACCOUNT OF WHY THOMPSON'S GROUP F IS OF TYPE F_∞

MATTHEW C. B. ZAREMSKY

ABSTRACT. In 1984 Brown and Geoghegan proved that Thompson's group F is of type F_∞ , making it the first example of an infinite dimensional torsion-free group of type F_∞ . Over the decades a different, shorter proof has emerged, which is more streamlined and generalizable to other groups. It is difficult, however, to isolate this proof in the literature just for F itself, with no complicated generalizations considered and no additional properties proved. The goal of this expository note then is to present the "modern" proof that F is of type F_∞ , and nothing else.

Introduction and History. A *classifying space* for a group G is a CW complex Y with $\pi_1(Y) \cong G$ and $\pi_k(Y) = 0$ for all $k \neq 1$. If G admits a classifying space with finite n -skeleton, we say G is of *type F_n* . Equivalently, G is of type F_n if it admits a free, cocompact, cellular action on an $(n-1)$ -connected CW complex. Being of type F_1 is equivalent to being finitely generated, and being of type F_2 is equivalent to being finitely presented. We say G is of *type F_∞* if it is of type F_n for all n . Thompson's group F was the first example of a torsion-free group of type F_∞ with no finite dimensional classifying space. Indeed, F cannot have a finite dimensional classifying space since it turns out to contain infinite rank free abelian subgroups, and so in some sense is an "infinite dimensional" group.

The fastest definition of F is via the infinite presentation

$$F = \langle x_0, x_1, x_2, \dots \mid x_j x_i = x_i x_{j+1} \text{ for all } i < j \rangle.$$

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The endomorphism $F \rightarrow F$ sending each x_i to x_{i+1} is clearly idempotent up to conjugation, and so encodes a “free homotopy idempotent”. This is another source of the group’s fame, as it turns out F is the universal group encoding a free homotopy idempotent. This was proved by Freyd–Heller [10] (written in the 1970s, published in the 1990s) and, independently, Dydak [8]. The notation “ F ” originated from Freyd and Heller’s work, standing for “free homotopy idempotent” (and later sometimes also standing for “Freyd–Heller group”). The group now called F actually predated Freyd and Heller’s work, as it arose in Richard Thompson’s work on logic in the 1960s (see, e.g., [13]), and so over the years has come to be universally called “Thompson’s group F ”.

The original proof that F is of type F_∞ was given by Brown and Geoghegan in [4], making heavy use of the map $F \rightarrow F$ that is idempotent up to conjugation. This proof is very specific to F itself, but Brown subsequently found a new proof in [5] that generalized more easily to variations of F . This proof approach was then simplified and further generalized over the years by Stein [15], Farley [9], and others, in a variety of applications to families of “Thompson-like” groups. There are too many examples of this to list here, but lists of such examples can be found in, e.g., [16, 17].

By now a comparatively short, easy proof that F is of type F_∞ exists, thanks to all this work over the years, but isolating it in the literature is difficult. Many (but not all) of the most important steps can be found in [11, Section 9.3] or [6]. Also, one can sort out the full “modern” F_∞ proof for F from the (long) F_∞ proof for the braided Thompson groups in [3], but this requires quite a bit of effort.

The purpose of this note then is to present the most modern form of the F_∞ proof for Thompson’s group F , and only for F , with no other groups considered and no other properties proved. The target audience is people interested in understanding the most basic situation, just for F , before venturing into more complicated generalizations.

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A: Trees and forests. Throughout this note, a *tree* will mean a finite rooted binary tree. A *forest* is a disjoint union of finitely many trees. The roots and leaves of a tree or forest are always ordered left to right.

The *trivial tree* is the tree with 1 leaf (which is also its root). A *trivial forest* is a forest each of whose trees is trivial. We denote the trivial forest with n roots (and hence n leaves) by 1_n . If we want to avoid specifying n , we will just write $1 = 1_n$.

A *caret* is a tree with 2 leaves. Given a forest $\mathfrak{f}$, a *simple expansion* of $\mathfrak{f}$ is a forest obtained by adding one new caret to $\mathfrak{f}$, with the root of the caret identified with a leaf of $\mathfrak{f}$. If it is the k th leaf, this is the *k th simple expansion* of $\mathfrak{f}$ (see Figure 1). An *expansion* of $\mathfrak{f}$ is recursively defined to be $\mathfrak{f}$ or a simple expansion of an expansion of $\mathfrak{f}$. Note that if $\mathfrak{f}$ and $\mathfrak{f}'$ have the same number of roots then (and only then do) they have a common expansion. For example any two trees have a common expansion.



FIGURE 1. A tree, and a simple expansion of the tree (namely the 2nd simple expansion).

B: The group. A *tree pair* is a pair (t_-, t_+) where $t_\pm$ are trees with the same number of leaves. A *simple expansion* of a tree pair is a tree pair (t'_-, t'_+) such that there exists k where $t'_\pm$ is the k th simple expansion of $t_\pm$. An *expansion* of (t_-, t_+) is recursively defined to be (t_-, t_+) or a simple expansion of an expansion of (t_-, t_+) . Thompson's group F is the set of equivalence classes $[t_-, t_+]$ of tree pairs (t_-, t_+) , with the equivalence relation generated by $(t_-, t_+) \sim (t'_-, t'_+)$ whenever (t'_-, t'_+) is an expansion of (t_-, t_+) (for more details on this and some equivalent definitions of F , including relating this to the infinite presentation given above, see, e.g., [7, 2]).

The point of expansions is that one can multiply equivalence classes $[t_-, t_+]$ and $[u_-, u_+]$ by expanding until without loss of generality $t_+ = u_-$, and then $[t_-, t_+][u_-, u_+] := [t_-, u_+]$. In this way, F is a group. The identity is $[1_1, 1_1]$ and the inverse of an element $[t_-, t_+]$ is $[t_+, t_-]$.

C: The groupoid. A *groupoid* is a set with all the axioms of a group except the product gh need not necessarily be defined for every pair of elements g, h . A standard example is the set of all square matrices, where two elements can be multiplied if and only if they have the same dimension. Thompson's group F naturally lives in the groupoid where we generalize trees to forests, which we describe now.

A *forest pair* is a pair (f_-, f_+) where $f_{\pm}$ are forests with the same number of leaves. An *expansion* of a forest pair is defined analogously to an expansion of a tree pair, and we define equivalence of forest pairs similarly to equivalence of tree pairs. Let $\mathcal{F}$ be the set of all equivalence classes $[f_-, f_+]$ of forest pairs (f_-, f_+) . Since any two forests with the same number of roots have a common expansion, we can multiply two elements $[f_-, f_+]$ and $[e_-, e_+]$ of $\mathcal{F}$ provided the number of roots of f_+ and e_- are the same. In this case we expand until $f_+ = e_-$ and then $[f_-, f_+][e_-, e_+] := [f_-, e_+]$. In this way, $\mathcal{F}$ is a groupoid. Note that the group F is a subgroupoid of $\mathcal{F}$.

D: The poset. Define a *split* to be an element of $\mathcal{F}$ of the form $[f, 1]$. For $[f_-, f_+] \in \mathcal{F}$, declare that $[f_-, f_+] \leq [f_-, f_+][f, 1]$ for any split $[f, 1]$ such that this product is defined.

Lemma 1. *The relation $\leq$ is a partial order.*

Proof. Clearly $\leq$ is reflexive, since any $[1_n, 1_n]$ is a split. A product of splits is itself a split, because any forest with n roots is an expansion of 1_n , so $\leq$ is transitive. Finally, a product of non-trivial splits is non-trivial since any expansion of a non-trivial forest is non-trivial, so $\leq$ is antisymmetric. $\square$

Let $\mathcal{F}_1$ be the subset of $\mathcal{F}$ consisting of all $[t, f]$ for t a tree (and f a forest with the same number of leaves as t). The groupoid product on $\mathcal{F}$ restricts to a left action of F on $\mathcal{F}_1$. It is clear that $\leq$ restricts to $\mathcal{F}_1$, and that this partial order on $\mathcal{F}_1$ is F -invariant, since left multiplication by an element of F commutes with right multiplication by a split. In this way, $\mathcal{F}_1$ is an F -poset.

The *geometric realization* $|P|$ of a poset P is the simplicial complex with a simplex for every chain $x_0 < \cdots < x_k$ of elements $x_i \in P$, with face relation given by taking subchains. A poset is *directed* if any two elements have a common upper bound. It is a standard fact that the geometric realization of a directed poset is contractible.

Lemma 2. *The poset $\mathcal{F}_1$ is directed, and so the geometric realization $|\mathcal{F}_1|$ is contractible.*

Proof. Note that $[t, f][f, 1] = [t, 1]$, so any element of $\mathcal{F}_1$ has an upper bound of the form $[t, 1]$ for t a tree. Given two such elements $[t, 1]$ and $[u, 1]$, let v be a common expansion of t and u , and now $[v, 1]$ is a common upper bound of $[t, 1]$ and $[u, 1]$. $\square$

Since the action of F on $\mathcal{F}_1$ is order preserving, it induces a simplicial action of F on the contractible complex $|\mathcal{F}_1|$.

Lemma 3. *The action of F on $|\mathcal{F}_1|$ is free.*

Proof. The action of F on $|\mathcal{F}_1|^{(0)} = \mathcal{F}_1$ is free, since it is an action of a subgroup of a groupoid on the groupoid by left translation. Since the action of F on $\mathcal{F}_1$ is order preserving, the stabilizer of the simplex $x_0 < \cdots < x_k$ lies in the stabilizer of x_0 , hence is trivial. $\square$

E: The Stein complex. In [5] Brown used the action of F on $|\mathcal{F}_1|$ to give a new proof that F is of type F_∞ , which generalized to many additional groups. The topological analysis in [5] was still quite complicated though. The complex $|\mathcal{F}_1|$ deformation retracts to a smaller, more manageable subcomplex X now called the *Stein complex*. This complex was first constructed by Stein in [15] (also see [6]), and simplified the F_∞ proof for F in [5] quite a bit.

To define X we need the notion of “elementary” forests, splits, and simplices. First, call a forest f *elementary* if every tree in f is either trivial or a single caret (see Figure 2). Call a split $[f, 1]$ *elementary* if f is an elementary forest. If $x \in \mathcal{F}_1$ and s is a split, so $x \leq xs$, then write $x \preceq xs$ if s is an elementary split. (Note that $\preceq$ is reflexive and antisymmetric, but not transitive.) Call a simplex $x_0 < \cdots < x_k$ in $|\mathcal{F}_1|$ *elementary* if $x_i \preceq x_j$ for all $i < j$. The elementary simplices form a subcomplex X , called the *Stein complex*. Note that X is invariant under the action of F .



FIGURE 2. An example of an elementary forest and a non-elementary forest.

Proposition 4. *The Stein complex X is homotopy equivalent to $|\mathcal{F}_1|$, hence is contractible.*

Proof. Given a forest $\mathfrak{f}$, there is a unique maximal elementary forest with $\mathfrak{f}$ as an expansion, namely the elementary forest whose k th tree is non-trivial (hence a caret) if and only if the k th tree of $\mathfrak{f}$ is non-trivial, for each k . Call this the *elementary core* of $\mathfrak{f}$, denoted $\text{core}(\mathfrak{f})$. Note that if $\mathfrak{f}$ is non-trivial then so is $\text{core}(\mathfrak{f})$. If $\mathfrak{e} = \text{core}(\mathfrak{f})$, call $[\mathfrak{e}, 1]$ the *elementary core* of $[\mathfrak{f}, 1]$, and write $\text{core}([\mathfrak{f}, 1]) := [\mathfrak{e}, 1]$. Now let $x \leq z$ with $x \not\leq z$, and consider $(x, z) := \{y \mid x < y < z\}$. Since any $y \in (x, z)$ is of the form xs for s a non-trivial split, we can define a map $\phi: (x, z) \rightarrow (x, z)$ via $\phi(xs) := x \text{core}(s)$. This is clearly a poset map that restricts to the identity on its image, and satisfies $\phi(y) \leq y$ for all y . Finally, note that $\phi(y) \leq \phi(z) \in (x, z)$ for all y . Standard poset theory (see, e.g., [14, Section 1.5]) now tells us that $|(x, z)|$ is contractible (intuitively, ϕ “retracts” it to a cone on the point $\phi(z)$).

Now our goal is to build up from X to $|\mathcal{F}_1|$ by gluing in the missing simplices, in such a way that whenever we add a new simplex it is along a contractible relative link, which will imply that $X \simeq |\mathcal{F}_1|$. The missing simplices are precisely the non-elementary ones. Let us actually glue in all the non-elementary simplices in chunks, by gluing in (contractible) subcomplexes of the form $|\{y \mid x \leq y \leq z\}|$ for $x < z$ non-elementary. We glue these in, in order of increasing $f(z) - f(x)$ value, where $f: \mathcal{F}_1 \rightarrow \mathbb{N}$ sends $[\mathfrak{t}, \mathfrak{f}]$ to the number of roots of $\mathfrak{f}$. (Think of $f(z) - f(x)$ as the number of carets in the split taking x to z .) When we glue in $|\{y \mid x \leq y \leq z\}|$, the relative link is $|\{y \mid x \leq y < z\}| \cup |\{y \mid x < y \leq z\}|$. This is the suspension of $|\{y \mid x < y < z\}|$, which is contractible by the first paragraph of the proof. $\square$

Note that the action of F on $|\mathcal{F}_1|$ restricts to an action of F on X .

F: The Stein–Farley cube complex. The Stein complex X is easier to use than $|\mathcal{F}_1|$, but there is one further simplification that makes it still easier, namely, the simplices of X can be glommed together into cubes, making X a cube complex. This was observed by Stein in [15] and further developed in [9], where X was shown to even be a $\text{CAT}(0)$ cube complex.

Given $x \preceq z$, say $z = xs$ for $s = [\mathfrak{e}, 1]$ an elementary split, the set $\{y \mid x \leq y \leq z\}$ is a boolean lattice. This is because the forests $\mathfrak{e}'$ with $x[\mathfrak{e}', 1] \leq x[\mathfrak{e}, 1]$ are all obtained by assigning a 0 or a 1 to each caret in $\mathfrak{e}$ and including said caret in $\mathfrak{e}'$ if and only if it was assigned a 1. The geometric realizations of these boolean lattices, which are metric cubes, cover X , and any non-empty intersection of such cubes is itself such a cube, so in this way X has the structure of a cube complex. When we view X as a cube complex instead of a simplicial complex, we will call it the *Stein–Farley complex*.

The action of F on X takes cubes to cubes, so F acts cellularly on the Stein-Farley complex.

G: Sublevel complexes. At this point we have a free cellular action of F on the contractible cube complex X . If the orbit space were of finite type, meaning if it had finitely many cells per dimension, then we would be done proving F is of type F_∞ . In fact this is not the case, but X does admit a natural filtration into cocompact subcomplexes that are increasingly highly connected, as we now explain.

Let $f: \mathcal{F}_1 \rightarrow \mathbb{N}$ be the function from the proof of Proposition 4, so $f([t, f])$ equals the number of roots of f . Note that f is F -invariant. For each $m \in \mathbb{N}$ let $X^{f \leq m}$ be the full subcomplex of X spanned by vertices $x \in X^{(0)} = \mathcal{F}_1$ with $f(x) \leq m$. The $X^{f \leq m}$ are called *sublevel complexes*. Note that the $X^{f \leq m}$ are nested, and their union is all of X , so they form a filtration of X . Each $X^{f \leq m}$ is F -invariant.

Lemma 5. *Each $X^{f \leq m}$ is cocompact under the action of F .*

Proof. Since there are only finitely many elementary forests with a given number of roots or a given number of leaves, X is locally finite. Hence it suffices to show $X^{f \leq m}$ has finitely many F -orbits of vertices, and for this we claim that if $x, x' \in X^{(0)}$ with $f(x) = f(x')$ then $F.x = F.x'$. Indeed, $f(x) = f(x')$ ensures that $x'x^{-1}$ is an allowable product in $\mathcal{F}$, and clearly $x'x^{-1} \in F$ with $(x'x^{-1})x = x'$. $\square$

To summarize, for each $m \in \mathbb{N}$, F acts freely, cocompactly, and cellularly on $X^{f \leq m}$. To show that F is of type F_∞ , i.e., of type F_n for all n , it just remains to show that for each n there exists m such that $X^{f \leq m}$ is $(n-1)$ -connected.

$$\text{Let } \nu(m) := \left\lfloor \frac{m-2}{3} \right\rfloor.$$

Proposition 6. *The complex $X^{f \leq m}$ is $(\nu(m+1) - 1)$ -connected.*

We will prove Proposition 6 shortly. First let us see why we will be done after this.

Theorem 7. *F is of type F_∞ .*

Proof. For each $m \in \mathbb{N}$, F acts freely, cocompactly, and cellularly on the $(\nu(m+1) - 1)$ -connected complex $X^{f \leq m}$. Hence F is of type $F_{\nu(m+1)}$ for all m . Since $\nu(m+1)$ goes to ∞ as m goes to ∞ , F is of type F_∞ . $\square$

H: Descending links. To prove Proposition 6 we will use Bestvina–Brady Morse theory (see [1]). Given an affine cell complex Y , e.g., a simplicial or cube complex, a map $h: Y \rightarrow \mathbb{R}$ is a *Morse function* if h is affine on cells, non-constant on edges, and discrete on vertices. Given a Morse function $h: Y \rightarrow \mathbb{R}$ and a cell C in Y , h achieves its maximum value on C at a unique vertex, called the *top* of C . The *descending link* $\text{lk}^\downarrow y$ of a vertex $y \in Y^{(0)}$ is the link of y in all the cells with y as their top. The point of Morse theory is that a sufficient understanding of descending links can translate into knowledge about sublevel complexes (see [1, Corollary 2.6]).

Proof of Proposition 6. We can extend $f: X^{(0)} \rightarrow \mathbb{N}$ to a map $f: X \rightarrow \mathbb{R}$ by extending affinely to each cube, and this is a Morse function. Since X is contractible, it now suffices by [1, Corollary 2.6] to prove that for every $x \in X^{(0)}$ with $f(x) > m$, the descending link $\text{lk}^\downarrow x$ is $(\nu(m+1) - 1)$ -connected. The descending link of x is the simplicial complex with a k -simplex for each $x' = x[1, \mathfrak{e}]$, where $\mathfrak{e}$ is an elementary forest with $k+1$ carets and $f(x)$ leaves, with face relation given by removing carets. (For this, it is important that we are using the cubical structure on X , not the simplicial structure.) If $f(x) = n$ then this is isomorphic to the matching complex on the graph L_n . Here L_n is the graph with vertex set $\{1, \dots, n\}$ and an edge $\{i, i+1\}$ for each $1 \leq i \leq n-1$, and the *matching complex* $\mathcal{M}(\Gamma)$ of a graph Γ is the simplicial complex with a simplex for each non-empty finite collection of pairwise disjoint edges of Γ with face relation given by inclusion (see Figure 3).

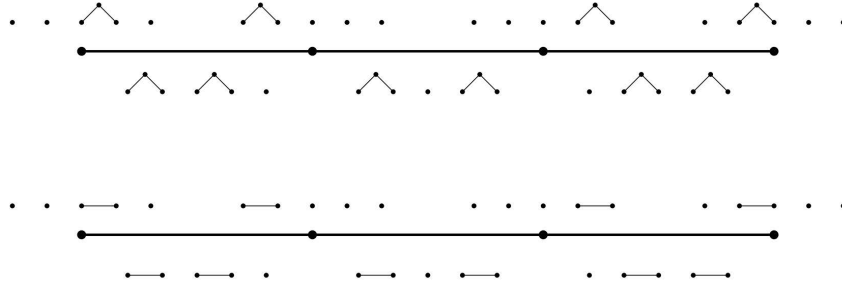


FIGURE 3. The correspondence between $\text{lk}^\downarrow x$ with $f(x) = 5$ (the top picture, with the forests $\mathfrak{e}$ representing the simplices) and $\mathcal{M}(L_5)$ (the bottom picture).

Since $n > m$, it now suffices to show that $\mathcal{M}(L_n)$ is $(\nu(n) - 1)$ -connected.

This is well known (see, e.g., [12, Proposition 11.16]), but it is easy to prove so we present a proof here. We will induct on n to prove that this holds, and that moreover $\mathcal{M}(L_n)$ is contractible whenever $n \equiv 2 \pmod 3$, and that the inclusion $\mathcal{M}(L_{n-1}) \rightarrow \mathcal{M}(L_n)$ is a homotopy equivalence whenever $n \equiv 1 \pmod 3$. As a base case we can check “by hand” that $\mathcal{M}(L_n)$ is non-empty (i.e., (-1) -connected) for $n \geq 2$, $\mathcal{M}(L_2)$ is contractible, and $\mathcal{M}(L_3) \rightarrow \mathcal{M}(L_4)$ is a homotopy equivalence. Now assume $n \geq 5$. Clearly $\mathcal{M}(L_n)$ is isomorphic to $\mathcal{M}(L_{n-1})$ union the star of $\{n-1, n\}$, and the intersection of $\mathcal{M}(L_{n-1})$ with this star is $\mathcal{M}(L_{n-2})$. Hence $\mathcal{M}(L_n)$ is homotopy equivalent to the mapping cone of the inclusion $\mathcal{M}(L_{n-2}) \rightarrow \mathcal{M}(L_{n-1})$. If $n \equiv 0, 1 \pmod 3$ then $\nu(n-1) = \nu(n)$, so $\mathcal{M}(L_{n-1})$ is $(\nu(n)-1)$ -connected, and moreover $\mathcal{M}(L_{n-2})$ is $(\nu(n)-2)$ -connected, so $\mathcal{M}(L_n)$ is $(\nu(n)-1)$ -connected (this follows for example from Van Kampen, Mayer–Vietoris, and Hurewicz). If $n \equiv 2 \pmod 3$ then the inclusion $\mathcal{M}(L_{n-2}) \rightarrow \mathcal{M}(L_{n-1})$ is a homotopy equivalence, so $\mathcal{M}(L_n)$ is contractible. Lastly, if $n \equiv 1 \pmod 3$ then $\mathcal{M}(L_{n-2})$ is contractible, so the inclusion $\mathcal{M}(L_{n-1}) \rightarrow \mathcal{M}(L_n)$ is a homotopy equivalence. $\square$

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